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Nodular lymphocyte predominant Hodgkin lymphoma: a Lymphoma Study Association retrospective study

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ABSTRACT

Nodular lymphocyte predominant Hodgkin lymphoma represents a distinct entity from classical Hodgkin lymphoma. We conducted a retrospective study to investigate the management of patients with nodular lymphocyte predominant Hodgkin lymphoma. Clinical characteristics, treatment and outcome of adult patients with nodular lymphocyte predominant Hodgkin lymphoma were collected in Lymphoma Study Association centers. Progression-free survival (PFS) and overall survival (OS) were analyzed, and the competing risks formulation of a Cox regression model was used to control the effect of risk factors on relapse or death as competing events. Among 314 evaluable patients, 82.5% had early stage nodular lymphocyte predominant Hodgkin lymphoma. Initial management consisted in watchful waiting (36.3%), radiotherapy (20.1%), rituximab (8.9%), chemotherapy or immuno-chemotherapy (21.7%), combined modality treatment (12.7%), or radiotherapy plus rituximab (0.3%). With a median follow-up of 55.8 months, the 10-year PFS and OS estimates were 44.2% and 94.9%, respectively. The 4-year PFS estimates were 79.6% after radiotherapy, 77.0% after rituximab alone, 78.8% after chemotherapy or immuno-chemotherapy, and 93.9% after combined modality treatment. For the whole population, early treatment with chemotherapy or radiotherapy, but not rituximab alone (Hazard ratio 0.695 [0.320-1.512], $P=0.3593$) significantly reduced the risk of progression compared to watchful waiting (HR 0.388 [0.234-0.643], $P=0.0002$). Early treatment appears more beneficial compared to watchful waiting in terms of progression-free survival, but has no impact on overall survival. Radiotherapy in selected early stage nodular lymphocyte predominant Hodgkin lymphoma, and combined modality treatment, chemotherapy or immuno-chemotherapy for other patients, are the main options to treat adult patients with a curative intent.

Introduction

Nodular lymphocyte predominant Hodgkin lymphoma (NLPHL) is a rare entity distinct from classical Hodgkin lymphoma (cHL), both in terms of histopathological features and clinical presentation. The disease is usually localized, with mediastinal involvement and B-symptoms being rather uncommon. NLPHL usually follows an indolent course that can last for more than 10 years, late relapses may occur, and it can transform into aggressive non-Hodgkin B-cell lymphoma, with a reported rate of 12-23% in recent series.¹⁻⁴ Due to the rarity of the disease, clinical trials evaluating a risk-adapted approach such as in cHL could not be conducted in NLPHL. Despite the issue of guidelines by the European Society for Medical Oncology⁵ and the National Comprehensive Cancer Network,⁶ questions such as the

value of watchful waiting, the benefit of early treatment with a curative intent, and the optimal modalities of immuno-chemotherapy, are still being debated. We therefore conducted a retrospective study in order to describe the characteristics, management and outcome of adult patients with NLPHL.

Methods

We retrospectively reviewed the records of patients diagnosed with NLPHL, treated in LYSA centers in France and Belgium. Patients with a histological diagnosis of NLPHL after surgical biopsy, regardless of the date of diagnosis, and aged 18 or over, were selected for the study. Immunohistochemistry has been routinely performed since 1995, according to the REAL classification. For 85% of patients, the diagnosis was made or confirmed by an expert hematopathologist participating in the "Lymphopath" program of systematic review sponsored by

the Institut National du Cancer, and including all current diagnostic criteria. We collected clinical data such as sites of the disease, Ann Arbor stage, presence of B-symptoms at diagnosis and at first relapse/progression, treatments and outcome. Patients were treated outside clinical trials, without specific guidelines, neither for limited nor for advanced stages, and according to each physician's decision and local practice. Evaluation of response was mainly based on clinical examination and CT scan.

The study received the agreement of the Commission Nationale de l'Informatique et des Libertés. In accordance with the legislation applicable to retrospective studies in France and Belgium, an information form was sent to all patients, and their referent physician completed a non-opposition form.

Statistical methods

Follow-up started at the end of treatment and ended at the date of death or the date of the last examination. All analyses were performed on an intention-to-treat basis. Patient characteristics and response rates were compared using χ^2 tests. Progression-free survival (PFS) was calculated from the date of diagnosis to the date of progression, relapse, or death from any cause. Overall survival (OS) was calculated from the date of diagnosis to the date of death from any cause. When the latter date was not reached, the date was censored at the time of the last follow-up evaluation. Survival functions were estimated by the Kaplan-Meier method and compared by the log-rank test. We controlled for the effects of prognostic factors on outcome using the competing risks formulation of a Cox regression model, which investigates the effect of the explanatory variables on different competing events, such as progression, relapse, or death, during the course of the disease. All statistical tests were two-sided. Analyses were performed using SAS 9.1 (SAS Institute, Cary, NC).

Results

Patients

We identified 366 patients diagnosed with NLPHL between 1974 and 2012. 52 patients were excluded for reasons given in Table 1, and data on 314 patients could thus be analyzed. Patients were mostly young, 86.9% being 60 years old or less, including 59 patients between the ages of 18 and 25 (Table 1). There was a strong male predominance with a female/male ratio of 0.29. Most patients (82.5%) presented with stage I-II. Presentation was cervical, axillary or inguinal for 102 stage I patients. The mediastinum was involved in 30 patients, with half of them having early stage, and the remaining having advanced stage disease. In 11.9% of patients, stage II was subdiaphragmatic. B-symptoms were rare (4.8%, $n=15$), and occurred mainly in advanced stages ($n=10$).

Watchful waiting

Watchful waiting was defined as a period of observation of at least 3 months without any treatment. A total of 114 patients (36.3%) were in the watchful waiting group, including 35 patients who had undergone complete surgical resection of the initial lesions (Table 2). In this group, early stages (67 stage I and 37 stage II) were overrepresented compared to advanced stages (9 stage III and 1 stage IV). Sixty-five patients progressed during observation, including 15 patients who had a complete resection. For the 95 evaluable patients, median PFS in the watchful waiting group was 56.4 months (range: 0.3 – 207).

At first relapse/progression, 8 patients remained in

Table 1. Characteristics of the study population at diagnosis.

	All (N = 314) ¹
Age, years (median; range)	38 (18 - 79)
Sex	
Female	70 (22.3%)
Male	244 (77.7%)
Ann Arbor Stage	
I	156 (49.7%)
II	103 (32.8%)
III	39 (12.4%)
IV ²	16 (5.1%)
Year of diagnosis	
< 2000 ³	48 (15.3%)
2000-2004	65 (20.7%)
2005-2009	138 (43.9%)
> 2010	63 (20.1%)

¹52 out of 366 patients were excluded for the following reasons: under 18 years of age ($n=14$), insufficient data ($n=22$), histological transformation at diagnosis ($n=2$), wrong diagnosis ($n=6$), previous history of cHL ($n=3$), refusal to participate in the study ($n=1$), or several of the previous reasons ($n=4$). ²Bone ($n=12$), liver ($n=2$), lung ($n=1$), digestive ($n=1$). ³Including 26 patients diagnosed in the period 1995-1999, 17 patients between 1990 and 1994, and 5 patients before 1990.

observation, whereas 57 received treatment, which consisted in radiotherapy ($n=21$), rituximab as a single-agent ($n=12$), chemotherapy or immuno-chemotherapy ($n=19$), combined modality treatment (CMT) ($n=3$), rituximab plus radiotherapy ($n=1$), and unspecified treatment ($n=1$).

Frontline treatment

200 patients (63.7%) received treatment at diagnosis. Radiotherapy (RT) alone was the front-line treatment for 63 patients (20.1%) (Table 2), including 42 stage I, 20 stage II and 1 stage III. Radiotherapy was mainly delivered at a dose of 30-36 Gy (62% of patients) to cervical, supraclavicular and/or axillary areas in 41 patients, and to inguinal and/or iliac areas in 14 patients; 2 patients underwent a subtotal nodal irradiation, and the treatment areas were not specified for 6 patients. Rituximab alone was the front-line treatment for 28 patients (8.9%). The treatment schedule consisted in 4 weekly injections of rituximab at 375 mg/m², without maintenance, with the exception of 2 patients who received 6 and 7 injections, respectively, over a period of 3 to 4 months. Chemotherapy or immuno-chemotherapy was administered to 68 patients (21.7%); anthracycline-containing regimens were used in most cases (see Table 2). CMT was the initial treatment for 40 patients (12.7%), and consisted mainly in ABVD or ABVD-like regimens followed by RT, which was delivered mainly to cervical, supraclavicular and/or axillary areas, and included the mediastinum in 10 patients (6 early stage, 4 advanced stage); 8 patients received supradiaphragmatic (mantle 6, involved-field 2), lombo-aortic and splenic irradiation. 1 patient (0.3%) received only radiotherapy plus rituximab.

Initial management according to Ann Arbor stages is shown in Table 2. Briefly, among 259 patients with early-stage disease, 40% were in the watchful waiting group, 24% received radiotherapy, 9% rituximab, 14% chemotherapy or immuno-chemotherapy and 13% CMT. Among 55 patients with advanced stages, 18% were in the watchful waiting group, 58% received chemotherapy or immuno-chemotherapy, and 13% CMT.

Table 2. Management of patients at diagnosis and first relapse/progression.

Management of patients at diagnosis				
	Stage I-II	Stage III-IV	Total	
Watchful waiting	104	10	114 (36.3%)	
Radiotherapy ¹	62	1	63 (20.1%)	
Rituximab alone	24	4	28 (8.9%)	
Chemotherapy or immuno-chemotherapy ²	36	32	68 (21.7%)	
Combined modality treatment ²	33	7	40 (12.7%)	
Radiotherapy plus rituximab	0	1	1 (0.3%)	
Management at first relapse/progression				
	Stage I-II	Stage III-IV	Stage unknown	Total
Watchful waiting	13	4	2	19 (17.0%)
Radiotherapy	19	0	8	27 (24.1%)
Rituximab alone	13	3	3	19 (17.0%)
Chemotherapy or immuno-chemotherapy ³	11	19	7	37 (33.0%)
Combined modality treatment ³	4	1	2	7 (6.2%)
Radiotherapy plus rituximab	1	0	1	2 (1.8%)
Management unspecified	1	0	0	1 (0.9%)

¹Radiotherapy doses: 43 patients (68.2%) received 30 to 36 Gy; 7 patients (11.1%) 38 to 40 Gy; 2 patients (3.2%) 20 Gy; 3 patients (4.8%) 4 Gy. The dose of radiotherapy was unknown for 8 patients (12.7%). ²Chemotherapy (47), immuno-chemotherapy (61), including ABVD or ABVD-like regimens (76), BEACOPP (1), CHOP or CHOP-like regimens (18, all treated without radiotherapy), CVP (7), other regimens (2), unspecified (4). ³Chemotherapy alone (13), chemotherapy + rituximab (31). Chemotherapy included: ABVD or ABVD-like (14), BEACOPP (1), CHOP (15), ACVBP (5), MINE (2), DHAP or DHA-carboplatin (2), ICE (1), CVP (1), other regimens (2), unspecified (1). ABVD: doxorubicin, bleomycin, vinblastine, dacarbazine; BEACOPP: bleomycin, etoposide, doxorubicin, cyclophosphamide, vincristine, procarbazine, prednisone; CHOP: cyclophosphamide, doxorubicin, vincristine, prednisone; CVP: cyclophosphamide, vincristine, prednisone; MOPP: mechlorethamine, vincristine, procarbazine, prednisone; DHAP: dexamethasone, cytarabine, cisplatin; ICE: ifosfamide, carboplatin, etoposide.

Management at first relapse/progression

A total of 117 patients experienced a relapse or progression: 65 following watchful waiting and 52 after an initial treatment. Among these patients, 16.5% relapsed during the first 2 years following treatment. A minority (19 patients) did not receive any treatment following first progression/relapse. In these patients, initial management had consisted in watchful waiting (n=8), radiotherapy (n=4), rituximab (n=1), chemotherapy or immuno-chemotherapy (n=3), and CMT (n=3). The management at relapse/progression was unspecified for 6 patients. The remaining 92 patients were treated as shown in Table 2. Chemotherapy or immuno-chemotherapy was the most frequent option in this setting (37 patients), including 19 patients who had not received prior therapy. 5 patients also received high-dose chemotherapy followed by autologous stem-cell transplantation (ASCT), including 1 patient with a documented transformed NLPHL. 27 patients were treated with radiotherapy alone, including 21 patients who had been in the watchful waiting group. Lastly, 19 patients were treated with rituximab as a single-agent.

Efficacy and outcome

Among the 200 patients who were treated at diagnosis, evaluation of response was available for 183 patients (Table 3). The overall response rate (ORR) was 96.2%, with 166 patients achieving a complete response (CR), or complete response unconfirmed (CRu).

After initial radiotherapy, the ORR was 96.5%, with 54 patients (94.7%) achieving a CR/CRu. Relapse/progression occurred in 11 out of 42 (26%) stage I patients, and 5 out of 20 (25%) stage II patients. Relapses occurred mainly outside irradiated areas, but 3 patients had disseminated relapse involving previously irradiated areas. Rituximab alone resulted in an ORR of 100%, with 24 patients (88.9%) reaching CR/CRu. Chemotherapy or immuno-chemotherapy resulted in an ORR of 95.2%, including 55

patients (87.3%) with CR/CRu. After CMT, patients experienced a 94.3% ORR, including 32 patients (91.4%) with CR/CRu.

With a median follow-up of 55.8 months (range: 0.3–451.8), the 10-year PFS and OS estimates for the whole cohort were 44.2% and 94.9%, respectively (Figure 1). Ten patients died during the study period from disease progression (n=1), sepsis following ASCT (n=1), secondary malignancies (n=4), traffic accident (n=2), or unknown cause (n=2). The 4-year PFS estimates according to each treatment modality was 79.6% with radiotherapy, 77.0% with rituximab alone, 78.8% with chemotherapy or immuno-chemotherapy, and 93.9% with CMT (Figure 2). Early initiation of treatment was associated with a significant reduction of the risk of progression of 65% for radiotherapy alone, 54% for chemotherapy or immuno-chemotherapy, and 71% for CMT (Table 4). The risk of progression was not significantly reduced for patients treated with rituximab alone. This is consistent with the analysis of patients who had complete resection of the initial lesions: median PFS was 82.0 months (range: 2.8 – 214.1 months) when surgery was followed by observation, whereas it was not reached in patients who received additional therapy ($P=0.0356$) (Table 4).

Histological transformation

Histological transformation into aggressive B-cell lymphoma was documented at relapse/progression in 24 patients (7.6%), including 14 patients in first, 9 patients in second and 1 patient in third relapse/progression, respectively. 2 patients had histological transformation to T-cell/histiocyte-rich large B-cell lymphoma, but the exact subtype was not specified in the remaining patients.

Secondary malignancies

9 patients developed secondary malignancies, including 2 adenocarcinomas of the rectum, 1 bronchopulmonary carcinoma, 1 gastric cancer, 1 angiosarcoma, 1 endometrial

cancer, 1 melanoma, 1 hepatocarcinoma and 1 T-cell lymphoma. 8 out of these 9 patients had received at least one treatment, including chemotherapy for 7 of them and radiotherapy for 5 of them (as part of CMT for 4 patients, and radiotherapy alone for 1 patient).

Risk factors and competing risks analysis

To account for competing risks between death, progression/relapse, and second cancers, the regression analysis included age at diagnosis, stage, initial treatment with chemotherapy, rituximab and radiotherapy as explanatory covariates. No significant prognostic factor was identified

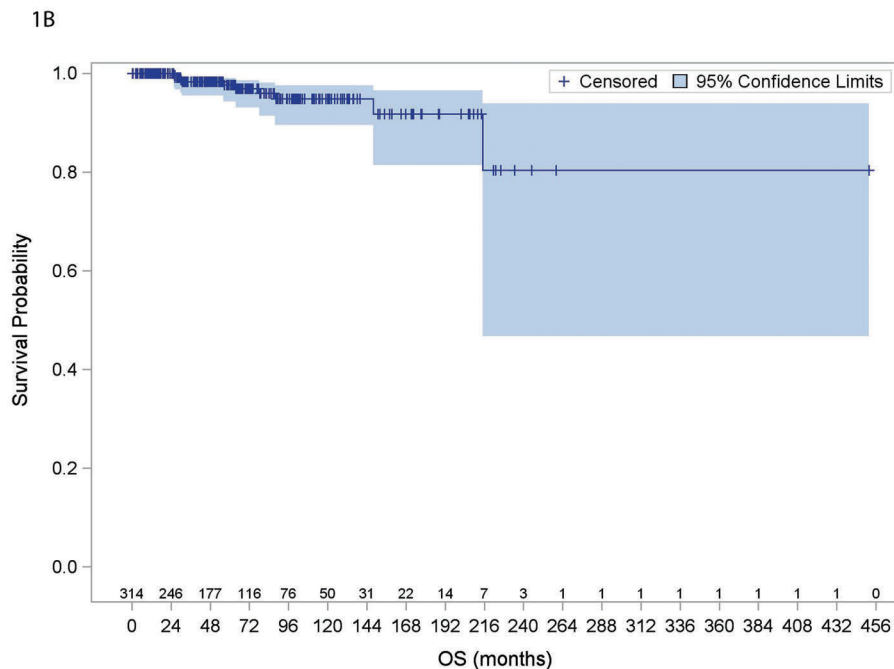
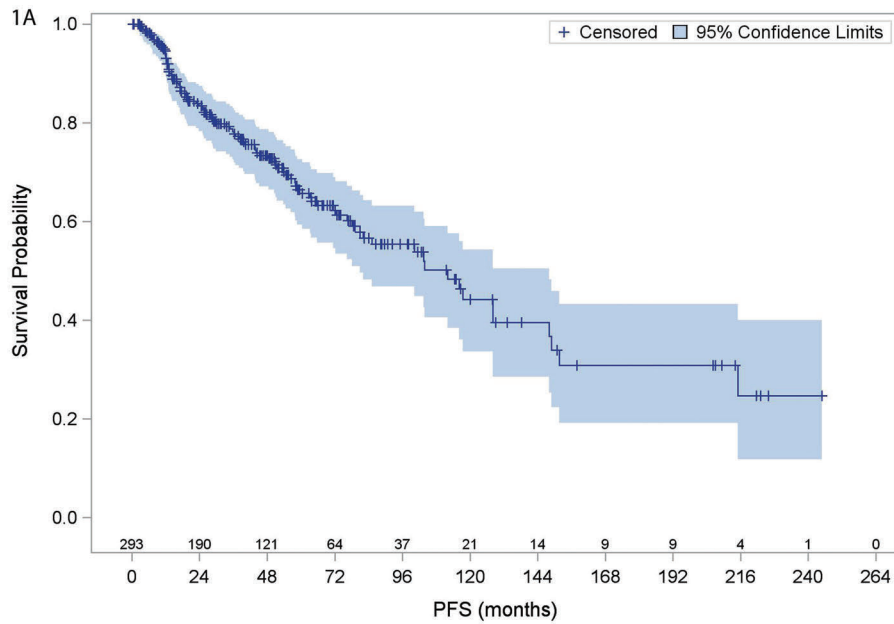


Figure 1. Kaplan-Meier estimates for progression-free survival (1A) and overall survival (1B), with number of subjects at risk, and 95% confidence limits – all evaluable patients.

for death or for second cancers. For the whole population (stages I-IV), the competing risks analysis showed that early treatment with chemotherapy or radiotherapy significantly reduced the risk of progression *versus* watchful

waiting (HR 0.388 [0.234-0.643], $P=0.0002$), but not with rituximab alone (HR 0.695 [0.320-1.512], $P=0.3593$). In early stages, the risk of progression was only reduced with radiotherapy (HR 0.474 [0.277-0.812], $P=0.0066$).

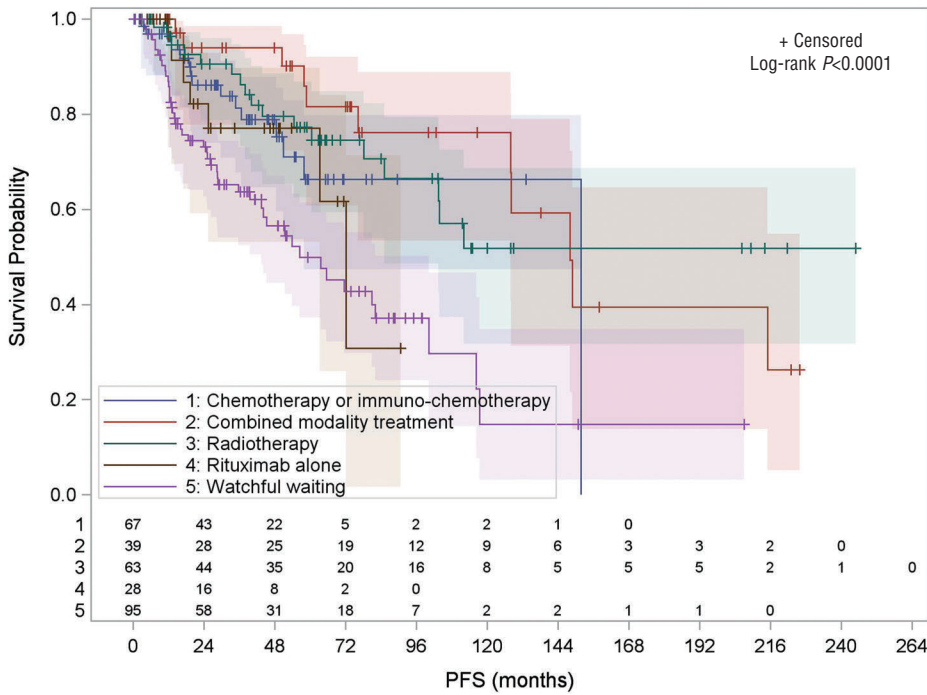


Figure 2. Progression-free survival by management at diagnosis, with number of subjects at risk, and 95% confidence limits –all evaluable patients.

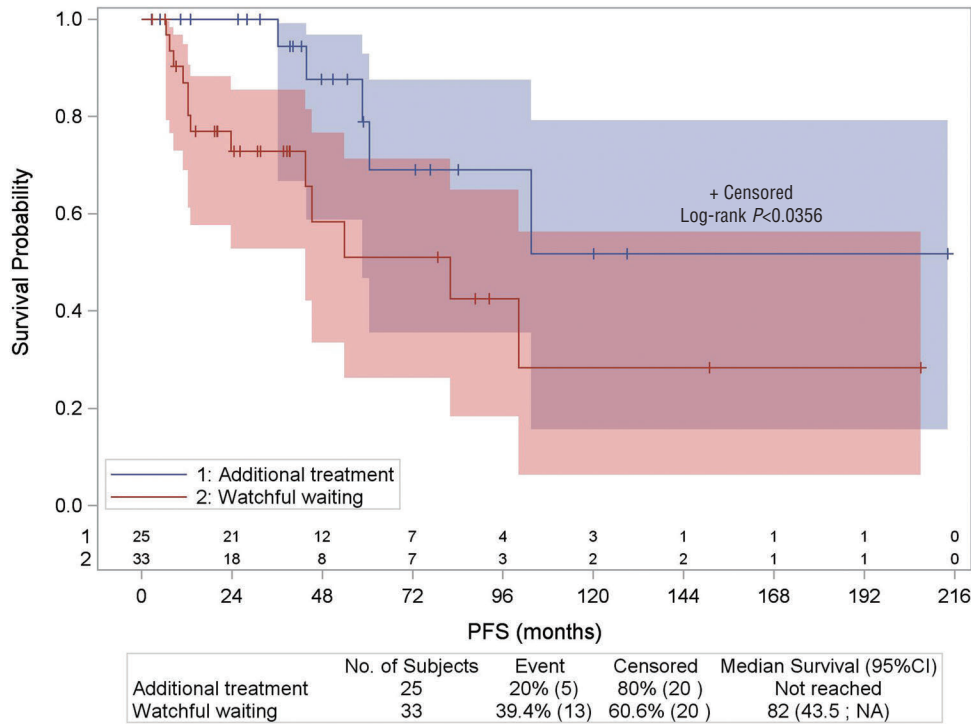


Figure 3. Progression-free survival of 58 patients after complete surgical resection, according to additional treatment *versus* watchful waiting, with number of subjects at risk, and 95% confidence limits.

Table 3. Response to initial and second-line treatments.

Response to initial treatment (evaluable patients, n=200)					
	CR/CRu	PR	SD	Progression	Unknown
All	166	10	2	5	17
Radiotherapy	54	1	1	1	6
Rituximab alone	24	3	0	0	1
Chemotherapy or immuno-chemotherapy	55	5	1	2	5
Combined modality treatment	32	1	0	2	5
Radiotherapy plus rituximab	1	0	0	0	0
Response to second-line treatment (evaluable patients, n=92)					
	CR/CRu	PR	SD	Progression	Unknown
All	66	10	2	2	12
Radiotherapy	19	3	1	0	4
Rituximab alone	12	5	0	0	2
Chemotherapy or immuno-chemotherapy	27	2	1	2	5
Combined modality treatment	6	0	0	0	1
Radiotherapy plus rituximab	2	0	0	0	0

Discussion

This study describes the clinical characteristics, management and outcome of NLPHL patients in LYSA centers, with a special focus on treatment. As reported previously, patients were mostly young, predominantly male and had early stage disease.⁷⁻⁹ B-symptoms were present in only 4.8% of patients, which is less frequent than the 9-10% previously reported.⁷⁻⁹ With almost two-thirds of patients treated after 2005, and a high proportion of patients maintained in watchful waiting after diagnosis, our study provides a good opportunity to re-evaluate the role of radiotherapy and immuno-chemotherapy in the treatment decision-making.

Though historically treated similarly to cHL, the individualization of NLPHL as a distinct entity in the 1990's has rendered questionable the validity of this approach. However, a risk-adapted strategy has not been developed for NLPHL and its specific management remains under debate. The new prognostic score developed by the GHSG, combining clinical and histologic features, especially histopathologic variants to define risk groups, is an interesting approach.¹⁰

Our results show that initiating treatment at the time of diagnosis may improve PFS, compared with watchful waiting, which was the most frequent initial management and concerned more than one-third of our patients. Based on pediatric series,^{11,12} the EuroNet-PHL-LP1 study recommends a follow-up without further treatment in children and adolescents with stage IA disease, and complete resection of involved lymph nodes. However, in our study, even after complete surgical resection of local lesions, additional treatment improved PFS compared to watchful waiting. Our results do not support the recommendation of observation as a treatment option instead of RT for stage IA patients with a completely excised solitary lymph node.⁶ The risk of progression was reduced with radiotherapy compared to watchful waiting. However, with a 4-year PFS estimate of 79.6% for patients treated with radiotherapy alone, the benefit of radiotherapy is inferior

Table 4. Risk of progression after initial treatment.

	Hazard ratio	95% CI	P
Radiotherapy	0.345	0.196-0.610	0.0002
Rituximab alone	0.629	0.283-1.399	0.256
Chemotherapy or immuno-chemotherapy	0.476	0.266-0.855	0.0129
Combined modality treatment	0.292	0.148-0.577	0.0004

Hazard ratios are calculated with watchful waiting taken as a reference.

to the results of previously published studies,^{8,13,14} and relapses occurred in most cases outside the irradiated fields. Due to the low number of patients and events, a comparison between the results of radiotherapy in stage I and stage II was not possible. We conclude that radiotherapy alone is a possible option in selected early stages. This management is consistent with the international guidelines^{5,6} and a recent study from the GHSG in which involved-field RT was defined as the standard of care for stage IA-NLPHL, based on an equivalent tumor control with a lower risk of long-term side effects compared to extended-field RT and CMT.¹⁵ Of note, a decline in radiation utilization for patients with NLPHL was reported in a recent large series.⁹ Radiotherapy was the treatment for 1 out of 4 patients at first relapse/progression and remains a possible option for patients with early stages not previously irradiated.

When radiotherapy alone is not indicated at diagnosis, our study shows that CMT, chemotherapy or immuno-chemotherapy, but not rituximab, result in a significant reduction of the risk of progression compared to watchful waiting. However, we could not determine which treatment option was the best in the different clinical presentations. The excellent PFS achieved with CMT, although not significantly superior to chemotherapy or immuno-chemotherapy, suggests that a treatment similar to that of cHL might be the best choice for selected patients with early stage NLPHL. Still, the choice between RT alone and

CMT for the treatment of early stage disease remains a source of debate. Retrospective studies failed to show an improvement in disease control with CMT compared with RT alone.^{16,17} In contrast, a study from British Columbia reported that ABVD chemotherapy with or without RT improved outcomes compared with RT alone for limited stage NLPHL.¹⁸ In our study, patients with early stages initially treated with CMT, chemotherapy or immuno-chemotherapy, achieved a good disease control, with a possible benefit over radiotherapy alone, a result that we can relate to a high rate of progression or relapse (26%) after initial radiotherapy. Chemotherapy alone has not been commonly used in adults with early stage NLPHL,¹⁸ and is the main option for patients with advanced stage.^{7,8,19} In this study, patients with stages III-IV who were initially treated received mainly chemotherapy or immuno-chemotherapy (71.1%), a management which is consistent with the international guidelines.^{5,6} An unsolved question is the choice of the chemotherapy regimen, in particular between a treatment similar to cHL with ABVD, or a treatment with CHOP, as in indolent NHL.²⁰ After R-CHOP therapy, favorable outcomes with a CR rate of 90% at 3.5 years have been reported, which supports the use of alkylating agents for advanced stage NLPHL.²¹

Rituximab therapy alone, without maintenance, yielded a high response rate, similar to previous studies.^{3,22} However, the 4-year PFS of only 77% suggests that rituximab as a single-agent is inferior to immuno-chemotherapy or CMT for long-term disease control. We cannot conclude from our data the possible impact of the absence of maintenance on our results, which are in line with the experience of the Stanford University and the British Columbia Cancer Agency.^{23,24} However, the benefit of rituximab maintenance has not been confirmed in a recent series.³ The international guidelines recommend an initial treatment with⁶ or without rituximab alone,⁵ and rituximab could be a reasonable choice at relapse.²⁵

The management of our patients at first relapse/progression showing a low number of patients in the different

treatment groups, and the heterogeneity of reported series in terms of features of disease and therapeutic options,^{1,8,23} do not allow for a clear definition of the treatment of relapsed NLPHL. High-dose chemotherapy with ASCT was the salvage treatment for a few of our patients in the absence of documented histological transformation, and we cannot make a judgement on the possible benefit of ASCT in this setting.²⁶ The short follow-up of our patients does not allow for any conclusions on risk factors, especially the risk of histological transformation, as previously reported.¹⁻⁴

In conclusion, our study shows that the treatment of NLPHL at diagnosis can improve PFS, compared to watchful waiting. The policy of watchful waiting at diagnosis should be revisited, and discussed for carefully selected patients in order to maintain an excellent PFS and reduce the risk of late effects. In addition, a complete surgical resection of pathologic lymph nodes at diagnosis and initial treatment with rituximab alone are not sufficient to improve PFS compared to watchful waiting. Radiotherapy for selected early stages, and when radiotherapy alone is not indicated, combined modality treatment, chemotherapy or immuno-chemotherapy represent the main options to treat adult patients with a curative intent. Intergroup collaborative studies with tumor banking are warranted to improve our knowledge of NLPHL, and to offer hope for patients to benefit from modern treatment and new agents.

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Authorship and Disclosures

Information on authorship, contributions, and financial & other disclosures was provided by the authors and is available with the online version of this article at www.haematologica.org.

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