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Hepatic molecular signatures highlight the sexual dimorphism of Non-Alcoholic SteatoHepatitis (NASH).

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Abbreviation list: ALAT: alanine transaminase; ASAT: aspartate aminotransferase; AUC: area under the curve; BMI: body-mass index; BP: biological processes; DE: differentially expressed; DEGs: differentially expressed genes; FC: fold change; GO: gene ontology; HbA1c: glycated hemoglobin; HDL-C: high-density lipoprotein-cholesterol; HL: healthy liver; HOMA-IR: homeostasic model assessment of insulin resistance; NAFLD: non-alcoholic fatty liver disease; NASH: non-alcoholic steatohepatitis; RF: random forest; RFE: recursive feature elimination; SVM: support vector machine.

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ABSTRACT

Background and Aims: Non-Alcoholic SteatoHepatitis (NASH) is considered as a pivotal stage in Non-Alcoholic Fatty Liver Disease (NAFLD) progression, as it paves the way for severe liver injuries such as fibrosis and cirrhosis. The etiology of human NASH is multifactorial and identifying reliable molecular players and/or biomarkers has proven difficult. Together with the inappropriate consideration of risk factors revealed by epidemiological studies (altered glucose homeostasis, obesity, ethnicity, sex...), the limited availability of representative NASH cohorts with associated liver biopsies, the gold standard for NASH diagnosis, probably explains the poor overlap between published "omics"-defined NASH signatures. Approach & Results: Here we have explored transcriptomic profiles of livers starting from a 910 obese patient cohort which was further stratified based on stringent histological characterization, to define "NoNASH" and "NASH" patients. Sex was identified as the main factor for data heterogeneity in this cohort. Using powerful bootstrapping and random forest (RF) approaches, we identified reliably differentially expressed genes participating to distinct biological processes in NASH as a function of sex. RF-calculated gene signatures identified NASH patients in independent cohorts with high accuracy. Conclusions: This largescale analysis of transcriptomic profiles from human livers emphasized the sexually dimorphic nature of NASH and its link with fibrosis, calling for the integration of sex as a major determinant of liver responses to NASH progression and responses to drugs.

INTRODUCTION

Non-alcoholic fatty liver disease (NAFLD) is a growing health burden initially developing in western countries and spreading to areas in which lifestyle and diet changes increase the prevalence of obesity and insulin resistance (1). NAFLD is now the most common chronic liver condition with a worldwide prevalence of ≈25% of the total population (2). NAFLD encompasses a spectrum of liver histological manifestations, from relatively benign hepatic steatosis (NAFL) to more severe liver injuries leading to non-alcoholic steatohepatitis (NASH). Lobular inflammation and ballooning degeneration of hepatocytes are histological characteristics of NAFL progression toward NASH (3). NASH is strongly associated with fibrosis (4-6), which is itself, even at early stages, predictive of increased overall and liver-related mortality (7-9).

A number of genome-wide scale transcriptomic analyses described hepatic gene expression pattern alterations in NAFL and NASH patients versus "healthy obese" or lean individuals (10-16), prompting meta-analysis to define NASH and/or fibrosis core molecular signatures (12, 13, 17). Although identifying novel or confirming established players in NASH progression, these studies did not allow the definition of a predictive core gene signature, as little overlap between each meta-analysis was observed. Multiple confounding factors and technical biases may account for this inconsistency, such as differences in genetic origin, unappreciated environmental factors and cohort stratification criteria. In addition, stratification did not always take into account major risk factors for NASH revealed by epidemiological studies such as the metabolic status (18, 19). Most importantly, many human pathological manifestations are sex-dependent (20, 21) and NAFLD-induced liver injuries are mostly reported as more severe in men (22). However, despite obvious sex dimorphic traits in metabolic regulations (23), sex was not considered as a factor neither in the design nor upon interpretation of the above mentioned studies.

Generating a global overview of biological processes involved in human disease requires genome-wide analysis of large cohorts containing hundreds of patients to insure the robustness of results (24). Transcriptomic signatures are generally defined through "top-down" approaches (25), starting from a comparative analysis to identify differentially expressed (DE) genes (DEGs) between healthy and pathological conditions to further select, within this DEG set, several genes supposedly constituting the "disease" signature. However, the imbalance between the population size (few

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hundreds) and the number of quantified RNA transcripts (several thousands) generates unstable results, which display a high sensitivity to the genetic, biological and biometric characteristics of the studied cohort (26). Despite being long-established, this variability remained ignored in previous studies, in which single differential analysis were performed on non-segmented cohorts. Furthermore, machine learning methods, such as logistic regressions, are traditionally used to guarantee an unbiased selection of signature genes amongst DEGs (27). Although they may lead to comprehensive models, these methods fail to integrate non-linear gene interactions reflecting biological complexity (28). Non-linear approaches, such as Random Forests (RF) or Support Vector Machine (SVM), can model such complex interactions, but remain rarely used in such studies due to methodological complexity (29-31). Furthermore, variability in computational and statistical methods applied to DEG selection also contributes, especially in a small cohort size context, to observed discrepancies between identified signatures.

The main objective of this study was to define, using unbiased and robust bioinformatic approaches, NASH molecular signatures through a transcriptomic study starting from a large cohort of morbidly obese patients (n=910). In addition to highlighting optimal bioinformatic approaches for biological signature identification, this study identified sex as the main parameter affecting NASH signature definition and associated altered biological processes.

METHODS

Cohorts and Datasets

The Hopital Universitaire de Lille (HUL) cohort (ABOS, ClinicialTrials.gov: NCT 01129297) was recruited amongst obese patients visiting the Obesity Surgery Department at the Centre Hospitalier Universitaire de Lille. All patients fulfilled criteria for, and were willing to undergo weight-loss surgery. More details on the constitution and characterization of this prospective cohort are in the Supplemental Data section. Liver needle biopsies were obtained at the time of surgery from 910 patients undergoing bariatric surgery (32). Anthropometric, histological and metabolic characteristics are indicated in Table 1. RNA extraction, purification, labeling and hybridization procedures for microarray analysis have been reported (32). Transcriptome analysis was performed using Affymetrix Human Transcriptome Array (HTA) 2.0 and .CEL files were normalized in a single run of the apt-probeset-summarize command (gc-scale-rma analysis using meta probesets and full quantile normalization, APT program 2.10.0, ThermoFisher). Expression data are available at NCBI GEO:GSE130991.

The Universitair Ziekenhuis Antwerpen (UZA) cohort was recruited amongst overweight patients visiting the Obesity Clinic at UZA suspected to have NAFLD based on imaging and blood biochemistry assays [see (33) for details]. Liver biopsies were from 178 obese patients of which 79 further underwent gastric bypass surgery. Anthropometric, histological and metabolic characteristics, RNA extraction, purification, labeling and hybridization procedures have been previously reported (12). Transcriptome analysis was performed using Affymetrix Human Gene (HuGene) 2.0ST and .CEL files were normalized as above. Expression data are available at NCBI GEO:GSE83452.

Ahrens and collaborators described the Universitätsklinikum Dresden (UKD) cohort which includes lean (control, n=18) and morbidly obese patients (BMI>42kg/m², n=45) either classified as healthy (no steatosis, n=18), NAFL (steatosis only, n=12) or NASH (steatosis and inflammation, n=15) with low fibrosis stage (F≤1, mild) (11). Transcriptome analysis was performed by these authors using Affymetrix HuGene 1.1ST arrays and .CEL files were normalized as above. Gene expression data are available at NCBI GEO:GSE48452.

Moylan and collaborators described the Duke University (DU) cohort composed of 72 overweight or obese patients $(29\text{kg/m}^2 < BMI < 46 \text{ kg/m}^2)$ who were stratified according to the fibrosis stage [(F≤1, moderate, n=40) and (F≥3, severe, n=32)] as recommended in (34). The NASH phenotype (inflammation and ballooning) was also more pronounced in the severe branch of the cohort (10). Transcriptome analysis was performed by these authors using

Affymetrix HG U133 Plus 2.0 arrays and .CEL files were normalized as above. Gene expression data are available at NCBI GEO:GSE49541.

Cohort stratification, data analysis and bioinformatic procedures.

Detailed information can be found in the Supplemental Data section.

RESULTS

Definition of the learning cohort

The prospective HUL cohort includes morbidly obese patients presenting all grades of liver steatosis, lobular inflammation and ballooning. Validated liver transcriptomic profiles were obtained from 910 biopsies, which were classified on the basis of histological parameters (steatosis, hepatocyte ballooning, lobular inflammation, Figure 1) to yield a fully characterized 620 patients cohort with healthy [HL, n=118 (19%)], steatotic [NAFL, n=431 (70%)] or NASH livers [NASH, n=71 (11%)] (Table 1). "Borderline" samples to which an unambiguous classification could not be attributed were excluded (n=199) (Figure 1 and Supp. Figure 1). Of note, the HL and NAFL categories were mostly associated to no or moderate fibrosis (F0 to F2, 98%, Table 1), whereas NASH patients exhibited an important proportion of severe fibrosis (F3 to F4, 43%, Table 1). With the aim of eliminating selection bias and confounding factors when assessing the effect of NASH on gene expression profiles as a function of sex, we first defined a so-called "learning cohort" from the 620-patient cohort as follows. First, biopsies were selected according to stringent quality and biological criteria (Figure 1). These criteria were: (a) defining a minimal length >10mm and a number of portal areas per biopsy >8, leading to a 420 biopsies subset (characteristics of this subcohort are detailed in Supp. Table 1); (b) excluding from the analysis patients with normal hepatic insulin sensitivity as they are virtually absent from the NASH category (Figure 2) by using a HOMA-IR index >2.4 which is, after exclusion of patients taking "rapid insulin", an arbitrary threshold in accordance with common practices (35, 36); (c) defining a "NoNASH" group including healthy (HL) and steatotic (NAFL) livers. To eliminate or reduce any referral or unidentified bias as well as confounding factors, patients were then propensity-matched within each subgroup (NoNASH or NASH) based on sex, BMI, HOMA-IR and fibrosis grade. This defined the HUL learning cohort composed of 124 matched male or female NoNASH patients and of 46 male or female matched NASH patients (Supp. Table 2) allowing to investigate the importance of the sex factor in balanced groups (Table 2). NASH patients displayed higher fibrosis scores and HOMA-IR than NoNASH patients (F3-F4=29% vs 5%, mean HOMA-IR=27.7 vs 6.8, respectively)(Supp. Table 2).

Differential gene expression analysis

The source of variation in gene expression was investigated using multivariate ANOVA on normalized log₂-transformed gene expression signals. Computation of F-ratio (variation explained by the test variable/unexplained variation) for each factor considered in the

differential model clearly confirmed sex as the factor explaining the highest expression variance to the dataset (Figure 3). Accordingly, DEGs were first identified, as usually performed in signature discovery studies, by a single Limma run comparing either NoNASH to NASH gene profiles irrespective of sex, or considering only female or male patients (thereafter referred to as "All", "Women" or "Men" respectively). A variable number of genes was found significantly DE when comparing NASH to NoNASH patients in the men (3,083), women (297) and all patients (3,466) strata (FDR< 10%).

The robustness of DEGs identification was assessed by a bootstrap procedure based on a random subsampling rate = 0.9 (100 iterations) of the learning cohort subgroups followed by Limma differential analysis. This procedure generated 3 groups (g) of DEGs reliably detected in more than 75 bootstrap runs (FDR <10%, Gmen, Gwomen and Gall) and revealed important gualitative and guantitative discrepancies with DEGs detected by a unique Limma run (Figure 4A and 4B, Supp. Figure 2). As an example, CHIL3L1 was detected as DE in the single Limma run for both men and women contrasts, with high fold changes (FCs) (FCmen = 3.30; $FC_{women} = 2.14$). However, the bootstrap procedure reliably detected CHIL3L1 as overexpressed only in male NASH patients (gmen), as it was found significantly DE in all 100 Limma runs. In contrast, it was dismissed from the women contrast (Gwomen) as it was found significantly DE in only 32 out of 100 runs despite a high mean FC (σ FC_w = 2.14). This bootstrap analysis thus attributed 1,325 (vs 3,083 in the single Limma run), 55 (vs 297) and 1,868 (vs 3,466) DEGs to gmen, gwomen and gall contrasts respectively (Figure 5A; Supp. Figure 3). Variance analysis did not reveal significant differences in global gene expression between men and women, suggesting that the difference in DEG number was not linked to difference in gene expression heterogeneity (Supp. Figure 4). Thus a strong influence of patient/sample heterogeneity on the differential analysis process was observed.

The incomplete overlap between \mathcal{G}_{women} and \mathcal{G}_{men} suggested a contribution of a sexspecific factor to differential analysis results, as only 39 common transcripts were identified (71% of \mathcal{G}_{women} and 3% of \mathcal{G}_{men})(Figure 5B). When raising the FC threshold of reliable DEGs up to 1.5 (Supp. Table 3), this overlap increased in proportion (13 overlapping transcripts; 87% of \mathcal{G}_{women} and 15% of \mathcal{G}_{men}), while 74 and 2 genes remained men- and women-specific respectively (Supp. Figure 5). Men-specific genes with highest absolute log₂FC values were Solute Carrier Family 22 member 10, *SLC22A10*) (σ FC_m = 0:42), Chitinase-3-like protein 1, *CHI3L1* (σ FC_m = 3.36) and Hermansky-Pudlak syndrome 5 protein, *HPS5* (σ FC_m = 2.24) and the 2 womenspecific genes were *HYDIN1*(σ FC_w = 0.52) and *HYDIN2* (σ FC_w = 0.39).

While suggesting a clear dichotomy between male and female patients, this latter

analysis did not provide an overview of altered liver functions in NASH. A gene ontology (GO) term enrichment was thus performed on reliable \mathcal{G}_{women} , \mathcal{G}_{men} and \mathcal{G}_{all} using the Biological Processes (BP) database (Table 3). Interestingly, term enrichment within \mathcal{G}_{all} identified cell-cell contact, immune cell migration, inflammatory response and extracellular matrix remodeling as the most prominent processes, in agreement with the published literature (37). However, GO BP term enrichment of DEGs in \mathcal{G}_{women} pointed to cell cycle regulation processes, whereas \mathcal{G}_{men} revealed a pattern more related to metabolic and inflammation processes (Table 3). This dichotomy was also observed when restricting gene lists to genes with absolute $\log_2 FC > \log_2(1.2)$ to reduce technical noise, which additionally revealed a specific enrichment of cholesterol-related genes in the men gene set (Supp. Table 4). Due to the low number of dysregulated genes passing this FC threshold (n=41), the women sub-cohort did not allow a statistically significant enrichment in any GO BP term, but visual inspection of the gene list did not highlight any gene involved in cholesterol metabolism.

RF-based identification of signatures

A recursive feature elimination (RFE) strategy coupled to RF models was used to select an optimal gene subset from \mathcal{G}_{women} , \mathcal{G}_{men} and \mathcal{G}_{all} (Figure 1, 6A, 6B; Supp. Figure 6) to predict NoNASH and NASH patients. By progressively eliminating genes with lowest classification power, a minimal gene set yielding a maximized AUC was defined. Signatures corresponding to these optimal subsets contained 20, 15 and 108 genes extracted from \mathcal{G}_{women} , \mathcal{G}_{men} and \mathcal{G}_{all} and are thereafter referred to as \mathcal{S}_{women} , \mathcal{S}_{men} and \mathcal{S}_{all} respectively (Supp. Table 5). The overlap between these 3 signatures indicated that men- and women-specific signatures shared only 1 gene (Thymidylate Synthetase, *TYMS*) (Figure 6C). The larger signature obtained when considering all patients (\mathcal{S}_{all}) largely overlapped with \mathcal{S}_{women} and with \mathcal{S}_{men} , albeit to a lesser extent, highlighting the need of both sex-specific signature genes in the RF model to efficiently classify an heterogeneous population.

Classification power of signatures

A Principal Component Analysis (PCA) was first employed to validate s_{women} , s_{men} and s_{all} as tools to separate NASH from NoNASH patients. Separations between NoNASH and NASH patients using gene expression values from reference signatures were sharper than when considering all DEGs expression values (Figure 7; Supp. Figure 7), suggesting that these signatures are highly efficient in discriminating NoNASH vs NASH patients.

The classification power of these 3 signatures was then evaluated through 200 crossvalidation runs using the learning cohort. The distribution of AUCs determined from these runs (Figure 8A, 8B, Supp. Figure 8) showed that highest AUC values were achieved by RF models learnt from Swomen, Smen and Sall to predict women (AUC RF-Swomen = 0.957), men (AUC RF- $S_{men} = 0.970$) and all patients (AUC RF- $S_{all} = 0.952$) respectively. In comparison, mean AUC reached when using randomly selected signatures models built from gwomen, gmen and gall (AUC Rdm- Gx) were strictly lower than those determined using reference signatures, however with AUCs > 0.8. Amongst guided random signatures, those built from *G*women reached higher AUC to predict NASH when classifying all patients and more especially the women-only cohort, with performances close to reference signature (Figure 8A, AUC Rdm-Gwomen = 0.939). It is worth noting here that these guided random signatures are composed of 20 genes randomly selected amongst 55 reliable DEGs from *g*_{women}, thereby inducing a frequent overlap between reference and guided random signatures. Thus the high AUCs achieved by these random signatures did not suggest the uniqueness of a predictive NASH signature in RF models, but are rather indicative of a set of similar signatures built from a limited list (here n=55) of predictive genes. Unguided random signatures built from the full list of genes (Rdm-All) reached poor AUCs around 0.62.

Single gene predictors.

Reference signatures were also compared to single gene predictors to classify NASH vs NoNASH patients in the learning cohort. The classification power of each gene composing S_{women} , S_{men} and S_{all} to classify women, men and all patients respectively were evaluated (Figure 8C, 8D and Supp. Figure 9). All genes from S_{women} and S_{men} reached absolute AUC greater than 0.77 and 0.82 respectively, especially for *FAT1* with an AUC close to the S_{men} model (AUC $S_{men} = 0.970$; AUC_{*FAT1*} = 0.953). For S_{all} genes, AUCs were low and fluctuated from 0.62 to 0.86, suggesting a higher complexity of the corresponding predictive model.

We also tested ad-hoc signatures including a number of genes equal to reference ones and displaying the highest FC in \mathcal{G}_{men} , \mathcal{G}_{women} and \mathcal{G}_{all} of the learning cohort (Supp. Figure 10). For some genes, despite high FC, corresponding individual prediction for NASH remained poor (*HMGCS1* in the men group, FC_{men}=2.4; AUC_{men}=0.65). Of note, RF-based-models using these ad-hoc signatures most often reached better prediction than individual gene predictors. Taken together, these analyses demonstrate that the RF-calculated signals have a predictive power superior to random and "single gene" predictors.

Independent cohort validation.

Reference signatures were used to classify NASH and NoNASH patients from other cohorts (Table 4). When confronted to the HUL "testing" cohort (excluding the 170-patient learning cohort, n=450), RF models learnt from S_{women} , S_{men} and S_{all} reached similar AUC when classifying men as NASH or NoNASH patients (AUC_{men} = 0.87/0.87/0.93), women (AUC_{women} = 0.86/0.84/0.87) and all patients (AUC_{all} = 0.88/0.84/0.88). The similarly high AUC values reached when classifying the HUL cohort by these three signatures demonstrated the capacity of RF to learn efficient classification rules from various gene signatures. A validation using independent cohorts was however required to more precisely assess the ability of such signatures to identify NASH patients. Classification predictions ran on the UKD cohort yielded improved AUC values in the women and all patients sub-cohorts when compared to HUL AUC, whereas HUL-generated signatures were slightly less accurate at classifying UKD men as NASH or NoNASH patients (AUC_{men} = 0.79/0.75/0.79). HUL-generated signatures performed only fairly to classify the UZA cohort (56 NoNASH; 122 NASH), with the best AUC being reached when using *s*women to predict women, men and all patients (AUCwomen = 0.73; AUCmen = 0.76; AUC_{all} = 0.75), whereas S_{men} yields an AUC around 0.65. Since these values remained significantly lower than AUCs obtained with other cohorts, we assessed signature performances after restricting the NASH category to highly fibrotic patients (F≥3, n=60). RF model performances on this UZA_{high fib.} sub-cohort increased the classification prediction power to the same extent for men, women and all patients. S_{women} and S_{all} models to reach similar performances with AUC in the 0.78-0.84 range, and remained higher than models learnt from \mathcal{S}_{men} . These values remained however below those reached with other tested cohorts, suggesting a peculiar yet undetermined biological feature of the UZA cohort or a center effect.

The DU cohort has been stratified according to extreme histological phenotypes, distinguishing a "mild NAFLD" group with a low fibrosis grade (F≤1) and a "severe NAFLD" group with more pronounced liver damages associated to NASH (inflammation and ballooning) and strong fibrosis (F≥3) (10), thus ressembling the UZA_{high fib} sub-cohort. We assessed the predictive power of signatures for all patients as sex was not discriminated in this cohort. RF models learnt from S_{women} , S_{men} and S_{all} showed similar or better AUC for DU cohort (AUC_{all} = 0.80/0.89/0.87) when compared to HUL patient classification. This shows that signature performances extend beyond NASH prediction and may identify patients with severe, clinically relevant fibrotic lesions.

Signature correlation networks

To quantify gene relationships within each signature, gene expression correlation networks were built by computing Pearson correlations between each transcript pair in the learning cohort. The 3 resulting gene co-expression networks shared a similar structure, displaying a core of "central" highly correlated genes and more loosely correlated "peripheral" genes (Supp. Figure 11). The S_{all} signature contains 2 core gene sets, the first one including *KPNA2*, *ANXA2P2*, *ANXA2*, *MEAF6*, *ITGAX* and *TNFRSF12A* and the second one containing *DDB2*, *MDM2*, *ZMAT3*, *TYMS*, *RPS27L* and *RRM2B*. The most highly correlated genes in S_{women} were *UBD*, *STMN2*, *ANXA2P2*, *FABP5P1* and *FABP5P7*, whereas *NIN*, *SDCBP*, *CCND1*, *RRM2B* and *FAT1* were most correlated in the network computed from S_{men} . All correlations were positive except for *CYP2C19* in the S_{women} network and *SFP1* and *CYP2C19* in S_{all} . Thus this correlation study again emphasized the male-female dichotomy in human NASH.

Correlation with clinical parameters

To assess whether RF-built signatures, in addition to identify NASH patients, also segregate patients according to biochemical or biometric parameters, Spearman correlation coefficients were computed between RF-based classification predictions learnt from s_{women} , s_{men} and s_{all} and clinical parameters for the 620 NoNASH/NASH patient cohort (Table 1) to increase statistical power (549 NoNASH/71 NASH). Highest correlation was observed for HOMA-IR and HbA1c as expected due to higher values for both parameters in NASH patients compared to NoNASH patients of the learning cohort (Table 5). In contrast, no clear correlations were observed between BMI or HDL-C and any signature prediction. Other clinical parameters were correlated in a more sex-specific way, with age, LDL-C, HbA1c and HOMA-IR levels being more markedly correlated with s_{men} model prediction, whereas liver enzyme levels (ALAT, ASAT) were more strongly correlated with s_{women} . Taken together, this correlation analysis suggests that despite being based on distinct gene sets, RF-built signatures identify patients with altered liver enzyme levels and altered glucose homeostasis.

Discussion

Several studies have already reported liver transcriptomic signatures of NASH, but their reliability and stability can be questioned due to limited cohort sizes and the lack of the evaluation of signature robustness. In this study, 170 propensity score-matched liver biopsies were selected with rigorous biological and statistical criteria, from which we determined DEGs using a robust bioinformatic protocol. Several methodological pitfalls, mostly ignored in previous studies, have been addressed in our analysis. The use of a bootstrap method to increase the robustness of DEGs identification by Limma, a commonly differential analysis approach, has previously evidenced a high sensitivity of the differential analysis to cohort composition (26). In our study, such a bootstrap analysis led to the exclusion of 50 to 82% of transcripts initially identified as DEGs by a single Limma run. This instability was noted for the 3 contrasts (G_{all} , G_{women} , G_{men}). Importantly, exclusion was not restricted to poorly expressed or weakly modulated genes. Thus, to avoid misinterpretation, a stability analysis using a bootstrap approach should be systematically performed in cohort studies.

Numerous studies reported the sexual dimorphic nature of metabolic regulations (38, 39). In the liver, they have been mostly ascribed to the growth hormone/JAK2/STAT5 pathway (40). NASH has also a strong sex-specific component with men generally displaying a more severe phenotype than non-menopausal women (41, 42). On the basis of RF models, we identified sex-specific NASH signatures whose predictive power were evaluated against independent cohorts. We further compared the robustness of such signatures to that of single gene predictors and random signatures. We found a larger number of reliable DEGs in men than in women, whose median age is 45 years +/-11 (sd). A distinct menopausal status amongst women around 40 to 50 year-old could explain such a difference by increasing biological noise to the differential analysis and impairing DEGs detection. The low overlap between gwomen and gmen and associated signatures hints at sex-specificity as well. Indeed, GO BP enrichment of reliable DEGs in men or women did not reveal recurrent biological themes with the exception of "cellular adhesion", a rather broad terminology unable to pin down specific biological pathways. The 39 commonly dysregulated genes are not associated to a specific biological process, leaving open the question of a potentially (dis)similar natural history of men or women NASH. Interestingly, we observed that GO BP term enrichment of DEGs in Gwomen pointed to cell cycle regulation processes, a feature which may be related to the higher propensity of female hepatocytes to proliferate (43).

Three signatures were identified using RF models, with S_{men} and S_{women} encompassing a similar number of transcripts. S_{all} was larger due to a higher sample number used for training, thereby enabling more sophisticated classification rules to be employed with this more heterogeneous population. A careful evaluation of bioinformatically-defined signatures is required as employed algorithms may use FCs which do not seem significant from a biological point of view. Thus, while several signatures can reach similarly high classification performances in RF, the identification of a unique signature surpassing all others in various conditions or cohorts remains unlikely. Additionally, such algorithms limit information redundancy when selecting signature genes, thus hindering the detection of significantly enriched GO terms in signature gene lists.

We compared the predictive performances of our signatures to those of randomlygenerated signatures or single gene predictors. RF-based signatures were consistently more efficient at classifying NASH vs NoNASH patients from independent cohorts. A single gene predictor could perform better than a signature for a given dataset, but not as efficiently on other cohorts. For example, *FAT1*, a gene regulating cell-cell contact, which was highly predictive of NASH in male patients from the HUL cohort, turned out to be inefficient in the UKD cohort (Supp. Figure 12). Thus signatures are required to extrapolate classification performances to other cohorts by reducing prediction variability of single gene predictors. Among the tested 3 signatures elaborated from G_{women} , G_{men} and G_{all} , S_{women} was the more robust with a limited size (n=20). Reason(s) for this better performance are yet unclear.

The prognostic performance of signatures was improved in the UZA cohort when stratifying patients according to the fibrosis grade. In line with this, predictivity of signatures on the fibrosis-stratified DU cohort was in the highest range, suggesting that our analysis integrates features of the fibrotic response, which is clinically relevant when considering long term outcomes (7, 8). Interestingly, some genes constituting the NASH signatures were also identified when defining a cross-species transcriptomic signature of fibrosis (12). Indeed, 12 out of 34 (35%) genes constituting this fibrotic signature (12) were identified as strongly up-regulated (abs. log₂FC>1.5) in the bootstrapped Limma analysis (Supp. Table 3) and 6 of them are common to both the cross-species fibrotic signature and NASH signatures reported here (Supp. Table 5). These genes are UBD/FAT10, CCDN1/cyclinD1, FAT1,SPP1/osteopontin, ZMAT3 and FABP4/aP2.

Machine learning approaches like RF extract information and outperform linear approaches. They identified signatures in the HUL cohort that reached AUROCs in the 0.62-0.93 range when diagnosing independent cohorts, therefore being comparable to, or better than other NASH signatures based on lipidomic (44, 45) or combining multiple proteomic, biometric and genomic characteristics (46). Importantly, our study clearly points to sex as an often-neglected (22), but nevertheless important factor in liver and NASH biology (42). Indeed, liver physiopathological responses to various challenges are sex-dependent in rodents (47-

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49). Although the translatability of these findings to our human-based analysis is not straightforward, all these data converge towards a definition of human NASH as a sexually dimorphic disease. This is a potentially important and relevant finding in terms of biomarker research (as for example YKL-40/*CHI3L1* is included in a biomarker panel in development), as well as for risk stratification and pharmacological therapy. Liver pathophysiology displays gender-linked disparities, suggesting that liver-targeted drugs may exhibit distinct mechanisms of action in men and women. In this respect, we note that the recent randomized Phase II clinical trial evaluating the effect of cenicriviroc (a dual CCR2-5 antagonist) in the treatment of NASH with fibrosis, resulted in positive effect in men, but not in women, on the improvement of fibrosis after 1 year (50). Although a limitation of our work is the comparison of a rather small NASH population to a larger non-NASH cohort, our data and others call for a careful design of pre-clinical and clinical studies integrating sex as a major determinant of liver responses.

Author's contributions

CG and BD performed experiments and collected data; JV, JDC, JE and PL analyzed data; JV and PL wrote the manuscript; DT provided expertise in statistical analysis; LVG, SF, VR and FP constituted and managed UZA and HUL cohorts, and collected biological data and samples; JV, BS and PL coordinated the study; JV, JDC, BS, SF, JE and PL revised the manuscript.

Competing interests

The authors declare that they have no competing interests.

Availability of data

The transcriptomic datasets generated during and/or analyzed during the current study are available in the NCBI GEO repository. Clinical data that support the findings of this study are available from the corresponding author upon reasonable request.

FIGURES LEGENDS

Figure 1: *HUL cohort analysis*. The main steps of the HUL cohort transcriptomic analysis, stratification and bioinformatic analysis are indicated, as well as the steps during which definition and validation of proposed sex-specific NASH signatures were undertaken. Details can be found in the Methods and in the Results sections. sscDNA: single stranded complementary DNA; QC: quality control;

Figure 2: *Insulin sensitivity and* β *-cell function in the HUL cohort.* The proportion in the HUL cohort of insulin-resistant (HOMA-IR index>2.4, in red) and non insulin-resistant (HOMA-IR index \leq 2.4, in blue) patients amongst HL (n=78), NAFL (n=274) and NASH (n=68) groups is displayed as a function of sex. HL: healthy liver, NAFL: steatotic liver.

Figure 3: *Analysis of variance (ANOVA).* F-ratio values of factors included in the Limma model were calculated. High F-ratio values indicate a strong linear relationship between a given factor and gene expression values. Interaction term between factors A and B are indicated as an A*B annotation. Factors were selected on the basis of published reports.

Figure 4: Instability of the Limma-based determination of DE genes. The number of DEGs between NoNASH and NASH patients (FDR < 10%) for (A) men, (B) women was assessed after 100 subsamplings (rate = 0.9) of the learning cohort followed Limma analysis. Mean DEG number is represented by a black dotted line

Figure 5: *Identification of reliable DE genes.* The absolute log_2FC of DEGs was computed for the men learning cohort (\mathcal{G}_{men} , n=85). Each significantly DEG (FDR<10%) is represented by a red dot. Gene reliability is established by the number of bootstrap runs for which the gene remains significantly DE (75%). Blue dots represent the mean absolute log_2FC for a given bootstrap run count. Dashed line: FC=1.5; dotted line: occurrence=75. The grey-shaded area includes reliable DEGs (FC>1.5) with occurrences \geq 75. B) Number of reliably identified DEGs between NoNASH and NASH groups [men (blue), women (red) and all patients (yellow)].

Figure 6: *Random forest models.* (A, B) Classification power (AUC) of RF models. RF were trained with a progressively reduced number of genes to identify an optimal subset of genes corresponding to the proposed signature, for men and women, established by the second step

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of RFE strategy. Maximal AUC is indicated by a vertical dotted red line. (C) Number of genes composing men (blue), women (red) and all patients (yellow) RF-based signatures.

Figure 7: *Principal component analysis.* A PCA was run using gene expression values from women patients included in learning cohort based on: (A) all genes expression values or (B) S_{women} genes. The percentage of the global data variance explained by each component is indicated by X and Y axis labels (%var.). Each dot represents a patient [NoNASH (blue) or NASH (yellow)].

Figure 8: *AUC values of signatures and single gene predictors.* (A,B) AUC distribution of RF models to predict women (left) and men (right) of the learning cohort in a cross-validation scheme. RF models learnt using respectively S_{women} and S_{men} (red) were compared in each plot to RF models learnt using random signatures built from G_{women} (khaki), G_{men} (green), G_{all} (blue) and the full list of available genes (purple). Distribution means are represented as vertical dashed lines. (C,D) AUC of single gene predictors to predict NASH status of women (left) and men (right) patients of the learning cohort for each gene composing corresponding signatures (S_{women} and S_{men}). Mean AUC reached by RF models learnt from corresponding signature in a cross-validation scheme are represented through a red horizontal dashed line.

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Author names in bold indicate shared co-first authorship.







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59 60



148x150mm (600 x 600 DPI)

Hepatology







Hepatology







142x256mm (600 x 600 DPI)



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Characteristics	HL n=118	HL NAFL n=118 n=431	
Biometric parameters			
Women (n; %)	110; 85%	300; 72%	44; 60%
Age (mean±sd)	35.6±11	42.1±11	47.2±10
BMI (mean±sd)	46.2±7	47.6±8	47.5±8
Body mass (kg)(mean±sd)	128.4±23	134.3±26	135.3±27
Liver histology			
Steatosis grade (n; %) 0	118; 100%	0; 0%	0; 0%
1	0; 0%	310; 72%	18; 25%
2	0; 0%	86; 20%	28; 40%
3	0; 0%	35; 8%	25; 35%
Lobular inflammation (n; %) 0	118; 100%	431; 100%	0; 0%
1	0; 0%	0; 0%	50; 70%
2	0; 0%	0; 0%	21; 30%
Ballooning (n; %) 0	118; 100%	431; 100%	0; 0%
1	0; 0%	0; 0%	50; 70%
2	0; 0%	0; 0%	21; 30%
Fibrosis (Kleiner) (n; %) 0	107; 87%	321; 74%	9; 12%
1a	4; 2%	21; 3%	9; 12%
1b	2; 1%	17; 1%	9; 12%
10	5; 4%	44; 9%	4; 5%
2	0; 0%	13; 3%	12; 16%
3q	0; 0%	10; 2%	13; 18%
3s	0; 0%	4; 1%	13; 18%
4	0; 0%	0; 0%	5; 7%
Liver functions			
AST (IU/L)(median; IQR)	21; 9	23; 9	38; 23
ALT (IU/L)(median; IQR)	20; 11	27; 16	47; 31
GGT (IU/L)(median; IQR)	25; 21	30 ;27	57; 44
Metabolic parameters			
Diabetes (n; %)	20; 16%	145; 35%	63; 86%
Treated diabetes (n; %)	16; 12%	121; 29%	56; 77%
Fasting blood glucose (mM)(mean±sd)	5.4±0.9	6.4±2.4	9.2±3.3
Fasting insulin (IU/mL)(median; IQR)	12.2; 8.3	13.7; 11.3	23.5; 25.8
HbA1c (%)(median; IQR)	5.5; 0.5	5.9; 0.9	7.8; 3.6
HOMA-IR (median; IQR)	2.9; 2.2	3.5; 3.2	9.3; 10.9
I otal cholesterol (mmol/L)(mean±sd)	4.9±1.0	5.0±1.0	4.8±1.0
LDL cholesterol (mmol/L)(mean±sd)	3.1±0.9	3.1±0.8	2.8±0.9
HDL cholesterol (mmol/L)(mean±sd)	1.2±0.2	1.1±0.3	1.1±0.2
Inglycendes (mmol/L)(mean±sd)	1.3±0.5	1.7±1.7	2.1±1.0
Utners		/	
Diastolic blood pressure (mmHg)(mean±sd)	/2.6±14	//.1±14	/6.6±13
Systolic blood pressure (mmHg)(mean±sd)	130.3±15	137.1±19	139.2±19

Table 1. Characteristics of NASH/NoNASH 620 patients from the HUL cohort.

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	N	len	Wo	men
	NoNASH	NoNASH NASH		NASH
Population size	62	23	62	23
Age	43.7±12	48.5±9.0	43.2±12	47.3±10
BMI	47.9±7.4	46.5±6.7	48.8±7.0	46.8±5.6
HOMA-IR	7.79±11	28.7±56	5.69±7.8	26.6±69
HbA1c	6.46±1.6	8.35±1.9***	6.03±0.8	7.92±1.9***
Steatosis grade	1.23±0.7	2.17±0.8***	1.16±0.8	2.04±0.7***
Lob. Inflammation	0	1.17±0.4***	0	1.43±0.5***
Ballooning	0	1.26±0.4***	0	1.13±0.3***
NAS score	1.23±0.7	4.61±0.9***	1.16±0.8	4.61±0.8***
Fibrosis score	0.52±0.9	1.52±1.1***	0.29±0.6	1.61±1.1***
ASAT (IU/L)	29.7±11	42.5±17***	22.2±7.4 ^{\$\$\$}	40.7±21***
ALAT (IU/L)	42.5±23	57.8±27**	24.7±9.7 ^{\$\$\$}	48.6±25***

Table 2: Main biometric and biochemical parameters of the learning cohort. Mean ± standard deviation of clinical parameters for each patient category are indicated. (BMI: Body Mass Index; HOMA-IR: HOmeostasis Model Assessment of Insulin Resistance; HbA1c: glycated hemoglobin; NAS: NAFLD Activity Score; ALAT: Alanine transaminase; ASAT: Aspartate transaminase). NoNASH vs NASH comparison: **, p<0.05; ***, p<0.01. Women vs men comparison: ^{\$\$\$\$}, p<0.01

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Gene set	9 _{men}	(1325 genes)	Gwomen	men (55 genes) <i>gall</i> (1868 gene		(1868 genes)
GO terms	Rank	p-value	Rank	p-value	Rank	p-value
Cell-cell adhesion	1	2.9x10 ^{-9***}	Ø	Ø	2	5.7x10 ^{-11***}
ATP hydrolysis coupled proton transport	2	4.3x10 ^{-7***}	Ø	Ø	ø	Ø
ER to Golgi vesicle-mediated transport	3	5.9x10 ^{-7***}	Ø	Ø	130	1.0x10 ⁻²
Reg. of cellular amino acid metabolic process	4	6.0x10 ^{-7***}	ø	Ø	ø	Ø
Transferrin transport	5	1.3x10 ^{-6**}	ø	Ø	ø	Ø
Negative regulation of apoptotic process	6	2.2x10 ^{-6**}	ø	Ø	33	1.7x10 ^{-4*}
Tumor necrosis factor-mediated signaling pathway	7	2.4x10 ^{-6**}	ø	Ø	38	3.5x10⁻⁴*
Regulation of macroautophagy	8	3.2x10 ^{-6**}	Ø	Ø	ø	Ø
T cell receptor signaling pathway	9	4.1x10 ^{-6**}	Ø	Ø	31	1.5x10 ^{-4*}
NIK/NF-kappa-B signaling	10	4.3x10 ^{-6**}	Ø	Ø	102	5.9x10⁻³
G1/S transition of mitotic cell cycle	Ø	Ø	1	1.6x10 ^{-4*}	17	1.1x10 ^{-5**}
Response to organonitrogen compound	Ø	Ø	2	6.3x10-4	ø	Ø
Cell adhesion	50	1.1x10 ^{-3*}	3	1.3x10 ⁻³	5	4.2x10 ^{-8***}
Triglyceride catabolic process	Ø	Ø	4	2.0x10⁻³	ø	Ø
Negative reg. of G1/S transition of mitotic cell cycle	Ø	Ø	5	2.0x10 ⁻³	172	2.2x10 ⁻²
Liver regeneration	111	1.0x10 ⁻²	6	2.7x10 ⁻³	81	3.5x10⁻³
Response to drug	93	6.1x10 ⁻³	7	8.8x10 ⁻³	110	6.9x10 ⁻³
Cellular response to hydrogen peroxide	174	3.3x10 ⁻²	8	1.0x10 ⁻²	210	3.1x10 ⁻²
Intestinal epithelial cell maturation	161	2.4x10 ⁻²	9	1.1x10 ⁻²	241	4.4x10 ⁻²
Aggresome assembly	Ø	Ø	10	1.3x10 ⁻²	ø	Ø
Movement of cell or subcellular component	23	4.0x10 ^{-5**}	ø	Ø	1	3.7x10 ^{-11***}
Cell-cell adhesion	1	2.9x10 ^{-9***}	Ø	Ø	2	5.7x10 ^{-11***}
Leukocyte migration	30	1.4x10 ^{-4*}	Ø	Ø	3	1.0x10 ^{-10***}
Fc-gamma receptor signal. Pathway in phagocytosis	36	2.4x10 ^{-4*}	Ø	Ø	4	5.6x10 ^{-9***}
Cell adhesion	50	1.1x10 ^{-3*}	3	1.3x10 ⁻³	5	4.2x10 ^{-8***}
Actin cytoskeleton organization	17	1.3x10 ^{-5**}	Ø	Ø	6	1.3x10 ^{-7***}
Leukocyte cell-cell adhesion	29	1.4x10 ^{-4*}	Ø	Ø	7	5.2x10 ^{-7***}
Regulation of cell shape	86	5.0x10-3	Ø	Ø	8	8.1x10 ^{-7***}
Inflammatory response	169	2.8x10 ⁻²	Ø	Ø	6	8.5x10 ^{-7***}
Extracellular matrix organization	55	1.2x10 ^{-3*}	Ø	Ø	10	8.7x10 ^{-7***}

Table 3: *Biological term enrichment.* Top 10 enriched gene ontology terms for reliable DEGs in NASH vs NoNASH men, women and all patients sub-cohorts. P-values and Benjamini-Hochberg FDR were computed using DAVID and the Biological Process Direct GO terms database, enrichments were ranked following p-values. Enrichments with corresponding FDR < 10%, 1% and 0.1% are tagged with *, ** and *** respectively.

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Prediction of:	Women			Men			All		
by :	\mathcal{S}_{women}	${\cal S}_{men}$	${\cal S}_{all}$	\mathcal{S}_{women}	${\cal S}_{men}$	${\cal S}_{all}$	$\boldsymbol{\mathcal{S}}_{women}$	${\cal S}_{men}$	\mathcal{S}_{all}
HUL	0.86	0.84	0.87	0.87	0.87	0.93	0.88	0.84	0.88
UZA	0.73	0.62	0.70	0.76	0.67	0.76	0.75	0.63	0.71
UZAHigh Fib.	0.82	0.69	0.78	0.83	0.71	0.84	0.82	0.69	0.79
UKD	0.90	0.87	0.93	0.83	0.83	0.83	0.89	0.88	0.91
DU	Ø	Ø	Ø	Ø	Ø	Ø	0.80	0.89	0.87

Table 4: *AUC of RF models.* The ability of signatures to classify women, men and all patients of HUL, UZA, UZAHigh Fib. and UKD cohorts, and all patients of DU cohort as NASH or NoNASH was evaluated. For each classified population, RF models were learnt from S_{women} , S_{men} and S_{all} . A color gradient is used from low AUC (in red) to high AUC (in green) values.

יישי. איזעיני (in red) to high AUני

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Signature	S _{men}		Swomen		S _{all}	
Clinical parameter	Correlation	p-value	Correlation	p-value	Correlation	p-value
Age	0.275	3.3x10 ^{-4***}	0.153	1.1x10 ^{-3**}	0.21	1.3x10 ^{-7***}
BMI	0.002	9.7x10⁻¹	0.110	1.9x10 ⁻²	0.065	1.0x10 ⁻¹
LDL-C	-0.205	8.6x10 ⁻³	-0.069	1.4x10 ⁻¹	-0.098	1.5x10 ⁻²
HDL-C	-0.084	2.8x10 ⁻¹	-0.078	9.7x10 ⁻²	-0.077	5.7x10 ⁻²
Triglycerides	0.243	1.6x10 ^{-3**}	0.205	1.1x10 ^{-5***}	0.272	5.7x10 ^{-12***}
HbA1c	0.471	1.9x10 ^{-10***}	0.279	1.5x10 ^{-9***}	0.353	1.4x10 ^{-19***}
HOMA-IR	0.423	1.8x10 ^{-8***}	0.307	3.2x10 ^{-11***}	0.294	1.3x10 ^{-13***}
ASAT	0.253	1.0x10 ^{-3**}	0.312	1.2x10 ^{-11***}	0.322	2.5x10 ^{-16***}
ALAT	0.170	2.9x10 ⁻²	0.352	1.1x10 ^{-14***}	0.306	7.2x10 ^{-15***}

Table 5: Correlation analysis. Correlation between prediction of RF models learnt from reference signatures on the learning cohort and clinical parameters of the HUL cohort. Spearman correlation coefficient and corresponding p-value were computed in R. Bonferroni correction was applied to deal with multiple comparisons situation, p-values with corresponding FWER < 10%, 1% and 0.1% are tagged with *, ** and *** respectively.