



## Deconditioning, fatigue and impaired quality of life in long-term survivors after allogeneic hematopoietic stem cell transplantation

Stéphanie Dirou, Arnaud Chambellan, Patrice Chevallier, Patrick Germaud, Guillaume Lamirault, Pierre-Antoine Gourraud, Bastien Perrot, Béatrice Delasalle, Bastien Forestier, Thierry Guillaume, et al.

### ► To cite this version:

Stéphanie Dirou, Arnaud Chambellan, Patrice Chevallier, Patrick Germaud, Guillaume Lamirault, et al.. Deconditioning, fatigue and impaired quality of life in long-term survivors after allogeneic hematopoietic stem cell transplantation: Altered exercise capacity in allo-HSCT survivors. Bone Marrow Transplantation, 2017, Epub ahead of print. 10.1038/s41409-017-0057-5 . inserm-01684314

**HAL Id: inserm-01684314**

**<https://inserm.hal.science/inserm-01684314>**

Submitted on 15 Jan 2018

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

**Deconditioning, fatigue and impaired quality of life in long-term survivors**  
**after allogeneic hematopoietic stem cell transplantation**

**Running head:** Altered exercise capacity in allo-HSCT survivors

Stéphanie Dirou<sup>1</sup>, Arnaud Chambellan<sup>2</sup>, Patrice Chevallier<sup>3</sup>, Patrick Germaud<sup>4</sup>, Guillaume Lamirault<sup>5</sup>,  
Pierre-Antoine Gourraud<sup>6,7</sup>, Bastien Perrot<sup>8</sup>, Béatrice Delasalle<sup>5</sup>, Bastien Forestier<sup>9</sup>, Thierry Guillaume<sup>10</sup>,  
Pierre Peterlin<sup>10</sup>, Alice Garnier<sup>10</sup>, Antoine Magnan<sup>5</sup>, François-Xavier Blanc<sup>5</sup>,  
Patricia Lemarchand<sup>5</sup>

<sup>1</sup> l'institut du thorax, UNIV Nantes, CHU Nantes, Nantes 44000, France

<sup>2</sup> Laboratory "Movement, Interactions, Performance", UNIV Nantes, CHU Nantes, Nantes 44000, France

<sup>3</sup> Hematology department, Inserm UMR U892, CHU Nantes, Nantes 44000, France

<sup>4</sup> l'institut du thorax, CHU Nantes, Nantes 44000, France

<sup>5</sup> l'institut du thorax, INSERM, CNRS, UNIV Nantes, CHU Nantes, Nantes 44000, France

<sup>6</sup> Equipe ATIP-Avenir, INSERM, UNIV Nantes, CHU Nantes, Nantes 44000, France

<sup>7</sup> Department of Neurology, School of Medicine, University of California San Francisco, San Francisco,  
CA 94158, USA

<sup>8</sup> Plateforme de biométrie, CHU Nantes, Nantes 44000, France

<sup>9</sup> UNIV Nantes, CHU Nantes, Nantes 44000, France

<sup>10</sup> Hematology department, CHU Nantes, Nantes 44000, France

**Corresponding author:** Stéphanie DIROU

l'institut du thorax – Service de Pneumologie

CHU Nantes - Hôpital Nord Laënnec

Boulevard Jacques-Monod, Saint-Herblain

44093 Nantes Cedex 1

Tel: +33 (0)2 40 16 52 36 / Fax: +33 (0)2 40 16 52 61

[stephanie.dirou@chu-nantes.fr](mailto:stephanie.dirou@chu-nantes.fr)

The authors declare no conflict of interest.

## Abstract

Long-term survivors after allogeneic hematopoietic stem cell transplantation (allo-HSCT) are at high risk for treatment-related adverse events, that may worsen physical capacity and may induce fatigue and disability.

The aims of this prospective study were to evaluate exercise capacity in allotransplant survivors and its relationship with fatigue and disability. Patient-reported outcomes and exercise capacity were evaluated in 71 non-relapse patients one year after allo-HSCT, using validated questionnaires, cardiopulmonary exercise testing (CPET) with measure of peak oxygen uptake (peakVO<sub>2</sub>) and deconditioning, pulmonary function testing, echocardiography and 6-minute walk test.

A high proportion (75.4%) of allo-HSCT survivors showed abnormal cardiopulmonary exercise testing parameters as compared to predicted normal values, including 49.3% patients who exhibited moderate to severe impairment in exercise capacity and 37.7% patients with physical deconditioning. PeakVO<sub>2</sub> values were not accurately predicted by 6-minute walk distances ( $r = 0.53$ ). Disability and fatigue were strongly associated with decreased peakVO<sub>2</sub> values ( $p = 0.002$  and  $p = 0.008$ , respectively).

Exercise capacity was reduced in most allo-HSCT long-term survivors. Because reduced exercise capacity was associated with fatigue, disability and a decrease in quality of life, cardiopulmonary exercise testing should be performed in every patient who reports fatigue and disability.

## INTRODUCTION

Continuous progress in conditioning regimen and transplantation process in allogeneic hematopoietic stem cell transplantation (allo-HSCT) translates into an increasing population of long-term survivors who are at high risk of treatment-related late adverse events<sup>1</sup>. These events impact directly cardiopulmonary and musculoskeletal functions and may worsen physical capacity and reduce quality of life.<sup>2-4</sup> The need for cardiorespiratory fitness evaluation is becoming increasingly important, since physical activity has been highlighted as a modifiable non-pharmacological factor that may improve the length and quality of life among cancer survivors,<sup>5-8</sup> including haematological patients.<sup>9-15</sup>

Cardiopulmonary exercise testing (CPET) is the most accurate tool to evaluate cardiorespiratory fitness, to identify the main factors limiting exercise tolerance, and to set up an individual rehabilitation program with exercise targets if needed. Currently, CPET is not performed in the follow-up of allotransplants survivors in contrast to lung function tests and echocardiography, two tests performed at rest. A few studies analysed CPET findings on small numbers of allo-HSCT survivors (inferior to 20 patients) in the limited context of exercise intervention benefits.<sup>11, 12</sup> In auto-HSCT survivors, two studies evaluated cardiorespiratory fitness by CPET, including Stenehjem et al. who reported that 22% of patients from a 200-patient cohort had an impaired exercise capacity at 10 years post transplantation.<sup>16, 17</sup> Alternatively, physical function in chronic diseases such as chronic obstructive pulmonary disease (COPD) or heart failure is often assessed by submaximum exercise-testing such as the 6-minute walk test (6MWT), one of the most common field-tests used in the follow-up of such patients. However, the 6MWT only gives an extrapolation of cardiorespiratory fitness from submaximum exercise<sup>18</sup> and no data are available in the allotransplant setting.

In this prospective study we performed a full assessment of exercise capacity and quality of life (QOL) at one year in non-relapse allotransplanted patients. The aims of the present study were to evaluate the proportion of allo-HSCT survivors with impaired exercise capacity and the relationships between fatigue, disability and exercise capacity and to determine whether an simpler test such as 6MWT can substitute CPET in assessment of exercise capacity.

## **Materials and Methods**

### **Study design**

This was a single arm prospective and monocentric cohort study conducted at CHU of Nantes. As such, all consecutive adults ( $\geq 18$  years old) receiving an allo-HSCT and alive one-year after transplant without relapse were included, for a 2-year period of inclusion. All tests were performed during a 1-day standard exploration at 1 year post transplantation. Furthermore, retrospective data were collected on the total of patients who underwent allo-HSCT one year before and after the beginning of inclusion. In those patients, death, relapse, hospitalisation at the time of 1-year evaluation, and one-year post-transplant check-up in other hospital were also collected between allo-HSCT and one-year post allo-HSCT. The study was approved by the Ethics Committee of Nantes, France (REF: 2013-12-08) and all patients provided written informed consent.

### **Quality of life**

Various self-administered questionnaires were used : (i) the Medical Outcomes Study Short Form 36 (SF-36)<sup>19</sup>, a 36 items generic multidimensional quality of life measure including Physical Functioning (PF), role physical (RP), Component Summary (PCS) and Mental Component Summary (MCS) scales allowing to compare scores with those observed for the general population,<sup>20</sup> (ii) the Hospital Anxiety and Depression scale (HAD), a score  $\geq 11$  defining patients suffering from anxiety or depression<sup>21</sup> and (iii) the St. George's Respiratory Questionnaire (SGRQ).<sup>22</sup>

Disability was self-reported by patients with a simple binary question: "In the daily life, do you experience disability?". We observed in the first enrolled patients that some of them reported "fatigue" as an important and persistent symptom in their daily life. The SF-36 provided self-report measurement of physical and mental health, but was not an effective tool for evaluating the "fatigue" symptom because of the lack of specific questions. Fatigue was then evaluated by a simple numeric rating scale (NRS) from 0 to 10, and defined as  $NRS \geq 5$ .<sup>23, 24</sup>

### **Physical activity and exercise capacity measurements**

To assess physical activity before, during and after (including at the time of the present study) transplant, bicycle ergometer practice in the sterile unit and reported one-time per week exercises were considered. Patients were required to retrospectively provide approximately the average duration in minutes (min) of physical activities for each period of time. Patients were then classified according to whether they had performed exercise less or more than 150 min per week, according to international exercise guidelines.<sup>25</sup> The CPET was performed using the Ergocard® (Hyp'air, Medisoft, Sorinnes, Belgium) and the electronically braked cycle ergometer Ergoselect (ergoline GmbH, Bitz, Germany), with a 12-lead electrocardiogram (ECG) and blood pressure monitoring. The protocol included a 3-min rest period, a 3-min warm-up of unloaded pedalling followed by a 5-20W/min incremental phase, up to exhaustion.<sup>26</sup> Dyspnea and leg fatigue intensity were assessed with the Borg scale every 2-min up to exhaustion.<sup>27</sup> This includes the maximal or peak oxygen uptake (peakVO<sub>2</sub>), the ventilatory threshold (VT), the VO<sub>2</sub> at VT (VO<sub>2</sub>-VT), and the subjective perception of exertion. Peak aerobic exercise capacity (peakVO<sub>2</sub>) was expressed in mL/min/kg and percentage of the predicted normal value (PNV).<sup>28</sup> Normal, mild, moderate or severe impaired exercise capacity were defined, respectively, as a peakVO<sub>2</sub> percentage > 80%, between 71 and 79%, between 51 and 70% or ≤ 50% of the predicted normal value.<sup>28</sup> A combined approach was used to calculate VT, based on the identification of the inflection point during the incremental exercise: i) of the respiratory equivalent for oxygen (VE/VO<sub>2</sub>) curve with time, ii) of the minute-ventilation (VE) curve with time, or iii) the VO<sub>2</sub>-VCO<sub>2</sub> relationship. The 9-panel graphical representation of Wasserman et al. was also used to optimize the VT position.<sup>29</sup> Deconditioning was defined by VT < 40% predicted VO<sub>2</sub>max.

The 6MWT was performed according to the ERS/ATS guidelines.<sup>30, 31</sup> Patients were required to walk as fast as possible, without running, and to cover the longest possible distance during 6 minutes under the supervision of a certified physiotherapist (BlueNight Oximeter®). The 6MWD was expressed in meters and as a percentage of the predicted normal value for age and gender, according to Enright equation.<sup>32</sup>

#### **Pulmonary and cardiac function at rest**

127 **All pulmonary function tests** were performed in the Pulmonary Function Lab according to  
128 recommendations.<sup>33</sup> Transthoracic echocardiography was performed according to as standardized  
129 protocol (see Supplementary Material).

### 130 **Statistical Analysis**

131 To evaluate the association between exercise capacity and 6MWD, a linear regression model was used to  
132 correlate and predict peakVO<sub>2</sub> from the 6MWD. Residuals of the linear regression were analysed to  
133 evaluate departure between observed peakVO<sub>2</sub> and predicted peakVO<sub>2</sub> from 6MWD data. Differences  
134 between observed and predicted peakVO<sub>2</sub> were represented using histograms. All statistical analyses were  
135 performed using R statistical software.<sup>34</sup>

136 Continuous data were presented using mean  $\pm$  standard deviation and with percentile p50 (p25-p75) when  
137 appropriate, categorical data were presented using raw counts and percentages. Association between  
138 categorical variables was expressed using odds ratios (ORs), with 95% confidence interval. The statistical  
139 significance of comparison between continuous variables (exercise capacity, quality of life score) was  
140 assessed using Mann Withney (2 groups) or Kruskal Wallis tests (more than 2 groups).

141 The statistical significance of association between variables was assessed using chi-square test, t-test  
142 (after exclusion of non-normal distribution) and Fisher's exact test when appropriate. Results were  
143 graphically illustrated using boxplots and spine plots. p-values were two-sided and reported without  
144 correction for multiple hypothesis testing. In a second step, major risk factors for post allo-HCST  
145 complications (including age, gender, conditioning intensity, GVHD presence) were introduced into a  
146 multivariate logistic regression analysis for prediction of peakVO<sub>2</sub> results (odds ratio with 95% CI). The  
147 threshold for statistical significance was defined as  $p < 0.05$ .

148

## RESULTS

### Patients

The study started in May 2012. Although a total of 153 patients underwent an allo-HSCT during the two following years, only 71 cases (46.4%) were enrolled in the present study. The reasons for exclusion at one year post-transplant were as follows: death (n = 55, 36%), relapse (n = 8, 5%), hospitalisation at the time of 1-year evaluation (n = 6, 4%) and one-year post-transplant check-up in other hospital (n = 13, 8%) (**Supplementary Figure S1**). Demographic and transplant characteristics of the 71 allo-HSCT one-year survivors are summarized in **Table 1**. Similar characteristics were shared by the 13 patients who underwent 1-year evaluation outside of our department (data not shown). Mean interval between allo-HSCT and one-year post-transplant evaluation was 14 months (range, 11-18 months). At this time, 14 (20%) patients were still under corticosteroid medication for GVHD treatment.

Lung function tests were normal in most patients (83%) and in the 69 patients who underwent echocardiography, results were normal with a mean LVEF of  $64 \pm 5.7\%$  (**Table 2**). One patient out of 4 with obstructive lung function and 2/8 patients with restrictive lung defect were on steroid.

### Self-reported symptoms and quality of life

Among the 71 patients, 26 (36.6%) reported disability at one year after allo-HSCT (**Table 2**). Importantly, 18/53 (34%) patients reported fatigue at one year post allo-HSCT. Interestingly, most patients with fatigue presented also disability (n = 11/18, 61%).

In QOL evaluation using self-administered questionnaires, scores related to physical health were the most impaired ones as compared to scores in the general population. Role limitations due to physical health were experienced by 62.3% of the patients (**Table 2**). Furthermore, PCS score (that represents the mean average of all of the physically relevant questions) was significantly lower than the general population in 69.2% of the patients ( $44.73 \pm 8.32$  vs  $50.11 \pm 5.79$ ,  $p < 0.001$ ). Finally, a lower general health perception was reported by 65.7% of the patients, showing a frequent alteration in QOL (**Table 2**). The MCS score was not significantly different from the general population ( $48.50 \pm 10.39$  vs  $48.14 \pm 6.66$ ,  $p = 0.78$ ), suggesting that impaired QOL was more related to physical impairment than mental health deficit. These



results were consistent with the low rate of patients reporting anxiety or depression on the HAD scale (**Table 2**, score > 11, n = 13/71 (18.3%)). No significant relationships were observed between peakVO<sub>2</sub> and SGRQ (data not shown), suggesting that this questionnaire is not relevant to detect patients with exercise capacity impairment.

### **Exercise capacity measurements**

Despite normal lung function tests and echocardiography findings in most patients, only 17 (24.6%) had a normal exercise capacity on CPET and 52 (75.4%) and impaired exercise capacity (**Table 2**), while nearly half of the studied population (n = 34, 49.3%) showed moderate to severe impairment in exercise capacity, as defined by a peakVO<sub>2</sub> inferior to 70%pred. Importantly, deconditioning, defined by a ventilatory threshold < 40% predicted VO<sub>2</sub>max, affected 26 patients (37.7% of the population).

Neither peakVO<sub>2</sub> nor deconditioning condition was associated with DLCO, conditioning regimen, chronic GVHD, or corticosteroid treatment (data not shown). An exploratory multivariate analysis including major risk factors for post allo-HSCT complications (age, gender, conditioning intensity, GVHD) did not predict peakVO<sub>2</sub> results (p = 0.4).

In parallel, mean 6MWD was 470.4 ± 85m (83 ± 16%pred). Interestingly, in 26 (37.7%) patients, the 6MWD was inferior to 80%pred (**Table 2**), a similar proportion to that of patients with impaired cardiorespiratory exercise capacity. However, only 19 patients presented both impaired cardiorespiratory exercise capacity and impaired 6MWD.

### **Relationship between peakVO<sub>2</sub> and 6MWD**

There was a significant correlation between 6MWD and peakVO<sub>2</sub> (Pearson's coefficient correlation = 0.53, p = 3.95.10<sup>-6</sup>), the regression slope indicating that, on average, a 100m increase of the 6MWD performance was associated with a 4.0 ml/kg/min (95%CI: 2.4ml/kg/min; 5.5ml/kg/min) peakVO<sub>2</sub> increase (**Figure 1A**). However, peakVO<sub>2</sub> values were not accurately predicted when based on the sole 6MWD (**Figure 1B**), as shown by the histogram of residuals of the linear regression (observed value minus predicted value): 14 patients (20.9%) had a predicted peakVO<sub>2</sub> overestimated and 17 patients

(25.4%) had a predicted peakVO<sub>2</sub> underestimated. These data suggest that 6MWD is not a relevant marker to detect exercise capacity impairment in patients conversely to peakVO<sub>2</sub>.

### **Relationship between self-reported symptoms and exercise capacity impairment**

Patients with disability or fatigue showed overall lower exercise capacity compared to other patients, with a mean peakVO<sub>2</sub> of  $64 \pm 18\%$  pred. vs  $75 \pm 18\%$  pred. ( $p = 0.01$ ) and  $66 \pm 16\%$  vs  $79 \pm 19\%$  pred. ( $p = 0.02$ ), respectively (**Supplementary Figure S2**). This result was consistent with results when patients were classified according to the degree of impairment of exercise capacity. Patients with disability had moderate to severe alteration of exercise capacity in 75%, vs a proportion of 35,6% patients with moderate to severe alteration of exercise capacity in patients that did not report disability (OR = 5.29,  $p = 0.002$ , **Figure 2A**). Patients with fatigue presented more frequently with moderate to severe alteration of exercise capacity : 66.7%, vs 27.3% patients without fatigue (OR = 5.14,  $p = 0.009$ , **Figure 2B**). Fatigue but not disability was also significantly associated with deconditioning (patients with fatigue and deconditioning: 55.5% vs without deconditioning: 21.1% (OR = 4.49,  $p = 0.028$ , **Figure 2C & 2D**)). These data suggest that patients with disability or fatigue are at risk of moderate to severe exercise capacity impairment and deconditioning. Finally, patients who reported fatigue or disability did not exhibit any greater alteration of 6MWD as compared to patients who did not report disability or fatigue (**Supplementary Figure S3**). Patients with severe alteration of exercise capacity (according to peakVO<sub>2</sub> value) showed significant impaired physical well-being when considering PF and PCS scores ( $p < 0.05$ , **Figures 3A & 3B**). No significant relationships were observed between peakVO<sub>2</sub> and MCS or HAD scores (data not shown).

### **Relationship between physical activity and exercise capacity impairment**

Physical activities were reported by 44, 39 and 43 patients before, during and after transplant, respectively. This includes 9/69 cases with  $\geq 150$ min/week of moderate to vigorous intensity exercise. During hospitalisation, the median duration of physical activity on bicycle ergometer was  $17.9 \pm 14.9$  min/day for a median of  $15.4 \pm 13.4$  days.

225 Physical activity before transplant was not associated with a better exercise capacity at 1 year post allo-  
226 HSCT ( $p = 0.2$ , **Figure 4A**). Conversely, patients with physical activities during (**Figure 4B**) or after  
227 hospitalisation (including at 1-year evaluation) were documented with significantly better exercise  
228 capacity at 1 year, as evaluated by peakVO<sub>2</sub> ( $p = 0.02$ , and  $p = 0.008$ , respectively). Statistical  
229 significance was reached whatever the duration of exercise activity ( $<$  or  $\geq 150$  min/week) during  
230 hospitalisation, but only in case of fulfillment of the recommendations about physical exercise (moderate  
231 or vigorous intensity,  $\geq 150$ min/week) after hospitalisation (data not shown).

232

233

## DISCUSSION

### **Impaired exercise capacity at 1 year post transplantation despite normal lung and cardiac tests at rest**

This prospective study highlighted a high proportion (75.4%) of non-relapse patients with mild to severe exercise capacity impairment at one year post allo-HSCT, while an important proportion of 40% were documented with deconditioning, despite normal lung and cardiac function tests at rest.

### **Exercise capacity should be evaluated, at least in patients who report fatigue and/or disability**

Although most long-term survivors after allo-HSCT recover adequately from treatment, a substantial proportion continues to experience late effects that reduce health-related quality of life. One of the most prevalent and disturbing long-term symptoms is fatigue, evaluated from 28 % at 3 years post allo-HSCT<sup>35</sup> to 35% in another study (mean = 9.3 years)<sup>36</sup>, a proportion close to that in our study. To avoid fatigue, cancer patients are often advised to rest and down-regulate their daily activities. However, these recommendations can cause paradoxical results. Since inactivity induces muscular wasting, prolonged rest can result in further loss of endurance. Our study shows disability and fatigue were both strongly associated to impaired exercise capacity, while recent studies suggest that exercise reduces fatigue and improves the performance status of cancer patients<sup>37</sup>, including patients with allo-HSCT.<sup>11, 35</sup> Altogether these data show a strong and inverted link between fatigue and exercise capacity and suggest that fatigue should be systematically assessed and taken into account in long-term survivor follow-up and CPET should be performed in all long-term survivors post allo-HSCT, to detect low exercise capacity and to set up rehabilitation programs.

### **CPET is a better exercise capacity assessment tool than 6MWT**

Our study reported a high proportion of patients with abnormal CPET (75%) and deconditioning (40%) at one year post allo-HSCT while 6MWD misevaluated exercise capacity in more than 50% patients. CPET provides a global noninvasive assessment of the integrative exercise responses which are not adequately

reflected through the measurement of individual organ system function.<sup>26</sup> Importantly, peakVO<sub>2</sub> is inversely associated with death from any cause in patients and healthy individuals<sup>38</sup>, including patients with cardiovascular disease<sup>39</sup> and cancer patients in a large meta-analysis<sup>40</sup>, and HSCT patients in a pilot study.<sup>41</sup>

Nevertheless, because CPET needs trained personnel, specialized equipment, and medical supervision, it is relatively expensive. As shown in our study and in others<sup>26</sup>, resting pulmonary and cardiac function testing cannot reliably predict exercise performance and functional capacity. 6MWT will not likely replace CPET<sup>26</sup> as studies in respiratory disease suggest that peakVO<sub>2</sub> measurement and 6MWD are not commutable<sup>30</sup>, a data consistent with the poor correlation between 6MWD and peakVO<sub>2</sub> in our patients.

#### **Long-term QOL is impaired mainly due to physical health and physical exercise should be encouraged**

Similar to results reported by other authors<sup>42, 43</sup>, the level of psychological distress was low in our population. Importantly, impairment of physical well-being on quality of life questionnaires was associated with the most altered exercise capacity, and the degree of physical health impairment (quantified by a PCS score of 44.73) was similar to that in Bevans et al. study.<sup>43</sup>

Our study does not address whether improvement in cardiorespiratory fitness via exercise training interventions is an effective strategy to reduce death risk in survivors post allo-HSCT. However, there is considerable evidence that aerobic training interventions following standard exercise prescription according to guidelines have beneficial effects on health-related quality of life domains in cancer survivors<sup>44</sup> including survivors post-HSCT<sup>9-14</sup>, and recommendations about exercise training have been established for patients with cancer either during treatment or following treatment completion.<sup>45, 46</sup> In four studies where exercise program was performed during hospitalisation for HSCT among 18 to 100 patients, patients experienced improvement in fatigue, aerobic capacity, muscle strength and quality of life.<sup>9, 47-49</sup> Results from three other studies implementing exercise intervention 6 months to 3 years after HSCT showed similar benefits.<sup>11, 12, 50</sup>

286

287 In summary, long-term survivors after allo-HSCT are considered as a distinct, high-risk population that  
288 must be monitored for long-term transplant complications, including altered exercise capacity and  
289 deconditioning. Our study supports the recommendation of questioning about fatigue and disability into  
290 regular follow-up protocols for allo-HSCT survivors and of CPET measurement in every patient who  
291 reports fatigue or disability.

292

293    **ACKNOWLEDGMENTS**

294    This work was supported in part by Genavie Foundation.

295    Pierre-Antoine Gourraud is supported by ATIP-Avenir INSERM program, the Nantes Metropole &  
296    Region Pays de Loire-ConneCTalent program and The Nantes University Foundation.

297    The authors would like to acknowledge Dominique Issarni for her help in protocol set-up.

298

299

300 **CONFLICT OF INTEREST**

301 No potential conflict of interest relevant to this article was reported.

302

303 Supplementary information is available at *Bone Marrow Transplantation*'s website.



## 304 REFERENCES

- 305 1. Gourraud PA, Balere ML, Faucher C, Loiseau P, Dormoy A, Marry E *et al.* HLA phenotypes of  
306 candidates for HSCT: comparing transplanted versus non-transplanted candidates, resulting  
307 in the predictive estimation of the probability to find a 10/10 HLA matched donor. *Tissue*  
308 *Antigens* 2014; **83**(1): 17-26. e-pub ahead of print 2013/12/21; doi: 10.1111/tan.12263  
309
- 310 2. Majhail NS, Rizzo JD, Lee SJ, Aljurf M, Atsuta Y, Bonfim C *et al.* Recommended screening and  
311 preventive practices for long-term survivors after hematopoietic cell transplantation. *Rev*  
312 *Bras Hematol Hemoter* 2012; **34**(2): 109-133. e-pub ahead of print 2012/10/11; doi:  
313 10.5581/1516-8484.20120032  
314
- 315 3. Clark CA, Savani M, Mohty M, Savani BN. What do we need to know about allogeneic  
316 hematopoietic stem cell transplant survivors? *Bone Marrow Transplant* 2016; **51**(8): 1025-  
317 1031. e-pub ahead of print 2016/04/12; doi: 10.1038/bmt.2016.95  
318
- 319 4. Inamoto Y, Lee SJ. Late effects of blood and marrow transplantation. *Haematologica* 2017;  
320 **102**(4): 614-625. e-pub ahead of print 2017/02/25; doi: 10.3324/haematol.2016.150250  
321
- 322 5. McNeely ML, Campbell KL, Rowe BH, Klassen TP, Mackey JR, Courneya KS. Effects of exercise  
323 on breast cancer patients and survivors: a systematic review and meta-analysis. *Cmaj* 2006;  
324 **175**(1): 34-41. e-pub ahead of print 2006/07/05; doi: 10.1503/cmaj.051073  
325
- 326 6. Dimeo FC, Tilmann MH, Bertz H, Kanz L, Mertelsmann R, Keul J. Aerobic exercise in the  
327 rehabilitation of cancer patients after high dose chemotherapy and autologous peripheral  
328 stem cell transplantation. *Cancer* 1997; **79**(9): 1717-1722. e-pub ahead of print  
329 1997/05/01;  
330
- 331 7. Porock D, Kristjanson LJ, Tinnelly K, Duke T, Blight J. An exercise intervention for advanced  
332 cancer patients experiencing fatigue: a pilot study. *J Palliat Care* 2000; **16**(3): 30-36. e-pub  
333 ahead of print 2000/10/06;  
334
- 335 8. Wilson RW, Taliaferro LA, Jacobsen PB. Pilot study of a self-administered stress management  
336 and exercise intervention during chemotherapy for cancer. *Support Care Cancer* 2006;  
337 **14**(9): 928-935. e-pub ahead of print 2006/04/21; doi: 10.1007/s00520-006-0021-1  
338
- 339 9. Jarden M, Baadsgaard MT, Hovgaard DJ, Boesen E, Adamsen L. A randomized trial on the  
340 effect of a multimodal intervention on physical capacity, functional performance and quality  
341 of life in adult patients undergoing allogeneic SCT. *Bone Marrow Transplant* 2009; **43**(9):  
342 725-737. e-pub ahead of print 2009/02/24; doi: 10.1038/bmt.2009.27  
343
- 344 10. van Haren IE, Timmerman H, Potting CM, Blijlevens NM, Staal JB, Nijhuis-van der Sanden  
345 MW. Physical exercise for patients undergoing hematopoietic stem cell transplantation:  
346 systematic review and meta-analyses of randomized controlled trials. *Phys Ther* 2013;  
347 **93**(4): 514-528. e-pub ahead of print 2012/12/12; doi: 10.2522/ptj.20120181  
348
- 349 11. Carlson LE, Smith D, Russell J, Fibich C, Whittaker T. Individualized exercise program for the  
350 treatment of severe fatigue in patients after allogeneic hematopoietic stem-cell transplant: a  
351 pilot study. *Bone Marrow Transplant* 2006; **37**(10): 945-954. e-pub ahead of print  
352 2006/03/28; doi: 10.1038/sj.bmt.1705343  
353

12. Wilson RW, Jacobsen PB, Fields KK. Pilot study of a home-based aerobic exercise program for sedentary cancer survivors treated with hematopoietic stem cell transplantation. *Bone Marrow Transplant* 2005; **35**(7): 721-727. e-pub ahead of print 2005/02/08; doi: 10.1038/sj.bmt.1704815
13. Persoon S, Kersten MJ, van der Weiden K, Buffart LM, Nollet F, Brug J *et al.* Effects of exercise in patients treated with stem cell transplantation for a hematologic malignancy: a systematic review and meta-analysis. *Cancer Treat Rev* 2013; **39**(6): 682-690. e-pub ahead of print 2013/03/15; doi: 10.1016/j.ctrv.2013.01.001
14. Wiskemann J, Dreger P, Schwerdtfeger R, Bondong A, Huber G, Kleindienst N *et al.* Effects of a partly self-administered exercise program before, during, and after allogeneic stem cell transplantation. *Blood* 2011; **117**(9): 2604-2613. e-pub ahead of print 2010/12/31; doi: 10.1182/blood-2010-09-306308
15. Steinberg A, Asher A, Bailey C, Fu JB. The role of physical rehabilitation in stem cell transplantation patients. *Support Care Cancer* 2015; **23**(8): 2447-2460. e-pub ahead of print 2015/05/15; doi: 10.1007/s00520-015-2744-3
16. Stenehjem JS, Smeland KB, Murbraech K, Holte H, Kvaloy S, Thorsen L *et al.* Cardiorespiratory fitness in long-term lymphoma survivors after high-dose chemotherapy with autologous stem cell transplantation. *Br J Cancer* 2016. e-pub ahead of print 2016/06/29; doi: 10.1038/bjc.2016.180
17. Tuchman SA, Lane A, Hornsby WE, Bishop C, Thomas S, Herndon JE, 2nd *et al.* Quantitative measures of physical functioning after autologous hematopoietic stem cell transplantation in multiple myeloma: a feasibility study. *Clin Lymphoma Myeloma Leuk* 2015; **15**(2): 103-109. e-pub ahead of print 2014/12/03; doi: 10.1016/j.clml.2014.09.002
18. ATS. ATS statement: guidelines for the six-minute walk test. *Am J Respir Crit Care Med* 2002; **166**(1): 111-117. e-pub ahead of print 2002/07/02; doi: 10.1164/ajrccm.166.1.at1102
19. Ware JE, Jr., Sherbourne CD. The MOS 36-item short-form health survey (SF-36). I. Conceptual framework and item selection. *Med Care* 1992; **30**(6): 473-483. e-pub ahead of print 1992/06/11;
20. Leplège A EE, Pouchot J, Coste J, Perneger T. *Le questionnaire MOS SF-36 : manuel de l'utilisateur et guide d'interprétation des scores.*, Estem: Paris, 2001.
21. Zigmond AS, Snaith RP. The hospital anxiety and depression scale. *Acta Psychiatr Scand* 1983; **67**(6): 361-370. e-pub ahead of print 1983/06/01;
22. Jones PW, Quirk FH, Baveystock CM, Littlejohns P. A self-complete measure of health status for chronic airflow limitation. The St. George's Respiratory Questionnaire. *Am Rev Respir Dis* 1992; **145**(6): 1321-1327. e-pub ahead of print 1992/06/01; doi: 10.1164/ajrccm/145.6.1321
23. Butt Z, Wagner LI, Beaumont JL, Paice JA, Peterman AH, Shevrin D *et al.* Use of a single-item screening tool to detect clinically significant fatigue, pain, distress, and anorexia in ambulatory cancer practice. *J Pain Symptom Manage* 2008; **35**(1): 20-30. e-pub ahead of print 2007/10/26; doi: 10.1016/j.jpainsymman.2007.02.040

24. Chauffier K, Paternotte S, Burki V, Durnez A, Elhai M, Koumakis E *et al.* Fatigue in spondyloarthritis: a marker of disease activity. A cross-sectional study of 266 patients. *Clin Exp Rheumatol* 2013; **31**(6): 864-870. e-pub ahead of print 2013/10/23;
25. *Global Recommendations on Physical Activity for Health*: Geneva, 2010.
26. ATS/ACCP. Statement on cardiopulmonary exercise testing. *Am J Respir Crit Care Med* 2003; **167**(2): 211-277. e-pub ahead of print 2003/01/14; doi: 10.1164/rccm.167.2.211
27. Borg G. *Borg's Perceived Exertion and Pain Scales*, Human Kinetics, 1998.
28. Cooper CB, Storer TW. Exercise testing and interpretation: a practical approach. In. Cambridge University Press: New York, 2010.
29. Wasserman K HJ, Sue, SY, Stringer WW, Whipp BJ. *Principles of Exercise Testing and Interpretation.* , 4th edition edn Lippincott Williams & Wilkins. 2005. Philadelphia, USA, 2005.
30. Singh SJ, Puhan MA, Andrianopoulos V, Hernandez NA, Mitchell KE, Hill CJ *et al.* An official systematic review of the European Respiratory Society/American Thoracic Society: measurement properties of field walking tests in chronic respiratory disease. *Eur Respir J* 2014; **44**(6): 1447-1478. e-pub ahead of print 2014/11/02; doi: 10.1183/09031936.00150414
31. Holland AE, Spruit MA, Troosters T, Puhan MA, Pepin V, Saey D *et al.* An official European Respiratory Society/American Thoracic Society technical standard: field walking tests in chronic respiratory disease. *Eur Respir J* 2014; **44**(6): 1428-1446. e-pub ahead of print 2014/11/02; doi: 10.1183/09031936.00150314
32. Enright PL, Sherrill DL. Reference equations for the six-minute walk in healthy adults. *Am J Respir Crit Care Med* 1998; **158**(5 Pt 1): 1384-1387. e-pub ahead of print 1998/11/17; doi: 10.1164/ajrccm.158.5.9710086
33. Miller MR, Crapo R, Hankinson J, Brusasco V, Burgos F, Casaburi R *et al.* General considerations for lung function testing. *Eur Respir J* 2005; **26**(1): 153-161. e-pub ahead of print 2005/07/05; doi: 10.1183/09031936.05.00034505
34. Team RC. *R: A language and environment for statistical computing*, R Foundation for Statistical Computing, Vienna, Austria, 2012.
35. Hjerstad MJ, Knobel H, Brinch L, Fayers PM, Loge JH, Holte H *et al.* A prospective study of health-related quality of life, fatigue, anxiety and depression 3-5 years after stem cell transplantation. *Bone Marrow Transplant* 2004; **34**(3): 257-266. e-pub ahead of print 2004/06/01; doi: 10.1038/sj.bmt.1704561
36. Gielissen MF, Schattenberg AV, Verhagen CA, Rinkes MJ, Bremmers ME, Bleijenberg G. Experience of severe fatigue in long-term survivors of stem cell transplantation. *Bone Marrow Transplant* 2007; **39**(10): 595-603. e-pub ahead of print 2007/03/21; doi: 10.1038/sj.bmt.1705624

37. Dimeo F, Schwartz S, Fietz T, Wanjura T, Boning D, Thiel E. Effects of endurance training on the physical performance of patients with hematological malignancies during chemotherapy. *Support Care Cancer* 2003; **11**(10): 623-628. e-pub ahead of print 2003/08/28; doi: 10.1007/s00520-003-0512-2
38. Jones LW, Eves ND, Haykowsky M, Joy AA, Douglas PS. Cardiorespiratory exercise testing in clinical oncology research: systematic review and practice recommendations. *Lancet Oncol* 2008; **9**(8): 757-765. e-pub ahead of print 2008/08/02; doi: 10.1016/S1470-2045(08)70195-5
39. Kavanagh T, Mertens DJ, Hamm LF, Beyene J, Kennedy J, Corey P *et al.* Prediction of long-term prognosis in 12 169 men referred for cardiac rehabilitation. *Circulation* 2002; **106**(6): 666-671. e-pub ahead of print 2002/08/07;
40. Schmid D, Leitzmann MF. Cardiorespiratory fitness as predictor of cancer mortality: a systematic review and meta-analysis. *Ann Oncol* 2015; **26**(2): 272-278. e-pub ahead of print 2014/07/11; doi: 10.1093/annonc/mdu250
41. Wood WA, Deal AM, Reeve BB, Abernethy AP, Basch E, Mitchell SA *et al.* Cardiopulmonary fitness in patients undergoing hematopoietic SCT: a pilot study. *Bone Marrow Transplant* 2013; **48**(10): 1342-1349. e-pub ahead of print 2013/04/16; doi: 10.1038/bmt.2013.58
42. McQuellon RP, Russell GB, Rambo TD, Craven BL, Radford J, Perry JJ *et al.* Quality of life and psychological distress of bone marrow transplant recipients: the 'time trajectory' to recovery over the first year. *Bone Marrow Transplant* 1998; **21**(5): 477-486. e-pub ahead of print 1998/04/16; doi: 10.1038/sj.bmt.1701115
43. Bevans MF, Marden S, Leidy NK, Soeken K, Cusack G, Rivera P *et al.* Health-related quality of life in patients receiving reduced-intensity conditioning allogeneic hematopoietic stem cell transplantation. *Bone Marrow Transplant* 2006; **38**(2): 101-109. e-pub ahead of print 2006/06/06; doi: 10.1038/sj.bmt.1705406
44. Vijayvergia N, Denlinger CS. Lifestyle Factors in Cancer Survivorship: Where We Are and Where We Are Headed. *J Pers Med* 2015; **5**(3): 243-263. e-pub ahead of print 2015/07/07; doi: 10.3390/jpm5030243
45. Kushi LH, Byers T, Doyle C, Bandera EV, McCullough M, McTiernan A *et al.* American Cancer Society Guidelines on Nutrition and Physical Activity for cancer prevention: reducing the risk of cancer with healthy food choices and physical activity. *CA Cancer J Clin* 2006; **56**(5): 254-281; quiz 313-254. e-pub ahead of print 2006/09/29;
46. Schmitz KH, Courneya KS, Matthews C, Demark-Wahnefried W, Galvao DA, Pinto BM *et al.* American College of Sports Medicine roundtable on exercise guidelines for cancer survivors. *Med Sci Sports Exerc* 2010; **42**(7): 1409-1426. e-pub ahead of print 2010/06/19; doi: 10.1249/MSS.0b013e3181e0c112
47. Baumann FT, Zopf EM, Nykamp E, Kraut L, Schule K, Elter T *et al.* Physical activity for patients undergoing an allogeneic hematopoietic stem cell transplantation: benefits of a moderate exercise intervention. *Eur J Haematol* 2011; **87**(2): 148-156. e-pub ahead of print 2011/05/07; doi: 10.1111/j.1600-0609.2011.01640.x

- 507 48. Mello M, Tanaka C, Dulley FL. Effects of an exercise program on muscle performance in  
508 patients undergoing allogeneic bone marrow transplantation. *Bone Marrow Transplant*  
509 2003; **32**(7): 723-728. e-pub ahead of print 2003/09/18; doi: 10.1038/sj.bmt.1704227  
510
- 511 49. DeFor TE, Burns LJ, Gold EM, Weisdorf DJ. A randomized trial of the effect of a walking  
512 regimen on the functional status of 100 adult allogeneic donor hematopoietic cell transplant  
513 patients. *Biol Blood Marrow Transplant* 2007; **13**(8): 948-955. e-pub ahead of print  
514 2007/07/21; doi: 10.1016/j.bbmt.2007.04.008  
515
- 516 50. Shelton ML, Lee JQ, Morris GS, Massey PR, Kendall DG, Munsell MF *et al.* A randomized  
517 control trial of a supervised versus a self-directed exercise program for allogeneic stem cell  
518 transplant patients. *Psychooncology* 2009; **18**(4): 353-359. e-pub ahead of print  
519 2009/01/02; doi: 10.1002/pon.1505  
520  
521  
522

## FIGURES LEGENDS

**Figure 1: Correlation analysis of the association between exercise capacity and 6-minute walk distance (6MWD) using linear regression model.** Exercise capacity was evaluated by peakVO<sub>2</sub> assessed by cardiopulmonary exercise testing. PeakVO<sub>2</sub> was expressed in ml/kg/min and 6MWD in meters. Data from 67 patients were available. (A): linear regression between peakVO<sub>2</sub> (ml/kg/min, Y axis) and 6MWD (m, X axis). Individual patient's data is depicted by a triangle. Linear regression (black continuous line) is provided with 95% confidence interval ( $\pm 1.96$  SD) dotted line. Departure between observed peakVO<sub>2</sub> and predicted peakVO<sub>2</sub> from 6MWD data is depicted by a dotted line. (B): histogram of departures between observed peakVO<sub>2</sub> and predicted peakVO<sub>2</sub> associated with representation of their distribution using box-plot in the lower part of the figure. Data were computed using the differences between observed peakVO<sub>2</sub> (ml/kg/min) and peakVO<sub>2</sub> predicted in the linear model (observed value minus predicted value).

**Figure 2: Spine plots representing univariate relationships between disability or fatigue, and decreased exercise capacity or deconditioning assessed by cardiopulmonary exercise testing.** Disability was self-reported by patients with a binary question: "in the daily life, do you experience disability?". Fatigue was measured with a Numeric Rating Scale (NRS) from 0 to 10 and defined as NRS  $\geq 5$ . Exercise capacity was evaluated by peakVO<sub>2</sub>, expressed as percentage of sex- and age-predicted reference values from general population. Decrease in peakVO<sub>2</sub> was defined as normal to mild ( $\geq 71\%$ pred) or moderate to severe ( $< 70\%$ pred). Deconditioning was defined as ventilator threshold  $\leq 40\%$  of peakVO<sub>2</sub>. *Panels A, B:* proportion of patients with disability (A) or fatigue (B) and decreased exercise capacity, expressed as normal to mild ( $\geq 71\%$ pred) or moderate to severe ( $< 70\%$ pred) alteration of peakVO<sub>2</sub>. *Panels C, D:* proportion of patients with disability (C) or fatigue (D) and deconditioning. Odds ratio and p values were the following: (A) OR = 5.29 (IC 95% [1.61 ; 19.80]) p = 0.002, (B) OR = 5.14 (IC 95% [1.32 ; 22.48]) p = 0.009, (C) OR = 2.19 (IC95% [0.71 ; 6.91]) p = 0.19, (D) OR = 4.49 (IC95% [1.13; 19.46]) p = 0.028.

**Figure 3: Boxplots presenting physical health evaluation according to alteration in patient exercise capacity.** Physical health was assessed by the Physical Functioning (A) and the Physical Component Summary (B) scores of the SF-36 self-administered questionnaire. Exercise capacity was evaluated by peakVO<sub>2</sub>, categorized into normal ( $\geq 80\%$  pred), mild (71-79% pred), moderate (51-69% pred), or severe ( $\leq 50\%$  pred). \*  $p < 0.05$ .

**Figure 4: Spine plots presenting univariate relationships between physical activity before (A) or during hospitalisation at the time of allo-HSCT (B), and patient exercise capacity at one year post allo-HSCT.** Exercise capacity was evaluated by peakVO<sub>2</sub>, expressed as percentage of sex- and age-predicted reference values from general population. Decrease in peakVO<sub>2</sub> was defined as “normal or mild” ( $\geq 71\%$ pred) or “moderate to severe” ( $< 70\%$ pred). Physical activity during hospitalisation was evaluated by performing bicycle ergometer or not. Odds ratio and p values were the followings: (A) OR = 1.95 (IC95% [0.65 ; 6.07])  $p = 0.2159$ , (B) OR = 3.50 (IC95% [1.18 ; 11.03])  $p = 0.0155$ .

**Table 1. Patient characteristics**

Characteristics	Study population (n = 71) n (%)
<i>Median age: years (range)</i>	56 (29 – 70)
<i>Gender: male</i>	46 (65%)
<i>Median time between allotransplant and study inclusion: months (range)</i>	14 (11-18)
<i>Median duration of protective isolation in the sterile unit: weeks (range)</i>	4.5 (2-12)
<i>Haematological diseases</i>	
Acute lymphoblastic leukaemia	6 (8%)
Acute myelogenous leukaemia	28 (39%)
Lymphoma	15 (21%)
Myelodysplastic syndrome	9 (13%)
Others*	13 (18%)
<i>History of smoking</i>	
Former	34 (48%)
Current	8 (11%)
Non-smoker	29 (41%)
<i>Conditioning regimen</i>	
Non myeloablative	60 (85%)
Busulfan-based	54 (76%)
TBI-based	14 (20%)
<i>Stem cell source</i>	
Peripheral blood stem cell	56 (79%)
Bone marrow	5 (7%)
Cord blood	10 (14%)
<i>Acute GvHD during the first 100 d</i>	35 (49%)
<i>Chronic GvHD</i>	25 (35%)
Cutaneous	17 (68%)
Gastrointestinal	7 (28%)
<i>Ongoing oral steroid treatment</i>	14 (20%)

Abbreviations: TBI: total body irradiation, GvHD: graft-versus-host disease.

\*Others: e.g., multiple myeloma, chronic lymphocytic leukaemia.



**Table 2. Quality of life and functional assessments.**

	Subjects n	Mean $\pm$ standard deviation	Patients with abnormal value n (%)
Disability	71		26 (36.6)
Fatigue	53	3.4 $\pm$ 2.0	NRS $\geq$ 5: 18 (34.0)
<i>Health-related quality of life assessment (SF-36)</i>			
Physical Functioning	70	79.05 $\pm$ 19	n < ref: 29 (41.4)
Role Physical	69	54.71 $\pm$ 41.19	n < ref: 43 (62.3)
Physical Component Summary	65	44.73 $\pm$ 8.32	n < ref: 45 (69.2)
General Health	70	59.02 $\pm$ 19.35	n < ref: 46 (65.7)
Mental Component Summary	65	48.50 $\pm$ 10.39	n < ref: 27 (41.5)
<i>Hospital Anxiety and Depression (HAD)</i>	71	10 $\pm$ 6	score $\geq$ 11: 13 (18.3)
<i>Pulmonary function tests</i>			
FEV1, liters	71	3.1 $\pm$ 0.8	
FEV1, %pred	71	103.9 $\pm$ 18.9	< 80%: 5 (7)
FEV1/FVC	71	78.2 $\pm$ 5.3	< 70%: 4 (5.6)
TLC, liters	69	6.1 $\pm$ 1.4	
TLC, %pred	69	101 $\pm$ 14	< 80%: 8 (11.6)
DLCO, %pred	70	72.3 $\pm$ 13.5	61-79%: 37 (52.9) 40-60%: 13 (18.6) < 40%: 1 (1.4)
<i>Echocardiography</i>			
Left ventricular ejection fraction, %	69	64.0 $\pm$ 5.7	< 55%: 1 (1.4)
<i>Functional capacity</i>			
PeakVO <sub>2</sub> , ml/kg/min	69	19 $\pm$ 6	
PeakVO <sub>2</sub> , %pred	69	71.3 $\pm$ 18.3	71-79%: 18 (26) 51-70%: 24 (34.8) $\leq$ 50%: 10 (14.5)
Ventilatory threshold, %peakVO <sub>2</sub>	69	43.4 $\pm$ 12.3	< 40: 26 (37.7)
Median 6-minute walk distance, meters	69	470.4 $\pm$ 85	
6-minute walk distance, %pred	69	82.6 $\pm$ 16.4	< 80%: 26 (37.7)

Abbreviations: NRS: numeric rating scale; FEV1: forced expiratory volume in one second; FVC: forced vital capacity; TLC: total lung capacity; DLCO: lung carbon monoxide diffusing capacity; PeakVO<sub>2</sub>: Peak oxygen uptake; SF-36: 36-item Short Form Health Survey; Pred: predicted normal value.

Figure 1

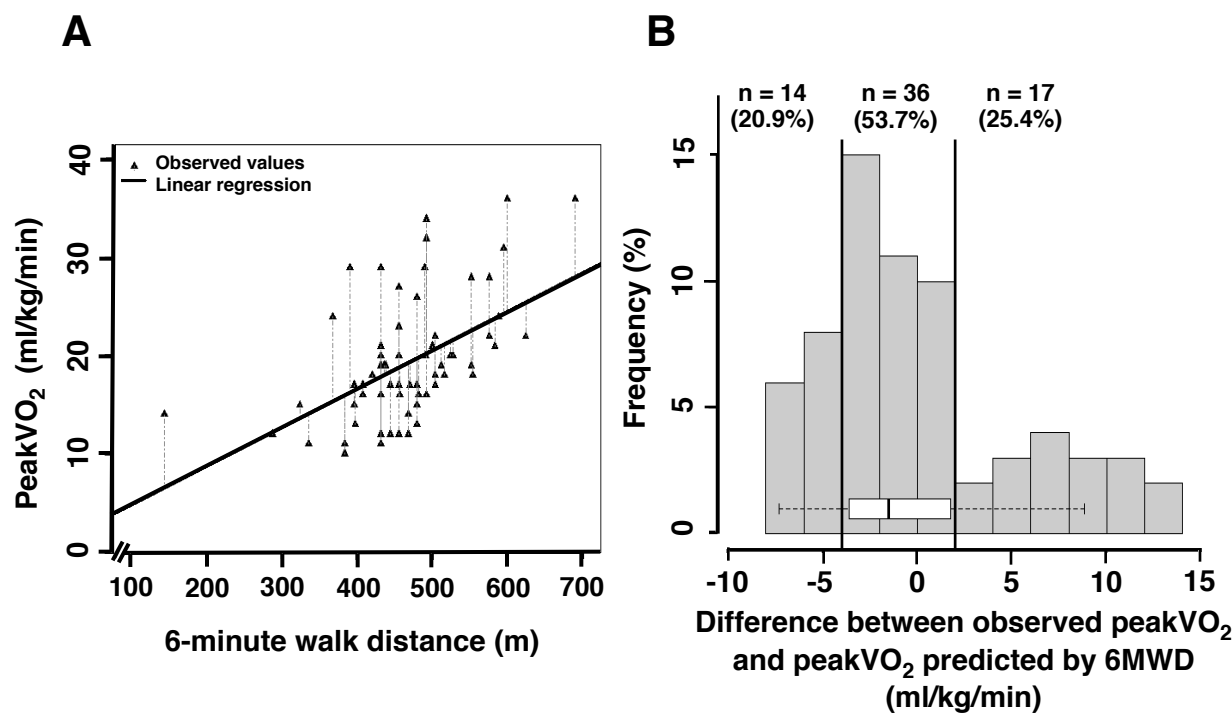


Figure 2

Impairment of exercise capacity

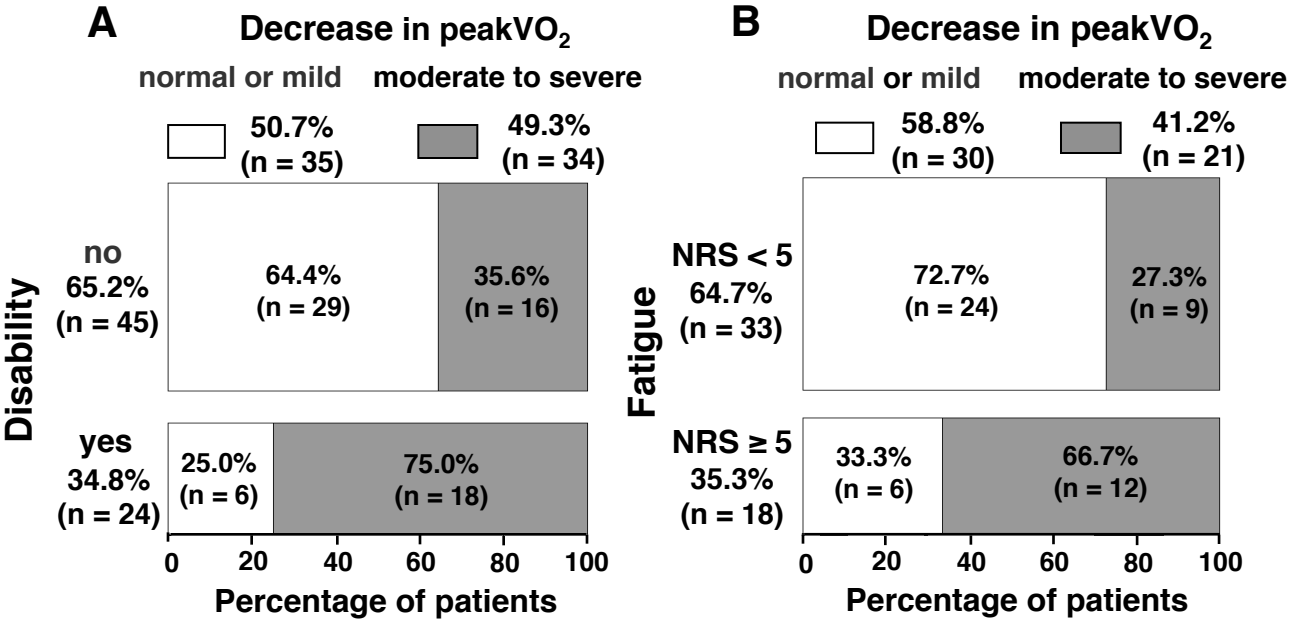


Figure 3

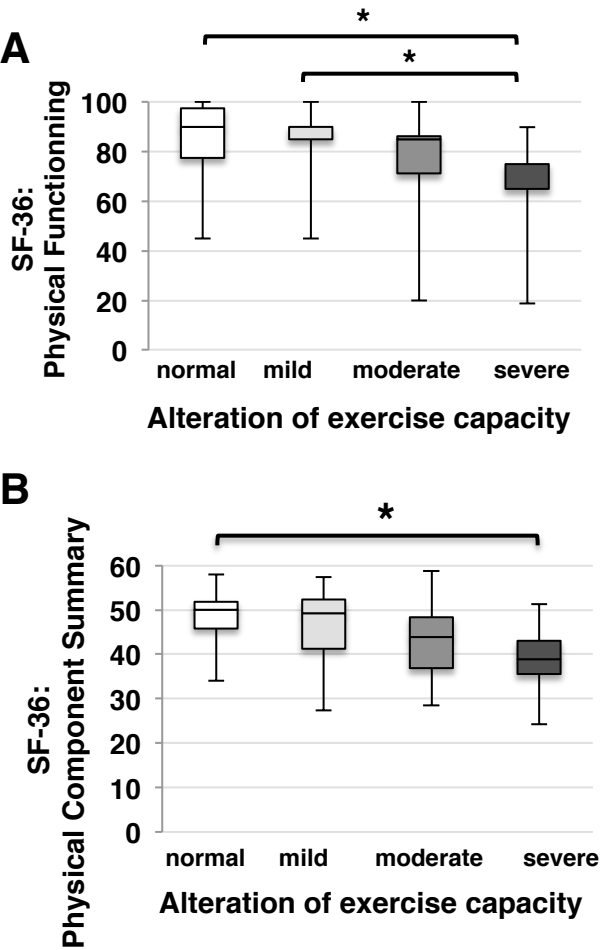


Figure 4

