

# Predictors of antimalarial self-medication in illegal gold miners in French Guiana: a pathway towards artemisinin resistance.

Maylis Douine, Y Lazrek, D. Blanchet, S. Pelleau, R Chanlin, F. Corlin, Louise Hureau, B. Volney, H. Hiwat, S Vreden, et al.

# ▶ To cite this version:

Maylis Douine, Y Lazrek, D. Blanchet, S. Pelleau, R Chanlin, et al.. Predictors of antimalarial selfmedication in illegal gold miners in French Guiana: a pathway towards artemisinin resistance.. Journal of Antimicrobial Chemotherapy, 2017, Epub ahead of print. 10.1093/jac/dkx343 . inserm-01622957

# HAL Id: inserm-01622957 https://inserm.hal.science/inserm-01622957

Submitted on 24 Oct 2017

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

1	Title: Predictors of antimalarial self-medication in illegal gold miners in French Guiana: a
2	pathway towards artemisinin resistance
3	Running title: Malaria self-medication and resistance
4	Authors: M. Douine <sup>12*</sup> , Y. Lazrek <sup>3</sup> , D. Blanchet <sup>4</sup> , S. Pelleau <sup>3</sup> , R. Chanlin <sup>4</sup> , F. Corlin <sup>1</sup> , L.
5	Hureau <sup>1</sup> , B. Volney <sup>3</sup> , H. Hiwat <sup>5</sup> , S. Vreden <sup>6</sup> , F. Djossou <sup>27</sup> , M. Demar <sup>24</sup> , M. Nacher <sup>12</sup> , L. Musset <sup>3</sup>
6	
7	Corresponding author: Maylis Douine
8	Centre d'Investigation Clinique Antilles-Guyane (Inserm 1424)
9	Cayenne Hospital
10	Avenue des Flamboyant, BP 6006
11	97306 Cayenne cedex
12	French Guiana
13	Email: <u>mdouine@yahoo.fr</u>
14	Phone number: +594 594 39 53 88 Fax: +594 594 39 48 72
15	
16	<sup>1</sup> Centre d'Investigation Clinique Antilles-Guyane (Inserm 1424), Cayenne Hospital, French
17	Guiana
18	<sup>2</sup> Epidemiology of Tropical Parasitoses, EA 3593, Université de Guyane, Cayenne, French
19	Guiana
20	<sup>3</sup> Laboratoire de Parasitologie, WHO Collaborating Center for Surveillance of Anti-Malarial
21	Drug Resistance, Centre National de Référence du Paludisme, Institut Pasteur de la Guyane,
22	Cayenne, French Guiana

- <sup>4</sup> Academic Laboratory of Parasitology Mycology, Cayenne Hospital, Cayenne, French Guiana
- <sup>5</sup> Ministry of Health, Malaria Program, Paramaribo, Suriname
- <sup>6</sup>Foundation for Scientific Research Suriname (SWOS), Paramaribo, Suriname
- <sup>7</sup> Infectious and Tropical Diseases Department, Cayenne Hospital, Cayenne, French Guiana

#### 28 Abstract (255 words)

#### 29 Background

Malaria is endemic in French Guiana (FG), South America. Despite the decrease of cases in the
local population, illegal gold miners are very affected by malaria (22.3% of them carried *Plasmodium spp*). Self-medication seems to be very common but its modalities and associated
factors have not been studied. The aim of this study was to evaluate parasite susceptibility to
drugs and to document behaviours that could contribute to resistance selection.

#### 35 Method

This multicentric cross-sectional study was conducted in resting sites along the Surinamese
border. Participating gold miners working in French Guiana completed a questionnaire and
provided a blood sample.

#### **Results**

40 From January to June 2015, 421 illegal gold miners were included. Most were Brazilian (93.8%), 70.5% were male. During the most recent malaria attack, 45.5% reported having been tested for 41 42 malaria and 52.4% self-medicated, mainly with artemisinin derivatives (90%). Being in FG during the last malaria attack was the main factor associated with self-medication (AOR=22.1). 43 This suggests that access to malaria diagnosis in FG is particularly difficult for Brazilian illegal 44 45 gold miners. Treatment adherence was better for persons who reported being tested. None of the 32 samples with P. falciparum presented any mutation on the pfK13 gene, but one isolate showed 46 a resistance profile to artemisinin derivatives in vitro. 47

# 48 Conclusion

The risk factors for the selection of resistance are well known and this study showed that they are
present in French Guiana with persons who self-medicated with poor adherence. Interventions
should be implemented among this specific population to avoid the emergence of artemisinin
resistance.

# **Text words count:** 3658 words

# 56 Background

57 Malaria is a major parasitic illness, with 198 million cases and 584,000 deaths in 2014,

worldwide.<sup>1</sup> In French Guiana (FG), a French overseas territory located on the Guiana Shield in 58 South America, malaria is endemic.<sup>2</sup> Great efforts have been deployed to control malaria in the 59 60 region. In Suriname as in local villages in French Guiana, the number of cases decreased drastically.<sup>3,4</sup> But in this territory, mainly covered by Amazonian forest, the soil is rich in gold. In 61 addition to the legal mining industry, 8 to 10 thousand illegal gold miners, mainly Brazilian, 62 work in the forest.<sup>5</sup> They have difficult life conditions with poor hygiene and exhausting work 63 which lead to poor health. Deforestation and still water pools favor mosquito proliferation, 64 notably Anopheles darlingi, the main malaria vector. In 2015, in western French Guiana, 65 molecular malaria diagnosis showed 22.3% of illegal gold miners carried *Plasmodium spp.*,84% 66 of whom were asymptomatic.<sup>6</sup> In 2014, in a gold mining site near Maripa Soula, 48.5% of gold 67 miners were *Plasmodium spp.* positive by PCR.<sup>7</sup> This indicated that although malaria in local 68 populations keeps decreasing, it remains hyperendemic in this specific population in French 69 70 Guiana. Medical care is free in Health Centers but the remoteness of the mines and the fear of law enforcement hamper effective access to care for miners. A first study in this population in 71 Suriname and French Guiana has shown that self-medication with artemisinin derivatives seemed 72 to be very common, with poor treatment adherence.<sup>8</sup> But self-medication modes and factors 73 associated with it have not been studied specifically in French Guiana despite access to care 74 differences between those two countries. This frequent self-medication threatens the efficacy of 75 76 artemisinin derivatives. In fact, the main known factors contributing to antimalarial drug 77 resistance are: poor treatment adherence (quantity or treatment duration), poor quality of drugs and drug pressure with monotherapy.<sup>9,10</sup> Historically, antimalarial drug resistance emerged 78 79 independently, in South-East Asia and in the Amazon region, as it happened for chloroquine

80	resistance in the 1960's. <sup>11,12</sup> The decrease of sensitivity to artemisinin derivatives appeared about
81	10 years ago in South East Asia and now concerns five countries in the Mekong Region. $^{13-15}$ .
82	The transborder context between Suriname, Brazil and French Guiana, with movements of
83	precarious populations in remote areas, challenges malaria control in this area and is similar to
84	the transborder context of the Mekong region. <sup>16</sup> Several parameters are used to characterize
85	artemisinin resistance. In vivo, the persistence of parasites in the blood more than three days after
86	treatment or a delayed parasite clearance time are indicators. <sup>17</sup> In vitro, the survival rate of ring-
87	stage parasites that have been exposed for six hours to dihydroartemisinin is the best phenotyping
88	method to identify a decreased parasite sensitivity to dihydroartemisinin. <sup>18</sup> Finally in 2013,
89	certain mutations in the pfk13 gene were shown to be associated with an increased parasite
90	clearance time in isolates from South-East Asia. <sup>19</sup>
01	

. . . .

91

92 The objectives of this study were to describe the behaviours of illegal gold miners working in
93 French Guiana when they had a malaria attack; to evaluate factors associated with self94 medication and with poor treatment adherence; and to characterize artemisinin sensitivity of the
95 associated parasites.

96

# 97 Methods

A multicentric cross-sectional observational study was conducted in 2015 between January 1<sup>st</sup> and June30<sup>th</sup>. As no sampling frame exists, illegal gold miners were recruited using convenience and snow-ball sampling on « resting sites », areas where they go for rest, supplies or medical care.
These sites were spread along the Suriname-French Guiana border on the Maroni river.

Inclusion criteria were: working on a gold mining site in FG; being at the resting site for less than 102 103 seven days; being over 18 years of age; and giving informed consent. A questionnaire collected 104 socio-demographic data, knowledge, attitudes and practices (KAP) in gold miners concerning malaria. Poor adherence was considered if the person declared that there were remaining pills at 105 106 the end of the last malaria treatment. Behaviour when having malaria referred to the last malaria episode only, to avoid memory bias. A rapid diagnostic test was performed on the field and 107 malaria treatment was given if the test was positive. A 5 ml-blood sample was taken from each 108 participant for further analysis and sent to the National Reference Center for Malaria for 109 biological investigations. If the thin smear was positive for *Plasmodium falciparum*, parasites 110 were phenotyped using the standard isotopic method and the ring survival assay (RSA).<sup>18-20</sup> DNA 111 was extracted from 200µL of whole blood with the QIA amp<sup>®</sup> DNA kit (Qiagen). The pfK13112 gene was amplified and sequenced using the Sanger method.<sup>19</sup> Study size and bias assessment are 113 described in reference<sup>6</sup>. 114

# 115 Statistical analyses

Data were analysed with Stata12 software (StataCorp<sup>©</sup>College Station, Texas). Data from the 116 KAP study were analysed using Multiple Correspondence Analyses (MCA) in order to reduce the 117 dimension of the variables. Ascending Hierarchical Classification (AHC) was used to define 118 clusters with similar characteristics; individuals were grouped in clusters using variables selected 119 120 from the MCA, namely those with higher weights on MCA. Bivariate analyses was done using Chi-Square tests or Student's t-test depending on the type of variable. Variables with a p-value < 121 0.20 in bivariate analyses were included in a multivariate logistic regression to identify factors 122 associated with self-medication and poor treatment adherence. A backward selection method was 123 124 used to retain variables significant at a 0.05 level in the final multivariate model. The goodness of 125 fit of the logistical regression model was tested with the Hosmer and Lemeshow test. All

126 statistical analyses used a 5% significance level.

127 Ethics

- 128 The study was approved by the Comité d'Evaluation Ethique de l'Inserm, Process n°14-187
- 129 (IRB00003888 FWA00005831). The database was anonymized and declared to the Commission
- 130 Nationale Informatique et Libertés. Patients were included after recording informed consent.

131

132 **Results** 

# 133 Study population

134 From January to June 2015, 421 illegal gold miners were included in the study with a

participation rate of 90.5%.<sup>6</sup> The mean age was 37.7 years (min-max=18-62) and 70.5% of

136 participants were men. Most of them (93.8%) were born in Brazil and they worked in 67 different

137 mining sites.

# 138 Malaria knowledge and protection

Malaria was mentioned in the top three health problems at mining sites by 84.8% of interviewed people. The mode of transmission was well known: 91.4% mentioned the mosquito but 3.3% mentioned living near dirty water or 3.3% in a dirty environment, or 1.6% drinking dirty water.
One hundred twenty eight (30.4%) considered that it was better to take treatment even if the malaria test was negative, 11.2% that treatment could be stopped when feeling better and 8.5% that malaria could be cured without treatment. Most (95.7%) thought that malaria kills. French malaria treatment was considered better than Surinamese treatment for 93% of them, and better

146	than Brazilian treatment for 84%. However, the three treatments are in fact the same: artemether-
147	lumefantrine, labelled as Riamet® in France and Coartem® in Brazil or Suriname. The majority
148	of interviewed people (85.5%) could mention three or more malaria symptoms.
149	Considering malaria protection, 18% declared protecting themselves from malaria always or
150	often, but 54.8% never. The modes of protection were: mosquito nets (29%), mosquito repellents
151	(21.6%), wearing long clothes (2.1%) and living far from dirty water (1.2%). However, only
152	15.7% declared having slept under a mosquito net the last night at the mining camp, of which
153	only 19.7% were insecticide-treated nets. The main reasons for not using a mosquito net were:
154	did not have any (63.4%), uncomfortable (19.1%), destroyed by French Army (10.4%) (military
155	operations against illegal gold mining aim at destroying all logistical supplies on mining camps),
156	too constraining (7.9%), useless (5.6%) and would hamper flight in the event of a military raid in
157	the camp (3.4%). Malaria chemoprophylaxis was used by 6.4% of people, mainly with Artecom®
158	(dihydroartemisinin/piperaquine/trimethoprim + primaquine single dose).

### 159 Past malaria history and behaviours

160 The flow chart is presented figure 1. Forty five persons (10.7%) declared never having had 161 malaria. They differed from the 376 people who declared a past history of malaria for sex (51% 162 of male in persons who never had malaria versus 73% in person with a past history of malaria, 163 p=0.002), age (31% more than 37 years versus 52%, p=0.009) but the place of birth did not 164 differ. Most participants (66.2%) declared having had more than seven malaria attacks, and 165 24.2% three or less. The median time since the last malaria attack was two years [Interquartile range: 6 months - 6 years]. During the last malaria attack, 52.4% (N=197) self-medicated with 166 167 antimalarial drugs, 45.5% (N=171) got tested for malaria, 1.3% (N=5) used medicinal plants and 0.8% (N=3) declared having done nothing, without statistical difference between groups for
socio-demographic variables. When only considering people having had their last malaria attack
less than two years ago, 66% took the whole treatment and 66.5% self-medicated, compared to
86.7% and 39.3% for those who had malaria more than two years ago, respectively (p<0.001 for</li>
both). Behaviour also varied with the place of the last malaria attack: 66% of self-medication if in
French Guiana, 28% if in Suriname and 7% if in Brazil (p<0.001).</li>

#### 174 Malaria testing

175 For persons who got tested for malaria (N=171), the testing location depended on the country 176 where the malaria attack occurred. If malaria occurred in Brazil (N=56) or Suriname (N=18), people got tested in these countries. But if malaria occurred in French Guiana (N=86), 47.7% 177 went to Suriname to get tested (33 persons to a health center, 8 to Malaria Service Deliverers 178 179 (MSD)), 37.2% to a French health center, and 12.8% went back to Brazil. The two other persons (2.3%) declared having been tested by Surinamese malaria service deliverers at a mining site in 180 181 French Guiana. Easy accessibility was the main reason declared for choosing a place for malaria 182 diagnosis and treatment (85.9%). Care was free for 87.7% of the surveyed miners. Treatment effectiveness was perceived to be good for 93.6% persons, and 90% declared having taken the 183 complete treatment course. 184

#### 185 Self-medication

A majority of those who reported self-medication (N=197) bought antimalarial drugs directly on the mining site (80.7%), or got it from friends or family (6.1%). Ninety percent (178/197) of antimalarial drugs contained artemisinin derivatives, of which 93.8% were Artecom®. Most of the time (85.1%), the treatment was paid in gold, 1 to 3 grams, which is worth 30 to 90 USD. 190 Treatment effectiveness was considered to be good for 68% of the persons but insufficient for

191 23.9%. One hundred and twenty persons (60.9%) declared having taken the whole treatment. The

majority (93.4%) declared that self-medication was related to the distance of malaria testing

193 structures. After multivariate analyses, the main variables significantly associated with self-

medication were being in FG during the last malaria attack (adjusted odds-ratio (AOR)=22.1) and

195 being born in Brazil (AOR=10.74) (Table 1).

#### 196 Factors associated with poor adherence

197 Treatment adherence was statistically different between persons who got tested (N=154/171,

198 90.1%) and those who self-medicated (N=120/197, 60.9%) (p<0.001). The main factors

associated with poor adherence were self-medication (AOR=6.03) and thinking that it is better to

take a treatment even if the malaria test is negative (AOR=2) (Table 2).

#### 201 Multiple correspondence analyses

Two-dimensional projection of the correspondence analyses showed malaria behaviours on the first axis, with the opposition between self-medication and malaria testing. The second axis describes malaria knowledge with on the positive coordinate inadequate malaria knowledge. Dimension 1 plus 2 displayed 81% of the variance. The smaller the distance between points, the stronger was their association. Thus, self-medication, poor adherence, more than four malaria attacks, and the last malaria attack in the past two years were associated, as well as the opposite modalities(Figure2).

Based on significant variables in the correspondence analyses, two clusters of persons were
defined with ascending hierarchical classification. The first one regrouped people declaring a past
history of three or less malaria attacks, the last one occurring more than two years ago, for which

they got tested and treated with a good adherence. They did not consider malaria as a major
health problem. The second opposite cluster regrouped people declaring four or more malaria
attacks, the last one more recently (less than two years ago), for which they self-medicated with a
poor adherence. They considered malaria as a major health problem. Sociodemographic data did
not differ between the two clusters (Table 3).

# 217 The state of parasite sensitivity to artemisinin derivatives in French Guiana

Among the 421 miners included, 94 were diagnosed positive by PCR for *Plasmodium spp* 

carriage including 55 *P. falciparum* cases (10 coinfected with *P. vivax*). The other PCR were

positive for *P. vivax* only (35/94), *P. malariae* (3/94) and *P. vivax* + *P. malariae* (1/94)). Among these *P. falciparum* samples, the parasite density was sufficient for successful amplification and sequencing of the pfk13 gene in 32 samples (58%). None of them revealed any mutation in the propeller part of the gene.

224 Six P. falciparum samples were successfully phenotyped using the RSA method. Five out of six 225 exhibited a 0% survival rate. The last one exhibited a survival rate of 2.70% which is above the 226 decreased sensitivity threshold of 1%. This result has not been confirmed by a second analyses 227 (survival rate at 0%). However this isolate was also associated with an *in vitro* susceptibility 228  $(IC_{50})$  to artemether of 14.18 nM whereas the other values were between 1.35nM and 5.42nM. 229 This value is considered to be higher than the decreased susceptibility threshold of 12 nM. Therefore, those two methods suggest at least a transient resistance profile for these parasites to 230 231 artemisinin derivatives. These parasites were isolated from a 28 years old Brazilian man who 232 took one pill of Artecom® four days before the sampling for malaria symptoms.

#### 234 Discussion

#### 235 Study limitations

236 Because sampling did not use probabilistic methods we cannot exclude recruitment biases.

- 237 Behaviour in case of malaria symptoms and adherence were analysed with a questionnaire, which
- may lead to declaration bias (« correct answer » given to health professional) and memory bias.
- 239 Missing data (8 for adherence for example) could also contribute to bias the results.

#### 240 Frequent self-medication linked to difficult access to care in French Guiana

This study showed that self-medication is very common in illegal gold miners working in FG:
53.7% resorted to self-medication for the last malaria episode. These results confirm previous
observations in a specific mining site in FG.<sup>7</sup>

244 The multivariate analyses shows that health-seeking behaviour depends on which country gold 245 miners worked in: being in FG during the last malaria attack was the main factor associated with 246 self-medication. This suggests that access to malaria diagnosis in FG is particularly difficult for 247 Brazilian gold miners compared to Brazil or Suriname. The main reason given by gold miners was the remoteness of the mine from the health care centers (93.4% versus 64% in the 248 249 Surinamese survey) and we could also add the illegality of their activities and residency in 250 France. Currently, in Suriname, Malaria Service Deliverers procure free malaria diagnostic tests 251 and treatment everywhere on the Surinamese territory, even in gold mining areas, with the program "Looking for Gold, Finding Malaria".<sup>3,21</sup> In Suriname, 50% of gold miners declared 252 253 having used self-medication during the past 18 months in 2013, but these results included people working in Suriname and in FG, without differentiation. Therefore, it was not representative of 254 the specific behaviour of gold miners in Suriname.<sup>8</sup> Thus, even if healthcare is free for everyone 255

in FG, in practice it is difficult to reach these healthcare structures for illegal gold miners whooften live days away.

Self-medication was also linked to personal malaria history: the more people had experienced malaria, the more they were likely to self-treat themselves. This link could also be explained by a general behaviour which associates: disregarding health issues, not protecting themselves from malaria and not seeking medical care. We could assume that the acquired knowledge about treatment after the first malaria attack could facilitate self-medication for future malaria episodes. Malaria treatment misconceptions were also associated with self-medication. This emphasizes the necessity to reinforce public health messages for this specific population.

# 265 Self-medication is quasi-exclusively associated with ACT intake

The majority of the drugs used in self-medication are artemisinin based combination therapies 266 (ACT) (90%). This is concordant with what was observed in Suriname (96.1%).<sup>8</sup> Treatment was 267 268 mainly Artecom®, produced by a Chinese firm, Tonghe Pharmaceutical Co.Ltd (Chongqing, China). This drug had good efficacy and tolerance in Africa and Asia.<sup>22,23</sup> However, Artecom® 269 270 has some weaknesses: the dihydroartemisinin dose may vary; and the there is no information on the dose of primaguine included on the Guiana Shield.<sup>24,25</sup> The information leaflet in a package of 271 Artecom<sup>®</sup> bought in the forest during the study mentioned the regimen in English and French 272 (two pills twice a day for two days), which is not understandable for most Brazilian miners. 273 Finally, the package indicated "protect from light and keep in a dry and cool place", which is 274 probably not feasible in illegal gold mining sites in the Amazonian forest. 275

### 276 Malaria treatment adherence is better when it is cheap and delivered by health workers

It is difficult to really evaluate adherence, generally based on self-reports or pill counts.<sup>26,27</sup> In 277 this study, the question "did pills remain when you have stopped the treatment?" was used to 278 allow comparison with the results from the Surinamese anthropological study<sup>8</sup> and because the 279 280 packaging of drugs used in our region (in legal or illegal market) contains one complete 281 treatment. A Brazilian study in the Amazon basin found a difference between self-reported nonadherence and pill counts (12.2% versus 21.8%).<sup>28</sup> But in Tanzania, the comparison of declared 282 adherence with adherence estimated through "smart blister packs" (Coartem® tablets with 283 284 microchip recording pills push out date and time) showed very similar results (64% of complete adherence versus 67%).<sup>29</sup> Studies assessing adherence refer to a current malaria attack. But in this 285 study, the behaviour concerned the last malaria attack which occurred at a median of two years 286 before. When the last malaria attack occurred long before, people were more likely to have 287 declared getting tested and having taken the complete malaria treatment. This may reflect a 288 289 memory bias the embellishment of reality towards the socially desirable answer. Malaria 290 diagnosis and treatment adherence might have been overestimated. Self-medication and poor adherence could therefore be even more frequent than reported.<sup>30</sup> 291 292 Treatment adherence was significantly better when treatment was given after getting a malaria 293 test (90.1% versus 60.9% if self-medication). This suggests that there was a real impact of getting tested and having malaria treatment with explanations from health workers. In the Surinamese 294 295 study, the same results were found with 78.9% of the miners who declared having completed the treatment when given by a health worker compared to 40.2% when self-medicated.<sup>8</sup> In 2015, a 296 meta-analyses observed a higher level of adherence to ACT in the public sector than in the retail 297 sector (76% versus 45%).<sup>26</sup> This could be explained by the fact that in the public sector, ACTs 298 are given for free with instructions by the health workers whereas informal drug stores dispense a 299 300 presumptive malaria treatment without clear instructions. A study in Uganda in 2016 reinforced

this idea as it found no association between testing and treatment adherence as long as the 301 treatment sent by shop vendors was associated with treatment information.<sup>31</sup> Beside the lack of 302 treatment information, the high cost of the treatment on the black market is another factor leading 303 to poor adherence. In fact, ACTs cost 1 to 3 grams of gold (25 to 90 USD), when miners gain 304 about 10 to 15 grams per week.<sup>32</sup> Therefore, most people declared interrupting the treatment as 305 soon as they felt better, and kept pills for the next malaria episode. Thus, the easy availability (for 306 free or at a low price) and explanation from health workers might explain the association between 307 308 malaria test and adherence.

309

#### **Putative emergence of artemisinin resistance in the parasite population of French Guiana**

This high level of self-medication raises the concern of selection for drug resistant parasites. In 311 Guyana (formerly English Guiana), 5% of the isolates collected in 2010 carried the C580Y pfK13 312 mutation.<sup>33</sup> Since then, no other mutations associated with artemisinin resistance in South East 313 Asia have been observed on the Guiana Shield.<sup>34</sup> Phenotyping methods identified one putative 314 resistant isolate with a survival rate above the threshold. However, this result was not confirmed 315 despite the conformity of the quality control (Cambodian strains). Therefore we could speculate 316 317 that these parasites exhibited a transient stage of resistance/tolerance that is not stable through time and not necessarily associated with mutations on the pfK13 gene. This phenotype could have 318 been lost during *in vitro* multiplication.<sup>35</sup> Therefore, resistance parameters to characterize parasite 319 320 resistance to artemisinin in South America still need to be validated.

Whether artemisinin resistance has already emerged or not, there is an urgent need foractions

Malaria resistance is a threat for global health throughout the world.<sup>36</sup> The risk factors for selection of resistance are well known and this study showed that they are present in French Guiana with people who self-medicated themselves with poor adherence. In addition, the quality of the drug could be altered by living conditions and poor storage conditions. Parasite phenotyping suggested that the first step of resistance selection was reached with some parasites exhibiting transient stage on the path of resistance.

329 Therefore, it is urgent to address the problem based on the data provided by the scientific evidence (the present study as well as references 6-8). To limit self-medication and poor 330 adherence, improving the access to diagnosis and free, or even cheap, medication delivered with 331 instructions for use are required. Countering false beliefs is also required: one third of 332 333 interviewed people thought that it was better to take a treatment even if the malaria test was 334 negative and 6.4% used ACT as chemoprophylaxis. Beside treatment improvement, individual 335 protection from vectors in these areas of high transmission is crucial and the distribution of 336 insecticide-treated nets should be improved. Gold miners are easily accessible on resting sites and are concerned about their health. Public health interventions in cooperation with Suriname and 337 Brazil should be considered to reduce malaria transmission and limit the risk of emergence of 338 339 artemisinin resistance, which would have disastrous health and economic consequences well beyond French Guiana.<sup>36</sup> 340

341

# 342 Acknowledgements

We thank Claude Flamand, Maria do Rosário O. Martins and Claire Cropet for helpfuldiscussions about statistical analyses.

345

# 346 Funding

- 347 This study was funded by European Funds for Regional Development (Feder), N° Presage 32078,
- 348 benefited from funding from Santé Publique France (French Ministry of Health) and was
- 349 supported by an "Investissement d'Avenir" grant managed by Agence Nationale de la Recherche
- 350 (CEBA, ref. ANR-10-LABX-25-01). The Funding bodies had no role in the study or the
- 351 publication process.
- 352

# 353 Transparency declarations

354 The authors declare that they have no competing interests.

355

356

358 REFERENCES

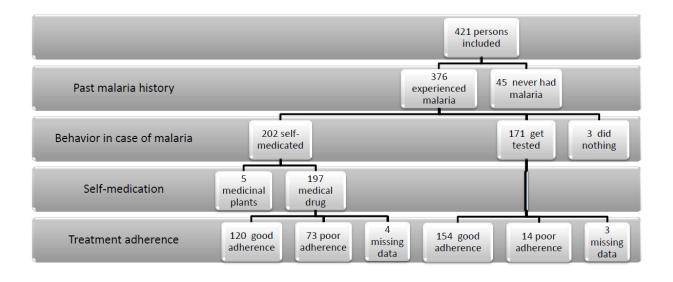
359

360 World Health Organization. 2015. Malaria in the World 2015. 1. 361 http://apps.who.int/iris/bitstream/10665/160460/1/WHO\_HTM\_GMP\_2015.2\_fre.pdf?ua=1&ua=1 362 &ua=1 363 Musset L, Pelleau S, Girod R, et al. Malaria on the Guiana Shield: a review of the situation in French 2. 364 Guiana. Mem Inst Oswaldo Cruz. 2014; 109:525-33. 365 3. Hiwat H, Hardjopawiro LS, Takken W, et al. Novel strategies lead to pre-elimination of malaria in 366 previously high-risk areas in Suriname, South America. *Malar J.* 2012;**11**:10. 367 4. Ardillon V, Eltges F, Chocho A, et al. Evolution de la situation épidémiologique du paludisme en 368 Guyane de 2005 à 2011. Bull Veille Sanit - Cire Antill-Guyane. 2012 ;1-2:5-11. 369 5. Préfecture de la Guyane 2014. Lutte contre l'orpaillage illégal en Guyane. 370 http://www.guyane.pref.gouv.fr/Publications/Etudes-sur-la-Guyane/Lutte-contre-l-orpaillage-371 illegale-Bilan-et-actions/%28language%29/fre-FR 372 Douine M, Musset L, Corlin F, et al. Prevalence of Plasmodium spp. in illegal gold miners in French 6. 373 Guiana in 2015: a hidden but critical malaria reservoir. Malar J. 2016;15:315. 374 Pommier de Santi V, Djossou FCL, Barthes N, et al. Malaria Hyperendemicity and Risk for 7. 375 Artemisinin Resistance among Illegal Gold Miners, French Guiana. Emerg Infect Dis. 2016; 22:903-376 6. 377 8. Heemskerk M, Duijves C. Study on the knowledge, attitudes and practices of malaria and malaria 378 treatment in the small-scale gold mining sector in Suriname. 2013. 379 http://siapsprogram.org/publication/study-on-the-knowledge-attitudes-and-practices-of-malaria-380 and-malaria-treatment-in-the-small-scale-gold-mining-sector-in-suriname/ 381 9. Gatton ML, Martin LB, Cheng Q. Evolution of Resistance to Sulfadoxine-Pyrimethamine in 382 Plasmodium falciparum. Antimicrob Agents Chemother. 2004; 48:2116–23. 383 10. White NJ. Antimalarial drug resistance. *J Clin Invest*. 2004; **113**:1084–92. 384 11. Packard RM. The origins of antimalarial-drug resistance. N Engl J Med. 2014; **371**:397–9. 385 12. D'Alessandro U, Buttiens H. History and importance of antimalarial drug resistance. Trop Med Int 386 *Health*. 2001; **6**:845–8. 387 13. Phompradit P, Muhamad P, Wisedpanichkij R, et al. Four years' monitoring of in vitro sensitivity 388 and candidate molecular markers of resistance of Plasmodium falciparum to artesunate-389 mefloquine combination in the Thai-Myanmar border. Malar J. 2014;13:23.

- 390 14. Dondorp AM, Nosten F, Yi P, et al. Artemisinin resistance in Plasmodium falciparum malaria. *N Engl* 391 *J Med.* 2009; **361**:455–67.
- Ashley EA, Dhorda M, Fairhurst RM, et al. Spread of Artemisinin Resistance in Plasmodium
   falciparum Malaria. *N Engl J Med*. 2014; **371**:411–23.
- Wangdi K, Gatton ML, Kelly GC, et al. Cross-border malaria: a major obstacle for malaria
   elimination. *Adv Parasitol*. 2015; **89**:79–107.
- Fairhurst RM, Nayyar GML, Breman JG, et al. Artemisinin-Resistant Malaria: Research Challenges,
   Opportunities, and Public Health Implications. *Am J Trop Med Hyg.* 2012; **87**:231–41.
- Witkowski B, Amaratunga C, Khim N, et al. Novel phenotypic assays for the detection of
   artemisinin-resistant Plasmodium falciparum malaria in Cambodia: in-vitro and ex-vivo drug response studies. *Lancet Infect Dis.* 2013; **13**:1043–9.
- 401 19. Ariey F, Witkowski B, Amaratunga C, et al. A molecular marker of artemisinin-resistant Plasmodium
   402 falciparum malaria. *Nature*. 2014; **505**:50–5.
- 403 20. Le Bras J, Deloron P, Ricour A, et al. Plasmodium falciparum: drug sensitivity in vitro of isolates
  404 before and after adaptation to continuous culture. *Exp Parasitol*. 1983; **56**:9–14.
- Breeveld FJ, Vreden SG, Grobusch MP. History of malaria research and its contribution to the
   malaria control success in Suriname: a review. *Malar J*. 2012;**11**:95.
- Wilairatana P, Krudsood S, Chalermrut K, et al. An open randomized clinical trial of Artecom vs
   artesunate-mefloquine in the treatment of acute uncomplicated falciparum malaria in Thailand.
   Southeast Asian J Trop Med Public Health. 2002; 33:519–24.
- 410 23. Menan H, Faye O, Same-Ekobo A, et al. Comparative study of the efficacy and tolerability of
  411 dihydroartemisinin-piperaquine-trimethoprim versus artemether-lumefantrine in the treatment of
  412 uncomplicated Plasmodium falciparum malaria in Cameroon, Ivory Coast and Senegal. *Malar J*.
  413 2011; **10**:185.
- Evans L 3rd, Coignez V, Barojas A, et al. Quality of anti-malarials collected in the private and
  informal sectors in Guyana and Suriname. *Malar J*. 2012; **11**:203.
- Pribluda VS, Evans L 3rd, Barillas E, et al. Were medicine quality and pharmaceutical management
  contributing factors in diminishing artemisinin efficacy in Guyana and Suriname? *Malar J*. 2014; **13**:77.
- Yakasai AM, Hamza M, Dalhat MM, et al. Adherence to Artemisinin-Based Combination Therapy for
  the Treatment of Uncomplicated Malaria: A Systematic Review and Meta-Analysis. *J Trop Med*.
  2015; 2015:189232.
- 422 27. Yeung S, White NJ. How do patients use antimalarial drugs? A review of the evidence. *Trop Med Int*423 *Health.* 2005; **10**:121–38.

- 424 28. Osorio-de-Castro CGS, Suárez-Mutis MC, Miranda ES, et al. Dispensing and determinants of non425 adherence to treatment for non complicated malaria caused by Plasmodium vivax and Plasmodium
  426 falciparum in high-risk municipalities in the Brazilian Amazon. *Malar J.* 2015;**14**.
- 427 29. Bruxvoort K, Festo C, Cairns M, et al. Measuring Patient Adherence to Malaria Treatment: A
  428 Comparison of Results from Self-Report and a Customised Electronic Monitoring Device. *PloS One*.
  429 2015; **10**:e0134275.
- 430 30. Amon J, Brown T, Hogle J, et al. Behavioral Surveillance Surveys, BSS. Guidelines for repeated
  431 behavioral surveys in populations at risk of HIV. USAID. 2000.
  432 http://www.who.int/hiv/strategic/en/bss\_fhi2000.pdf
- 433 31. Saran I, Yavuz E, Kasozi H, et al. Can rapid diagnostic testing for malaria increase adherence to
  434 artemether-lumefantrine?: A randomized controlled trial in Uganda. *Am J Trop Med Hyg.* 2016;
  435 94:857–67.
- 436 32. Le Tourneau FM. Les orpailleurs clandestins de Guyane française. Premier rapport d'étape dans
  437 l'étude FAG/CNRS. *CNRS*; 2016.
- 438 33. Chenet SM, Akinyi Okoth S, Huber CS, et al. Independent Emergence of the Plasmodium falciparum
  439 Kelch Propeller Domain Mutant Allele C580Y in Guyana. *J Infect Dis*. 2016; **213**:1472-5.
- 440 34. Ménard D, Khim N, Beghain J, et al. A Worldwide Map of Plasmodium falciparum K13-Propeller
  441 Polymorphisms. *N Engl J Med*. 2016; **374**:2453–64.
- 442 35. Hastings IM. Complex dynamics and stability of resistance to antimalarial drugs. *Parasitology*. 2006;
  443 **132**:615–24.
- 444 36. Lubell Y, Dondorp A, Guerin PJ, et al. Artemisinin resistance: modelling the potential human and
  445 economic costs. *Malar J*. 2014; **13**:452.

#### 447 Figure 1: Flow chart of the study\*

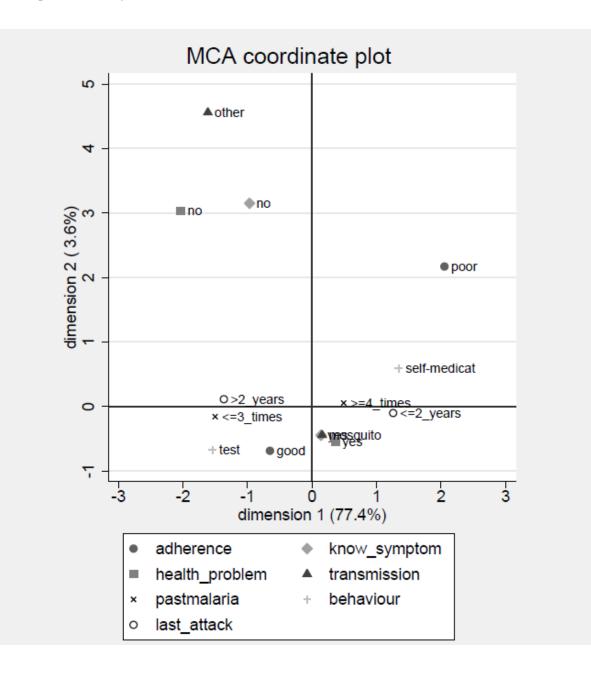


448

449 \* In our region, free medication is given to all persons who are tested positive for malaria so getting tested

450 for malaria and self-medication are mutually exclusive categories

- 452 Figure 2: Illegal gold miners behaviours towards malaria in French Guiana, analysed with Multiple
- 453 Correspondence analyses



# 455 Table 1: Logistic regression model for factors associated with self-medication in illegal gold miners working in French Guiana, 2015

456 (n=202/N=373)

	Self-medication	Univariate analyses		Multivariate analyses <sup>a</sup>	
	n/N (%)	OR [CI 95%]	<b>р</b> <sup>ь</sup>	AOR [CI 95%]	p
cio-demographic cha	racteristics				
age <sup>c</sup>					
<= 38 years	98/178 (55.06)	1	0.739	1	0.384
> 38 years	104/195 (53.33)	0.99 [0.98-1.01]		0.99 [0.97-1.01]	
sex <sup>c</sup>					
female	57/101 (56.44)	1	0.59	1	0.770
male	145/272 (53.31)	0.88 [0.56-1.40]		1.09 [0.61-1.96]	
country of birth					
other than Brazil	3/21 (14.29)	1	<0.001	1	<0.001
Brazil	199/352 (56.53)	7.8 [2.26-26.98]		10.74 [2.82-40.82]	
	the last three years <sup>d</sup>				
FG <sup>e</sup> and others	47/115 (40.87)	1	<0.001	1	0.016
FG <sup>e</sup> only	155/258 (60.08)	2.18 [1.39-3.41]		2 [1.14-3.55]	
itude and knowledge					
malaria is a major ł					
no	19/55 (34.55)	1	0.001		
yes	183/318 (57.55)	2.57 (1.41-4.67]			
better to treat even	if test negative <sup>9</sup>				
no	133/263 (50.57)	1	0.031	1	0.039
yes	69/110 (62.73)	1.64 [1.04-2.59]		1.82 [1.03-3.22]	
malaria stays all life	e <sup>h</sup>				
no	148/287 (51.57)	1	0.065		
yes	54/86 (62.79)	1.58 [0.97-2.60]			
cure without treatm	uent <sup>i</sup>				
no	180/342 (52.63)	1	0.046	1	0.036
	22/31 (70.97)	2.2 [0.98-4.92]		3.19 [1.08-9.46]	
yes protection against	mosquitoes				
sometimes/never	177/315 (56.19)	1	0.066		
	25/58 (43.10)	0.59 [0.34-1.04]			
always/often					
nical data	aria				
past history of mal	aria 30/91 (32.97)	1	<0.001	1	0.005
<=3 malaria attacks >= 4 malaria attacks	172/282 (60.99)	, 3.18 [1.93-5.23]		2.47 [1.31-4.64]	5.000
date of last malaria	attack				
<=2 years	130/194 (67.01)	1	<0,001	1	0.028
> 2 years	72/179 (40.22)	0.97 [0.96-0.98]		0.98 [0.97-1)	

place when last malaria attack

Brazil	4/60 (6.67)	1	<0.001	1	
French Guiana	188/274 (68.61)	30.60 [10.75- 87.11]		22.1 [7.39-66.04]	<0.001
other	10/39 (25.64)	4.82 [1.39-16.74]		6.11 [1.60-23.4]	0.008
Plasmodium spp	. PCR				
negative	162/286 (56.64)	1	0.081	1	0.002
positive	40/87 (45.98)	0.65 [0.40-1.05]		0.37 [0.20-0.68]	

a Hosmer-Lemeshow test: p=0,507

b obtained from the likelihood ratio test

c age and sex were forced

d countries were people worked for gold mining the last three years

e French Guiana (FG)

f considering malaria as a major health problem on mining sites

<sup>9</sup> thinking that it is better to take a malaria treatment even if the malaria test is negative, just to be sure

h thinking that malaria stay the all life in the body

i thinking that malaria can be cure without treatment

		Poor adherence	Univariate analyses		Multivariate analyses <sup>a</sup>	
		n/N (%)	OR [CI 95%]	p <sup>b</sup>	AOR [Cl 95%]	p
Socio-	demographic charac	teristics				
	sex <sup>c</sup>					
	female	29/98 (29.59)	1	0.142	1	0.184
	male	58/263 (22.05)	0.67 [0.40-1.14]		0.67 [0.37-1.21]	
	age <sup>c</sup>					
	<= 38 years	52/172 (30.23)	1	0.009	1	0.005
	> 38 years	35/189 (18.52)	0.52 [0.32-0.86]		0.97 [0.95-0.99]	
	work time in gold m	ining				
	<= 10 years	56/202 (27.72)	1	0.068		
	> 10 years	31/159 (19.50)	0.99 [0.98-1.01]			
Attitud	e and knowledge					
	better treat even if t	est negative <sup>d</sup>				
	no	51/256 (19.92)	1	0.004	1	0.016
	yes	36/105 (34.29)	2.10 [1.26-3.48]		2 [1.14-3.51]	
	malaria kills <sup>e</sup>					
	no	86/347 (24.78)	1	0.089		
	yes	1/14 (7.14)	0.23 [0.03-1.81]			
	protection against r	nosquitos				
	sometimes/never	78/304 (25.66)	1	0.097		
	always/often	9/57 (15.79)	0.54 [0.25-1.16]			
Clinica	II data					
	past history of mala	iria				
			4	0.112		
	<=3 malaria attack	16/89 (17.98]	1	0.112		

# 458 Table 2: Factors associated with poor malaria treatment adherence in illegal gold miners working in French Guiana, 2015 (N=87/361)

<=2 years	64/188 (34.04)	1	<0,001	1	0.003
> 2 years	23/173 (13.29)	0.96 [0.96-0.98]		0.98 [0.96-0.99]	
health-seeking bel	naviour <sup>f</sup>				
get tested	14/168 (8.33)	1	<0.001	1	<0.001
self-medication	73/193 (37.82)	6.69 [3.60-12.43]		6.03 [3.15-11.54]	
place when last ma	alaria attack				
Brazil	4/59 (6.78)	1	<0.001		
French Guiana	75/263 (28.52)	5.48 [1.92-15.67]			
other	8/39 (20.51)	3.54 [0.99-12.74]			

a Hosmer-Lemeshow test: p=0,799

b obtained from the likelihood ratio test

c age and sexe were forced

<sup>d</sup> thinking that it is better to take a malaria treatment even if the malaria test is negative, just to be sure

e thinking that malaria can kill

f for the last malaria attack

460 Table 3: Two clusters of persons in Ascending Hierarchical Classification (N=361)\*

	Cluster 1 N=213 n (%)	Cluster 2 N=148 n (%)	р
Variables included in ACH			
health-seeking behaviour			
get tested	161 (75.6)	7 (4.7)	
self-medication	52 (24.4)	141 (95.3)	<0,001
treatment adherence			
good	206 (96.7)	68 (45.9)	
poor	7 (3.3)	80 (54.1)	<0,001
date of last malaria attack			
<=2 years	56 (26.3)	132 (89.2)	
> 2 years	157 (73.7)	16 (10.8)	<0,001
malaria is a major health pro	blem		
no	44 (20.7)	11 (7.4)	<0,001
yes	169 (79.3)	137 (92.6)	
transmission pathway			
other	21 (9.9)	11 (7.4)	
mosquito	192 (90.1)	137 (92.6)	0,425
past history of malaria			
<=3 malaria attack	67 (31.5)	22 (14.9)	
>= 4 malaria attack	146 (68.5)	126 (85.1)	<0,001
symptoms knowledge			
no	28 (13.2)	17 (11.5)	
yes	185 (86.8)	131 (88.5)	0,639
Socio-demographical data			
sex			
female	50 (23.5)	48 (32.4)	
male	163 (76.5)	100 (67.6)	0,059
age			
<= 38 years	96 (45.1)	76 (51.4)	
> 38 years	117 (54.9)	72 (48.6)	0,239
education			
none/primary	113 (53.1)	69 (46.6)	
secondary/university	100 (46.9)	79 (53.4)	0,229
time in gold mining			
<=10 years	112 (52.6)	90 (60.8)	
> 10 years	101 (47.4)	58 (39.2)	0,121

461 \*N=120+73+154+14=361