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Plasma estradiol, estrogen receptor gene variation and ischemic arterial disease in postmenopausal women: the Three-City prospective Cohort Study --Manuscript Draft--

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Abstract:	<p>Background: In older postmenopausal women, high levels of endogenous estrogen have been related to adverse health outcomes including ischemic arterial disease (IAD). Whether estrogen receptor alpha (ESR1) and beta (ESR2) polymorphisms modulate the effects of estradiol on IAD has not been investigated.</p> <p>Methods: In the Three-City prospective cohort study among subjects over 65 years, we used a case-cohort design in which plasma levels of 17β-estradiol were measured. After exclusion of postmenopausal women using hormone therapy, a random subcohort of 533 women and 105 incident cases of first IAD events over 4-year of follow-up were analyzed. Five common polymorphisms of ESR1 and ESR2 were genotyped. Hazard ratios (HRs) of IAD for 1-standard deviation increase in estradiol levels by genotypes were estimated from Cox models after adjustment for cardiovascular risk factors and correction for multiple testing. We also investigated the role of haemostatis and inflammation as potential mediators.</p> <p>Results: Neither estradiol nor IAD risk were significantly associated with estrogen receptor polymorphisms. Overall, IAD risk increased with estradiol (HR:1.40, 95%CI:1.11-1.77). Stratified analysis by genotypes showed that estradiol was positively related to IAD risk in women with ESR1 rs9340799-AA genotype but not in women with AG/GG genotype (HR:1.62, 95%CI:1.22-2.17 and HR:1.03, 95%CI:0.81-1.30, respectively; p for interaction<0.05). Additional adjustment for haemostatic variables reduced the HR by about one third in women carrying rs9340799-AA genotype (HR:1.41, 95%CI:1.06-1.90).</p>

	Conclusion: ESR1 rs9340799 genotype may modify IAD risk related to high endogenous estradiol levels in older postmenopausal women. Hypercoagulability may act as a mediator.
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Dear Editor,

Please find enclosed our article entitled "**Plasma estradiol, estrogen receptor gene variation and ischemic arterial disease in postmenopausal women: the Three-City prospective Cohort Study**" submitted for publication as an original article. In this paper, we found that *ESR1* *rs9340799* genotype may modify IAD risk related to high endogenous estradiol levels in older postmenopausal women and hypercoagulability may act as a mediator.

Best regards,

Valérie Scarabin-Carré

1 **Plasma estradiol, estrogen receptor gene variation and ischemic arterial disease in**
2 **postmenopausal women: the Three-City prospective Cohort Study**

3
4 Short title: **Estradiol, Estrogen Receptor polymorphisms and Ischemic Arterial Disease**

5
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41

42

43 **ABSTRACT:**

44

45 **Background:** In older postmenopausal women, high levels of endogenous estrogen have been related
46 to adverse health outcomes including ischemic arterial disease (IAD). Whether estrogen receptor alpha
47 (*ESR1*) and beta (*ESR2*) polymorphisms modulate the effects of estradiol on IAD has not been
48 investigated.

49 **Methods:** In the Three-City prospective cohort study among subjects over 65 years, we used a case-
50 cohort design in which plasma levels of 17 β -estradiol were measured. After exclusion of
51 postmenopausal women using hormone therapy, a random subcohort of 533 women and 105 incident
52 cases of first IAD events over 4-year of follow-up were analyzed. Five common polymorphisms of
53 *ESR1* and *ESR2* were genotyped. Hazard ratios (HRs) of IAD for 1-standard deviation increase in
54 estradiol levels by genotypes were estimated from Cox models after adjustment for cardiovascular risk
55 factors and correction for multiple testing. We also investigated the role of haemostatis and
56 inflammation as potential mediators.

57 **Results:** Neither estradiol nor IAD risk were significantly associated with estrogen receptor
58 polymorphisms. Overall, IAD risk increased with estradiol (HR:1.40, 95%CI:1.11-1.77). Stratified
59 analysis by genotypes showed that estradiol was positively related to IAD risk in women with *ESR1*
60 *rs9340799*-AA genotype but not in women with AG/GG genotype (HR:1.62, 95%CI:1.22-2.17 and
61 HR:1.03, 95%CI:0.81-1.30, respectively; p for interaction<0.05). Additional adjustment for
62 haemostatic variables reduced the HR by about one third in women carrying *rs9340799*-AA genotype
63 (HR:1.41, 95%CI:1.06-1.90).

64 **Conclusion:** *ESR1 rs9340799* genotype may modify IAD risk related to high endogenous estradiol
65 levels in older postmenopausal women. Hypercoagulability may act as a mediator.

66

67 **INTRODUCTION**

68

69 Few epidemiologic studies have been conducted to assess the association between circulating
70 levels of endogenous estradiol and the risk of chronic diseases in postmenopausal women (1). Despite
71 an important estrogen deficiency in postmenopausal women, high plasma estradiol levels have been
72 related to several adverse health outcomes including breast cancer (2), dementia (3), frailty (4) and all-
73 cause mortality (5). In addition, previous data reported a positive relation of endogenous estrogens
74 with coronary heart disease (CHD) (6, 7) and stroke (8) but these associations were not independent of
75 traditional cardiovascular risk factors such as diabetes and body mass index (BMI). In a recent study,
76 we found that high plasma level of endogenous estradiol was an independent predictor of ischemic
77 arterial disease (IAD) in older postmenopausal women (9).

78 Estrogens exert their actions via two distinct types of estrogen receptors (ER): ER- α and ER- β ,
79 encoded by the estrogen receptor α gene (*ESR1*) located on chromosome 6 and the estrogen receptor β
80 gene (*ESR2*) located on chromosome 14, respectively. ERs are expressed in many tissues especially in
81 vascular endothelial and smooth muscle cells of cerebral and coronary arteries and may play a role in
82 the development of cardiovascular disease (10, 11). Previous studies suggested that the *rs2234693* and
83 *rs9340799* single nucleotide polymorphism might influence the expression of *ESR1* (12) and affect the
84 function of estrogen on arterial disease including CHD and stroke (13, 14). However, a recent meta-
85 analysis concluded to a lack of association between *ESR1* polymorphisms and CHD (15). Conflicting
86 results about the association of *ESR1* polymorphisms with the risk of stroke have been also reported
87 (16, 17). Similarly, data on association of *ESR2* polymorphisms (including *rs1256049* and *rs1271572*)
88 with the risk of CHD or stroke have not been consistent (18, 19).

89 Despite the potential role of estrogens and their receptors in the development of IAD, no study
90 has evaluated whether ER polymorphisms could modulate the effects of estradiol on IAD. Therefore,
91 we investigated the risk of CHD and ischemic stroke related to plasma estradiol levels by *ESR1* and
92 *ESR2* polymorphisms in a cohort study among postmenopausal women over 65 years, who did not use
93 hormone therapy. In addition, since estradiol is known to be associated with haemostatic and

94 inflammation parameters (20, 21), their role was evaluated as potential mediators in the association of
95 both estradiol and ER polymorphisms with IAD risk.

96

97 **METHODS**

98

99 **Population study**

100

101 The Three-City (3C) study is a large ongoing French prospective cohort designed to evaluate
102 the risk of dementia, CHD and stroke, in subjects over 65 years. The study was approved by the Ethics
103 Committee of the University Hospital of Kremlin-Bicêtre and a written informed consent was obtained
104 for all participants. A detailed methodology of the study has been previously described (22). Briefly,
105 3,649 men and 5,645 women, not institutionalized, registered on electoral rolls in 3 French cities
106 (Bordeaux, Dijon and Montpellier), were recruited between 1999 and 2001. Baseline data were
107 collected for each participant by trained psychologists or nurses using standardized questionnaires
108 during a face-to-face interview. Moreover, each subject underwent a clinical examination to measure
109 blood pressure, weight and height.

110

111 **Baseline covariates**

112

113 Information on sociodemographic characteristics, education, medical history, medication use,
114 smoking status and consumption of alcohol were systematically collected at baseline. Women were
115 classified as current hormone therapy users if they used hormone therapy at any time during the 3
116 months before the inclusion. BMI was calculated as weight in kilograms divided by height in meters
117 squared. Hypertension status was defined as a high blood pressure measurement (systolic blood
118 pressure ≥ 140 mmHg and/or diastolic blood pressure ≥ 90 mm Hg) or antihypertensive treatment at
119 inclusion. Participants were categorized as diabetic if their fasting blood glucose level exceeded
120 1.26g/L (or 7mmol/L) or if they used current treatment for diabetes at baseline. Hypercholesterolemia
121 was considered present if the fasting blood total cholesterol level was above 2.40g/L or if the subjects

122 were treated for hypercholesterolemia. Smoking status was studied in three categories (never, past and
123 current).

124

125 **Follow-up and event ascertainment**

126

127 Every 2 years after inclusion, the participants have been reexamined for the detection of
128 dementia and IAD events. For this study, we focused on data collected over the 4-year follow-up. IAD
129 consisted of either CHD or ischemic stroke during the follow-up. These events were adjudicated
130 within an independent group of experts using medical documentation. CHD was defined as a
131 hospitalization for either stable or unstable angina pectoris, coronary dilatation, artery by-pass,
132 myocardial infarction, or CHD death. Non-fatal CHD were ascertained using hospital charts and
133 practitioners' reports. CHD deaths were validated by reviewing hospital records, medical data
134 obtained from family physicians or specialists and proxy interviews (coded I210 to 219, I251 to 259,
135 I461 and R960 according to 10th version of the International Classification Disease) as described (23).
136 Stroke events were defined as a rapid onset of a neurological deficit lasting more than 24 hours and
137 confirmed by a lesion compatible with an acute stroke on computed tomography or magnetic
138 resonance imaging of the brain. Ischemic or hemorrhagic stroke events were classified by a review of
139 brain imaging. Our study focused on IAD and hemorrhagic stroke events were therefore excluded. For
140 subjects who presented with both CHD and ischemic stroke during follow-up, we used the first
141 cardiovascular event that occurred.

142 **Case-cohort study**

143

144 A case-cohort study was designed from the 3C study to investigate the association of blood
145 biomarkers with cardiovascular risk and dementia (24). The case-cohort study design was described
146 firstly by Prentice (25) and consists of a random sample of the original cohort together with all
147 incident cases of this cohort. In practice, after exclusion of men (n=1,264), current users of hormone
148 therapy (n=120), women with a personal history of CHD or stroke at baseline (n=86) and lost for

149 follow-up (n=16), the subcohort included 537 women (522 non-cases and 15 cases) to which 91
150 incident cases of IAD outside the subcohort were added. The detailed flowchart has been described
151 (9). Data on all ER polymorphisms were missing for 5 subjects. The final sample therefore consisted
152 of 623 women, 518 non-cases and 105 incident cases of a first IAD event including 67 CHD and 38
153 ischemic strokes.

154

155 **Blood collection and laboratory methods**

156

157 At baseline, blood samples were collected for more than 90% of the full cohort. Plasma
158 samples were available for all the subjects included in the case-cohort study. EDTA plasmas were
159 obtained after one centrifugation at 3,000g and immediately stored at -80°C in 1-mL plastic tubes.

160 Plasma total estradiol was measured with a sensitive direct radioimmunoassay (RIA) using an
161 Orion Diagnostica device (Spectria, Espoo, Finland). The minimum detectable concentration was
162 2pg/mL (7.3pmol/L). The intraassay and interassay coefficient of variation (CV) were 17.6% and
163 18.1% respectively, for total estradiol concentrations of 3.2pg/mL (12pmol/L) and were 2.8% and
164 5.8% respectively, for total estradiol concentrations of 24pg/mL (88pmol/L).

165 DNA was extracted from white blood cells (Puregene Kit, Qiagen, France) and stored at -80°C.
166 Genotyping of ER polymorphisms was performed by Kbiosciences (Hoddesdon Herts, UK) using their
167 competitive allele specific polymerase chain reaction (PCR) single-nucleotide polymorphism (SNP)
168 genotyping system (KASPar). Fluorescence scanning in a BMG Labtech Pherastar scanner analyzed
169 the amplified PCR products and the results were interpreted with KlusterCaller 1.1 software. The error
170 rate for the KASPar assay system is less than 0.3%. The two most commonly studied *ESR1*
171 polymorphisms were investigated: *rs2234693* and *rs9340799* (otherwise known as *PvuII* and *XbaI*),
172 which are located at position 397 and 351 of intron 1, respectively. Three *ESR2* polymorphisms were
173 genotyped: *rs1256049* (otherwise known as *RsaI*), *rs4986938* (otherwise known as *AluI*) and
174 *rs1271572*, which are located in position 1082 of exon 5, in position 1730 of the 3'-untranslated region
175 of exon 8 and in the promoter region, respectively. The selection of these polymorphisms was based

176 on previously published associations with risk of CHD and stroke (15, 17, 18) and possible functional
177 significance (12, 26, 27).

178 Laboratory methods for haemostatic variables and CRP have been described (20, 24, 28, 29).
179 Briefly, fibrinogen was measured in citrated plasmas by the kinetic method of Clauss and von
180 Willebrand factor and fibrin D-dimer by ELISAs. Thrombin generation was measured by the
181 calibrated automated thrombography. We used peak height (highest value of thrombin generated), and
182 endogenous thrombin potential (ETP; total quantity of thrombin generated) in our analyses. High-
183 sensitivity serum C-reactive protein (hs-CRP) was measured using a particle-enhanced turbidimetric
184 immunoassay.

185

186 **Statistical analyses**

187

188 Chi-square tests were used to compare the distribution of ER genotypes with those predicted
189 under the Hardy-Weinberg equilibrium in the subcohort. Pairwise linkage disequilibrium between the
190 different polymorphisms was analyzed by using Thesias software in the subcohort (30).

191

192 Baseline characteristics of all subjects in the subcohort are presented as frequencies for
193 categorical variables and arithmetic means with standard deviations for continuous variables that
194 presented a normal distribution. Variables with a positive skewed distribution were log-transformed
195 and values were expressed as geometric means and interquartile ranges. Baseline characteristics of
196 subjects in the subcohort were compared by *rs9340799* genotypes using χ^2 tests and 2-tailed Student's
197 *t*-tests. Mean levels of total estradiol were compared by ER polymorphisms in women of the subcohort
198 using ANOVA tests adjusted for study center and traditional cardiovascular risk factors including
199 BMI, diabetes, hypertension, hypercholesterolemia and smoking status. In addition, Pearson's
200 correlations were estimated between haemostatic variables and estradiol by ER genotype in the
201 subcohort.

202

203 The association of both estradiol levels and ER polymorphisms with IAD risk and their
204 interactions were investigated using weighted Cox proportional-hazards models adapted for the case-
205 cohort design by the method of Barlow (31, 32). Because age is sharply associated with IAD risk, age
206 as time-scale was used as recommended (33). The proportional hazards assumption was checked for
207 each model. Additive, dominant and recessive models were initially examined to determine which
208 genetic model best described the data. Therefore, results are presented assuming a genotype model in
209 dominant model with a 2-level categorical variable. The hazard ratios (HRs) and 95% confidence
210 intervals (95%CI) by ER genotypes were estimated for 1-standard deviation increase in the log-
211 transformed estradiol distribution. Estradiol x ER genotypes interaction terms were introduced into
212 statistical models. The HRs for IAD were adjusted for study center and traditional cardiovascular risk
213 factors including BMI, diabetes, hypertension, hypercholesterolemia and smoking status. In addition,
214 given that five SNPs were investigated, Bonferroni correction for multiple comparisons was used. The
215 HRs for IAD were further adjusted for haemostatic and inflammation markers including fibrinogen,
216 thrombin generation, von Willebrand factor, fibrin D-dimer and hs-CRP, to evaluate the part of the
217 excess risk explained by these variables. Of note, data on haemostatic and inflammation variables
218 were missing for 25 subjects.

219 For each ER polymorphism, the risk of IAD was also investigated by tertiles of estradiol
220 distribution and the low tertile was chosen as the reference group. Tests for linear trend across the 3
221 categories of estradiol levels were used to investigate the significance of the variables, after having
222 evaluated the linearity of the associations. To assess the linearity of the relation of estradiol levels with
223 IAD risk, we used tests based on the difference in the log-likelihood between two models of prediction
224 (one with 2 dummy variables corresponding to the tertiles of the estradiol levels and the other
225 including the qualitative ordinal variable in 3 categories). All tests were non-significant and we did not
226 reject the hypothesis of linearity.

227 Finally, a separate analysis was performed for CHD and ischemic stroke, including the first
228 arterial event. Similarly to analyses on IAD risk, the HRs of CHD and ischemic stroke in relation to
229 estradiol levels were estimated by ER polymorphisms with a multivariate Cox model.

230

231 Statistical analyses were performed with the Statistical Analysis System software version 9.2
232 (SAS Institute Inc, Cary, NC).
233

234 **RESULTS**

235

236 Baseline characteristics are described for 533 women in the whole subcohort and according to
237 *rs9340799* genotype (table 1). The distribution of *ESR1 rs9340799* polymorphisms in the subcohort
238 was similar to those expected in Caucasian subjects, as follows: 45.6%, 42.9%, and 11.5% for AA,
239 AG and GG respectively. No significant difference was detected among traditional cardiovascular risk
240 factors between women with *rs9340799*-AA genotype and those with *rs9340799*-AG/GG genotype.
241 Mean levels of total estradiol were similar across *rs9340799* genotypes. There was no significant
242 association between estradiol levels and other ER polymorphisms (data not shown).

243

244 The genotypic frequencies of ER polymorphisms were in agreement with the Hardy–
245 Weinberg equilibrium. The two *ESR1* polymorphisms were in strong linkage disequilibrium and so
246 were the three *ESR2* polymorphisms (all *D'* ranged from 0.90 to 1.00).

247

248 No significant association was found between IAD risk and the different ER polymorphisms
249 (data not shown). After adjustment for traditional cardiovascular risk factors, plasma estradiol levels
250 were positively associated with IAD risk in the whole population sample (HR:1.40 for 1-SD log
251 estradiol change, 95%CI:1.11-1.77). Adjusted HR for IAD events and 95% CI in relation to estradiol
252 levels by ER polymorphisms are given in table 2 before and after Bonferroni correction. With regard
253 to *ESR1 rs9340799* polymorphism, the risk of IAD was positively associated with total estradiol in
254 women with AA genotype but not in women with AG/GG genotype (HR:1.62, 95% CI:1.22-2.17 and
255 HR:1.03, 95%CI:0.81-1.30, respectively; *p* for interaction<0.05). In women with AA genotype, high
256 levels of estradiol increased significantly the risk of IAD compared to the lowest estradiol levels (HR:
257 3.78, 95%CI:1.59-8.99 for the higher tertile vs the lower tertile, *p* for linear trend<0.01). After
258 Bonferroni correction for multiple testing, the estradiol x *rs9340799* genotype interaction remained
259 significant. Similar associations were observed for *rs2234693* polymorphism, although the interaction
260 between estradiol levels and *rs2234693* polymorphism was not significant. No significant interaction
261 was detected between estradiol levels and ER- β polymorphisms on IAD risk.

262

263 Estradiol was positively correlated to fibrinogen ($r=0.21$, $p<0.01$), peak height of thrombin
264 generation ($r=0.10$, $p=0.13$) and hs-CRP ($r=0.38$, $p<0.01$) in women with *rs9340799*-AA genotype.
265 After additional adjustment for haemostatic variables, the HR for IAD risk in women with AA
266 genotype (HR:1.58 for 1-SD log estradiol change, 95%CI:1.21-2.05) was reduced to 1.41
267 (95%CI:1.06-1.90), suggesting that hypercoagulability explained 29 % of the excess risk of IAD.
268 Adjustment for hs-CRP alone or combined with haemostatic variables made no substantial change to
269 the results (data not shown).

270

271 When the analyses were stratified by type of arterial events, total estradiol was positively
272 associated with CHD risk in women with *rs9340799*-AA genotype, but not in women with *rs9340799*-
273 AG/GG genotype (adjusted and corrected HR: 1.93, 95% CI: 1.28-2.91 and HR: 1.01, 95% CI: 0.76-
274 1.35, respectively; p for interaction <0.05). No significant interaction was found between *rs9340799*
275 genotype and estradiol for the risk of ischemic stroke.

276

277

278 **DISCUSSION**

279

280 In this prospective study, neither estradiol levels nor IAD risk were significantly associated
281 with ER polymorphisms in older postmenopausal women. However, we found that genetic variations
282 in *ESR1* modified the risk of IAD related to high endogenous estradiol levels. Plasma estradiol levels
283 were positively associated with IAD risk in women carrying *rs9340799*-AA genotype but not in
284 women carrying *rs9340799*-AG/GG genotype. The excess risk of IAD in women with AA genotype
285 was partially explained by hypercoagulability. No interaction between estradiol levels and other ER
286 polymorphisms was observed in relation with the risk of IAD.

287

288 Many studies have investigated the association of ER polymorphisms with the risk of IAD but
289 previous data led to conflicting results. The reasons for such discrepancies include differences in study
290 population, design and analysis, together with a strong heterogeneity in quality of investigations (15).
291 Importantly, while the effect of ER polymorphisms on IAD may be different for women and men, few
292 data were stratified by sex. Furthermore, use of hormone therapy and/or menopause status were not
293 taken into account in most studies among women and ultimately, only few studies focused on
294 postmenopausal women. A population-based prospective cohort study including 3,488 older
295 postmenopausal women showed a positive association of *ESR1 rs2234693*-T/*rs9340799*-A haplotype
296 with the risk of myocardial infarction (34). However, although the results were adjusted for use of
297 hormone therapy, no data were given among women not using estrogens. Consistently, another study
298 excluding women using hormone therapy, reported an increased risk of stroke in women carrying
299 *ESR1 rs2234693*-TT (17). By contrast, a Japanese case-control study including highly selected
300 postmenopausal women reported that the *ESR1 rs2234693*-CC and *rs9340799*-GG genotypes were
301 more common in women with coronary artery disease than in controls (35). With regard to *ESR2*
302 polymorphisms, the Women's Health Study showed that *rs1256049*-G and *rs1271572*-T alleles
303 increased CHD risk but not stroke risk, whereas *rs4986938* polymorphism was associated with neither
304 CHD nor stroke (18). Most other investigations among postmenopausal women failed to detect an
305 association of either CHD or stroke risk with *ESR1* and *ESR2* polymorphisms (16, 19, 36-38).

306 Therefore, our data are consistent with these null findings which are also supported by a meta-analysis
307 (15).

308

309 Few studies investigated the interaction between estradiol and ER polymorphisms in relation
310 to health outcomes in postmenopausal women. Interestingly, a dutch case-cohort study showed that
311 the positive association between estradiol and breast cancer risk was more pronounced among women
312 with *rs2234693*-CT/ TT genotype than among those with *rs2234693*-CC genotype (39). This result
313 may be relevant to our finding because both *rs2234693* and *rs9340799* are in linkage disequilibrium.
314 To our knowledge, the 3C study is the first to show that *ESR1* polymorphisms may modulate the
315 association between plasma estradiol levels and IAD risk. As previously described, plasma estradiol
316 levels were positively associated with IAD risk in the whole population irrespective of ER genotypes
317 (9). Adjustment for traditional cardiovascular risk factors yielded similar results suggesting no major
318 mediator role of obesity, diabetes, hypercholesterolemia, hypertension and smoking status. Therefore,
319 we can hypothesize that the relation between estradiol an IAD risk among women with *rs9340799*-AA
320 genotype could be driven by other mechanisms involved in atherothrombosis such as inflammation
321 and hypercoagulability. Both estradiol levels and IAD risk are known to be positively associated with
322 CRP in postmenopausal women (21, 29, 40). Moreover, one study reported that the *rs2234693*-T allele
323 carriers exhibited an enhanced inflammatory response compared to the CC homozygous (41). Of
324 note, while *rs2234693* and *rs9340799* polymorphisms are in linkage disequilibrium, inflammation
325 could be similarly increased in women with *rs9340799*-AA genotype as those with *rs2234693*-TT
326 genotype. Nevertheless, in our study, the additional adjustment for hs-CRP did not change the results,
327 suggesting that the inflammation did not explain the excess of IAD risk. Alternatively, given that high
328 estradiol levels and IAD risk are known to be associated with hypercoagulability(20, 24, 28, 42), the
329 association between estradiol and IAD risk could also be mediated through haemostatic variables.
330 Interestingly, a nested case-control study in the Women's Health Initiative trials reported an increased
331 response of plasmin-antiplasmin to hormone therapy in women with *rs9340799*-AA genotype
332 compared to women with *rs9340799*-AG or *rs9340799*-GG genotypes(38). Although exogenous and
333 endogenous estrogens might have different metabolic and vascular effects, it can be suggested that

334 high endogenous estradiol levels play a role in the thrombotic component of IAD through blood
335 coagulation and fibrinolysis activation. In our study, hypercoagulability explained a part of the relation
336 between estradiol and IAD risk in women with *rs9340799*-AA genotype. Further adjustment for hs-
337 CRP did not change the results, suggesting that changes in coagulation pathway play a more important
338 role in the development of IAD than inflammation. Other unmeasured haemostatic variables could
339 also be involved in the association of IAD risk with estradiol in women with *rs9340799*-AA genotype
340 and further data on clotting factors are required.

341 Increased IAD risk in women with elevated estradiol levels and carrying *rs9340799*-AA
342 genotype does not necessarily imply that relationship is causal. First, our findings could be due to the
343 chance. Second, studied genetic variants may be highly correlated with other variants within *ESR1* or
344 nearby genes that are themselves “causal” in the development of IAD, suggesting that the ER
345 polymorphisms are not the only factor that determines the effect of estrogen on IAD. Few studies
346 support the functional importance of *ESR1* polymorphisms altering the quantity or the quality of ER- α
347 transcripts or resulting proteins and the underlying mechanisms related to a direct effect of ER
348 polymorphism in vascular biology remain to be clarified (12, 27, 43).

349

350 The strengths of our study include the prospective population-based and multicenter cohort
351 design with a high participation rate during the 4 years of follow-up. Moreover, baseline data were
352 collected by a direct interview and high-quality methods of ascertainment of cardiovascular risk
353 factors and disease outcome were used. In addition, estradiol measurements and genotyping were
354 conducted without knowledge of the case or non-case status, using validated methodology.
355 Furthermore, our genetic-association study cannot be influenced by stratification population or
356 heterogeneity because most participants were Caucasian.

357

358 Our study has several limitations. Firstly, the small number of incident cases may result in a
359 lack of statistical power, especially for subgroup analyses by CHD or stroke event. In addition,
360 multiple comparisons by different polymorphisms could result in falsely positive findings. However,
361 our results remained significant after Bonferroni correction for multiple testing. Secondly, our

362 population study included older women who were aged 73 years on average and mostly Caucasian.
363 Our data cannot therefore be generalized to younger postmenopausal women or other ethnics. With
364 regard to the sex steroid hormones assays, especially at low levels of estradiol in postmenopausal
365 women, conventional RIAs with preceding purification steps would provide more reliable and
366 accurate measurements of plasma estradiol as compared with direct RIA (44). However, measurement
367 error related to direct RIA would bias our analysis toward the null hypothesis, resulting in a potential
368 underestimation of the true associations (1). On the other hand, the blood concentration of estrogens
369 does not necessarily reflect the biologically active forms at the tissue level, as they are dependent on
370 the local enzyme activity and the binding on the protein transporters such as sex hormone binding
371 globulin.

372

373

374 In conclusion, *ESR1 rs9340799-AA* genotype may increase IAD risk related to high
375 endogenous estradiol levels in older postmenopausal women and hypercoagulability may explain a
376 part of this excess of risk. These innovative results have the potential to improve the stratification of
377 IAD risk in postmenopausal women whose IAD risk may depend upon both plasma estradiol levels
378 and *ESR1* genotype and their interaction. However, further investigations with larger sample size are
379 needed to replicate these findings and to assess the interaction between estradiol levels and *ESR1*
380 genetics polymorphism on CHD and stroke risk separately.

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392

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1 **Table legends:**

2

3 **Table 1.** Baseline characteristics of participants in subcohort of 3C study by *ESR1 rs9340799*

4 genotype

5 **Table 2.** Hazard Ratios of IAD events in relation to 1 SD log increase of estradiol levels by ER

6 polymorphisms among 623 postmenopausal women of 3C case-cohort study

7

8 **Table 1. Baseline characteristics of participants in subcohort of 3C study by *ESR1 rs9340799***
 9 **genotype**

10

Characteristic	All subjects (n=533)		<i>ESR1 rs9340799</i> Genotype**		p value*
			AA (n=241)	AG/GG (n=288)	
	Age, mean (SD), y	74.4 (5.3)	74.8 (5.2)	74.1 (5.4)	
BMI, mean (SD), kg/m ²	25.7 (4.8)	25.8 (4.7)	25.7 (4.9)	0.89	
Hypertension, n (%)	407 (76.4)	186 (45.9)	219 (54.1)	0.76	
Diabetes, n (%)	38 (7.2)	17 (44.7)	21 (55.3)	0.89	
Hypercholesterolemia, n (%)	338 (63.4)	160 (47.6)	176 (52.4)	0.21	
Smoking, n (%)					
Never	435 (81.6)	202 (46.8)	230 (53.2)	0.33	
Past	73 (13.7)	27 (37.5)	45 (62.5)		
Current	25 (4.7)	12 (48.0)	13 (52.0)		
Total Estradiol, GM (IQR), pg/mL	5.24 (3.51-8.00)	5.23 (3.57-7.90)	5.28 (3.15-8.33)	0.87	

* p value obtained from t-tests or χ^2 tests for all characteristics except for total estradiol (ANOVA adjusted for study center, BMI, diabetes, hypertension, hypercholesterolemia and smoking status)

** 4 missing values

11

GM : Geometric Mean ; IQR : Interquartile Range

12

13 **Table 2. Hazard Ratios of IAD events in relation to 1 SD log increase of estradiol levels by ER**
 14 **polymorphisms among 623 postmenopausal women of 3C case-cohort study**

15

		Ischemic Arterial Disease** (n=623)									
		No. of Events	HR (95% CI)	Marginal p [†]	Corrected p ^λ	No. of Events	HR (95% CI)	Marginal p [†]	Corrected p ^λ	Marginal p interaction	Corrected p interaction ^λ
ESR1	rs2234693*	TT (n=190)				TC/CC (n=421)					
	Total Estradiol, pg/mL For 1 SD log	29	1.52 (1.06-2.18)	0.02	0.11	74	1.15 (0.94-1.42)	0.18	0.91	0.13	0.65
	T1 < 4.21	4	1 [reference]	0.04	0.22	20	1 [reference]	0.44	1.00		
	T2 [4.21-7.27]	13	3.39 (1.02-11.29)			28	1.61 (0.90-2.89)				
	T3 ≥ 7.27	12	3.09 (0.98-9.82)			26	1.25 (0.65-2.38)				
	rs9340799*	AA (n=280)				AG/GG (n=338)					
	Total Estradiol, pg/mL For 1 SD log	47	1.62 (1.22-2.17)	<0.01	<0.05	56	1.03 (0.81-1.30)	0.84	1.00	0.01	<0.05
	T1 < 4.21	7	1 [reference]	<0.01	<0.05	18	1 [reference]	0.54	1.00		
	T2 [4.21-7.27]	19	3.14 (1.33-7.45)			21	1.22 (0.65-2.27)				
	T3 ≥ 7.27	21	3.78 (1.59-8.99)			17	0.76 (0.36-1.61)				
ESR2	rs1256049*	GG (n=559)				AG/AA (n=56)					
	Total Estradiol, pg/mL For 1 SD log	100	1.22 (1.01-1.48)	0.04	0.20	5	3.06 (0.53-17.76)	0.21	1.00	0.30	1.00
	T1 < 4.21	23	1 [reference]	0.10	0.50	3	1				
	T2 [4.21-7.27]	40	1.79 (1.06-3.02)			1	NA				
	T3 ≥ 7.27	37	1.60 (0.91-2.82)			1	NA				
	rs4986938*	AA (n=100)				AG/GG (n=516)					
	Total Estradiol, pg/mL For 1 SD log	21	1.85 (1.17-2.94)	<0.01	<0.05	84	1.09 (0.88-1.34)	0.42	1.00	0.10	0.50
	T1 < 4.21	1	1 [reference]	<0.01	<0.05	25	1 [reference]	0.75	1.00		
	T2 [4.21-7.27]	12	22.47 (2.20-229.87)			29	1.03 (0.60-1.76)				
	T3 ≥ 7.27	8	9.65 (0.91-102.43)			30	1.10 (0.62-1.95)				
rs1271572*	GG (n=206)				GT/TT (n=404)						
Total Estradiol, pg/mL For 1 SD log	36	1.36 (0.95-1.94)	0.09	0.47	67	1.20 (0.96-1.51)	0.11	0.54	0.68	1.00	
T1 < 4.21	8	1 [reference]	0.22	1.00	16	1 [reference]	0.37	1.00			
T2 [4.21-7.27]	14	1.96 (0.85-4.51)			27	1.57 (0.82-2.99)					
T3 ≥ 7.27	14	1.74 (0.68-4.44)			24	1.37 (0.69-2.73)					

* 12 missed values for rs2234693, 5 for rs9340799, 8 for rs1256049, 7 for rs4986938 and 13 for rs1271572

** Including 67 CHD and 39 stroke events

† P value for continuous variable and p for trend for variable in tertiles

λ P value after Bonferroni correction

Model adjusted for study center, BMI, diabetes, hypertension, hypercholesterolemia and smoking status

16

