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## Hepatitis C virus entry

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**Abbreviations:** Apo: apolipoprotein; CD81: cluster of differentiation 81; CLDN1: claudin 1; EGFR: epidermal growth factor receptor; EphA2: ephrin receptor A2; HCV: hepatitis C virus; HCVcc: cell culture-derived HCV; HCV-LPs: HCV-like particles; HCVpp: HCV pseudoparticles; HDL: high-density lipoprotein; IgG: immunoglobulin G; JFH1: Japanese fulminant hepatitis 1; LDL: low-density lipoprotein; LDLR: low-density lipoprotein receptor; LT: liver transplantation; mAb: monoclonal antibody; NPC1L1: Niemann-Pick C1-like 1; OCLN: occludin; PKA: protein kinase A; RTKs: receptor tyrosine kinases; SR-BI: scavenger receptor class B type I (alias SCARBI); TG: triglyceride; VLDL: very-low-density lipoprotein

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**Figure: 1**

## **Abstract**

Hepatitis C virus (HCV) is a hepatotropic virus and a major cause of chronic hepatitis and liver disease worldwide. Initial interactions between HCV virions and hepatocytes are required for productive viral infection and initiation of the viral life cycle. Furthermore, HCV entry contributes to the tissue tropism and species specificity of this virus. The elucidation of these interactions is critical, not only to understand the pathogenesis of HCV infection, but also to design efficient antiviral strategies and a vaccine. This review summarizes our current knowledge of the host factors required for the HCV-host interactions during HCV binding and entry, our understanding of the molecular mechanisms underlying HCV entry into target cells, and the relevance of HCV entry for the pathogenesis of liver disease, antiviral therapy and vaccine development.

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### **1. Discovery and characterization of hepatitis C virus binding and entry factors**

Hepatitis C virus (HCV) is a small, enveloped RNA virus that primarily targets human hepatocytes (reviewed in ). The HCV envelope is composed of two virus-encoded glycoproteins, E1 and E2 (reviewed in ). As with other enveloped viruses, the envelope glycoproteins largely define the interactions between HCV and the host cell. Moreover, HCV has been demonstrated to circulate in the blood of infected individuals in complexes with host lipoproteins and lipoprotein components , which also contribute to HCV-host cell interactions. Since the discovery of HCV in 1989 , increasingly complex model systems have been designed to study HCV host-interactions that have allowed identification of key cell factors required for binding of HCV particles to the hepatocyte surface and entry into this cell (reviewed in ). Some of these factors have been demonstrated to be involved in direct HCV envelope glycoprotein binding and/or subsequent viral entry, and are thus likely to act directly as receptors and co-receptors while others act as indirect, albeit essential, entry factors (Fig. 1).

The first identified entry factors were discovered by their capacity to bind directly to HCV envelope glycoprotein E2. Pileri et al., knowing that purified E2 protein could bind to human hepatocellular carcinoma cell lines, but not mouse cell lines, transduced a cDNA expression library derived from HCV-binding cells into non-binding cells and identified the tetraspanin CD81 as a direct binding partner of E2 . Subsequently, it has been demonstrated that CD81 contributes to post-binding steps of the HCV entry process resulting in the

internalization of the viral particle . The observation that HCV RNA in patient serum was associated with lipoproteins prompted Agnello et al. to assess the role of low-density lipoprotein receptor (LDLR) as an HCV receptor. Indeed, by using *in situ* hybridization of HCV RNA in HepG2 hepatoma cells, that were exposed to HCV, the authors showed that LDLR is important for uptake of HCV . Recent evidence suggests that LDLR most likely is not an essential entry factor, but given its role in cholesterol metabolism it may play a role in HCV replication .

Other factors that were determined to bind directly to HCV E2 were identified by observations garnered from human immunodeficiency virus (HIV) and other viruses. This strategy revealed that HCV E2 could bind the C type lectins dendritic cell-specific intercellular adhesion molecule 3-grabbing nonintegrin (DC-SIGN), and liver/lymph node-specific intercellular adhesion molecule 3-grabbing integrin (L-SIGN) . These findings are intriguing in the role that they play in hepatocyte entry, since these molecules are not on the surface of hepatocytes, but are rather on dendritic cells and liver endothelial cells for DC-SIGN and L-SIGN, respectively. It is thought that these molecules may play a similar role as DC-SIGN plays in HIV, as an avenue of transinfection, in which the virus passes through a different type of cell to capture and localize the virus for infection of the hepatocytes that can sustain replication .

Soon after the identification of CD81 as a host factor playing a role in HCV entry, experimental evidence suggested that other host factors most likely contribute to HCV entry. Thus, cross-linking studies using E2 and HepG2 cells, which lack CD81 expression, lead to the identification of scavenger receptor BI (SR-BI) as an additional direct binding partner of E2 . Interestingly, since SR-BI is also a receptor for lipoproteins, the HCV virion may interact with SR-BI through associated lipoproteins and/or E2 . Further, by using *inter alia* a mutant virus in the SR-BI binding region of E2 and a lipoprotein binding-deficient SR-BI mutant as well as monoclonal SR-BI-specific antibodies, two recent studies showed that SR-BI acts at different steps during the HCV entry process . Indeed, SR-BI might first interact with the lipoprotein component of the lipoviral particle in an E2 binding independent manner .

However, later during entry the interaction between SR-BI and the lipoviral particle becomes E2-dependent, for which HVR1 appears to play a major role . These attributes point to an interesting role of SR-BI in HCV entry, as this protein interacts with CD81 forming part of the receptor complex required for HCV entry into the target cell . Interestingly, SR-BII, a splice variant of SR-BI, has also been demonstrated to promote HCV entry .

Subsequent to these advances, further investigations were conducted using surrogate HCV particle model systems such as HCV-like particles (HCV-LPs) isolated from insect cells (see Chapter 2), and it was shown that E2 and HCV-LPs bind highly sulfated heparan sulfate proteoglycans (HSPG) either purified or on liver-derived membranes . The relevance of HSPG for HCV binding was then subsequently confirmed using HCV pseudoparticles (HCVpp) and cell culture-derived HCV (HCVcc) (for cell culture models see Chapter 2). Interestingly, it could be further shown that both E1 and apolipoprotein E (apoE) may also contribute to HCV attachment to HSPG . To date, HSPG is the only known host factor that solely mediates HCV attachment to target cells without having any other described role in the viral life cycle.

The development of the HCVpp system , which consists of ectopically expressed HCV envelope glycoproteins on retrovirus particles containing vector RNAs that encode reporter genes (Chapter 2), enabled new additional screening strategies. Using such a screening strategy with a cDNA library from the highly permissive Huh7.5 cell line in human kidney cell line 293T, Evans et al. discovered claudin 1 (CLDN1) as an important entry factor for HCV . Interestingly, the HCV envelope glycoproteins do not directly interact with CLDN1, but CLDN1 interacts with CD81 and thereby plays an important role during post-binding steps of the HCV entry process . In the human liver as well as in polarized human hepatoblastoma HepG2 cells, CLDN1 is expressed at the basal and lateral membranes as well as in tight junctions (TJs) . Interestingly, CD81-CLDN1 coreceptor association could only be detected at the basal membranes but not in TJ-associated pools of CLDN1 and CD81 suggesting that the nonjunctional CLDN1 plays a role in HCV entry . This is in line with the fact that CLDN1 is more highly expressed at the TJs in polarized cells that demonstrate

reduced viral entry as compared to nonpolarized cells . Noteworthy, in various nonpolarized cell lines, it has been shown that the subcellular localization of CLDN1 correlates with HCV entry permissivity. Indeed, in contrast to intracellular CLDN1, only cell surface expressed CLDN1 enriched at cell-cell contact sites promotes HCV entry and mutations of CLDN1 that delocalize the protein outside cell-cell contacts do not support HCV entry . Mutagenesis studies of CLDN1 have revealed that the intracellular domains of this entry factor, including the C-terminal domain that is important for the transport of CLDN1 into tight junctions, are dispensable for infection, but domains within the first extracellular loop are critical . The mutations that particularly disrupted HCV entry were those that interrupted cell-cell contacts but did not affect lateral interactions within the plasma membrane between either CLDN1-CLDN1 or CLDN1-CD81 . Moreover, other members of the CLDN family of proteins, e. g. CLDN6 and CLDN9, may also promote HCV entry in 293T-derived cell lines . In contrast to other CLDNs, CLDN6 and CLDN9 are also able to form co-receptor associations with CD81, a process that is indispensable for HCV entry . Since the expression of CLDN6 and 9 is very low in human hepatocytes and liver tissue (J. A. McKeating, personal communication), the relevance of these molecules for HCV entry *in vivo* needs to be demonstrated. Further use of similar screening strategies in mouse derived cell lines identified occludin (OCLN) as a species-tropism defining entry factor, and it was determined that among the identified entry factors, CD81 and OCLN determine the tropism of HCV for human cells . Interestingly, expression of human CD81 and human OCLN in mouse cells removes the species-specific restriction of HCV entry and allowed development of a mouse model for the early steps of HCV infection . The important role of OCLN in the HCV entry process was further demonstrated by two other studies using HCVcc . However, whether OCLN is a true receptor that binds the HCV envelope or is an indirect, though indispensable, entry co-factor required for post-binding steps still remains an open question. Of note, HCV infection can modulate localization and expression level of CLDN1 and OCLN in the tight junction and promote superinfection exclusion . In this way HCV infection appears to downregulate protein

amounts in tight junctions of the infected cell, which may contribute to pathologic symptoms such as cholestatic disorders.

Recent functional siRNA screens that specifically focused on host cell kinases, and using HCVpp as well as HCVcc, further identified two cell surface receptor tyrosine kinases. Epidermal growth factor receptor (EGFR) and ephrin receptor A2 (EphA2) are important co-factors for HCV entry and infection . It should be noted that EGFR does not directly interact with the HCV particle, but EGFR-dependent signalling pathways lead to the formation of CD81-CLDN1 complexes required for HCV entry .

Finally, since the HCV virion is rich in cholesterol, the role of cholesterol transporter Niemann Pick C1-like-1 (NPC1L1) was investigated recently and identified as an additional entry factor , yet its exact role in HCV entry remains to be determined. Within the last years the functional *in vivo* relevance of most of the entry factors has been confirmed using mouse models such as the human liver chimeric upA-SCID mouse model or a humanized mouse model expressing the human entry factors (see also 5. Impact of hepatitis C virus entry for pathogenesis of liver disease and antiviral therapy).

## **2. The HCV entry pathway: from viral attachment to the hepatocyte basolateral membrane to fusion within the endosome**

Viral entry plays an important role for hepatocyte tropism of HCV. Indeed, tissue tropism is at least partially defined by the interaction of the virus with a set of cell surface factors on the target cell as described above, ultimately leading to viral entry and initiation of the viral life cycle. As the virus circulates in the blood of HCV-infected patients in association with lipoproteins, both the lipoprotein components and the viral envelope glycoproteins may contribute to the first interactions between the virion and the target cell. Experimental data indicate that HCV uses a single entry pathway for initiation of productive viral infection. The entry pathway consists of three key steps: (1) viral attachment to the hepatocyte (2) receptor-mediated endocytosis of the viral particle (3) endosomal fusion (Fig. 1).



Binding studies using infectious viral particles suggested that HSPG, LDLR and SR-BI contribute to viral attachment . Docking of the virus to the target cell membrane is then believed to be followed by the interaction of the virus with several (other) cell surface factors leading to molecular rearrangements at the plasma membrane and subsequently resulting in viral internalization. Among the host factors contributing to these molecular rearrangements, host cell kinases have been demonstrated to play an important role in regulating HCV entry. Indeed, phosphatidylinositol 4-kinases type III alpha (PI4KIII $\alpha$ ) and beta (PI4KIII $\beta$ ) have been suggested to play a role in membrane remodelling and trafficking during HCV entry in a genotype-dependent manner . However, the underlying molecular mechanisms have not yet been investigated. Using protein kinase inhibitors, two studies reported that host cell kinases are able to promote co-receptor association between CD81 and CLDN1, which is mandatory for HCV entry . Indeed, a protein kinase A (PKA) inhibitor disrupts CD81-CLDN1 complexes and leads to intracellular localization of CLDN1, thereby reducing HCV entry . Moreover, erlotinib and dasatinib, protein kinase inhibitors of EGFR and EphA2 respectively, also disrupt CD81-CLDN1 complexes and inhibit HCV entry . These data indicate that PKA, EGFR and EphA2 promote the CD81-CLDN1 co-receptor association that is required for HCV entry. Moreover, these data suggest that kinase signalling pathways contribute to this process . SR-BI and OCLN have also been demonstrated to participate in post-binding steps of the HCV entry process . Intriguingly, CD81, SR-BI and CLDN1 seem to act at very closely related time-points during HCV entry . The exact role of OCLN and the interplay of the different receptors in this process are yet to be uncovered.

HCV is known to endocytose in a clathrin-dependent process . Interestingly, it has been recently demonstrated that CD81-CLDN1 complexes are endocytosed in a clathrin and dynamin dependent manner consistent with a previous imaging study reporting that entering HCV particles are associated with CD81 and CLDN1 . This mechanism involves the HCV envelope glycoproteins and the GTPase Rho, while EGFR does not appear to play a role in this process . Whether other HCV entry factors are concomitantly endocytosed along with HCV still remains to be determined. Following internalization, HCV fusion has been reported

to occur in early endosomes . This process is dependent on low pH and involves both viral and host proteins . Indeed, the HCV envelope glycoproteins E1 and E2 contain potential fusion domains and HCV E2 has been shown to be required for liposome/HCVcc fusion *in vitro* . Moreover, the importance of the HCV envelope in viral fusion is emphasized by the fact that patient-derived anti-HCV antibodies are able to inhibit cell-cell fusion and liposome/HCVpp fusion *in vitro* . Interestingly, the CD81 and CLDN1 proteins that co-endocytose with HCV , also play a role in HCV envelope glycoprotein-dependent cell-cell fusion . Moreover, the protein kinase inhibitors erlotinib and dasatinib reduced HCV envelope glycoprotein dependent cell-cell fusion suggesting that EGFR and EphA2 may contribute to the HCV fusion process .

### **3. Impact of lipoproteins and cholesterol for HCV entry**

Soon after the structure of the viral genome of HCV was discovered, characterization of the virus in serum of infected individuals revealed that HCV RNA distributed in a wide spectrum, due to HCV forming complexes with low-density lipoproteins (LDL), and very-low density lipoproteins (VLDL) (for virus structure see Chapter 8). It was also demonstrated that HCV RNA in the higher density fractions could be immunoprecipitated with antibodies recognizing immunoglobulin G (IgG), indicating that these viruses were circulating bound with antibodies, while the lipoprotein-associated viruses had diminished antibody association . Virus particles that are associated with VLDL have been termed lipoviral particles , and their presence and composition is dependent on host factors that impact lipoprotein metabolism such as diet and lipoprotein profile . Interestingly, HCV strains that were passaged through chimpanzees demonstrated a correlation between viral association with lipoproteins and infectivity: strains that had more RNA associated with lipoproteins tended to have higher infectivity . This was also observed for HCVcc that were passaged in human liver transplanted mice as these HCVcc were characterized by a higher infectivity and a lower buoyant density . These experimental findings suggested an association of infectious virions with lipoproteins in full agreement with early reports .

The liver is the major organ of lipid homeostasis, regulated in part by lipoproteins. VLDL particles are assembled in hepatocytes when the large 550 kDa monomeric apolipoprotein B (apoB) protein is translated in the endoplasmic reticulum . This protein is co-translationally loaded with lipids by microsomal triglyceride transfer protein (MTP), the limiting enzyme for VLDL formation . Further lipidation of the nascent VLDL may occur directly by fusion with other lipid droplets present in the lumen of the secretory pathway, where exchangeable apolipoproteins such as apoC and apoE can bind VLDL . Secreted VLDL undergoes significant remodelling while in circulation by docking onto lipoprotein lipase (LPL), which lines the endothelium and hydrolyzes the triglyceride (TG)-rich core for delivery of free fatty acids to skeletal muscle and adipocytes. This process will begin the conversion of a triglyceride-rich VLDL coated in exchangeable apolipoproteins, which steer the VLDL's lipolysis and clearance rate, into a smaller, cholesterol-rich LDL particle with fewer bound exchangeable apolipoproteins . High density lipoproteins (HDL) are produced by another mechanism and act as a reservoir for exchangeable apolipoproteins and are key in reverse cholesterol transport from peripheral tissues to the liver .

Like for other viruses, there is a tight relation between HCV morphogenesis (Chapter 8) and HCV entry as the composition of the viral particle largely defines virus-host cell interactions and viral entry into target cells. In human hepatoma cells, HCV has been shown to assemble in close proximity to lipid droplets and endoplasmic reticulum . HCV NS5A and apoE play a major role in this process . HCV assembly and release have been linked to VLDL biogenesis . Using mass spectrometry, a recent study reported that the lipid composition of purified HCVcc resembles that of VLDL and LDL and that the majority of E2-containing particles also contain apoE on their surface . Moreover, HCV virions can be precipitated with antibodies directed against apoB, apoE and apoC1 suggesting that these apolipoproteins are part of the lipoviral particles . The exact morphogenesis and morphology of HCV particles still remains elusive, but as HCV E1 is able to directly interact with both apoE and apoB , this envelope glycoprotein may contribute to the association of the viral envelope with host-derived lipoproteins. The virus may take advantage of this association

with host-derived lipoproteins in order to evade the host's immune response by masking its envelope glycoproteins and by including host-derived ligands on the virion surface for interaction with attachment factors such as HSPG, LDLR and SR-BI .

While apoE on the viral particle is essential for HCV infectivity, the role of apoB for viral entry remains less well defined . Indeed, apoE on HCV particles may directly interact with HSPG, LDLR and SR-BI and antibodies directed against apoE or human apoE-derived peptides inhibit HCVcc infection by blocking virus particle binding . Interestingly, it has been suggested by one study that apoE isoforms may influence HCVcc infectivity . Moreover, functional apoE gene polymorphism has been suggested as a determinant of the outcome in HCV infection: the epsilon 3 allele is associated with persistent HCV infection while the epsilon 2 allele may protect against viral persistence . It is thus tempting to speculate that there is a link between apoE polymorphism and HCV infection. However, it has to be pointed out that another *in vitro* study did not report differences in HCVcc assembly and infectivity using different apoE isoforms .

Given the association of HCV with lipoproteins, it is not surprising that exogenous addition of various lipoproteins has been reported to influence HCV infection. Interestingly, the results of such experiments were dependent on the HCV model system used. VLDL strongly inhibited the interaction of serum-derived HCV with hepatic cells, whereas HDL and LDL did not show a significant effect . In contrast, HCVcc infection and HCVpp entry were both increased by HDL while LDL had no effect . Moreover, HCVcc infection was inhibited by oxidized LDL (oxLDL) and to a lesser extent by oxidized HDL (oxHDL) . The physiological SR-BI ligands HDL and oxLDL/oxHDL do not appear to act as receptor agonist/antagonists, but it has been suggested that the modulation of HCV entry may involve a ternary interplay between the virus, SR-BI, and the respective lipoprotein . Furthermore, apoCI has been reported to enhance HCVcc and HCVpp infectivity and to increase fusion between viral and target membranes .

Changes in the nature of the HCV associated lipoproteins have also been demonstrated to influence HCV infectivity. Although LPL treatment of cells is able to enhance

HCV attachment to target cells, at the same time it reduces HCV infectivity . Furthermore, treatment of HCVcc with a high dose of LPL shifts HCV to higher densities, and decreases the amount of apoE-associated HCV . In addition, the cholesterol and sphingolipid content of both the HCV virion and the target cell membrane, is important for HCV infectivity . Indeed, depletion of cholesterol from HCV particles or hydrolysis of virion-associated sphingomyelin almost completely abolished HCV infectivity without reducing viral binding . Moreover, hydrolysis of target cell plasma membrane sphingomyelin resulted in the internalization of CD81 and reduced HCV infection .

In this context, it is interesting to note that the physiological role of three identified HCV entry factors, namely SR-BI, LDLR and NPC1L1, is to be key cholesterol transporters. SR-BI primarily functions at the basolateral surface of hepatocytes by binding esterified-cholesterol enriched HDL particles and transferring this cholesterol to the cell . LDLR binds avidly to apoE on the surface of TG-rich lipoprotein remnants and internalizes these particles via clathrin coated pits.

Besides its role as cofactor for HCV entry, the physiological role of NPC1L1 is to reabsorb unesterified cholesterol secreted into the bile by ABCG5/8 transporters. NPC1L1 is primarily located on the apical (bile canalicular) surface of hepatocytes while the other entry factors are located at the basolateral side, or are part of the tight junction complex. When cellular cholesterol content is low, NPC1L1 is present at the plasma membrane to bind extracellular cholesterol. Cholesterol-bound NPC1L1, rather than acting like a cholesterol channel, is endocytosed in a caveolin-1 independent manner into sorting endosomes followed by progression either to the endosome recycling center or to late endosomes followed by lysosomal degradation . Sainz et al. showed that genetically silencing NPC1L1, or using chemical inhibitors (ezetimibe) or antibodies to the cholesterol binding domain of NPC1L1, diminish HCVcc infection . Given the physiological role for NPC1L1 and its primary location on the bile canalicular surface, NPC1L1 may act as an indirect host entry factor by modulating cholesterol level. However, enteric cholesterol absorption has been reported to involve cooperation between SR-BI and NPC1L1, raising the possibility that these proteins

may cooperate also in a direct role of the latter in HCV entry. Interestingly, while ezetimibe's primary inhibitory function is in binding NPC1L1, it is intriguing that ezetimibe also binds to SR-BI .

#### **4. Viral spread through cell-to-cell transmission**

While initiation of HCV infection is dependent on cell-free infection of hepatocytes by virions entering the liver through the bloodstream, HCV dissemination within the liver and establishment of chronic HCV infection may be possible by different routes including direct viral cell-to-cell transmission between adjacent hepatocytes . HCV cell-to-cell transmission appears to be more efficient than cell-free particle entry *in vitro* . Moreover, in contrast to cell-free HCV particle transmission, this process seems to be resistant to the majority of neutralizing antibodies thereby potentially contributing to evasion from the host humoral immune responses and establishment of chronic HCV infection *in vivo* . Furthermore, like cell-free particle entry, HCV cell-to-cell transmission has been described for all major genotypes, though genotype-dependent differences between the relative infection by cell-free vs cell-to-cell transmission have been reported .

There are striking similarities between the molecular mechanisms of cell-free HCV entry and HCV cell-to-cell transmission. Indeed, HCV cell-to-cell transmission appears to require numerous host factors that also play a role during cell-free entry: CD81, SR-BI, CLDN1, OCLN, EGFR, EphA2 and potentially NPC1L1 . The role of LDLR in HCV cell-to-cell transmission has not been investigated to date. Interestingly, in contrast to cell-free virus entry, CD81-independent cell-to-cell transmission pathways have been described . Moreover, it is worth noting that SR-BI appears to have a relevant role in cell-to-cell transmission and thus, targeting SR-BI allows to inhibit HCV spread . Furthermore, it has been shown that SR-BI may be a limiting factor in HCV cell-to-cell transmission since overexpression of SR-BI in target cells increases virus spread .

Although HCV cell-to-cell transmission had been defined to be resistant to neutralizing antibodies, certain monoclonal antibodies directed against HCV E2 (mAb 9/27 targeting HVR1; mAb 11/20 targeting aa 436-447) have been demonstrated to partially interfere with cell-to-cell transmission, although less efficiently than with cell-free infection . These data indicate the intriguing possibility of developing potential therapeutic neutralizing antibodies that may limit both cell-free HCV entry and cell-to-cell transmission, thereby limiting both initiation of HCV infection and viral spread.

## **5. Impact of hepatitis C virus entry for pathogenesis of liver disease and antiviral therapy**

HCV entry is an important target of the host's immune responses. Neutralizing antibodies have been reported to interfere with different steps of the HCV entry process *in vitro* including attachment, entry and fusion . Different anti-E2 antibodies, such as AP33 (directed against E2 aa 412-423) or 3E5-1 (directed against E2 aa 522-529), have been reported to reduce HCV attachment to target cells , as well as other steps of the HCV entry process whereas human anti-E1 antibodies targeting aa 313-326 interfere with HCV entry during post-binding steps without blocking HCVpp or HCV-LP binding to human hepatoma cells *in vitro* . Interestingly, the HCV post-binding steps targeted by neutralizing antibodies are closely related to the interaction of HCV with CD81, SR-BI, and/or CLDN1 . Interestingly, a recent study demonstrated that viral escape from neutralizing antibodies also takes place during HCV post-binding steps . These data highlight the impact of post-binding steps of HCV entry for neutralization and evasion of immune responses.

Viral entry has been shown to play an important role for the pathogenesis of HCV infection. Indeed, using patient-derived HCVpp and antibodies from a cohort of liver transplant patients, a recent study demonstrated that enhanced viral entry and escape from antibody-mediated neutralization play a key role for the selection of viral variants in the early phase of liver transplantation . This study suggested that the abrupt change of the host environment during liver transplantation leads to the selection of viral variants with an

efficient entry phenotype . Furthermore, the analysis of HCV infection in the chimeric liver uPA-SCID mouse model corroborated this hypothesis . Using reverse genetics and chimeric HCVcc, a recent study demonstrated that the enhanced entry of an escape variant isolated from a liver transplant patient is associated with an altered usage of entry factors such as CD81 and SR-BI . An altered host entry factor usage associated with escape from neutralizing antibodies may thus contribute to the reinfection of the liver graft and establishment of persistent HCV infection . In this context it is interesting to point out that HCV receptor levels at the time of liver transplantation (LT) seem to modulate early HCV kinetics and that recurrence of HCV infection after LT was associated with increased levels of CLDN1 and OCLN . Taken together, these data suggest a key role of virus-host interactions during HCV entry for virus spread, persistence and liver graft infection in transplanted patients.

Given the importance of HCV entry into target cells for the initiation and maintenance of infection as well as the pathogenesis of liver disease, this multistep process offers several promising targets for antiviral strategies (see also Chapter 12). Indeed, although the introduction of novel antivirals (targeting the viral protease) in combinations with interferon- $\alpha$  and ribavirin, the standard treatment for chronic HCV infection, have markedly improved the outcome of patients, resistance remains an important challenge (see also Chapter 13). Furthermore, important adverse effects limit the use of standard of care or direct acting antivirals in patients with advanced liver disease, co-morbidity, co-infection or immunosuppression .

In a significant proportion of HCV infected individuals, chronic infection ultimately leads to cirrhosis and hepatocellular carcinoma, requiring LT. Unfortunately, LT is characterized by a rapid reinfection of the liver graft and an accelerated progression of infection and to date no strategy to prevent HCV reinfection of the graft is available. For this purpose, HCV entry inhibitors, by targeting cell-free virus entry, may address this unmet medical. Furthermore this treatment can also be efficient for established HCV infection by targeting HCV cell-to-cell transmission in combination with direct acting antivirals. A variety of



entry inhibitors are in preclinical and early clinical development (reviewed in ). These entry inhibitors can be categorized into (1) compounds targeting the lipoviral particle, e. g. anti-envelope antibodies, heparin and lectins , (2) compounds targeting essential host entry factors, e. g. antibodies blocking CD81, SR-BI, CLDN1, EGFR, EphA2 and NPC1L1 or small molecule compounds targeting CD81, SR-BI, EGFR, EphA2 and NPC1L1 and (3) compounds interfering with viral internalization and fusion, e. g. phosphorothioate oligonucleotides, arbidol, chloroquine and silymarin .

The development of liver humanized transgenic mice has allowed demonstrating proof-of-concept of entry inhibitors *in vivo* (for animal models see Chapter 3). The first clinical trials with HCV entry inhibitors have been conducted or are currently being initiated. Indeed, several entry inhibitors targeting different steps of the HCV entry process have been demonstrated to prevent and/or delay HCV infection *in vivo* using the uPA-SCID mouse model. The first study investigated the ability of polyclonal patient-derived neutralizing antibodies to protect mice from HCV infection with a homologous viral strain . This passive immunization strategy protected 5 out of 8 challenged mice. Although this study did not investigate cross-reactive neutralization of various viral strains, it provides promising evidence, which opens the perspective for potential neutralizing antibody-based immunotherapies to prevent HCV infection . Recently the ability of neutralizing antibodies to cross-neutralize different HCV genotypes *in vivo* was assessed . Remarkably, protection against challenge with heterologous viral strains was achieved in a portion of the animals, though differences were observed between genotypes and depending on the viral load of the inoculum . Importantly, although no sterilizing immunity was achieved, a delay in the establishment of HCV infection was observed and adaptive mutations in the HCV envelope glycoproteins were absent in the majority of non-protected animals, indicating that the failure of cross-neutralization *in vivo* was most likely not directly linked to viral escape . Furthermore, another study assessed the ability of human cross-neutralizing monoclonal anti-E2 antibodies derived from a chronic HCV patient and demonstrated that the majority of chimeric mice were protected from challenge with a heterologous virus . Most recently, novel

human cross-neutralizing anti-envelope antibodies targeting five novel antigenic regions (ARs) designated numerically have been described and these antibodies (particularly those that bind AR3A, AR4A, and AR5A) are also characterized by the ability to protect from heterologous virus challenge in genetically humanized mice . Future studies assessing the potential of potent broadly cross-neutralizing monoclonal antibodies (independently or in combination) will allow determination of whether neutralizing antibodies have the potential for clinical application in prevention of HCV infection. Interestingly, other compounds targeting the HCV envelope and preventing attachment of the virion to target cells, such as the lectin Griffithsin, also demonstrated the ability to delay HCV infection *in vivo* .

In addition to virus neutralizing antibodies, antivirals that target host cell surface factors are being developed (reviewed in ). Antibodies directed against CD81 and SR-BI have been investigated in both protection and treatment studies. While both anti-CD81 and anti-SR-BI monoclonal antibodies protect mice from challenge with HCV, only anti-SR-BI monoclonal antibodies appear able also to reduce viral spread . Anti-CLDN1 antibodies are currently being evaluated in the uPA-SCID mouse model to assess their antiviral activity against HCV infection. Furthermore, the clinically available kinase inhibitor erlotinib, targeting EGFR, and the cholesterol lowering drug ezetimibe, targeting NPC1L1, significantly impaired the establishment of HCV infection in the uPA-SCID mouse model . Given the relevance of host cell kinases for HCV entry and the number of kinase inhibitors being developed to treat a wide variety of human diseases, kinase inhibitors have been suggested as a novel class of antivirals for the prevention and treatment of HCV infection.

Since HCV entry is a major target of B cell responses, the virus-host interactions during viral entry are also relevant for HCV vaccine development. Indeed, B cell vaccines inducing cross-neutralizing antibodies are in preclinical and clinical development .

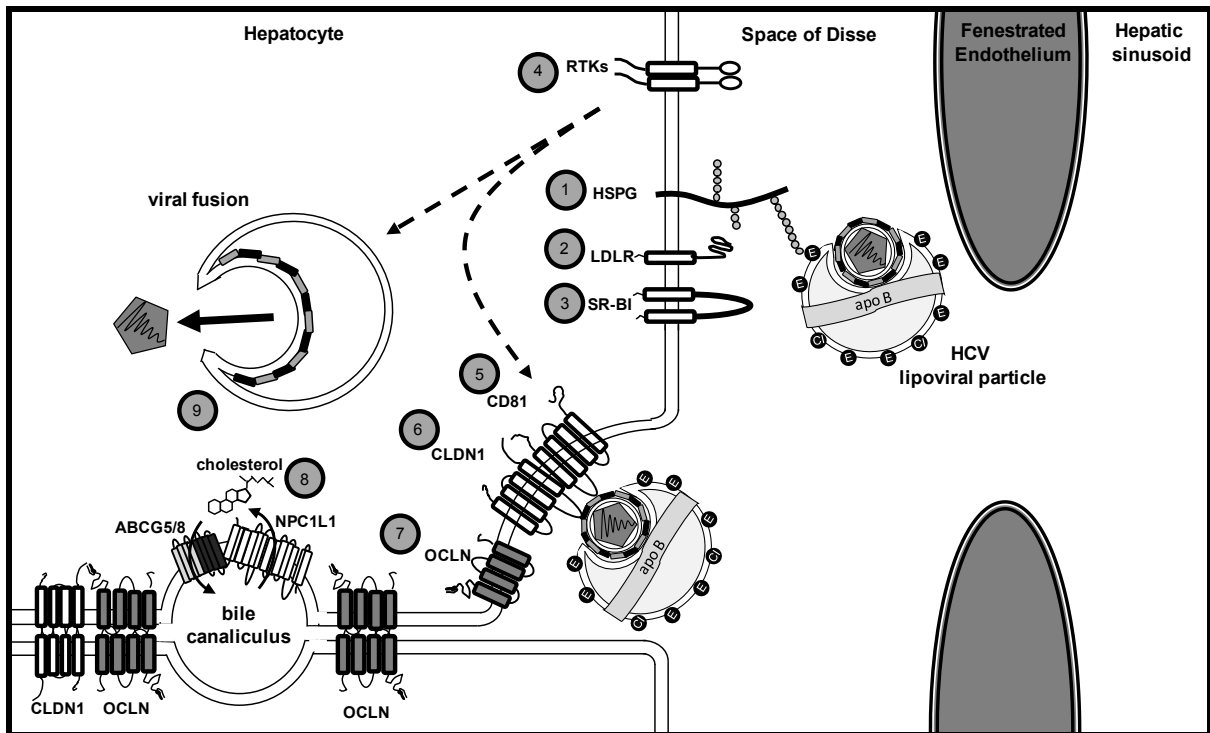
## **6. Conclusions and perspectives**

Since the discovery of HCV more than 20 years ago, the development of model systems has allowed investigators to decipher the molecular mechanisms of the different steps of the viral

life cycle. In the past decade, several host cell surface factors contributing to HCV binding and/or entry have been identified. The functional relevance of most factors has been validated in cell culture and animal models systems. The thorough characterization of the role of each of these host factors enabled researchers to gain insights into the complex process of HCV entry. Given the complexity of virus-host interactions during this step of the viral life cycle, viral entry offers numerous potential targets for antiviral therapy. Since HCV entry is a major target of B cell responses, a detailed understanding of virus-host interactions during viral entry is also relevant for HCV vaccine development. Although highly orchestrated and complex, the mechanism of viral entry is conserved for all HCV genotypes and appears to be restricted to a single pathway. In contrast to the highly variable virus, host factors are less susceptible to mutations; therefore targeting host factors may allow increase of the genetic barrier to resistance. Furthermore, the efficient *in vitro* and *in vivo* neutralization of patient-derived HCV isolates known to escape autologous neutralizing responses, by using monoclonal antibodies directed against the HCV envelope glycoproteins or CD81, CLDN1, and SR-BI suggests that viral entry is a viable target for prevention of HCV reinfection of the liver graft . Given the essential role of host cell surface entry factors in HCV cell-to-cell transmission and thus for spread and maintenance of infection, it is not surprising that defined entry inhibitors have also been shown to prevent cell-cell transmission and viral spread *in vivo* . Thus entry inhibitors may hold promise for the development of novel antiviral strategies for the prevention and treatment of HCV infection.

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**Figure 1. HCV entry into hepatocytes.** HCV particles circulate physically associated with lipoproteins such as VLDL, which contain protein components apolipoprotein B-100, and exchangeable apolipoproteins E and C1 (shown as black circles). HCV gains access to hepatocytes by entering the space of Disse through fenestrae between hepatic endothelial cells. The first step of entry (1) is binding, when HCV envelope glycoproteins E1 and E2 (black and grey rectangles surrounding virion) and/or apoE on the lipoviroparticle (LVP) binds to heparan sulfate proteoglycan (HSPG). (2) LDL receptor (LDLR) is also a high-affinity binding partner of apoE which has been reported to be important for HCV entry. (3) SR-BI may also contribute to HCV binding via lipoproteins and/or HCV E2. Moreover, SR-BI also plays a role at post-binding steps of HCV entry. (4) Receptor tyrosine kinases (RTKs) including epidermal growth factor receptor (EGFR) and ephrin receptor A2 (EphA2) mediate HCV entry through facilitating associations between (5) CD81 and (6) claudin 1 (CLDN1), and through envelope glycoprotein-membrane fusion. CLDN1 is a component of tight junctions along with the entry factor (7) occludin (OCLN) that contributes to post-binding steps of the HCV entry process. Recently identified entry factor (8) Niemann Pick C-1 like 1

(NPC1L1) plays an important role in cholesterol re-absorption from biliary secretion of cholesterol by transporter ATP binding cassette (ABC) G5/8 heterodimeric protein, and acts as a cofactor for HCV entry during post-binding steps. Viral envelope fusion with host membranes (9) is the final step of HCV entry, as the nucleocapsid escapes the early endosome to begin translation and replication of its genome in the cytosol.

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