



**HAL**  
open science

## Hematologic and hemorheological determinants of resting and exercise-induced hemoglobin oxygen desaturation in children with sickle cell disease.

Xavier Waltz, Marc Romana, Marie-Laure Lalanne-Mistrih, Roberto F. Machado, Yann Lamarre, Vanessa Tarer, Marie-Dominique Hardy-Dessources, Benoit Tressieres, Lydia Divialle-Doumdo, Marie Petras, et al.

### ► To cite this version:

Xavier Waltz, Marc Romana, Marie-Laure Lalanne-Mistrih, Roberto F. Machado, Yann Lamarre, et al.. Hematologic and hemorheological determinants of resting and exercise-induced hemoglobin oxygen desaturation in children with sickle cell disease.. *Haematologica*, 2013, 98 (7), pp.1039-44. 10.3324/haematol.2013.083576 . inserm-00838989

**HAL Id: inserm-00838989**

**<https://inserm.hal.science/inserm-00838989>**

Submitted on 26 Jun 2013

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

## **Hematological and hemorheological determinants of resting and exercise-induced hemoglobin oxygen desaturation in children with sickle cell disease**

Xavier Waltz<sup>a,b,h</sup>, Marc Romana<sup>a,h</sup>, Marie-Laure Lalanne-Mistrih<sup>a,c</sup>, Roberto F Machado<sup>d</sup>, Yann Lamarre<sup>a,h</sup>, Vanessa Tarer<sup>e</sup>, Marie-Dominique Hardy-Dessources<sup>a,h</sup>, Benoît Tressières<sup>c</sup>, Lydia Divialle-Doumbo<sup>f</sup>, Marie Petras<sup>f</sup>, Frederic Maillard<sup>g</sup>, Maryse Etienne-Julan<sup>e,f</sup> and Philippe Connes<sup>a,b,h</sup>

<sup>a</sup>UMR Inserm 665, Pointe-à-Pitre, F-97159 Guadeloupe, Université des Antilles et de la Guyane, Pointe-à-Pitre, F-97157, France; <sup>b</sup>Laboratoire ACTES (EA 3596), Département de Physiologie, Université des Antilles et de la Guyane, Pointe-à-Pitre, Guadeloupe, France; <sup>c</sup>CIC-EC 802 Inserm, Centre Hospitalier Universitaire de Pointe-à-Pitre, Pointe-à-Pitre, France; <sup>d</sup>Section of Pulmonary, Critical Care Medicine, Sleep and Allergy, University of Illinois at Chicago, Chicago, IL 60612, USA; <sup>e</sup>Centre de référence maladies rares pour la drépanocytose aux Antilles-Guyane, Centre Hospitalier et Universitaire de Pointe-à-Pitre, Pointe-à-Pitre, France; <sup>f</sup>Unité Transversale de la Drépanocytose, Centre Hospitalier et Universitaire de Pointe-à-Pitre, Pointe-à-Pitre, France ; <sup>g</sup>Service de Pédiatrie du Centre Hospitalier et Universitaire de Pointe-à-Pitre, Pointe-à-Pitre, F-97159 Guadeloupe; <sup>h</sup>Laboratory of Excellence GR-Ex « The red cell: from genesis to death », PRES Sorbonne Paris Cité, 75015, Paris, France

**Running title:** Hypoxemia and blood rheology

**Word count:** 3224; **tables:** 3; **figures:** 0; **references:** 46

**Acknowledgments**

This work was supported by the “Interregional Projet Hospitalier de Recherche Clinique” (PHRC). Funding for PhD student, X.W. was provided by the regional council of Guadeloupe. We thank all the patients and the clinical staff who participated in the present study. The authors wish to thank Dr. Martine Torres for her critical review of the manuscript and editorial assistance.

**Corresponding author:**

Philippe Connes, PhD:

Inserm U665, CHU de Pointe-à-Pitre, 97159, Pointe-à-Pitre, Guadeloupe France;

Email: [pconnes@yahoo.fr](mailto:pconnes@yahoo.fr); Tel: (+590) 590 83 48 99; Fax: (+590) 590 83 05 13.

## **Abstract**

The aim of the study was to determine the factors associated with resting and exercise-induced hemoglobin oxygen desaturation. The well-established 6-minute-walk test was conducted in 107 sickle cell children (50 with sickle hemoglobin C disease and 57 with sickle cell anemia) at steady state. Hemoglobin oxygen saturation was measured before and immediately after the 6-minute-walk test. Blood samples were obtained on the same day to measure hematological and hemorheological parameters. Exercise-induced hemoglobin oxygen desaturation was defined as a drop in hemoglobin oxygen saturation  $\geq 3\%$  at the end of the 6-minute-walk test compared to resting levels. No children with sickle hemoglobin C disease, but  $\sim 50\%$  of children with sickle cell anemia exhibited mild or moderate oxygen desaturation at rest, which was independently associated with the percent reticulocytes. Exercise-induced hemoglobin oxygen desaturation was observed in 18% of children with sickle hemoglobin C disease and 34% of children with sickle cell anemia, and was independently associated with the 6-minute-walk test, acute chest syndrome rate and the strength of red blood cell aggregates in children with sickle cell anemia. No association was found in children with sickle hemoglobin C disease between exercise-induced hemoglobin oxygen desaturation and the measured parameters. Hemoglobin oxygen desaturation at rest was common in children with sickle cell anemia but not in children with sickle hemoglobin C disease, and was mainly associated with greater hemolysis. Physiological strain during exercise and red blood cell aggregation properties, may predict the occurrence of exercise-induced hemoglobin oxygen desaturation in children with sickle cell anemia.

**Key words:** Sickle cell disease, hemoglobin oxygen desaturation, exercise, blood rheology, hemolysis

## **Introduction**

Hemoglobin oxygen desaturation at rest<sup>1-7</sup> and exercise-induced hemoglobin oxygen desaturation (EIHOD)<sup>6,7</sup> are common in sickle cell disease (SCD). Cellular activation and abnormal vascular cell adhesion in SCD are caused by resting hemoglobin oxygen desaturation,<sup>8</sup> which is associated with an increased risk for vaso-occlusive crises,<sup>9</sup> stroke<sup>10</sup> and elevated tricuspid regurgitation velocity<sup>6,7</sup>, suggesting a role for hemoglobin oxygen desaturation in the occurrence of these complications.<sup>11</sup> This is supported by the fact that hemoglobin oxygen desaturation induced by a six-minute walk is associated with higher tricuspid regurgitation velocities in SCD children.<sup>6</sup>

Hemoglobin oxygen desaturation may be related to the rightward shift of the oxyhemoglobin dissociation curve due to the decreased affinity of sickle hemoglobin,<sup>12</sup> which is caused by an increased content of erythrocyte 2,3-bisphosphoglycerate.<sup>13</sup> Growing evidence also suggests that hemoglobin oxygen desaturation at rest and EIHOD are independently associated with anemia<sup>1,5,11,14</sup> and hemolysis.<sup>1,5,6,11,14,15</sup> It has been proposed that chronic hemolysis could promote pulmonary vasculopathy that could cause ventilation-perfusion mismatching and limit oxygen uptake by hemoglobin.<sup>16</sup> Intrinsic lung disease has been suggested to participate in hemoglobin oxygen desaturation at rest or EIHOD, but several studies have failed to detect such association.<sup>2,6,11</sup>

SCD is characterized by severe hemorheological abnormalities, which play a role in the pathophysiology of several acute and chronic complications.<sup>16-24</sup> Experimental work in non-SCD subjects and mathematical modeling strongly suggest that hemorheological impairment may contribute to hemoglobin oxygen desaturation at rest.<sup>25</sup> Hematocrit and red blood cell (RBC) deformability have been demonstrated to modulate pulmonary diffusing capacity.<sup>25,26</sup>

In endurance-trained athletes without SCD, blood rheology is suspected to participate in EIHOD, with hypoxemic athletes having more rigid RBC than those without a reduction of hemoglobin oxygen saturation during exercise.<sup>27,28</sup> A similar observation has been documented in exercising horses, with hypoxemic horses exhibiting decreased RBC deformability and increased RBC aggregation.<sup>27,29</sup> These RBC rheological abnormalities may affect the recruitment of pulmonary capillaries, hence increasing ventilation-perfusion mismatching and leading to a reduction in oxygen saturation.<sup>27,30</sup> However, the association between hemorheological abnormalities and resting or exercise-induced hemoglobin oxygen desaturation has never been studied in the context of SCD.

The aim of the present study was to evaluate such associations in sickle-hemoglobin C disease (SC) and homozygous sickle cell anemia (SS) children at steady state. Our study confirms that hemoglobin oxygen desaturation at rest is common in SS children, but not in SC children, and is mainly associated with higher hemolytic rate. EIHOD did occur in SC, but is more frequent in SS children. The physiological strain during exercise and RBC aggregation properties may be involved in the occurrence of EIHOD in SS children.

## **Methods**

Additional Supporting Information may be found in the online supplement.

### *Patients*

The study included 107 SCD children (50=SC; 57=SS; 8-16 years old) at steady state (no blood transfusions in the previous three months, absence of acute episodes (infection, vaso-occlusive crises (VOC), acute chest syndrome (ACS), stroke, priapism, splenic sequestration) at least one month before inclusion into the study).

Charts were retrospectively reviewed by three physicians to recognize all ACS and VOC episodes from birth to the time of blood sampling based on previously described criteria.<sup>22</sup>

The rates of ACS and VOC were calculated for each child by dividing the total number of ACS or painful VOC episodes by the number of patient-years.<sup>22,31</sup>

The study was conducted in accordance to the Declaration of Helsinki and was approved by the Regional Ethics Committee (CPP Sud/Ouest Outre Mer III, Bordeaux, France, registration number: 2009-A00211-56). Children and their parents were informed of the purpose and procedures of the study, and gave written consent. Details for pulmonary function tests and asthma screening are available in the online supplement methods.

### *Hematological and hemorheological measurements*

Blood was drawn by venipuncture into EDTA tubes and used for measurements of hematological parameters.<sup>22</sup> Serum lactate dehydrogenase and total bilirubin concentrations were determined by standard biochemical methods.

Hemorheological parameters were measured immediately after sampling and after full re-oxygenation of the blood.<sup>32</sup> Blood viscosity was measured at native hematocrit (Brookfield

DVII+ cone-plate viscometer, CPE40-spindle,  $\approx 25^{\circ}\text{C}$ ,  $90\text{ s}^{-1}$ ). RBC deformability was determined at  $37^{\circ}\text{C}$  at two shear stresses (3 and 30 Pa) by ecktyctometry (LORCA, RR Mechatronics, Hoorn, The Netherlands). RBC aggregation was determined at  $37^{\circ}\text{C}$  via syllectometry (*i.e.*, laser backscatter versus time), (LORCA, RR Mechtronics, Hoom, The Netherlands), after adjustment of the hematocrit to 40%. The RBC disaggregation threshold, *i.e.*, the minimal shear rate needed to prevent RBC aggregation or to breakdown existing RBC aggregates, was determined using a re-iteration procedure.<sup>33</sup>

#### *Six-minute-walk Test*

A self-paced six-minute-walk test (6MWT) was conducted according to the guidelines of the American Thoracic Society.<sup>34</sup> The 6MWT is a submaximal exercise test, often used in the SCD population to determine functional status or changes in status as a result of an intervention.<sup>35</sup> The percentage of predicted distance was calculated according to the models of Geiger *et al.*<sup>36</sup> Hemoglobin oxygen saturation ( $\text{SpO}_2$ ) was obtained by finger pulse oximetry (SureSigns VS3 No. 3000, Philips Medical System, Andover, MA, USA) before and immediately after the 6MWT. Hemoglobin oxygen desaturation at rest and after exercise was defined according to Campbell *et al.*<sup>6</sup> EIHOD was defined as a drop in  $\text{SpO}_2$  of 3% or more during exercise compared to the resting level.<sup>6</sup>

#### *Statistics*

All values were expressed as means  $\pm$  SD. Univariate analyses were conducted to compare the different parameters between groups. All variables at  $p < 0.2$  by univariate analyses were included as covariates in the multivariate models. Significance level was defined as  $p < 0.05$ . Analyses were conducted using SPSS (v. 20, IBM SPSS Statistics, Chicago, IL).

## Results

### *Comparisons between SS and SC children*

Previous studies showed that hemoglobin oxygen desaturation is less frequent in sickle-hemoglobin C disease (SC) than in homozygous sickle cell anemia (SS).<sup>1,5,11,14,37</sup> SC and SS diseases should be considered as distinct disorders,<sup>38</sup> and as described elsewhere, the hemorheological and hematological profiles in SC patients are considerably different than those in SS patients (data not shown).<sup>20,22,23,39</sup> Therefore, SC and SS children were analyzed separately. Part of the results described here were previously included in a report on the initial SAPOTILLE cohort.<sup>22</sup>

Compared to SC children, SS children exhibited greater VOC (SS:  $0.54 \pm 1.01$  vs SC:  $0.25 \pm 0.39$ ;  $p < 0.05$ ) and ACS rates (SS:  $0.14 \pm 0.17$  vs SC:  $0.03 \pm 0.06$ ;  $p < 0.001$ ). While 21.1% of the SS children were receiving hydroxyurea therapy (12/57 patients), none did in the SC group.

SS children exhibited lower SpO<sub>2</sub> at rest (SS:  $97.6 \pm 2.9\%$  vs SC:  $99.8 \pm 0.4\%$ ;  $p < 0.001$ ) and after the 6MWT (SS:  $95.1 \pm 5.2\%$  vs SC:  $98.8 \pm 3.0\%$ ;  $p < 0.001$ ) and reduced total 6-min distance (SS:  $459 \pm 76$  vs SC:  $494 \pm 89$ ;  $p < 0.05$ ) compared to SC children. No SC children, but ~50% of SS children had hemoglobin oxygen desaturation at rest. Immediately after the 6MWT, ~18% of SC children and ~34% of SS children exhibited oxygen desaturation (*i.e.*, a drop in SpO<sub>2</sub>  $\geq 3\%$ ). The percentage of predicted distance walked tended to be lower in SS children compared to SC children (SS:  $70.0 \pm 11.4\%$  vs SC:  $74.1 \pm 12.3\%$ ;  $p = 0.088$ ). None of the SCD children included in this study had to prematurely stop the 6MWT and no unexpected events occurred during the tests.

### *Hemoglobin oxygen desaturation at rest is associated with hemolysis in SS children*

At rest, SS children were classified into three groups as a function of SpO<sub>2</sub>: no desaturation (SpO<sub>2</sub> > 98%), mild desaturation (95 ≤ SpO<sub>2</sub> ≤ 98%) and moderate desaturation (SpO<sub>2</sub> < 95%).<sup>6</sup> Nineteen SS children had mild desaturation, and 8 had moderate desaturation at rest (Table 1). Distribution for gender, α-thalassemia, age, distance walked, and percentage of predicted distance did not differ between the three SS subgroups. The frequency of patients on hydroxyurea therapy was not different between the three subgroups, but had a p value < 0.20 (ANOVA).

Comparison of the hematological parameters between the three SS subgroups showed that RBC counts (p < 0.05) were lower in the moderate desaturation group compared to the no desaturation subgroup. Hemoglobin and hematocrit levels were lower in the mild (p < 0.05) and moderate desaturation groups (p < 0.05 and p < 0.001, respectively) compared to patients with no desaturation. Reticulocyte counts (p < 0.001), lactate dehydrogenase (p<0.05) and total bilirubin (p < 0.05) were higher in the moderate desaturation group compared to the two other groups. No difference was detected between the three groups for fetal hemoglobin, leukocytes, platelets, mean cell volume, and mean cell hemoglobin levels.

The hemorheological parameters were not different between the three groups, except for RBC deformability, which was lower in the mild (p < 0.01) and moderate desaturation (p < 0.001) groups compared to patients with no desaturation. RBC deformability was also lower in the moderate desaturation group compared to the mild desaturation subgroup (p < 0.05 and p = 0.054 at 3 and 30 Pa, respectively). The RBC disaggregation threshold, RBC aggregation index and VOC rates were not different between the three groups, but had a p < 0.20 by ANOVA. ACS rates did not differ between the three groups.

An ordinal multivariate logistic model was used to test the parameters independently associated with resting hemoglobin oxygen desaturation in SS children, and included hydroxyurea treatment as factor and hemoglobin, percent reticulocytes, RBC deformability at 30 Pa, RBC aggregation index, RBC disaggregation threshold, and VOC rate as covariates. We used the percent reticulocytes in the model instead of lactate dehydrogenase or total bilirubin as several studies strongly suggest that percent reticulocytes reflect more accurately hemolysis than the two other markers measured in our study do.<sup>40,41</sup> RBC count, hematocrit, lactate dehydrogenase, total bilirubin and RBC deformability at 3 Pa were not included in the model to avoid co-linearity effects with hemoglobin level, percent reticulocytes or RBC deformability at 30 Pa.

The overall model was highly significant (Chi-square = 36.92; df = 7;  $p < 0.001$ ). However, only the percent reticulocytes was significantly associated with the resting hemoglobin oxygenation desaturation classes (OR: 1.19; 95% CI 1.03 to 1.38,  $p < 0.05$ ). To further assess a possible role of anemia, a second ordinal multivariate logistic model was used with the same previous covariates, but excluding the percent reticulocytes. The model was still highly significant (Chi-square = 31.46; df = 6;  $p < 0.001$ ), but none of the included parameters was significant ( $p$  value for hemoglobin was 0.51). Because hemoglobin and percent reticulocytes could be related to each other, a third ordinal multivariate logistic model was used including the same covariates as in the first model, but excluding hemoglobin. The results obtained with this model were comparable to those obtained with the first model (data not shown). Finally, since HU therapy impact sickle cell pathophysiology, the 12 SS children under HU treatment were excluded. Similar results were obtained by univariate analyses (data not shown). A fourth ordinal multivariate logistic model, including the same parameters as in the first model, except for HU therapy, was highly significant (Chi-square = 27.10; df = 6;  $p < 0.001$ ), and the

percent reticulocytes remained significantly associated with the resting hemoglobin oxygenation desaturation classes (OR: 1.18; 95% CI 1.01 to 1.37,  $p < 0.05$ ).

*Exercise-induced oxygen desaturation in SS and SC children.*

In SC children, none of the measured parameters were different when comparing the patients without exercise-induced oxygen desaturation to those with exercise-induced oxygen desaturation, except for the VOC rate, which was greater in the SC children exhibiting exercise-induced SpO<sub>2</sub> reduction ( $p < 0.05$ ) (Table 2). RBC disaggregation threshold and estimated asthma frequency (data not shown) did not differ between the two subgroups ( $p < 0.20$  by Mann-Whitney test); nevertheless, they were included in a binary multivariate logistic model along with the VOC rate. The overall model was not statistically significant (Chi-square = 4.084;  $df = 3$ ;  $p = 0.253$ ).

Similar comparison done in the SS group showed differences in gender distribution ( $p < 0.05$ ), total distance walked ( $p = 0.05$ ), percentage of predicted distance walked ( $p < 0.05$ ) and ACS rate ( $p < 0.05$ ) between children with and without exercise-induced oxygen desaturation (Table 3). The RBC disaggregation threshold showed no difference between the two subgroups, but had a  $p < 0.20$  by Mann-Whitney test, justifying its inclusion in a binary multivariate logistic model that included gender as factor and percentage of predicted distance, RBC disaggregation threshold and ACS rate as covariates. The distance walked was not included in the model to avoid co-linearity effects with the percentage of predicted distance walked. The overall model was highly significant (Chi-square = 20.885;  $df = 4$ ;  $p < 0.001$ ). The percentage of predicted distance (OR: 1.13; 95% CI 1.03 to 1.21;  $p < 0.01$ ), RBC disaggregation threshold (OR: 1.01; 95% CI 1.002 to 1.019;  $p < 0.05$ ) and ACS rate (OR: 1.05; 95% CI 1.008 to 1.101;  $p < 0.05$ ) were significantly associated with exercise-induced

oxygen desaturation. The exclusion of SS children under HU therapy did not change the results obtained by univariate analyses (data not shown), except that the comparison of percent reticulocytes between the two groups had a p value  $< 0.20$ . A second binary multivariate logistic model was tested including the same parameter as in the first model plus percent reticulocytes. The overall model was still highly significant (Chi-square = 21.92; df = 4;  $p < 0.001$ ). The percentage of predicted distance (OR: 1.16; 95% CI 1.034 to 1.309;  $p < 0.05$ ), RBC disaggregation threshold (OR: 1.01; 95% CI 1.002 to 1.026;  $p < 0.05$ ) and ACS rate (OR: 1.09; 95% CI 1.021 to 1.154;  $p < 0.01$ ) were significantly associated with EIHOD. Finally, a third binary multivariate logistic model was tested on the whole SS group (children with and without HU), and we forced the inclusion of HU therapy as a co-factor. The results obtained were comparable to those obtained with the first model (data not shown).

## Discussion

The present study shows that 1) EIHOD is observed in both SC (18%) and SS (34%) children; 2) EIHOD is independently associated with the 6-minute-walk distance and RBC disaggregation threshold in SS children; 3) no predictor of EIHOD was found in SC children. In addition, we confirm that 4) SC children have no hemoglobin oxygen desaturation at rest, whereas ~50% of SS children exhibit resting hemoglobin oxygen desaturation; 5) resting hemoglobin oxygen desaturation in SS children is independently associated with the level of hemolysis.

The reduction of arterial oxygen partial pressure and oxygen saturation was not confirmed by arterial blood gases in this study. This could be considered as a limitation as some studies have suggested that pulse oximetry overestimates arterial oxygen saturation in SCD.<sup>42,43</sup> Although pulse oximetry does not measure dysfunctional hemoglobin (*i.e.*, methemoglobin or carboxyhemoglobin), and thus overestimates the true arterial oxygen saturation, it correlates well with co-oximetry (*i.e.*, the gold standard method) in SCD.<sup>3,4</sup> The use of pulse oximetry to detect oxygen saturation has been proven very useful to predict the risks for stroke or elevated tricuspid regurgitation velocity in this population.<sup>6,7,10</sup>

The independent association found between the percent reticulocytes and resting hemoglobin oxygen desaturation in SS children is in accordance with several previous studies showing that the hemolytic rate is a predictor of resting oxygen desaturation in this population.<sup>1,5,6,11,14,15</sup> Kato *et al.* and Campbell *et al.* suggested that chronic hemolysis might be responsible for pulmonary vasculopathy, which could cause ventilation-perfusion mismatching and hemoglobin oxygen desaturation at rest.<sup>6,16</sup> Several studies reported an independent association between the level of anemia and oxygen desaturation.<sup>1,5,11,14</sup> We also

found that SS children with hemoglobin oxygen desaturation had a lower hemoglobin level than SS children without hemoglobin oxygen desaturation, but the different ordinal multivariate logistic models tested failed to demonstrate an independent association between the two parameters. The reasons for this lack of independent association are unknown, but Quinn *et al.* observed that the level of anemia explained only 5% of the arterial oxygen desaturation variability in SS/S $\beta^0$  thalassemia children, and suggested that anemia was not the main factor explaining the presence of hemoglobin oxygen desaturation at rest.<sup>5</sup> Nevertheless, further studies using primary markers of hemolysis, such as life span,<sup>40</sup> or the recent integrated hemolytic marker validated by Nouraie *et al.*<sup>44</sup> are needed to definitively exclude a role of anemia in resting hemoglobin oxygen desaturation in SS patients.

The prevalence of EIHOD during the 6MWT was twofold higher in SS children compared to SC children, emphasizing the greater risk for exercise-related complications in the former population as transient hypoxemic/hypoxic episodes could impair RBC rheology and activate endothelial cells. Nevertheless, a small proportion of SC children (almost 20%) also experienced exercise-induced oxygen desaturation. Accurate screening by pulse oximetry during cardiopulmonary testing may be of benefit in SC patients to identify those who could be at greater risk for exercise-related complications. However, we found no association between the parameters investigated and EIHOD in the SC group, and the mechanisms of the reduction of hemoglobin saturation during exercise in this population remain unknown at this time.

EIHOD was not related to resting lung dysfunction in SS children (data not shown). However, because we measured pulmonary function before, and not after the 6MWT, we cannot exclude that exercise lung dysfunction plays a role in EIHOD in children with SCD. The independent association found between EIHOD and ACS rate in SS children contrasts with the finding

from a previous larger study in children with various mixed SCD genotypes (Campbell et al, 2009). Further studies in larger cohorts are needed to specifically test this association.

The independent association between EIHOD and the highest percentage of predicted distance walked in SS children suggests that the magnitude of the physiological strain during the 6MWT plays a role in the occurrence of hemoglobin oxygen desaturation. This has also been reported in healthy athletes with the occurrence of transient EIHOD being dependent on the intensity at which they exercise.<sup>45</sup>

In a non-SCD context, blood rheological alterations have been suspected to participate to the occurrence of EIHOD.<sup>27,28</sup> The independent association between the elevated RBC disaggregation threshold and EIHOD in SS children reported in the present study also supports a role for blood rheology in EIHOD. A high RBC disaggregation threshold means that RBC aggregates are tightly bound.<sup>20</sup> This may increase flow resistance, promotes arterio-venous shunts and disturb microcirculation at the entry of the pulmonary capillaries where RBC aggregates need to be fully dispersed before they can enter and negotiate small capillaries to promote adequate gas exchange between the lungs and RBC.<sup>46</sup>

A major limitation of the present study is the absence of a control healthy group. Campbell *et al.*<sup>6</sup> showed that 52% of sickle patients (SC, SS, S $\beta$  thalassemia, SO<sup>Arab</sup> and others genotype) and 24% of healthy controls (matched for age and ethnicity) had resting hemoglobin oxygen saturation <99%, and 9% of patients versus no controls had resting hemoglobin oxygen saturation level less than 95%. They also found that 8% of the sickle patients had a significant reduction of hemoglobin oxygen saturation below resting level (i.e.,  $\geq 3\%$ ) after a 6MWT while none of the controls had such decline.<sup>6</sup>

In conclusion, we confirm that hemoglobin oxygen desaturation at rest is common in SS children, but not in SC children, and is mainly associated with higher hemolysis. While EIHOD is more frequent in SS children, we show that it also occurs in a small percentage of SC children. Physiological strain during exercise and RBC aggregation properties are likely risk factors in the occurrence of exercise-induced hemoglobin oxygen desaturation in SS children.

### **Authorship and disclosures**

Contribution: X.W., M.L.L.M, M.R., Y.L., B.T., L.D.D., M.P., M.D.H.D., F.M., V.T., M.E.J. and P.C. designed the research; X.W., M.R., Y.L., V.T., B.T., L.D.D., M.P., F.M. and P.C. performed experiments; X.W., B.T. and P.C. analyzed results and made the figures; X.W. and P.C. interpreted data; X.W., M.L.L.M, M.R., R.F.M., Y.L., V.T., B.T., L.D.D., M.P., F.M., M.D.H.D., M.E.J. and P.C. participated in the writing of the paper.

The authors declare no conflict of interest.

### **References**

1. Rackoff WR, Kunkel N, Silber JH, Asakura T, Ohene-Frempong K. Pulse oximetry and factors associated with hemoglobin oxygen desaturation in children with sickle cell disease. *Blood*. 1993;81(12):3422-7.
2. Needleman JP, Franco ME, Varlotta L, Reber-Brodecki D, Bauer N, Dampier C, et al. Mechanisms of nocturnal oxyhemoglobin desaturation in children and adolescents with sickle cell disease. *Pediatr Pulmonol*. 1999;28(6):418-22.
3. Needleman JP, Setty BN, Varlotta L, Dampier C, Allen JL. Measurement of hemoglobin saturation by oxygen in children and adolescents with sickle cell disease. *Pediatr Pulmonol*. 1999;28(6):423-8.

4. Fitzgerald RK, Johnson A. Pulse oximetry in sickle cell anemia. *Crit Care Med.* 2001;29(9):1803-6.
5. Quinn CT, Ahmad N. Clinical correlates of steady-state oxyhaemoglobin desaturation in children who have sickle cell disease. *Br J Haematol.* 2005;131(1):129-34.
6. Campbell A, Minniti CP, Nouraie M, Arteta M, Rana S, Onyekwere O, et al. Prospective evaluation of haemoglobin oxygen saturation at rest and after exercise in paediatric sickle cell disease patients. *Br J Haematol.* 2009;147(3):352-9.
7. Minniti CP, Sable C, Campbell A, Rana S, Ensing G, Dham N, et al. Elevated tricuspid regurgitant jet velocity in children and adolescents with sickle cell disease: association with hemolysis and hemoglobin oxygen desaturation. *Haematologica.* 2009;94(3):340-7.
8. Connes P, Verlhac S, Bernaudin F. Advances in understanding the pathogenesis of cerebrovascular vasculopathy in sickle cell anaemia. *Br J Haematol.* 2013.
9. Hargrave DR, Wade A, Evans JP, Hewes DK, Kirkham FJ. Nocturnal oxygen saturation and painful sickle cell crises in children. *Blood.* 2003;101(3):846-8.
10. Quinn CT, Sargent JW. Daytime steady-state haemoglobin desaturation is a risk factor for overt stroke in children with sickle cell anaemia. *Br J Haematol.* 2008;140(3):336-9.
11. Setty BN, Stuart MJ, Dampier C, Brodecki D, Allen JL. Hypoxaemia in sickle cell disease: biomarker modulation and relevance to pathophysiology. *Lancet.* 2003;362(9394):1450-5.
12. Seakins M, Gibbs WN, Milner PF, Bertles JF. Erythrocyte Hb-S concentration. An important factor in the low oxygen affinity of blood in sickle cell anemia. *J Clin Invest.* 1973;52(2):422-32.
13. Milner PF. Oxygen transport in sickle cell anemia. *Arch Intern Med.* 1974;133(4):565-72.

14. Homi J, Levee L, Higgs D, Thomas P, Serjeant G. Pulse oximetry in a cohort study of sickle cell disease. *Clin Lab Haematol.* 1997;19(1):17-22.
15. Kato GJ, McGowan V, Machado RF, Little JA, Taylor Jt, Morris CR, et al. Lactate dehydrogenase as a biomarker of hemolysis-associated nitric oxide resistance, priapism, leg ulceration, pulmonary hypertension, and death in patients with sickle cell disease. *Blood.* 2006;107(6):2279-85.
16. Kato GJ, Gladwin MT, Steinberg MH. Deconstructing sickle cell disease: reappraisal of the role of hemolysis in the development of clinical subphenotypes. *Blood Rev.* 2007;21(1):37-47.
17. Chien S, Usami S, Bertles JF. Abnormal rheology of oxygenated blood in sickle cell anemia. *J Clin Invest.* 1970;49(4):623-34.
18. Ballas SK, Smith ED. Red blood cell changes during the evolution of the sickle cell painful crisis. *Blood.* 1992;79(8):2154-63.
19. Kaul DK, Fabry ME. In vivo studies of sickle red blood cells. *Microcirculation.* 2004;11(2):153-65.
20. Tripette J, Alexy T, Hardy-Dessources MD, Mougengel D, Beltan E, Chalabi T, et al. Red blood cell aggregation, aggregate strength and oxygen transport potential of blood are abnormal in both homozygous sickle cell anemia and sickle-hemoglobin C disease. *Haematologica.* 2009;94(8):1060-5.
21. Waltz X, Hedreville M, Sinnapah S, Lamarre Y, Soter V, Lemonne N, et al. Delayed beneficial effect of acute exercise on red blood cell aggregate strength in patients with sickle cell anemia. *Clin Hemorheol Microcirc.* 2012.
22. Lamarre Y, Romana M, Waltz X, Lalanne-Mistrih ML, Tressières B, Divialle-Doumbo L, et al. Hemorheological risk factors of acute chest syndrome and painful vaso-occlusive crisis in sickle cell disease. *Haematologica.* 2012;97(11):1641-7.

23. Waltz X, Pichon A, Mougénel D, Lemonne N, Lalanne-Mistrih ML, Sinnapah S, et al. Hemorheological alterations, decreased cerebral microvascular oxygenation and cerebral vasomotion compensation in sickle cell patients. *Am J Hematol*. 2012.
24. Lemonne N, Lamarre Y, Romana M, Mukisi-Mukaza M, Hardy-Dessources MD, Tarer V, et al. Does increased red blood cell deformability raises the risk for osteonecrosis in sickle cell anemia? *Blood*. In press.
25. Hsia CCW. Pulmonary diffusion, Ventilation-Perfusion Ratio and Arterial Oxygen Homeostasis. In: Connes P, Hue O, Perrey S, eds. *Exercise Physiology: from a Cellular to an Integrative Approach*. Amsterdam, Berlin, Tokyo, Washington DC: IOS Press, 2010:95-116.
26. Hsia CC, Johnson RL, Jr., Shah D. Red cell distribution and the recruitment of pulmonary diffusing capacity. *J Appl Physiol*. 1999;86(5):1460-7.
27. Caillaud C, Connes P, Bouix D, Mercier J. Does haemorheology explain the paradox of hypoxemia during exercise in elite athletes or thoroughbred horses? *Clin Hemorheol Microcirc*. 2002;26(3):175-81.
28. Connes P, Bouix D, Durand F, Kippelen P, Mercier J, Prefaut C, et al. Is hemoglobin desaturation related to blood viscosity in athletes during exercise? *Int J Sports Med*. 2004;25(8):569-74.
29. Boucher JH, Ferguson EW, Wilhelmsen CL, Statham N, McMeekin RR. Erythrocyte alterations endurance exercise in horses. *J Appl Physiol*. 1981;51(1):131-4.
30. Boucher JH, Connes P. Hemorheopathy in exercising horses. *Clin Hemorheol Microcirc*. 2008;40(1):73-5.
31. Platt OS, Thorington BD, Brambilla DJ, Milner PF, Rosse WF, Vichinsky E, et al. Pain in sickle cell disease. Rates and risk factors. *N Engl J Med*. 1991;325(1):11-6.

32. Baskurt OK, Boynard M, Cokelet GC, Connes P, Cooke BM, Forconi S, et al. New guidelines for hemorheological laboratory techniques. *Clin Hemorheol Microcirc.* 2009;42(2):75-97.
33. Hardeman MR, Dobbe JG, Ince C. The Laser-assisted Optical Rotational Cell Analyzer (LORCA) as red blood cell aggregometer. *Clin Hemorheol Microcirc.* 2001;25(1):1-11.
34. ATS statement: guidelines for the six-minute walk test. *Am J Respir Crit Care Med.* 2002;166(1):111-7.
35. Connes P, Machado R, Hue O, Reid H. Exercise limitation, exercise testing and exercise recommendations in sickle cell anemia. *Clin Hemorheol Microcirc.* 2011;49(1-4):151-63.
36. Geiger R, Strasak A, Treml B, Gasser K, Kleinsasser A, Fischer V, et al. Six-minute walk test in children and adolescents. *J Pediatr.* 2007;150(4):395-9, 9 e1-2.
37. Rampling MW. Compositional Properties of Blood. In: Baskurt OK, Hardeman MR, Rampling MW, Meiselman HJ, eds. *Handbook of hemorheology and hemodynamics.* Amsterdam, Berlin, Oxford, Tokyo, Washington DC: IOS Press, 2007:34-44.
38. Lionnet F, Hammoudi N, Stojanovic KS, Avellino V, Grateau G, Girot R, et al. Hemoglobin sickle cell disease complications: a clinical study of 179 cases. *Haematologica.* 2012;97(8):1136-41.
39. Waltz X, Pichon A, Lemonne N, Mouguel D, Lalanne-Mistrih ML, Lamarre Y, et al. Normal muscle oxygen consumption and fatigability in sickle cell patients despite reduced microvascular oxygenation and hemorheological abnormalities. *PLoS One.* 2012;7(12):e52471.

40. Hebbel RP. Reconstructing sickle cell disease: a data-based analysis of the "hyperhemolysis paradigm" for pulmonary hypertension from the perspective of evidence-based medicine. *Am J Hematol.* 2011;86(2):123-54.
41. Ballas SK. Lactate dehydrogenase and hemolysis in sickle cell disease. *Blood.* 2013;121(1):243-4.
42. Comber JT, Lopez BL. Evaluation of pulse oximetry in sickle cell anemia patients presenting to the emergency department in acute vasoocclusive crisis. *Am J Emerg Med.* 1996;14(1):16-8.
43. Callahan LA, Woods KF, Mensah GA, Ramsey LT, Barbeau P, Gutin B. Cardiopulmonary responses to exercise in women with sickle cell anemia. *Am J Respir Crit Care Med.* 2002;165(9):1309-16.
44. Nourai M, Lee JS, Zhang Y, Kaniyas T, Zhao X, Xiong Z, et al. The relationship between the severity of hemolysis, clinical manifestations and risk of death in 415 patients with sickle cell anemia in the US and Europe. *Haematologica.* 2012.
45. Prefaut C, Durand F, Mucci P, Caillaud C. Exercise-induced arterial hypoxaemia in athletes: a review. *Sports Med.* 2000;30(1):47-61.
46. Baskurt OK, Meiselman HJ. RBC aggregation: more important than RBC adhesion to endothelial cells as a determinant of in vivo blood flow in health and disease. *Microcirculation.* 2008;15(7):585-90.

**Table 1.** Comparison of hematological, hemorheological, ACS rate, VOC rate and 6MWT parameters in SS children classified according to their level of oxygen saturation at rest.

	<b>SpO<sub>2</sub> &gt; 98%</b> <b>(n=29)</b>	<b>95 ≤ SpO<sub>2</sub> ≤ 98%</b> <b>(n=19)</b>	<b>SpO<sub>2</sub> &lt; 95%</b> <b>(n=8)</b>
<b>Sex ratio (M/F)</b>	13/16	10/9	5/3
<b>α-thalassemia (%)</b>	48	32	29
<b>Hydroxyurea (%) §</b>	31	11	13
<b>Age (yrs.)</b>	11.8 ± 2.5	11.4 ± 2.2	10.4 ± 2.2
<b>Walked distance (m)</b>	471 ± 79	445 ± 72	450 ± 75
<b>Percentage of predicted distance (%)</b>	71.3 ± 11.3	68.1 ± 11.9	70.0 ± 11.4
<b>Fetal hemoglobin (%)</b>	9.2 ± 7.7	7.9 ± 4.2	4.8 ± 0.5
<b>Leukocytes (10<sup>9</sup>.l<sup>-1</sup>)</b>	10.8 ± 3.1	11.8 ± 2.7	11.2 ± 1.8
<b>Red blood cells (10<sup>12</sup>.l<sup>-1</sup>) §</b>	3.1 ± 0.6	2.7 ± 0.6	2.4 ± 0.2*
<b>Platelets (10<sup>9</sup>.l<sup>-1</sup>)</b>	455 ± 135	464 ± 125	454 ± 102
<b>Hemoglobin (g.dl<sup>-1</sup>) §</b>	8.4 ± 1.1	7.4 ± 1.2*	7.0 ± 0.6*
<b>Hematocrit (%) §</b>	26.5 ± 3.9	23.4 ± 3.6*	20.8 ± 2.9***
<b>Mean cell volume (fl)</b>	81.9 ± 9.5	80.1 ± 8.0	83.8 ± 5.8
<b>MCH (pg)</b>	27.7 ± 3.7	27.7 ± 2.8	29.9 ± 2.2
<b>Reticulocytes (%) §</b>	8.5 ± 4.1	11.5 ± 4.6	18.0 ± 5.5***††
<b>Lactate dehydrogenase (IU) §</b>	476 ± 148	608 ± 125	655 ± 309*
<b>Total bilirubin (μmol.l<sup>-1</sup>) §</b>	43.4 ± 22.4	72.1 ± 50.7	101.1 ± 45.6*
<b>Blood viscosity (mPa.s<sup>-1</sup>)</b>	6.9 ± 2.3	6.4 ± 1.9	8.1 ± 2.6
<b>RBC deformability at 3 Pa (a.u) §</b>	0.19 ± 0.06	0.15 ± 0.05*	0.09 ± 0.03***†
<b>RBC deformability at 30 Pa (a.u) §</b>	0.44 ± 0.09	0.35 ± 0.08**	0.26 ± 0.07***
<b>RBC aggregation index (%)§</b>	52.0 ± 10.4	49.9 ± 9.9	42.1 ± 8.2
<b>RBC disaggregation threshold (s<sup>-1</sup>)§</b>	237 ± 74	280 ± 78	347 ± 176
<b>VOC rate §</b>	0.82 ± 1.33	0.25 ± 0.35	0.23 ± 0.35
<b>ACS rate</b>	0.16 ± 0.20	0.12 ± 0.15	0.15 ± 0.15

Values represent mean ± SD. SpO<sub>2</sub>, hemoglobin oxygen saturation; MCH, mean cell hemoglobin; VOC, vaso-occlusive crises; ACS, acute chest syndrome; Different from group with SpO<sub>2</sub> > 98% (\*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001); different from group with 95 ≤ SpO<sub>2</sub> ≤ 98% (†p < 0.05; ††p < 0.01; †††p < 0.001). § Variable with p < 0.20 by ANOVA included in the multivariate analysis. \$ Variable discarded from the multivariate analysis to avoid collinearity.

**Table 2.** Comparison of 6MWT, hematological, hemorheological, ACS rate and VOC rate parameters of SC children classified accordingly to their level of exercise-induced oxygen desaturation.

	<b>SpO<sub>2</sub> reduction &lt; 3 (n=40)</b>	<b>SpO<sub>2</sub> reduction ≥ 3 (n=9)</b>
<b>Sex ratio (M/F)</b>	23/17	5/4
<b>α-thalassemia (%)</b>	35	22
<b>Hydroxyurea (%)</b>	0	0
<b>Age (yrs.)</b>	12.0 ± 2.2	11.6 ± 2.6
<b>Walked distance (m)</b>	489 ± 88	515 ± 97
<b>Percentage of predicted distance (%)</b>	73.2 ± 12.5	77.8 ± 11.6
<b>Fetal hemoglobin (%)</b>	3.0 ± 3.1	2.4 ± 1.9
<b>Leukocytes (10<sup>9</sup>.l<sup>-1</sup>)</b>	7.3 ± 3.0	7.4 ± 2.1
<b>Red blood cells (10<sup>12</sup>.l<sup>-1</sup>)</b>	4.5 ± 0.6	4.4 ± 0.5
<b>Platelets (10<sup>9</sup>.l<sup>-1</sup>)</b>	280 ± 135	291 ± 140
<b>Hemoglobin (g.dl<sup>-1</sup>)</b>	11.1 ± 1.1	11.4 ± 0.6
<b>Hematocrit (%)</b>	33.2 ± 3.0	32.9 ± 1.8
<b>Mean cell volume (fl)</b>	71.3 ± 6.1	73.3 ± 4.5
<b>MCH (pg)</b>	25.2 ± 2.5	26.2 ± 1.7
<b>Reticulocytes (%)</b>	2.9 ± 1.2	3.3 ± 0.9
<b>Lactate dehydrogenase (IU)</b>	305 ± 87	268 ± 44
<b>Total bilirubin (μmol.l<sup>-1</sup>)</b>	30.0 ± 9.8	23.6 ± 7.5
<b>Blood viscosity (mPa.s<sup>-1</sup>)</b>	8.6 ± 2.1	8.0 ± 1.5
<b>RBC deformability at 3 Pa (a.u)</b>	0.17 ± 0.03	0.18 ± 0.03
<b>RBC deformability at 30 Pa (a.u)</b>	0.45 ± 0.05	0.45 ± 0.06
<b>RBC aggregation index (%)</b>	44.4 ± 8.7	46.6 ± 7.2
<b>RBC disaggregation threshold (s<sup>-1</sup>) §</b>	274 ± 118	327 ± 122
<b>VOC rate §</b>	0.23 ± 0.41	0.37 ± 0.29*
<b>ACS rate</b>	0.02 ± 0.03	0.08 ± 0.12

Values represent mean ± SD. SpO<sub>2</sub>, oxygen saturation; MCH, mean cell hemoglobin; VOC, vaso-occlusive crises; ACS, acute chest syndrome; Different from group with SpO<sub>2</sub> reduction < 3% (\*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001); § variable with p < 0.20 included in the multivariate analysis.

**Table 3.** Comparison of 6MWT, hematological, hemorheological, ACS rate and VOC rate parameters of SS children classified accordingly to their level of exercise-induced oxygen desaturation.

	<b>SpO<sub>2</sub> reduction &lt; 3 (n=37)</b>	<b>SpO<sub>2</sub> reduction ≥ 3 (n=19)</b>
<b>Sex ratio (M/F) §</b>	15/22	13/6*
<b>α-thalassemia (%)</b>	42	37
<b>Hydroxyurea (%)</b>	24	16
<b>Age (yrs)</b>	11.5 ± 2.3	11.4 ± 2.5
<b>Walked distance (m) §</b>	445 ± 76	487 ± 68 (p = 0.05)
<b>Percentage of predicted distance §</b>	67.7 ± 11.6	74.4 ± 9.8*
<b>Fetal hemoglobin (%)</b>	9.2 ± 6.9	7.3 ± 5.8
<b>Leukocytes (10<sup>9</sup>.l<sup>-1</sup>)</b>	11.3 ± 2.9	10.8 ± 2.8
<b>Red blood cells (10<sup>12</sup>.l<sup>-1</sup>)</b>	2.8 ± 0.6	2.9 ± 0.6
<b>Platelets (10<sup>9</sup>.l<sup>-1</sup>)</b>	460 ± 128	453 ± 124
<b>Hemoglobin (g.dl<sup>-1</sup>)</b>	7.8 ± 1.3	7.9 ± 1.0
<b>Hematocrit (%)</b>	24.4 ± 4.3	25.1 ± 4.1
<b>Mean cell volume (fl)</b>	82.5 ± 8.6	79.6 ± 8.3
<b>MCH (pg)</b>	28.3 ± 3.1	27.5 ± 3.5
<b>Reticulocytes (%)</b>	10.5 ± 5.2	11.6 ± 5.9
<b>Lactate dehydrogenase (IU)</b>	561 ± 177	517 ± 196
<b>Total bilirubin (μmol.l<sup>-1</sup>)</b>	59.4 ± 41.9	63.6 ± 42.9
<b>Blood viscosity (mPa.s<sup>-1</sup>)</b>	7.1 ± 2.2	6.5 ± 2.2
<b>RBC deformability at 3 Pa (a.u)</b>	0.17 ± 0.06	0.15 ± 0.06
<b>RBC deformability at 30 Pa (a.u)</b>	0.39 ± 0.11	0.36 ± 0.11
<b>RBC aggregation index (%)</b>	49.8 ± 11.1	50.0 ± 9.2
<b>RBC disaggregation threshold (s<sup>-1</sup>)§</b>	249 ± 87	302.3 ± 118
<b>VOC rate</b>	0.56 ± 1.19	0.51 ± 0.58
<b>ACS rate §</b>	0.11 ± 0.16	0.21 ± 0.19*

Values represent mean ± SD. SpO<sub>2</sub>, oxygen saturation; MCH, mean cell hemoglobin; VOC, vaso-occlusive crises; ACS, acute chest syndrome; Different from group with SpO<sub>2</sub> reduction < 3% (\*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001); § variable with p < 0.20 included in the multivariate analysis. § variable discarded from the multivariate analysis to avoid co-linearity.