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ABSTRACT

Background: Genome-wide association studies (GWAS) have identified determinants of chronic obstructive pulmonary disease, asthma and lung function level, however none addressed decline in lung function.

Aim: We conducted the first GWAS on age-related decline in forced expiratory volume in the first second (FEV1) and in its ratio to forced vital capacity (FVC) stratified a priori by asthma status.

Methods: Discovery cohorts included adults of European ancestry (1441 asthmatics, 2677 non-asthmatics; Epidemiological Study on the Genetics and Environment of Asthma (EGEA); Swiss Cohort Study on Air Pollution And Lung And Heart Disease In Adults (SAPALDIA); European Community Respiratory Health Survey (ECRHS)). The associations of FEV1 and FEV1/FVC decline with 2.5 million single nucleotide polymorphisms (SNPs) were estimated. Thirty loci were followed-up by in silico replication (1160 asthmatics, 10858 non-asthmatics: Atherosclerosis Risk in Communities (ARIC); Framingham Heart Study (FHS); British 1958 Birth Cohort (B58C); Dutch asthma study).

Results: Main signals identified differed between asthmatics and non-asthmatics. None of the SNPs reached genome-wide significance. The association between the height related gene DLEU7 and FEV1 decline suggested for non-asthmatics in the discovery phase was replicated (discovery P=4.8x10^{-6}; replication P=0.03) and additional sensitivity analyses point to a relation to growth. The top ranking signal, TUSC3, associated with FEV1/FVC decline in asthmatics (P=5.3x10^{-8}) did not replicate. SNPs
previously associated with cross-sectional lung function were not prominently
associated with decline.

**Conclusions:** Genetic heterogeneity of lung function may be extensive. Our results
suggest that genetic determinants of longitudinal and cross-sectional lung function differ
and vary by asthma status.

**Key Messages:**

- Knowledge regarding genes with pleiotropic effects on asthma, chronic
  obstructive pulmonary disease as well as on lung function level and its
  longitudinal course is limited.

- This first GWAS meta-analysis on lung function decline conducted separately in
  non-asthmatic and asthmatic cohort participants suggests that genetic
determinants of lung function decline are different in the two groups.

- The results further suggest that previously identified genetic determinants of
  cross-sectional lung function are not major determinants of the decline.

**Capsule summary:**
This meta-analysis provides evidence for genetic heterogeneity of lung function
between asthmatics and non-asthmatics; and between cross-sectionally and
longitudinally measured lung function. The study adds evidence for the role of height-
related genes in lung health.
This article has in support of the manuscript online repository materials.

Keywords:
Asthma, cohort studies, genome-wide association, lung function decline, heterogeneity

Abbreviations:

ARIC, Atherosclerosis Risk in Communities Study
ATS, American Thoracic Society
B58C, British 1958 Birth Cohort
chr, chromosome
COPD, chronic obstructive pulmonary disease
ECRHS, European Community Respiratory Health Survey
EGEA, Genetics and Environment of Asthma
FEV1, forced expiratory volume in the first second
FHS, Framingham Heart Study
FVC, forced vital capacity
GWAS, genome-wide association studies
HapMap, Haplotype Map Project
Q-Q, Quantile-quantile
SAPALDIA, Swiss Cohort Study on Air Pollution And Lung And Heart Disease In Adults
SNP, single nucleotide polymorphism
INTRODUCTION

Low lung function is a feature of both asthma and chronic obstructive pulmonary disease (COPD), with twin studies demonstrating strong heritability (0.51 to 0.77) for forced expiratory volume in the first second (FEV1)\textsuperscript{1,2}. The two respiratory diseases and lung function itself share predisposing and phenotypic features, including increased airway responsiveness and atopy as well as exogenous risk factors\textsuperscript{3,4}. Genome-wide association studies (GWAS) have identified novel genetic loci for asthma\textsuperscript{5-10}, COPD\textsuperscript{11-14}, and lung function\textsuperscript{15-18} and provide the opportunity to study agnostically their overlap in genetic background\textsuperscript{19}. Some of the implicated genes, such as \textit{PDE4D}, support a link between asthma and COPD which may be rooted in shared pathways during lung development\textsuperscript{20}. However, the majority of the genes implicated in asthma or COPD GWAS analyses have not been identified as top association signals in GWAS for lung function in the general population\textsuperscript{15-18}, with the exception of \textit{HHIP} and \textit{FAM13A} being associated with both lung function\textsuperscript{15-18} and COPD\textsuperscript{11-14}. Several lines of evidence suggest that different genes influence lung function in asthmatics and in non-asthmatics. Genome-scans in family based linkage studies identified some, but overall limited overlap between chromosomal regions linked to lung function in asthmatics\textsuperscript{21}, COPD patients\textsuperscript{22} and in the general population\textsuperscript{23} and it has been suggested that genetic variation may be more important for lung function in asthma after adjusting for smoking and body size differences\textsuperscript{21,24,25}.

Here, we present results from the first lung function GWAS conducted separately for asthmatics and non-asthmatics. This current study also focuses on the rate of lung function decline in adults instead of cross-sectional lung function parameters tested in
previous GWAS\textsuperscript{15-18}. The discovery cohorts included two population-based studies (SAPALDIA and ECRHS) and one asthma family-based study (EGEA), all of European ancestry with highly comparable and standardized assessment of respiratory health parameters including spirometry from two time points ten years apart. These three studies had been included in the GWAS for asthma conducted by the GABRIEL consortium\textsuperscript{7}. Replication cohorts included three population-based cohorts (FHS, ARIC, B58C) and one family-based asthma study (the Dutch Asthma Study).
METHODS

- **Discovery cohorts and study population:** Three large multi-centric cohorts EGEA\textsuperscript{26}, SAPALDIA\textsuperscript{27} and ECRHS\textsuperscript{28} constitute the ESE-consortium. Personal factors of relevance to lung function decline were assessed by interview and anthropometric measurements at baseline and follow-up. Participants included in discovery phase were derived from the nested asthma case/control samples (SAPALDIA and ECRHS) or from the entire study population (EGEA) subjected to genome-wide genotyping in the context of the GABRIEL asthma GWAS\textsuperscript{7}. Baseline and follow-up examination were roughly 10 years apart. The analysis was restricted to adult participants (age \(\geq\) 18 years at the time of the baseline spirometry) with complete information on age, height and sex as well as valid lung function measure from both surveys. Cohort study protocols were in agreement with the Declaration of Helsinki and obtained ethical approval from their respective regional and/or national review boards.

- **Lung function assessments, asthma status and genotypes:** At each visit, a minimum of two acceptable forced expiratory flows, forced vital capacity (FVC) and forced expiratory volume in the first second (FEV1) complying with American Thoracic Society criteria were obtained\textsuperscript{26-29}. No bronchodilator was administered. Based on questionnaire data, asthmatics were defined as asthma self-report at any of the completed surveys and family-based studies considered additional clinical asthma criteria (see online repository). Genotyping for discovery cohorts was centrally performed on the Illumina Human 610quad BeadChip at the Centre National de Génotypage (CNG, Evry, France)\textsuperscript{7}. Imputation of genotypes based on Hapmap2 reference panel, investigation of
population stratification and quality control criteria are described in Figure EI and Table EI in the Online Repository.

- **Replication Cohorts:** Four cohorts of European ancestry with available genome-wide data, ARIC\textsuperscript{30}, FHS\textsuperscript{15}, B58C\textsuperscript{31}; Dutch asthma study\textsuperscript{32} were used for replication. Subjects included in the current analysis were older than 24 years, had complete information on covariates (age, height, and sex) and valid lung function measures from at least two time-points. The lung function measurements were conducted at least ten years apart, except three years apart for ARIC (Table I). Distinct genotype data platforms and imputation software were used (Table EII, Online Repository).

- **Statistical analysis:** Annual decline in FEV1 and FEV1/FVC was calculated as difference between follow-up and baseline spirometric measurements (mL for FEV1 and % for FEV1/FVC) divided by the duration of follow-up in years. Standardized residuals were derived from sex-specific linear regression models adjusted for age, height and study centre in asthmatics and non-asthmatics separately. Comparability between studies of standardized residuals was tested using Wilcoxon-Mann-Whitney test ($P>0.94$). The standardized residuals were used as dependent variable and regressed on genome-wide single nucleotide polymorphisms (SNPs) adjusted for study-specific principal components capturing population ancestry (see online supplement for details).

Study-specific SNP effect estimates were combined through meta-analysis using fixed and random effects models. We used a threshold of $P<5\times10^{-8}$ (the Bonferroni adjustment for one million independent tests) to declare a pooled effect as genome-wide significant. Selection criteria for replication loci are described in the methods section of the online repository. SNPs with suggestive evidence of association with
decline in FEV1 or FEV1/FVC were chosen for *in silico* replication (Table EIII, Online Repository). Study-specific regression models and meta-analyses across replication cohorts were as described for the discovery phase. Replication cohorts with spirometry data from more than two different time points modelled the lung function decline phenotype by fitting a least-squares slope using the available data (FHS, Dutch asthma study). P≤0.05 was considered as statistically significant at the replication level.

The results of the main meta-analyses for the top 1000 SNPs are available in the online repository (Table EIV A to D, Online Repository). We also conducted a meta-analysis by combining non-asthmatic and asthmatic samples and tested for heterogeneity between these samples (Table EV, Online Repository). Additional sensitivity analyses were done by: a) restricting the GWAS sample to subjects aged 30 and older for FEV1 decline (Table EIV E and F, Online Repository); b) conducting GWAS analyses on percent change instead of absolute annual decline in lung function (Table EIV G to J, Online Repository); c) investigating smoking stratified joint effects for replications SNPs (Table EVI, Online Repository); d) excluding ARIC, a cohort having substantially shorter follow-up time that the other cohorts (three years instead of ten years) from replication analyses (Table EVII, Online Repository). Methods and results of these additional analyses are described in the online repository.
RESULTS

Characteristics of the study populations

The cohorts included in this study differed by age and type of recruitment, and accordingly in lung function and the proportion of subjects with FEV1/FVC below 70% (Table I, Table VIII, Online Repository). Baseline lung function parameters, but not their annual changes were lower in asthmatics when compared to non-asthmatics in each study. The proportion of never smokers was comparable among asthmatics, but varied among non-asthmatics (ranging from 28.5% in B58C to 46.5% in EGEA). No substantial differences in the smoking prevalence between people with and without asthma were observed within each study. Comparing the discovery cohorts in more detail (Table VIII, Online Repository), atopy (total IgE ≥100kU/ml) and hay fever were more prevalent in both asthmatics and non-asthmatics from EGEA when compared to ECRHS and SAPALDIA. Current asthma was more prevalent (84.4%) in EGEA than in SAPALDIA (25.5%) or ECRHS (43.3%) and the prevalence of a positive family history for asthma was also highest in EGEA, in agreement with the study design. Asthmatics from EGEA had a younger age of disease onset due to the mode of recruitment of the proband.

Main findings from meta-analyses of discovery and replication phase

In the discovery phase, GWAS meta-analysis of decline in FEV1 and FEV1/FVC was conducted in 2677 non-asthmatics and in 1441 asthmatics. Genomic inflation factors were low for both lung function parameters (λ<1.047, Table IX, Online Repository) suggesting minimal unaccounted population stratification. The replication panel included
a total of 10'858 non-asthmatics and 1'138 asthmatics. Thirty lead SNPs belonging to 30 loci (5x10^{-8} \leq P_{\text{discovery}} < 6x10^{-5}) were chosen for replication. The four lung function parameter- and asthma-specific meta-analyses identified one association signal that almost reached the genome-wide significance level (P = 5.3x10^{-8}) at the locus 8p22 containing the TUSC3 gene for FEV1/FVC decline in asthmatics while all other signals had P<5x10^{-7} (Figure I), but this signal was not associated with FEV1/FVC decline in asthmatics in the replication sample. The only locus of the selected replication candidate loci that formally replicated was 13q14.3, containing the DLEU7 gene, associated with decline in FEV1 in the non-asthmatics (P_{\text{discovery}}=4.8x10^{-6} and P_{\text{replication}}=0.03).

In the global post hoc analysis combining both asthmatics and non-asthmatics (N=4118), a striking finding was the absence of any pronounced association signals (P >1x10^{-6}) despite increased statistical power. This was in agreement with the minimal overlap of association signals observed in asthmatics and non-asthmatics separately. Most signals at P<10^{-5} from the asthma-stratified analysis in the discovery phase exhibited statistically significant heterogeneity of effects between the two groups (Table II). At the replication stage, none of the replication SNPs was associated with lung function decline in asthmatics and non-asthmatics combined.

Association signals for annual decline in FEV1 in non-asthmatics
Of fifteen SNPs associated at P<10^{-5} with decline in FEV1 in non-asthmatics ten were clustered at position 112.3 Mb on chromosome 9, containing genes TXN, MUSK and SVEP1. Two of the 15 SNPs were located at 13q14.3 in a locus containing the DLEU7
gene; three SNPs belonged to three distinct loci. The association of lead and proxy SNPs in *DLEU7* (Figure II), but not *TXN/MUSK/SVEP1* (Figure EII) or the other SNPs (Table II) replicated. The G-allele of SNP rs9316500 near the *DLEU7* gene was positively associated with annual FEV1 decline in the discovery cohorts (P=4.8x10^{-6}) and in the replication cohorts (P=0.026). Although heterogeneity between studies was not significant (P=0.61), the combined P value did not reach the genome-wide level (P=5.7x10^{-5}).

**Association signals for annual decline in FEV1 in asthmatics**

Eighteen SNPs in nine distinct chromosomal locations were associated with decline in FEV1 in asthmatics at P<10^{-5}. None of the loci selected for *in silico* replication was confirmed (Table II).

**Association signals for annual decline in FEV1/FVC in non-asthmatics**

Seven loci showed association with FEV1/FVC decline in non-asthmatics at 10^{-6}<P<10^{-5}, but no locus selected for replication was confirmed (Table II).

**Association signals for annual decline in FEV1/FVC in asthmatics**

Twelve SNPs at the locus 8p22 containing the gene *TUSC3* at 15.68Mb were associated with FEV1/FVC decline at P<10^{-7} in asthmatics (Figure I). Regional locus plot and forest plot are presented in the online repository (Figure EIII). The top association signals in this locus were conferred by distinct SNPs in each cohort, though apparently they were located in the same putative haplotype segment in SAPALDIA and
in EGEA (Figure EIV, Online Repository). There was no statistically significant
association in ECRHS. Meta-analysis of the discovery samples identified SNP
rs4831760 as top signal in \textit{TUSC3} gene, but heterogeneity between discovery studies
was borderline significant (P=0.07). The C-allele (P=5.3x10^{-8}) was positively associated
with annual decline in FEV1/FVC in asthmatics (Beta=0.22 ±0.04 (standard error); Table
II). However this association was not replicated (P=0.80). In the meta-analysis
combining discovery and replication samples the resulting P-value for rs4831760 was
2.8x10^{-5}. All but the Dutch asthma study, exhibited effect estimates in the same
direction as the discovery panel. Two other candidate loci (\textit{MPP7} and \textit{SYNE2}) also
failed replication testing.

\textit{SNPs previously associated in GWAS meta-analyses on cross-sectional lung function}
The associations of top hit SNPs from previous GWAS meta-analyses on cross-
sectional lung function\textsuperscript{11, 15-18} and a replication study in asthmatics\textsuperscript{33} were assessed
separately for asthmatics and non-asthmatics in the discovery cohorts. Associations
were assessed for both, lung function parameters of decline (annual decline and
percent change) and cross-sectional lung function level. Overall, a subset of variants
and loci showed replication of association with cross-sectional lung function in either
non-asthmatics or asthmatics. Few of the loci showed strong association with decline in
lung function. We present associations at P<0.05 in Table III and those at P\geq 0.05 in
Table EX in the online repository.

For baseline FEV1, we observed associations for SNPs belonging to 4q24 (\textit{GSTCD},
rs11731417, P=1.3x10^{-4}) and 15q23 (\textit{THSD4}, rs1913768, P=0.003). Associations with
baseline FEV1 were mainly restricted to non-asthmatics. For baseline FEV1/FVC, associations of SNPs of \textit{THSD4} were prominent (e.g. rs12899618, $P=3.3\times10^{-4}$) and again restricted to non-asthmatics.

For decline phenotypes of FEV1, we observed associations for SNPs in regions 6p21 (\textit{DAAM2}, $0.003<P<0.02$) and 4q28 (\textit{HHIP}, $0.02<P<0.05$) among asthmatics and in \textit{THSD4} ($0.003<P<0.04$) among non-asthmatics. The strongest associations observed for decline phenotypes of FEV1/FVC were two SNPs in \textit{MMP15} (16q13, $0.003<P<0.002$) in non-asthmatics, only. Association in the combined sample of asthmatics and non-asthmatics did not substantially alter the results.

\textit{Summary of findings from sensitivity analyses}

We observed in non-asthmatics, aged 30 years and more, that \textit{MUSK} and \textit{DLEU7} were no longer prominently associated with FEV1 decline, but SNPs in other genes remained strongly associated (\textit{ZIC1}, rs6785065, $P=2.3\times10^{-5}$; \textit{UBL3}, rs278037, $P=4.8\times10^{-5}$).

Results of the GWAS on percent change in lung function showed that the FEV1 association signal for \textit{DLEU7} in the non-asthmatics was no longer significant; however the signals for \textit{MUSK} (rs1889321, $P=2.92\times10^{-7}$) and other loci remained unaltered (\textit{ZIC1}, rs6785065, $P=2.0\times10^{-5}$; \textit{KIRREL3}, rs11604082, $P=4.1\times10^{-6}$; \textit{KIAA2117}, rs10082549, $P=2.7\times10^{-6}$). Top signals associated with decline in FEV1/FVC in asthmatics remained unaltered for \textit{TUSC3} (rs4831760, $P=5.2\times10^{-8}$) and for \textit{SYNE2} (rs7144584, $P=6.4\times10^{-7}$) after taking baseline lung function into account.
Smoking stratified analyses of the replication SNPs revealed no substantial difference in association between ever and never smokers except for a few SNPs belonging to loci containing $SYNE2$, $RORA$, $BCAS1$, or $PLXNA4$ genes.

Replication meta-analysis excluding the ARIC data substantially reduced sample size in non-asthmatics and the association of $DLEU7$ with decline of FEV1 was no longer significant. Instead two loci for association with decline in FEV1 in asthmatics ($PLXNA4$, rs10808265, $P_{\text{discovery}}=1.7 \times 10^{-6}$, $P_{\text{replication}}=0.02$ and $SLC45A3$, rs16856186, $P_{\text{discovery}}=8.9 \times 10^{-6}$, $P_{\text{replication}}=0.04$) and one locus, FLJ25393, for decline in FEV1/FVC in non-asthmatics (rs2658782, $P_{\text{discovery}}=4.3 \times 10^{-6}$, $P_{\text{replication}}=0.03$) gained statistical significance.
A main result of this study is the observed genetic heterogeneity of lung function decline between asthmatics and non-asthmatics. When we combined the two groups in the discovery phase we observed no genome-wide significant association signal despite larger sample size. All top hit association signals detected by the asthma stratified analysis showed significant heterogeneity according to the disease status. In the replication phase, this heterogeneity was also confirmed for the \textit{DLEU7} locus which was associated with FEV1 decline in non-asthmatics only. Finally, many of the SNPs identified by previous GWAS on lung function exhibited associations specific to asthma status.

The finding of genetic heterogeneity in lung function reported here is consistent with available evidence. Differences in familial segregation of FEV1 in asthmatic and non-asthmatic families previously suggested genetic heterogeneity between these two groups\textsuperscript{24}. Agnostic studies investigating genetic determinants of lung function in both, family-based\textsuperscript{21, 22, 34-37} and population-based samples\textsuperscript{15-18, 23, 25} produced little overlap in chromosomal regions. Genome-wide scans on lung function in asthma\textsuperscript{21, 38} or COPD\textsuperscript{22} families also suggested a heterogeneous genetic architecture of lung function.

Nevertheless, some previously reported overlapping linkage regions for the ratio of FEV1 over vital capacity (FEV1/VC) and FEV1 over the forced vital capacity (FEV1/FVC) in families with asthma and COPD\textsuperscript{21, 22} suggest that at least some gene(s) could be important in the development of airway obstruction in both diseases.
Furthermore, genetic polymorphisms in glutathione S-transferases\textsuperscript{39-42} as well as \textit{ADAM-33}\textsuperscript{43-46} were associated with lower lung function at all ages and in different subgroups of the population (general population, patients with COPD and asthma). Gene-lung function associations that are of relevance to several population and patient strata may be determined specifically by complex gene-gene and gene-environment interactions, as suggested for lung function decline and its complex association with estrogen receptor 1 polymorphisms, smoking, steroid use, and gender\textsuperscript{32, 47}. While ignored in ours as well as previous GWAS, such effect modifications should be considered in the future\textsuperscript{48}.

Results from the Busselton Health Study on familial aggregation and heritability of adult lung function previously suggested the existence of genetic determinants of adult lung function independent of asthma, atopy, cigarette smoking, height, age or sex\textsuperscript{25}. Consistent with these results, neither asthma, atopy and COPD genes previously identified in large GWAS\textsuperscript{5-9, 11} nor genes related to smoking behavior\textsuperscript{49} were associated with lung function decline in our study. The association of FEV1 decline with a gene related to height, \textit{DLEU7}, was ranking high, but only in subjects without asthma (rs9316500, $P_{\text{discovery}}=4.8 \times 10^{-6}$; $P_{\text{replication}}=0.03$). \textit{DLEU7} gene product and expression remain poorly characterized, but its mRNA has been detected in the lung. The \textit{DLEU7} locus was identified as a determinant of adult height in previous GWAS meta-analyses\textsuperscript{50-52}. Three other height genes, \textit{HHIP}, \textit{GPR126} and \textit{PTCH}, were associated with cross-sectional lung function\textsuperscript{15-17}. All of these lung function models including ours were adjusted for adult height. The observed association, related to both \textit{HHIP} and
*DLEU7* being associated with peak height velocity in infancy\(^5\), suggests that aspects beyond adult height influence lung function and possibly its response to non-genetic determinants. Several genes implicated in respiratory diseases indicate that early lung development impacts respiratory health later in life\(^\text{20}\). Sensitivity analyses are supportive for a growth-specific role of *DLEU7*. The association of genetic variants in *DLEU7* with decline in FEV1 disappeared in analyses considering baseline lung function or restricted to subjects above age 30 with no remaining physiologic lung growth. There might be a link between physiologic growth and unregulated cell differentiation as the *DLEU7* gene is also a proposed tumor suppressor gene in chronic lymphocytic leukemia\(^5\text{3-55}\). Evidence emerges for a role of *DLEU7* in counterbalancing the proliferative impact of NF-κB on various cell types\(^\text{56}\). The potential role of the gene product of *TUSC3*, a proposed tumor suppressor gene\(^\text{57}\), in lung physiology is discussed in the Online Repository.

None of the SNPs identified in GWAS of cross-sectional lung function\(^\text{15-18}\) ranked high in this current GWAS on lung function decline. A strong risk factor for accelerated lung function decline in adulthood is cigarette smoking, but our study was too small to assess gene smoking interaction at the GWAS level. We had decided *a priori* against smoking adjustment as it is not a confounder, and any link between genotype and smoking is likely to be, at least in part, in the same causal pathway (e.g. gene products metabolizing tobacco constituents or influencing smoking behavior). Their identification as determinants of lung function decline is of public health importance. Consistent with previous GWAS on cross-sectional lung function\(^\text{15-18}\), neither the *TUSC3* (heterogeneity between ever/never smokers P=0.98) nor other top hit signals were modified by
smoking except for SNPs in SYNE2, RORA, BCAS1 and PLXN4. Arguments for biologic plausibility are mentioned in the Online Repository.

The strength of the present study is the longitudinal design of all cohorts included. Repeated spirometric assessments within the same subject is thought to capture more precisely exogenous factors and genes leading to accelerated loss of lung function in adulthood. The discovery cohorts shared comparable questionnaire and spirometry protocols and they were specifically designed to investigate environmental and genetic causes of lung function decline and asthma in a standardized way. Each study has two measures of pre-bronchodilator lung function about ten years apart, but clearly our findings would be more robust if further lung function measures were available over an even longer period of follow-up. All discovery cohorts have used the same genotyping platform and stringent quality control criteria have been applied.

Sample size is a limitation of this study, and remains a general challenge in lung function studies with a need for high phenotypic comparability as spirometry results are sensitive to technicians and devices used. The pre-bronchodilation lung function measurements in our and previous lung function GWAS do not allow to differentiate reversible from non-reversible obstruction to airflow. Populations included in this study differed by age which is also reflected by the diverging proportion of subjects with FEV1/FVC <0.7 at follow-up between the discovery cohorts. Discovery and replication populations also differ by time spacing between the spirometry assessments. We can only speculate on the overall impact of such differences. We do note that replication results were sensitive to the exclusion of ARIC data (the study with highest mean age, largest annual decline, and shortest follow-up time).
Other limitations are shared with any GWAS meta-analyses investigating complex phenotypes such as lack in power for investigating gene-environment interactions or studying subgroups of diseases. As the sample size of our study was comparatively small, especially for the asthmatic sample in the replication phase, we had limited ability to address differences in asthma sub-phenotypes or the impact of asthma medication intake. It is also likely that a substantial part of complex disease may be explained by rare mutations not considered by current GWAS. Finally, assessing the joint effect of SNPs having small effects individually and potentially interacting with each other remains another challenge.

In conclusion, this first GWAS meta-analysis on lung function decline provides suggestive evidence for genetic heterogeneity between persons with and without asthma and between cross-sectionally and longitudinally measured lung function. Consistent with cross-sectional GWAS, our results are also suggestive of height related genes playing a role. Further studies in this area would be enhanced by greater comparability of age range, spacing of lung function assessments, and asthma sub-phenotypes (including treatment) to decrease phenotypic heterogeneity and therefore increase statistical power to detect true association candidate loci. 
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068545/Z/02. (http://www.b58cgene.sgul.ac.uk/). Genotyping for the B58C-WTCCC
subset was funded by the Wellcome Trust grant 076113/B/04/Z. The B58C-T1DGC
genotyping utilized resources provided by the Type 1 Diabetes Genetics Consortium, a
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and Kidney Diseases (NIDDK), National Institute of Allergy and Infectious Diseases
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Foundation International (JDRF) and supported by U01 DK062418. B58C-T1DGC
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FIGURE LEGENDS:

**Figure I:** Manhattan plots of association results for decline in lung function. A) FEV1 decline in non-asthmatics. B) FEV1 decline in asthmatics. C) FEV1/FVC decline in non-asthmatics. D) FEV1/FVC decline in asthmatics.

**Figure II:** Association of the *DLEU7* locus with decline in FEV1 in non-asthmatics. A) Regional association plot, discovery phase. B) Forest plot for rs9316500. A: Chromosome position (NCBI build 36.3) and recombination rate (hg18 build). The sentinel SNP is represented as a diamond and r2 for SNPs to the sentinel SNP (HapMap CEU phase II). B: The size of the square of each study reflects the contributing weight to the meta-analysis, details in Table EXI.
FOOTNOTES

Footnotes to Table I:

* N comprises the maximal number of subjects who contributed to at least one GWAS analysis (either decline in FEV1 or in FEV1/FVC).
†Time spacing between the first and the second spirometry assessment.

Footnote to Table II:

* MUSK refers to TXN/MUSK/SVEP1 locus.

Footnote to Table III:

* Associations of SNPs previously associated in cross-sectional lung function in GWAS studies, (1) Framingham, (2) CHARGE, (3) Spirometa, (4) Asthmatics and (5) CHARGE-Spirometa were assessed in the discovery cohorts only if minor allele frequency (MAF) was at least 5%. SNPs tested for associations: ADAM19: rs2277027, rs1422795, rs6890282; ADCY2: rs7710510, rs6555465; ARMC2: rs2798641; C10orf11: rs11001819; CCDC38: rs1036429; CDC123: rs7068966; CFDP1: rs2865531; DAAM2: rs3008798, rs1318002, rs2395730; FAM13A1: rs6830970, rs2869967; GPR126: rs9496346, rs6570507, rs11155242, rs7753012, rs3748069, rs171891, rs263178; HDAC4: rs12477314; HHIP: rs1032295, rs1512285, rs720485, rs1828591, rs13118928, rs1512288, rs6817273; HTR4: rs3995090, rs1833710; INTS12-GSTCD-NPNT: rs3960769, rs17035917, rs17035960, rs11727735, rs10516526, rs11731417; KCEN2: rs9978142; LRP1: rs11172113; MECOM: rs1344555; MFAP2: rs2284746; MMP15:
rs2304488, rs12447804; \textit{MTMR3}: rs17646919; \textit{NCR3}: rs2857595; \textit{NOTCH4}: rs206015; \textit{ONECUT1}: rs2456526; \textit{PID1}: rs1435867, rs1358443, rs3845823; \textit{PTCH1}: rs10512249, rs576594; \textit{RAR}_{B}: rs1529672; \textit{SPATA9}: rs153916; \textit{TGF}_{2}: rs993925; \textit{THSD4}: rs12899618; \textit{THSD4}: rs1568010, rs1913768; \textit{TNS1}: rs918949, rs1035672, rs929937; \textit{ZKSCAN3}: rs6903823. Non-significant associations reported in online repository.

\textsuperscript{†} Baseline cross-sectional lung function was calculated using Quanjer formula\textsuperscript{61}.

\textsuperscript{‡} Proxies tested for cross-sectional association (\textit{r}^2, \textit{D}'): for rs12447804 - rs2304488 \textit{(0.87, 1)}; for rs12477314 - rs4521068 \textit{(1, 1)}; for rs2865531 - rs12917651 \textit{(1, 1)}. 
### Table I: Baseline characteristics of discovery and replication cohorts, by asthma status.

<table>
<thead>
<tr>
<th>Non-asthmatics</th>
<th>N*</th>
<th>%</th>
<th>mean ± SD Age</th>
<th>mean ± SD Height</th>
<th>mean ± SD FEV1</th>
<th>mean ± SD FEV1/FVC</th>
<th>mean ± SD (L) Follow-up length†</th>
<th>mean ± SD (mL/y) annual decline FEV1</th>
<th>mean ± SD (%/y) annual decline FEV1</th>
<th>% Never smokers</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Discovery (ESE-cohorts)</strong></td>
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<tr>
<td>EGEA</td>
<td>529</td>
<td>45.2</td>
<td>41.4 ±11.7</td>
<td>1.68 ±0.08</td>
<td>3.45 ±0.78</td>
<td>0.83 ±0.06</td>
<td>11.2 ±1.0</td>
<td>-28.6 ±25.7</td>
<td>-0.47 ±0.53</td>
<td>46.5</td>
</tr>
<tr>
<td>SAPALDIA</td>
<td>805</td>
<td>49.2</td>
<td>41.8 ±11.1</td>
<td>1.70 ±0.09</td>
<td>3.62 ±0.81</td>
<td>0.79 ± 0.07</td>
<td>10.9 ±0.2</td>
<td>-34.0 ± 28.3</td>
<td>-0.40 ±0.46</td>
<td>43.1</td>
</tr>
<tr>
<td>ECRHS</td>
<td>1343</td>
<td>49.7</td>
<td>34.1 ±7.1</td>
<td>1.70 ±0.10</td>
<td>3.81 ±0.83</td>
<td>0.83 ±0.06</td>
<td>8.9 ±0.9</td>
<td>-26.3 ±30.7</td>
<td>-0.30 ±0.50</td>
<td>40.7</td>
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<tr>
<td><strong>Replication with in silico data</strong></td>
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<tr>
<td>ARIC</td>
<td>7156</td>
<td>46.3</td>
<td>54.5 ±5.6</td>
<td>1.69 ±0.09</td>
<td>3.01 ±0.75</td>
<td>0.75 ±0.07</td>
<td>2.9 ±0.2</td>
<td>-52.0 ±57.4</td>
<td>-0.19 ±0.98</td>
<td>40.8</td>
</tr>
<tr>
<td>FHS</td>
<td>3232</td>
<td>44.9</td>
<td>52.9 ±10.2</td>
<td>1.67 ±0.10</td>
<td>2.89 ±0.81</td>
<td>0.77 ±0.08</td>
<td>10.5 ±3.6</td>
<td>-24.9 ±23.9</td>
<td>-0.33 ±0.57</td>
<td>36.1</td>
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<tr>
<td>B58C</td>
<td>470</td>
<td>48.7</td>
<td>35.0 ±0.2</td>
<td>1.70 ±0.09</td>
<td>3.68 ±0.73</td>
<td>0.81 ±0.06</td>
<td>10.1 ±0.5</td>
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<td>-0.21 ±0.67</td>
<td>28.5</td>
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<tr>
<td><strong>Asthmatics</strong></td>
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<td><strong>Discovery (ESE-cohorts)</strong></td>
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<tr>
<td>EGEA</td>
<td>330</td>
<td>50.6</td>
<td>38.5 ± 12.5</td>
<td>1.70 ±0.09</td>
<td>3.26 ±0.91</td>
<td>0.77 ±0.11</td>
<td>11.6 ± 1.0</td>
<td>-27.6 ±39.4</td>
<td>-0.44 ±0.68</td>
<td>44.6</td>
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<tr>
<td>SAPALDIA</td>
<td>540</td>
<td>46.5</td>
<td>40.2 ± 11.3</td>
<td>1.69 ±0.09</td>
<td>3.36 ±0.89</td>
<td>0.76 ±0.95</td>
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<td>-35.5 ±33.9</td>
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<td>ECRHS</td>
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<td>42.7</td>
<td>33.9 ±7.3</td>
<td>1.69 ±0.10</td>
<td>3.43 ±0.81</td>
<td>0.78 ±0.09</td>
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<td>-26.7 ±42.6</td>
<td>-0.20 ±0.60</td>
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<td><strong>Replication with in silico data</strong></td>
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<tr>
<td>ARIC</td>
<td>325</td>
<td>50.2</td>
<td>54.2 ±5.7</td>
<td>1.69 ±0.10</td>
<td>2.73 ±0.87</td>
<td>0.68 ±0.10</td>
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<td>-43.9 ±77.2</td>
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<tr>
<td>FHS</td>
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<td>50.1 ±10.3</td>
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<td>2.72 ±0.84</td>
<td>0.73 ±0.09</td>
<td>10.2 ±3.8</td>
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<td>-0.38 ±0.51</td>
<td>36.1</td>
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<tr>
<td>B58C</td>
<td>231</td>
<td>44.2</td>
<td>35.0 ±0.2</td>
<td>1.69 ±0.10</td>
<td>3.45 ±0.75</td>
<td>0.78 ±0.08</td>
<td>10.3 ±0.5</td>
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<td>Dutch Asthma</td>
<td>258</td>
<td>60.9</td>
<td>35.1 ±7.6</td>
<td>1.75 ±0.09</td>
<td>3.03 ±0.95</td>
<td>0.65 ±0.13</td>
<td>14.6 ±7.2</td>
<td>-22.8 ±47.0</td>
<td>-0.14 ±0.89</td>
<td>40.7</td>
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</table>
Table II: Association of (lead) SNPs subjected to replication with A) decline in FEV1 and B) decline in FEV1/FVC; stratified by asthma status.

<table>
<thead>
<tr>
<th>dbSNP ID</th>
<th>chr</th>
<th>position (build 36.3)</th>
<th>gene nearby</th>
<th>Maximal frequency of coding allele</th>
<th>Estimate of joint analysis</th>
<th>P for joint analysis</th>
<th>P for heterogeneity between studies</th>
<th>Estimate of joint analysis in replication cohorts</th>
<th>P for joint analysis</th>
<th>P for heterogeneity between studies</th>
<th>P for heterogeneity between asthmatics and non-asthmatics</th>
</tr>
</thead>
<tbody>
<tr>
<td>A - decline in FEV1</td>
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<tr>
<td>rs1889321</td>
<td>9</td>
<td>112340656</td>
<td>MUSK*</td>
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**B - decline in FEV1/FVC**

### Discovery phase

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Table III: Association* of SNPs previously identified in GWAS on cross-sectional lung function with percent predicted lung function at baseline, as well as percent change and annual decline in lung function for A) FEV1 and B) FEV1/FVC in ESE-discovery cohorts by asthma status.

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Figure 1

A - FEV1 decline in non-asthmatics
B - FEV1 decline in asthmatics
C - FEV1/FVC decline in non-asthmatics
D - FEV1/FVC decline in asthmatics
Figure 2

### A

HistMap
Plotted SNPs

**rs9316500**

**DLEU7**

Recombination rate (cM/Mb)

Position on chr13 (Mb)

2 genes omitted

### B

<table>
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<th></th>
<th>allele frequency</th>
<th>beta</th>
<th>standard error</th>
<th>P study</th>
<th>P between studies</th>
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FE = fixed effect; meta-analysis estimate