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Genome-wide association study of lung function decline in adults with and without asthma.

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173 **ABSTRACT**

Background: Genome-wide association studies (GWAS) have identified determinants
of chronic obstructive pulmonary disease, asthma and lung function level, however
none addressed decline in lung function.

Aim: We conducted the first GWAS on age-related decline in forced expiratory volume
in the first second (FEV1) and in its ratio to forced vital capacity (FVC) stratified *a priori*by asthma status.

180 **Methods:** Discovery cohorts included adults of European ancestry (1441 asthmatics. 181 2677 non-asthmatics; Epidemiological Study on the Genetics and Environment of 182 Asthma (EGEA); Swiss Cohort Study on Air Pollution And Lung And Heart Disease In 183 Adults (SAPALDIA); European Community Respiratory Health Survey (ECRHS)). The associations of FEV1 and FEV1/FVC decline with 2.5 million single nucleotide 184 polymorphisms (SNPs) were estimated. Thirty loci were followed-up by in silico 185 replication (1160 asthmatics, 10858 non-asthmatics: Atherosclerosis Risk in 186 187 Communities (ARIC); Framingham Heart Study (FHS); British 1958 Birth Cohort 188 (B58C); Dutch asthma study).

Results: <u>Main signals</u> identified differed between asthmatics and non-asthmatics. <u>None</u> of the SNPs reached genome-wide significance. The association between <u>the height</u> related gene *DLEU7* and FEV1 decline suggested for non-asthmatics in the discovery phase was replicated (discovery P=4.8x10⁻⁶; replication P=0.03) <u>and additional</u> <u>sensitivity analyses point to a relation to growth</u>. The top ranking signal, *TUSC3*, associated with FEV1/FVC decline in asthmatics (P=5.3x10⁻⁸) did not replicate. SNPs 195 previously associated with cross-sectional lung function were not prominently196 associated with decline.

197 Conclusions: Genetic heterogeneity of lung function may be extensive. Our results 198 suggest that genetic determinants of longitudinal and cross-sectional lung function differ 199 and vary by asthma status.

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202 Key Messages:

- Knowledge regarding genes with pleiotropic effects on asthma, chronic
 obstructive pulmonary disease as well as on lung function level and its
 longitudinal course is limited.
- This first GWAS meta-analysis on lung function decline conducted separately in
 non-asthmatic and asthmatic cohort participants suggests that genetic
 determinants of lung function decline are different in the two groups.
- The results further suggest that previously identified genetic determinants of 210 cross-sectional lung function are not major determinants of the decline.
- 211
- 212

213 **Capsule summary:**

This meta-analysis provides evidence for genetic heterogeneity of lung function between asthmatics and non-asthmatics; and between cross-sectionally and longitudinally measured lung function. <u>The study adds evidence for the role of height-</u> <u>related genes in lung health.</u>

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221 Keywords:

- Asthma, cohort studies, genome-wide association, lung function decline, heterogeneity
- 223

224 Abbreviations:

- 225 ARIC, Atherosclerosis Risk in Communities Study
- 226 ATS, American Thoracic Society
- 227 B58C, British 1958 Birth Cohort
- 228 chr, chromosome
- 229 COPD, chronic obstructive pulmonary disease
- 230 ECRHS, European Community Respiratory Health Survey
- 231 EGEA, Genetics and Environment of Asthma
- 232 FEV1, forced expiratory volume in the first second
- 233 FHS, Framingham Heart Study
- 234 FVC, forced vital capacity
- 235 GWAS, genome-wide association studies
- 236 HapMap, Haplotype Map Project
- 237 Q-Q, Quantile-quantile
- 238 SAPALDIA, Swiss Cohort Study on Air Pollution And Lung And Heart Disease In Adults
- 239 SNP, single nucleotide polymorphism

240 INTRODUCTION

Low lung function is a feature of both asthma and chronic obstructive pulmonary 241 242 disease (COPD), with twin studies demonstrating strong heritability (0.51 to 0.77) for forced expiratory volume in the first second (FEV1)^{1, 2}. The two respiratory diseases and 243 lung function itself share predisposing and phenotypic features, including increased 244 airway responsiveness and atopy as well as exogenous risk factors^{3, 4}. Genome-wide 245 association studies (GWAS) have identified novel genetic loci for asthma⁵⁻¹⁰, COPD¹¹⁻¹⁴, 246 and lung function¹⁵⁻¹⁸ and provide the opportunity to study agnostically their overlap in 247 genetic background¹⁹. Some of the implicated genes, such as *PDE4D*, support a link 248 249 between asthma and COPD which may be rooted in shared pathways during lung development²⁰. However, the majority of the genes implicated in asthma or COPD 250 251 GWAS analyses have not been identified as top association signals in GWAS for lung function in the general population¹⁵⁻¹⁸, with the exception of *HHIP* and *FAM13A* being 252 associated with both lung function¹⁵⁻¹⁸ and COPD¹¹⁻¹⁴. Several lines of evidence suggest 253 254 that different genes influence lung function in asthmatics and in non-asthmatics. Genome-scans in family based linkage studies identified some, but overall limited 255 overlap between chromosomal regions linked to lung function in asthmatics²¹, COPD 256 patients²² and in the general population²³ and it has been suggested that genetic 257 258 variation may be more important for lung function in asthma after adjusting for smoking and body size differences^{21, 24, 25}. 259

Here, we present results from the first lung function GWAS <u>conducted</u> separately for asthmatics and non-asthmatics. This current study also focuses on the rate of lung function decline in adults instead of cross-sectional lung function parameters tested in previous GWAS¹⁵⁻¹⁸. The discovery cohorts included <u>two population-based studies</u> (SAPALDIA and ECRHS) and one asthma family-based study (EGEA), all of European ancestry with highly comparable and standardized assessment of respiratory health parameters <u>including spirometry from two time points ten years apart</u>. These three <u>studies</u> had been included in the GWAS for asthma conducted by the GABRIEL consortium⁷. Replication cohorts included <u>three population-based cohorts (FHS, ARIC,</u> <u>B58C) and one family-based asthma study (the Dutch Asthma Study)</u>.

270 **METHODS**

- Discovery cohorts and study population: Three large multi-centric cohorts EGEA²⁶. 271 SAPALDIA²⁷ and ECRHS²⁸ constitute the ESE-consortium. Personal factors of 272 273 relevance to lung function decline were assessed by interview and anthropometric 274 measurements at baseline and follow-up. Participants included in discovery phase were 275 derived from the nested asthma case/control samples (SAPALDIA and ECRHS) or from 276 the entire study population (EGEA) subjected to genome-wide genotyping in the context of the GABRIEL asthma GWAS⁷. Baseline and follow-up examination were roughly 10 277 278 years apart. The analysis was restricted to adult participants (age \geq 18 years at the time 279 of the baseline spirometry) with complete information on age, height and sex as well as 280 valid lung function measure from both surveys. Cohort study protocols were in 281 agreement with the Declaration of Helsinki and obtained ethical approval from their 282 respective regional and/or national review boards.

283 - Lung function assessments, asthma status and genotypes: At each visit, a minimum of 284 two acceptable forced expiratory flows, forced vital capacity (FVC) and forced expiratory 285 volume in the first second (FEV1) complying with American Thoracic Society criteria were obtained²⁶⁻²⁹. No bronchodilator was administered. Based on guestionnaire data. 286 287 asthmatics were defined as asthma self-report at any of the completed surveys and family-based studies considered additional clinical asthma criteria (see online 288 289 repository). Genotyping for discovery cohorts was centrally performed on the Illumina 290 Human 610quad BeadChip at the Centre National de Génotypage (CNG, Evry, France)⁷. Imputation of genotypes <u>based on Hapmap2 reference panel</u>, investigation of 291

292 population stratification and quality control criteria are described in Figure EI and Table293 EI in the Online Repository.

<u>*-Replication Cohorts:*</u> Four cohorts of European ancestry with available genome-wide data, ARIC³⁰, FHS¹⁵; B58C³¹; Dutch asthma study³² were used for replication. Subjects included in the current analysis were older than 24 years, had complete information on covariates (age, height, and sex) and valid lung function measures from at least two time-points._The lung function measurements were conducted at least ten years apart, except three years apart for ARIC (Table I). Distinct genotype data platforms and imputation software were used (Table EII, Online Repository).

301 - Statistical analysis: Annual decline in FEV1 and FEV1/FVC was calculated as 302 difference between follow-up and baseline spirometric measurements (mL for FEV1 and 303 % for FEV1/FVC) divided by the duration of follow-up in years. Standardized residuals 304 were derived from sex-specific linear regression models adjusted for age, height and 305 study centre in asthmatics and non-asthmatics separately. Comparability between 306 studies of standardized residuals was tested using Wilcoxon-Mann-Whitney test (P>0.94). The standardized residuals were used as dependent variable and regressed 307 308 on genome-wide single nucleotide polymorphisms (SNPs) adjusted for study-specific 309 principal components capturing population ancestry (see online supplement for details). 310 Study-specific SNP effect estimates were combined through meta-analysis using fixed and random effects models. We used a threshold of P<5x10⁻⁸ (the Bonferroni 311 312 adjustment for one million independent tests) to declare a pooled effect as genome-313 wide significant. Selection criteria for replication loci are described in the methods 314 section of the online repository. SNPs with suggestive evidence of association with

decline in FEV1 or FEV1/FVC were chosen for *in silico* replication (Table EIII, Online Repository). Study-specific regression models and meta-analyses across replication cohorts were as described for the discovery phase. Replication cohorts with spirometry data from more than two different time points modelled the lung function decline phenotype by fitting a least-squares slope using the available data (FHS, Dutch asthma study). P<0.05 was considered as statistically significant at the replication level.

321 The results of the main meta-analyses for the top 1000 SNPs are available in the online

322 repository (Table EIV A to D, Online Repository). We also conducted a meta-analysis by

323 combining non-asthmatic and asthmatic samples and tested for heterogeneity between

these samples (Table EV, Online Repository). Additional sensitivity analyses were done

325 by: a) restricting the GWAS sample to subjects aged 30 and older for FEV1 decline

326 (Table EIV E and F, Online Repository); b) conducting GWAS analyses on percent

327 change instead of absolute annual decline in lung function (Table EIV G to J, Online

328 Repository); c) investigating smoking stratified joint effects for replications SNPs (Table

329 EVI, Online Repository); d) excluding ARIC, a cohort having substantially shorter follow-

330 up time that the other cohorts (three years instead of ten years) from replication

331 analyses (Table EVII, Online Repository). Methods and results of these additional

- 332 <u>analyses are described in the online repository.</u>
- 333

324

334 **RESULTS**

335 *Characteristics of the study populations*

The cohorts included in this study differed by age and type of recruitment, and 336 337 accordingly in lung function and the proportion of subjects with FEV1/FVC below 70% 338 (Table I, Table EVIII, Online Repository). Baseline lung function parameters, but not 339 their annual changes were lower in asthmatics when compared to non-asthmatics in 340 each study. The proportion of never smokers was comparable among asthmatics, but varied among non-asthmatics (ranging from 28.5% in B58C to 46.5% in EGEA). No 341 342 substantial differences in the smoking prevalence between people with and without asthma were observed within each study. Comparing the discovery cohorts in more 343 344 detail (Table EVIII, Online Repository), atopy (total IgE \geq 100kU/mI) and hay fever were 345 more prevalent in both asthmatics and non-asthmatics from EGEA when compared to 346 ECRHS and SAPALDIA. Current asthma was more prevalent (84.4%) in EGEA than in SAPALDIA (25.5%) or ECRHS (43.3%) and the prevalence of a positive family history 347 348 for asthma was also highest in EGEA, in agreement with the study design. Asthmatics 349 from EGEA had a younger age of disease onset due to the mode of recruitment of the 350 proband.

351

352 <u>Main findings from</u> meta-analyses of discovery and replication phase

In the discovery phase, GWAS meta-analysis of decline in FEV1 and FEV1/FVC was conducted in 2677 non-asthmatics and in 1441 asthmatics. Genomic inflation factors were low for both lung function parameters (λ <1.047, Table EIX, Online Repository) suggesting minimal unaccounted population stratification. The replication panel included 357 <u>a total of 10'858 non-asthmatics and 1'138 asthmatics.</u> Thirty lead SNPs belonging to 358 30 loci ($5x10^{-8} < P_{discovery} < 6x10^{-5}$) were chosen for replication.

359 The four lung function parameter- and asthma-specific meta-analyses identified one association signal that almost reached the genome-wide significance level ($P = 5.3 \times 10^{-1}$ 360 ⁸) at the locus 8p22 containing the *TUSC3* gene for FEV1/FVC decline in asthmatics 361 while all other signals had $P < 5x10^{-7}$ (Figure I), but this signal was not associated with 362 FEV1/FVC decline in asthmatics in the replication sample. The only locus of the 363 selected replication candidate loci that formally replicated was 13q14.3, containing the 364 DLEU7 gene, associated with decline in FEV1 in the non-asthmatics (P_{discovery}=4.8x10⁻⁶ 365 and $P_{replication}=0.03$). 366 367 In the global post hoc analysis combining both asthmatics and non-asthmatics (N=4118), a striking finding was the absence of any pronounced association signals (P 368 $>1x10^{-6}$) despite increased statistical power. This was in agreement with the minimal 369 370 overlap of association signals observed in asthmatics and non-asthmatics separately. Most signals at P<10⁻⁵ from the asthma-stratified analysis in the discovery phase 371 372 exhibited statistically significant heterogeneity of effects between the two groups (Table 373 II). At the replication stage, none of the replication SNPs was associated with lung 374 function decline in asthmatics and non-asthmatics combined.

375

376 Association signals for annual decline in FEV1 in non-asthmatics

377 Of fifteen SNPs associated at $P < 10^{-5}$ with decline in FEV1 in non-asthmatics ten were

378 clustered at position 112.3 Mb on chromosome 9, containing genes TXN, MUSK and

379 <u>SVEP1. Two</u> of the 15 SNPs were located at 13q14.3 in a locus containing the DLEU7

380 gene; three SNPs belonged to three distinct loci. <u>The</u> association of lead and proxy 381 SNPs in *DLEU7* (Figure II), but not *TXN/MUSK/SVEP1* (Figure EII) or the other SNPs 382 (<u>Table II</u>) replicated. The G-allele of SNP rs9316500 near the *DLEU7* gene was 383 positively associated with annual FEV1 decline in the discovery cohorts ($P=4.8x10^{-6}$) 384 and in the replication cohorts (P=0.026). Although heterogeneity between studies was 385 not significant (P=0.61), the combined P value did not reach the genome-wide level 386 ($P=5.7x10^{-5}$).

387

388 Association signals for annual decline in FEV1 in asthmatics

Eighteen SNPs in nine distinct chromosomal locations were associated with decline in FEV1 in asthmatics at <u>P<10⁻⁵</u>. None of the loci selected for *in silico* replication was confirmed (Table II).

392

393 Association signals for annual decline in FEV1/FVC in non-asthmatics

394 Seven loci showed association with FEV1/FVC decline in non-asthmatics at 10⁻⁶<P<10⁻

⁵, but no locus selected for replication was confirmed (Table II).

396

397 Association signals for annual decline in FEV1/FVC in asthmatics

Twelve SNPs at the locus 8p22 containing the gene *TUSC3* at 15.68Mb were associated with FEV1/FVC decline at $P<10^{-7}$ in asthmatics (Figure I). Regional locus plot and forest plot are presented in the online repository (Figure EIII). The top association signals in this locus <u>were</u> conferred by distinct SNPs in each cohort, though apparently they were located in the same putative haplotype segment in SAPALDIA and

in EGEA (Figure EIV, Online Repository). There was no statistically significant 403 association in ECRHS. Meta-analysis of the discovery samples identified SNP 404 405 rs4831760 as top signal in TUSC3 gene, but heterogeneity between discovery studies was borderline significant (P=0.07). The C-allele (P=5.3x10⁻⁸) was positively associated 406 with annual decline in FEV1/FVC in asthmatics (Beta=0.22 ±0.04 (standard error); Table 407 II). However this association was not replicated (P=0.80). In the meta-analysis 408 409 combining discovery and replication samples the resulting P-value for rs4831760 was 2.8x10⁻⁵. All but the Dutch asthma study, exhibited effect estimates in the same 410 411 direction as the discovery panel. Two other candidate loci (MPP7 and SYNE2) also 412 failed replication testing.

413

414 SNPs previously associated in GWAS meta-analyses on cross-sectional lung function 415 The associations of top hit SNPs from previous GWAS meta-analyses on crosssectional lung function^{11, 15-18} and a replication study in asthmatics³³ were assessed 416 separately for asthmatics and non-asthmatics in the discovery cohorts. Associations 417 418 were assessed for both, lung function parameters of decline (annual decline and 419 percent change) and cross-sectional lung function level. Overall, a subset of variants 420 and loci showed replication of association with cross-sectional lung function in either 421 non-asthmatics or asthmatics. Few of the loci showed strong association with decline in lung function. We present associations at P<0.05 in Table III and those at P≥0.05 in 422 423 Table EX in the online repository. 424 For baseline FEV1, we observed associations for SNPs belonging to 4q24 (GSTCD,

425 <u>rs11731417</u>, P=1.3x10⁻⁴) and 15q23 (*THSD4*, rs1913768, P=0.003). Associations with

426	baseline FEV1 were mainly restricted to non-asthmatics. For baseline FEV1/FVC,
427	associations of SNPs of THSD4 were prominent (e.g. rs12899618, P=3.3x10 ⁻⁴) and
428	again restricted to non-asthmatics
420	again restricted to non-astrimatics.

- 429 For decline phenotypes of FEV1, we observed associations for SNPs in regions 6p21
- 430 (DAAM2, 0.003<P<0.02) and 4q28 (HHIP, 0.02<P<0.05) among asthmatics and in
- 431 THSD4 (0.003<P<0.04) among non-asthmatics. The strongest associations observed
- 432 for decline phenotypes of FEV1/FVC were two SNPs in MMP15 (16q13,
- 433 0.003<P<0.002) in non-asthmatics, only. Association in the combined sample of
- 434 asthmatics and non-asthmatics did not substantially alter the results.
- 435
- 436 Summary of findings from sensitivity analyses
- 437 We observed in non-asthmatics, aged 30 years and more, that MUSK and DLEU7 were
- 438 no longer prominently associated with FEV1 decline, but SNPs in other genes remained
- 439 <u>strongly associated (*ZIC1*, rs6785065, P=2.3x10⁻⁵; *UBL3*, rs278037, P=4.8x10⁻⁵).</u>
- 440 Results of the GWAS on percent change in lung function showed that the FEV1
- 441 association signal for *DLEU7* in the non-asthmatics was no longer significant; however
- 442 the signals for MUSK (rs1889321, P=2.92x10⁻⁷) and other loci remained unaltered
- 443 (ZIC1, rs6785065, P=2.0x10⁻⁵; KIRREL3, rs11604082, P=4.1x10⁻⁶; KIAA2117,
- 444 rs10082549, P=2.7x10⁻⁶). Top signals associated with decline in FEV1/FVC in
- 445 asthmatics remained unaltered for TUSC3 (rs4831760, P=5.2x10⁻⁸) and for SYNE2
- 446 (rs7144584, $P=6.4x10^{-7}$) after taking baseline lung function into account.

- 447 Smoking stratified analyses of the replication SNPs revealed no substantial difference in
- 448 association between ever and never smokers except for a few SNPs belonging to loci
- 449 containing SYNE2, RORA, BCAS1, or PLXNA4 genes.
- 450 Replication meta-analysis excluding the ARIC data substantially reduced sample size in
- 451 non-asthmatics and the association of *DLEU7* with decline of FEV1 was no longer
- 452 significant. Instead two loci for association with decline in FEV1 in asthmatics (PLXNA4,
- 453 <u>rs10808265</u>, <u>P_discovery=1.7x10⁻⁶</u>, <u>P_replication=0.02</u> and <u>SLC45A3</u>, <u>rs16856186</u>,
- 454 P_discovery=8.9x10⁻⁶, P_{replication}=0.04) and one locus, FLJ25393, for decline in FEV1/FVC in
- 455 non-asthmatics (rs2658782, P_{discovery}=4.3x10⁻⁶, P_{replication}=0.03) gained statistical
- 456 <u>significance.</u>
- 457

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458 **DISCUSSION**

A main result of this study is the observed genetic heterogeneity of lung function decline 459 between asthmatics and non-asthmatics. When we combined the two groups in the 460 461 discovery phase we observed no genome-wide significant association signal despite 462 larger sample size. All top hit association signals detected by the asthma stratified 463 analysis showed significant heterogeneity according to the disease status. In the 464 replication phase, this heterogeneity was also confirmed for the DLEU7 locus which was associated with FEV1 decline in non-asthmatics only. Finally, many of the SNPs 465 466 identified by previous GWAS on lung function exhibited associations specific to asthma 467 status.

468

469 <u>The finding of genetic heterogeneity in lung function reported here is consistent with</u> 470 <u>available evidence.</u> Differences in familial segregation of FEV1 in asthmatic and non-471 asthmatic families previously suggested genetic heterogeneity between these two 472 groups²⁴. <u>Agnostic studies investigating genetic determinants of lung function in both,</u> 473 <u>family-based ^{21, 22, 34-37} and population-based samples^{15-18, 23, 25} produced little overlap in 474 chromosomal regions. Genome-wide scans on lung function in asthma^{21, 38} or COPD²² 475 families also suggested a heterogeneous genetic architecture of lung function.</u>

476

477 Nevertheless, some previously reported overlapping linkage regions for the ratio of
478 FEV1 over vital capacity (FEV1/VC) and FEV1 over the forced vital capacity
479 (FEV1/FVC) in families with asthma and COPD^{21, 22} suggest that at least some gene(s)
480 could be important in the development of airway obstruction in both diseases.

Furthermore, genetic polymorphisms in glutathione S-transferases³⁹⁻⁴² as well as 481 ADAM-33⁴³⁻⁴⁶ were associated with lower lung function at all ages and in different 482 subgroups of the population (general population, patients with COPD and asthma). 483 484 Gene-lung function associations that are of relevance to several population and patient 485 strata may be determined specifically by complex gene-gene and gene-environment 486 interactions, as suggested for lung function decline and its complex association with estrogen receptor 1 polymorphisms, smoking, steroid use, and gender^{32, 47}. While 487 ignored in ours as well as previous GWAS, such effect modifications should be 488 considered in the future⁴⁸. 489

490

491 Results from the Busselton Health Study on familial aggregation and heritability of adult lung function previously suggested the existence of genetic determinants of adult lung 492 function independent of asthma, atopy, cigarette smoking, height, age or sex²⁵. 493 494 Consistent with these results, neither asthma, atopy and COPD genes previously identified in large GWAS^{5-9, 11} nor genes related to smoking behavior⁴⁹ were associated 495 496 with lung function decline in our study. The association of FEV1 decline with a gene related to height, DLEU7, was ranking high, but only in subjects without asthma 497 (rs9316500, P_{discoverv}=4.8x 10⁻⁶; P_{replication}=0.0<u>3</u>). *DLEU7* gene product and expression 498 499 remain poorly characterized, but its mRNA has been detected in the lung. The DLEU7 500 locus was identified as a determinant of adult height in previous GWAS metaanalyses⁵⁰⁻⁵². Three other height genes, HHIP, GPR126 and PTCH, were associated 501 with cross-sectional lung function¹⁵⁻¹⁷. All of these lung function models including ours 502 503 were adjusted for adult height. The observed association, related to both HHIP and

DLEU7 being associated with peak height velocity in infancy⁵¹, suggests that aspects 504 beyond adult height influence lung function and possibly its response to non-genetic 505 506 determinants. Several genes implicated in respiratory diseases indicate that early lung development impacts respiratory health later in life²⁰. Sensitivity analyses are supportive 507 for a growth-specific role of *DLEU7*. The association of genetic variants in *DLEU7* with 508 509 decline in FEV1 disappeared in analyses considering baseline lung function or restricted 510 to subjects above age 30 with no remaining physiologic lung growth. There might be a 511 link between physiologic growth and unregulated cell differentiation as the DLEU7 gene is also a proposed tumor suppressor gene in chronic lymphocytic leukemia⁵³⁻⁵⁵. 512 513 Evidence emerges for a role of *DLEU7* in counterbalancing the proliferative impact of NF-kB on various cell types⁵⁶. The potential role of the gene product of *TUSC3*, a 514 proposed tumor suppressor gene⁵⁷, in lung physiology is discussed in the Online 515 516 Repository.

None of the SNPs identified in GWAS of cross-sectional lung function¹⁵⁻¹⁸ ranked high in 517 518 this current GWAS on lung function decline. A strong risk factor for accelerated lung 519 function decline in adulthood is cigarette smoking, but our study was too small to assess 520 gene smoking interaction at the GWAS level. We had decided a priori against smoking 521 adjustment as it is not a confounder, and any link between genotype and smoking is 522 likely to be, at least in part, in the same causal pathway (e.g. gene products 523 metabolizing tobacco constituents or influencing smoking behavior). Their identification 524 as determinants of lung function decline is of public health importance. Consistent with previous GWAS on cross-sectional lung function¹⁵⁻¹⁸, neither the *TUSC3* (heterogeneity 525 between ever/never smokers P=0.98) nor other top hit signals were modified by 526

527 smoking except for SNPs in *SYNE2*, *RORA*, *BCAS1* and *PLXN4*. Arguments for 528 biologic plausibility are mentioned in the Online Repository.

529 The strength of the present study is the longitudinal design of all cohorts included. 530 Repeated spirometric assessments within the same subject is thought to capture more 531 precisely exogenous factors and genes leading to accelerated loss of lung function in adulthood⁵⁸. The discovery cohorts shared comparable questionnaire and spirometry 532 533 protocols and they were specifically designed to investigate environmental and genetic 534 causes of lung function decline and asthma in a standardized way. Each study has two 535 measures of pre-bronchodilator lung function about ten years apart, but clearly our findings would be more robust if further lung function measures were available over an 536 537 even longer period of follow-up. All discovery cohorts have used the same genotyping 538 platform and stringent quality control criteria have been applied.

539 Sample size is a limitation of this study, and remains a general challenge in lung 540 function studies with a need for high phenotypic comparability as spirometry results are sensitive to technicians and devices used⁵⁹. The pre-bronchodilation lung function 541 542 measurements in our and previous lung function GWAS do not allow to differentiate 543 reversible from non-reversible obstruction to airflow. Populations included in this study 544 differed by age which is also reflected by the diverging proportion of subjects with 545 FEV1/FVC <0.7 at follow-up between the discovery cohorts. Discovery and replication populations also differ by time spacing between the spirometry assessments. We can 546 547 only speculate of on the overall impact of such differences. We do note that replication 548 results were sensitive to the exclusion of ARIC data (the study with highest mean age, largest annual decline, and shortest follow-up time). 549

Other limitations are shared with any GWAS meta-analyses investigating complex 550 551 phenotypes such as lack in power for investigating gene-environment interactions or studying subgroups of diseases. As the sample size of our study was comparatively 552 553 small, especially for the asthmatic sample in the replication phase, we had limited ability 554 to address differences in asthma sub-phenotypes or the impact of asthma medication 555 intake. It is also likely that a substantial part of complex disease may be explained by 556 rare mutations not considered by current GWAS. Finally, assessing the joint effect of 557 SNPs having small effects individually and potentially interacting with each other 558 remains another challenge.

559

560 In conclusion, this first GWAS meta-analysis on lung function decline provides 561 suggestive evidence for genetic heterogeneity between persons with and without 562 asthma and between cross-sectionally and longitudinally measured lung function. Consistent with cross-sectional GWAS, our results are also suggestive of height related 563 564 genes playing a role. Further studies in this area would be enhanced by greater 565 comparability of age range, spacing of lung function assessments, and asthma sub-566 phenotypes (including treatment) to decrease phenotypic heterogeneity and therefore 567 increase statistical power to detect true association candidate loci⁶⁰.

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819 **FIGURE LEGENDS**:

Figure I: Manhattan plots of association results for decline in lung function. A) FEV1 decline in non-asthmatics. B) FEV1 decline in asthmatics. C) FEV1/FVC decline in nonasthmatics. D) FEV1/FVC decline in asthmatics.

823

Figure II: Association of the *DLEU7* locus with decline in FEV1 in non-asthmatics. A) Regional association plot, discovery phase. B) Forest plot for rs9316500. A: Chromosome position (NCBI build 36.3) and recombination rate (hg18 build). The sentinel SNP is represented as a diamond and r2 for SNPs to the sentinel SNP (HapMap CEU phase II). B: The size of the square of each study reflects the contributing weight to the meta-analysis, details in Table EXI. 830

831 FOOTNOTES 832 Footnotes to Table I: 833 * N comprises the maximal number of subjects who contributed to at least one GWAS analysis (either decline in FEV1 or in FEV1/FVC). 834 +Time spacing between the first and the second spirometry assessment. 835 836 Footnote to Table II: 837 * MUSK refers to TXN/MUSK/SVEP1 locus. 838 839 840 Footnote to Table III: 841 * Associations of SNPs previously associated in cross-sectional lung function in GWAS studies, (1) Framingham ¹⁵, (2) CHARGE ¹⁷, (3) Spirometa ¹⁶, (4) Asthmatics³³ and (5) 842 CHARGE-Spirometa¹⁸ were assessed in the discovery cohorts only if minor allele 843 844 frequency (MAF) was at least 5%. SNPs tested for associations: ADAM19: rs2277027, rs1422795, rs6890282; ADCY2: rs7710510, rs6555465; ARMC2: rs2798641; C10orf11: 845 846 rs11001819; CCDC38: rs1036429; CDC123: rs7068966; CFDP1: rs2865531; DAAM2: 847 rs3008798, rs1318002, rs2395730; FAM13A1: rs6830970, rs2869967; GPR126: rs9496346, rs6570507, rs11155242, rs7753012, rs3748069, rs171891, rs263178; 848 849 HDAC4: rs12477314; HHIP: rs1032295, rs1512285, rs720485, rs1828591, rs13118928, rs1512288, rs6817273; HTR4: rs3995090, rs1833710; INTS12-GSTCD-NPNT: 850 rs3960769, rs17035917, rs17035960, rs11727735, rs10516526, rs11731417; KCEN2: 851 rs9978142; LRP1: rs11172113; MECOM: rs1344555; MFAP2: rs2284746; MMP15: 852

- 853 rs2304488, rs12447804; MTMR3: rs17646919; NCR3: rs2857595; NOTCH4: rs206015;
- 854 ONECUT1: rs2456526; PID1: rs1435867, rs1358443, rs3845823; PTCH1: rs10512249,
- 855 rs576594; RARB: rs1529672; SPATA9: rs153916; TGFB2: rs993925; THSD4:
- 856 rs12899618; THSD4: rs1568010, rs1913768; TNS1: rs918949, rs1035672, rs929937;
- 857 *ZKSCAN3*: rs6903823. Non-significant associations reported in online repository.
- ⁸⁵⁸ † <u>Baseline cross-sectional lung function was calculated using Quanjer formula⁶¹</u>.
- 859 <u>‡Proxies tested for cross-sectional association (r², D'): for rs12447804 rs2304488</u>
- 860 (0.87, 1); for rs12477314 rs4521068 (1, 1); for rs2865531 rs12917651 (1, 1).

TABLES

Table I: Baseline characteristics of discovery and replication cohorts, by asthma status.

		%	mean ± SD	mean ± SD	mean ± SD (L)	mean ± SD	mean ± SD (y)	mean ± SD (mL/y)	mean ± SD (%/y) annual	%
Non-asthmatics	N*	Men	Age	Height	FEV1	FEV1/FVC	Follow-up length†	annual decline FEV1	decline FEV1/FVC	Never smokers
Discovery (ESE-cohor	ts)									
EGEA	529	45.2	41.4 ±11.7	1.68 ±0.08	3.45 ±0.78	0.83 ±0.06	11.2 ±1.0	-28.6 ±25.7	-0.47 ±0.53	46.5
SAPALDIA	805	49.2	41.8 ±11.1	1.70 ±0.09	3.62 ±0.81	0.79 ± 0.07	10.9 ±0.2	-34.0 ± 28.3	-0.40 ±0.46	43.1
ECRHS	1343	49.7	34.1 ±7.1	1.70 ±0.10	3.81 ±0.83	0.83 ±0.06	8.9 ±0.9	-26.3 ±30.7	-0.30 ±0.50	40.7
Replication with in si	lico data									
ARIC	7156	46.3	54.5 ±5.6	1.69 ±0.09	3.01 ±0.75	0.75 ±0.07	2.9 ±0.2	-52.0 ±57.4	-0.19 ±0.98	40.8
FHS	3232	44.9	52.9 ±10.2	1.67 ±0.10	2.89 ±0.81	0.77 ±0.08	10.5 ±3.6	-24.9 ±23.9	-0.33 ±0.57	36.1
B58C	470	48.7	35.0 ±0.2	1.70 ±0.09	3.68 ±0.73	0.81 ±0.06	10.1 ±0.5	-34.9 ±31.4	-0.21 ±0.67	28.5
Asthmatics										
Discovery (ESE-cohor	ts)									
EGEA	330	50.6	38.5 ± 12.5	1.70 ±0.09	3.26 ±0.91	0.77 ±0.11	11.6 ± 1.0	-27.6 ±39.4	-0.44 ±0.68	44.6
SAPALDIA	540	46.5	40.2 ± 11.3	1.69 ±0.09	3.36 ±0.89	0.76 ±0.95	10.9 ± 0.3	-35.5 ±33.9	-0.45 ±0.54	42.4
ECRHS	571	42.7	33.9 ±7.3	1.69 ±0.10	3.43 ±0.81	0.78 ±0.09	8.8 ±0.7	-26.7 ±42.6	-0.20 ±0.60	42.5
Replication with in si	lico data			_	-					_
ARIC	325	50.2	54.2 ±5.7	1.69 ±0.10	2.73 ±0.87	0.68 ±0.10	2.9 ±0.2	-43.9 ±77.2	-0.037 ±1.25	41.9
FHS	346	41.3	50.1 ±10.3	1.68 ±0.09	2.72 ±0.84	0.73 ±0.09	10.2 ±3.8	-29.8 ±23.7	-0.38 ±0.51	36.1
B58C	231	44.2	35.0 ±0.2	1.69 ±0.10	3.45 ±0.75	0.78 ±0.08	10.3 ±0.5	-34.4 ±37.6	-0.17 ±0.89	37.2
Dutch Asthma	258	60.9	35.1 ±7.6	1.75 ±0.09	3.03 ±0.95	0.65 ±0.13	14.6 ±7.2	-22.8 ±47.0	-0.14 ±0.89	40.7

Table II: Association of (lead) SNPs subjected to replication with A) decline in FEV1 and B) decline in FEV1/FVC; stratified by asthma

status.

A - decline in FEV1					Discovery	phase			Replication phase				
dbSNP ID	chr	position (build 36.3)	gene nearby	Maximal frequency of coding allele	Estimate of joint analysis	P for joint analysis	P for heterogeneity between studies	P for heterogeneity between asthmatics and non- asthmatics	Estimate of joint analysis in replication cohorts	P for joint analysis	P for heterogeneity between between studies	P for heterogeneity between asthmatics and non-asthmatics	
Non-Asthma	tics	•		•									
rs1889321	9	112340656	MUSK*	0.287	-0.150	6.95E-07	0.814	0.0187	-0.011	0.480	0.713	0.053	
rs9316500	13	49992115	DLEU7	0.336	0.135	4.81E-06	0.613	0.0255	0.033	0.026	0.124	0.075	
rs6785065	3	149016533	ZIC1	0.274	-0.136	0.00001	0.234	0.1700	-0.006	0.686	0.525	0.55	
rs278037	13	29322627	UBL3	0.178	-0.151	0.00002	0.364	0.0058	-0.006	0.734	0.231	0.50	
rs7641198	3	117396577	LSAMP	0.147	0.164	0.00003	0.669	0.1997	-0.002	0.939	0.690	0.15	
rs421847	21	19269950	PRSS7	0.281	0.128	0.00003	0.831	0.0350	-0.016	0.310	0.247	0.86	
rs496809	18	74857661	SALL3	0.078	-0.236	0.00004	0.412	0.0041	0.022	0.443	0.373	0.60	
rs10933964	3	110021881	TRAT1	0.499	-0.117	0.00006	0.345	0.0022	-0.015	0.265	0.869	0.041	
Asthmatics		-											
rs10808265	7	131840229	PLXNA4B	0.484	-0.175	1.66E-06	0.844	0.0020	0.069	0.105	0.258	0.16	
rs1902618	15	58951491	RORA	0.234	-0.220	1.72E-06	0.449	0.0043	0.029	0.590	0.777	0.58	
rs3843306	1	91060718	BARHL2	0.460	0.176	5.11E-06	0.042	8.33E-06	0.047	0.270	0.883	0.24	
rs7006290	8	41734295	ANK1	0.319	0.185	5.19E-06	0.058	0.0003	0.038	0.456	0.574	0.45	
rs12436689	14	84723772	FLRT2	0.244	-0.212	6.87E-06	0.420	0.0010	-0.017	0.759	0.051	0.95	
rs12615721	2	81710037	CTNNA2	0.104	-0.303	7.65E-06	0.853	0.0020	-0.127	0.129	0.824	0.08	
rs10516809	4	89640109	HERC5	0.101	0.306	8.67E-06	0.790	3.60E-05	-0.060	0.446	0.200	0.41	
rs16856186	1	203944749	SLC45A3	0.098	0.268	8.92E-06	0.510	0.0034	-0.079	0.350	0.094	0.46	
rs158536	20	52148709	BCAS1	0.408	0.162	0.00002	0.948	0.0001	0.075	0.100	0.917	0.09	
rs477725	19	42066106	ZNF345	0.158	0.223	0.00003	0.821	0.0031	-0.069	0.273	0.255	0.14	
rs9662589	1	230344234	DISC1	0.221	0.188	0.00005	0.868	0.0002	-0.020	0.706	0.153	0.76	

rs777433	2	128084705	LIMS2	0.407	0.151	0.00010	0.811	0.1223	-0.018	0.691	0.564	0.52
B - decline ir	L/FVC			Discovery	phase			Replication	phase			
dbSNP ID	chr	position (build 36.3)	gene nearby	Maximal frequency of coding allele	Estimate of joint analysis	P for joint analysis	P for heterogeneity between studies	P for heterogeneity between asthmatics and non- asthmatics	estimate of joint analysis in replication cohorts	P for joint analysis	P for heterogeneity between between studies	P for heterogeneity between asthmatics and non-asthmatics
Non-Asthmatics												
rs2658782	11	92806379	FLJ25393	0.166	0.186	4.33E-06	0.362	0.0041	0.031	0.135	0.242	0.91
rs1867982	10	73197053	C10orf54	0.109	0.202	5.56E-06	0.839	0.0034	-0.008	0.745	0.412	0.24
rs12712969	2	46185673	PRKCE	0.268	-0.147	7.08E-06	0.687	0.0116	0.012	0.448	0.916	0.76
rs10187654	2	234478798	TRPM8	0.205	0.151	8.87E-06	0.797	0.0049	-0.015	0.382	0.676	0.15
rs356642	2	100903870	NPAS2	0.189	0.158	9.79E-06	0.162	0.0014	-0.010	0.565	0.282	0.28
rs890515	8	67534388	ADHFE1	0.497	0.119	0.00001	0.580	0.0257	0.003	0.847	0.443	0.58
rs10738890	9	32448081	DDX58	0.391	-0.118	0.00003	0.832	0.5847	-0.009	0.567	0.032	0.73
Asthmatics												
rs4831760	8	15576956	TUSC3	0.326	0.222	5.27E-08	0.066	7.74E-08	0.011	0.799	0.541	0.73
rs7144584	14	63345565	SYNE2	0.116	-0.318	5.62E-07	0.616	0.0010	0.089	0.272	0.752	0.43
rs1148186	10	28657641	MPP7	0.194	0.219	7.28E-06	0.760	0.0035	-0.033	0.602	0.967	0.60

Table III : <u>Association* of SNPs previously identified in GWAS on cross-sectional lung function with percent predicted lung function at baseline, as well as percent change and annual decline in lung function for A) FEV1 and B) FEV1/FVC in ESE-discovery cohorts by asthma status.</u>

						Non-Asthmatics			Asthmatics			
Α						FEV1 percent predicted	FEV1 percent change	FEV1 decline [%/y]	FEV1 percent predicted	FEV1 percent change	FEV1 decline [%/y]	
dbSNP ID	chr	position (build 36.3)	refs	gene nearby	Maximal frequency of coding allele	P-value	P-value	P-value	P-value	P-value	P-value	
rs1435867	2	229219173	2	PID1	0.065	0.021	0.845	0.418	0.824	0.321	0.377	
rs17035917	4	106740191	2,3	INTS12-GSTCD-NPNT	0.071	0.006	0.135	0.077	0.073	0.056	0.061	
rs17035960	4	106751295	2,3	INTS12-GSTCD-NPNT	0.071	0.004	0.093	0.054	0.067	0.056	0.063	
rs11727735	4	106851319	2,3	INTS12-GSTCD-NPNT	0.076	2.14E-04	0.361	0.198	0.057	0.114	0.074	
rs10516526	4	106908353	2,3	INTS12-GSTCD-NPNT	0.072	1.96E-04	0.327	0.177	0.062	0.120	0.078	
rs11731417	4	106965461	2,3	INTS12-GSTCD-NPNT	0.073	1.32E-04	0.335	0.177	0.048	0.146	0.090	
rs1032295	4	145654034	2	HHIP	0.397	0.173	0.096	0.306	0.274	0.042	0.033	
rs1512285	4	145670409		HHIP	0.462	0.032	0.029	0.141	0.152	0.033	0.024	
rs720485	4	145682038	2,3	HHIP	0.391	0.159	0.510	0.786	0.943	0.044	0.058	
rs1512288	4	145710731	2,3	HHIP	0.401	0.188	0.533	0.813	0.781	0.046	0.057	
rs6817273	4	145711453	2,3	HHIP	0.400	0.179	0.535	0.816	0.866	0.046	0.057	
rs3008798	6	39887840	3	DAAM2	0.464	0.326	0.960	0.850	0.755	0.009	0.017	
rs1318002	6	39892112	3	DAAM2	0.480	0.649	0.725	0.902	0.782	0.015	0.023	
rs2395730	6	39892343	3	DAAM2	0.442	0.522	0.716	0.513	0.619	0.003	0.007	
rs12899618	15	69432174	3	THSD4	0.158	0.003	0.003	0.014	0.424	0.137	0.131	
rs1913768	15	69436598	3	THSD4	0.159	0.003	0.002	0.011	0.393	0.162	0.152	
rs1568010	15	69455566	4	THSD4	0.372	0.535	0.042	0.067	0.413	0.241	0.111	
rs2304488	16	56631711	1	MMP15	0.186	0.033	0.101	0.147	0.112	0.344	0.506	
rs12447804‡	16	56632783	5	MMP15	0.179	0.033	0.111	0.161	0.112	0.382	0.482	

						Non-Asthmatics			Asthmatics			
В						FEV1 percent predicted	FEV1 percent change	FEV1 decline [%/y]	FEV1 percent predicted	FEV1 percent change	FEV1 decline [%/y]	
		position			Maximal frequency of							
dbSNP ID	chr	(build 36.3)	refs	gene nearby	coding allele	P-value	P-value	P-value	P-value	P-value	P-value	
rs918949	2	218382942	2,3	INSI	0.384	0.010	0.133	0.089	0.076	0.241	0.256	
rs1035672	2	218383444	2,3	INSI	0.384	0.010	0.133	0.089	0.093	0.243	0.258	
rs929937	2	218417460	2,4	INSI	0.386	0.623	0.017	0.016	0.004	0.915	0.888	
rs3845823	2	229611365	4	PID1	0.432	0.039	0.963	0.852	0.393	0.997	0.987	
rs12477314‡	2	239542085	5	HDAC4	0.215	0.023	0.727	0.655	0.125	0.361	0.278	
rs1529672	3	25495586	5	RARB	0.159	0.012	0.329	0.337	0.605	0.716	0.860	
rs1828591	4	145700230	2,3	HHIP	0.394	0.031	0.470	0.345	0.254	0.138	0.139	
rs13118928	4	145705839	2,3	HHIP	0.393	0.043	0.500	0.371	0.271	0.132	0.132	
rs3995090	5	147826008	2,3	HTR4	0.394	0.011	0.785	0.699	0.029	0.649	0.456	
rs2395730	6	39892343	3	DAAM2	0.442	0.277	0.554	0.685	0.979	0.036	0.039	
rs2798641	6	109374743	5	ARMC2	0.209	0.315	0.444	0.530	0.006	0.188	0.158	
rs9496346	6	142711031	2	GPR126	0.316	0.053	0.378	0.368	0.098	0.777	0.788	
rs6570507	6	142721265	2	GPR126	0.314	0.035	0.356	0.342	0.080	0.804	0.821	
rs11155242	6	142733242	2	GPR126	0.210	0.008	0.785	0.670	0.268	0.857	0.807	
rs7753012	6	142787576	2	GPR126	0.337	0.051	0.477	0.487	0.065	0.566	0.637	
rs3748069	6	142809326	2	GPR126	0.319	0.043	0.407	0.401	0.134	0.604	0.628	
rs171891	6	142892305	2,4	GPR126	0.198	0.013	0.884	0.741	0.129	0.830	0.815	
rs10512249	9	97296130	2	PTCH1	0.089	0.435	0.922	0.999	0.807	0.032	0.028	
rs11172113	12	55813550	5	LRP1	0.384	0.005	0.602	0.530	0.809	0.114	0.125	
rs1036429	12	94795559	5	CCDC38	0.217	0.765	0.322	0.356	0.295	0.047	0.031	
rs2456526	15	50876734	1	ONECUT1	0.136	0.011	0.524	0.500	0.451	0.230	0.250	
rs12899618	15	69432174	3	THSD4	0.158	3.25E-04	0.253	0.390	0.328	0.596	0.668	
rs1913768	15	69436598	3,4	THSD4	0.159	4.78E-04	0.221	0.344	0.365	0.617	0.695	
rs2304488	16	56631711	1	MMP15	0.186	0.121	0.002	0.002	0.085	0.760	0.515	
rs12447804‡	16	56632783	5	MMP15	0.179	0.121	0.003	0.003	0.085	0.719	0.487	
rs2865531‡	16	73947817	5	CFDP1	0.428	0.035	0.621	0.736	0.377	0.840	0.603	

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Figure 1



Α



В

rs9316500, DLEU7

		N AL			PHet	
study fre	quency	beta estimate	standard error	P study	between studies	
DISCOVE	RYPHA	SE				
EGEA (n=529)	0.297	0.173	0.069	0.012		·
SAPALDIA (n=788)	0.321	0.094	0.053	0.077		
ECRHS (n=1343)	0,298	0.147	0.042	4.16E-04		
pooled FE (n=2660)		0.135	0.030	4.81E-06	0.613	-
REPLICAT	TION PH	ASE				
ARIC (n=7156)	0.286	0.036	0.018	0.054		
FHS (n=3232)	0.211	0.008	0.027	0.767		-
B58 (n=470)	0.292	0.160	0.071	0.024		
pooled FE (n=10858)		0.033	0.015	0.026	0.124	*
DISCOVEI pooled FE (n=13518)	RY & RE overall	PLICATIO 0.053	N 0.013	5.70E-05	0.011	
FE = fixed	effect me	eta-analysis	sestimate			