Effects of L-DOPA and STN-HFS dyskinesiogenic treatments on NR2B regulation in basal ganglia in the rat model of Parkinson's disease

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List of abbreviations:

6-OHDA, 6-hydroxydopamine; AIMS, abnormal involuntary movements; DA, dopamine; EP, entopeduncular nucleus; L-DOPA, L-3,4-dihydroxyphenylalanine; LID, L-DOPA-induced dyskinesia; NMDA, N-methyl-D-aspartate; PD, Parkinson's disease; SNc, substantia nigra pars compacta; SNr, substantia nigra pars reticulata; STN-HFS, high frequency stimulation of the subthalamic nucleus; TH, tyrosine hydroxylase.

Abstract

Dyskinesia is a major side effect of chronic levodopa (L-DOPA) administration, the reference treatment for Parkinson's disease (PD). High-frequency stimulation of the subthalamic nucleus (STN-HFS) alleviates parkinsonian motor symptoms and indirectly improves dyskinesia by decreasing L-DOPA requirement. However, inadequate stimulation can also trigger dyskinetic movements in PD patients and animal models. Here, we investigated the possible association between L-DOPA- and STN-HFS-induced dyskinesia and regulation of the NR2B subunit of NMDA receptors in the rodent model of PD. We subjected 6-OHDA-lesioned rats to HFS for one hour, at an intensity triggering forelimb dyskinesia. Other 6-OHDA-lesioned rats were treated with chronic high doses of L-DOPA for ten days, to induce abnormal involuntary movements. The 6-OHDA lesion regulated NR2B only in the SNr, where the activation of NR2B was observed (as assessed by phosphorylation of the Tyr¹⁴⁷² residue). Both STN-HFS and L-DOPA dyskinesia-inducing treatments induced NR2B activation in the STN and EP, but only L-DOPA triggered NR2B hyperphosphorylation in the striatum. Finally, the use of CP-101,606 exacerbated L-DOPA-induced motor behavior and associated NR2B hyperphosphorylation in the striatum, STN and EP. Thus, NR2B activation in basal ganglia structures is correlated with dyskinesia.

Keywords: Parkinson's disease, dyskinesia, 6-OHDA lesion, L-DOPA, high-frequency stimulation, glutamate, NMDA receptors, NR2B, basal ganglia.

Introduction

Levodopa (L-DOPA) administration remains the best treatment for alleviating the motor symptoms of Parkinson's disease (PD) (Birkmayer and Hornykiewicz, 1961). However, its long-term use is associated with motor fluctuations and L-DOPA-induced dyskinesia (LID; Barbeau, 1969; Rascol and Fabre, 2001; Ahlskog and Muentner, 2001). High-frequency stimulation of the subthalamic nucleus (STN-HFS) indirectly improves LID in parkinsonian patients, essentially by decreasing the dose of L-DOPA required (Krack *et al.*, 1999; 2003; Toda *et al.*, 2004). However, STN-HFS may also have prodyskinetic effects in PD patients (Limousin *et al.*, 1996; Moro *et al.*, 2002) and animal models of PD (Beurrier *et al.*, 1997; Salin *et al.*, 2002; Boulet *et al.*, 2006). Models of stimulation-induced dyskinesia have been used to improve our understanding of the mechanisms of STN-HFS and the physiopathology of dyskinesia. Unlike LID, the dyskinetic movements induced by HFS are transient, consistent with the occurrence of rapid adaptive mechanisms, with glutamate receptors potentially involved in this plastic response (Sgambato-Faure and Cenci, 2012).

For many years, N-methyl-D-aspartate (NMDA) glutamatergic receptors have been the most popular target for the development of antidyskinetic drugs (Chase and Oh, 2000), such as amantadine, a weak non-competitive NMDA receptor antagonist that reduces dyskinesia in PD patients (Del Dotto *et al.*, 2001) and animal models (Bibianni *et al.*, 2005; Dekundy *et al.*, 2007). Several studies have highlighted a possible role for the NR2B subunit of the NMDA receptor in dyskinesia in PD patients (Calon *et al.*, 2003) or parkinsonian animals (Calon *et al.*, 2002; Oh *et al.*, 1998; Chase and Oh, 2000; Dunah *et al.*, 2000; Hurley *et al.*, 2005). The NR2B-selective antagonist CP-101,606 was shown to decrease peak-dose LID in a small proof-of-principle study in PD patients (Nutt *et al.*, 2008). We have also shown that the forelimb dyskinesia induced by STN-HFS in the normal rat is linked to NR2B hyperphosphorylation and attenuated by CP-101,606 (Quintana *et al.*, 2010). In this study, we aimed to extend this previous work by using the rodent model of Parkinson's disease (the 6-OHDA-lesioned rat) and by asking whether or not these two dyskinesia models (STN-HFS-induced or L-DOPA-induced) share some common neurobiological basis. We have chosen to investigate in all the basal ganglia structures, not only the striatum, the impact of L-DOPA and STN-HFS dyskinesiogenic treatments on NR2B, a glutamatergic target, whose regulation has been clearly associated to the maladaptive response to L-DOPA in the striatum (Calon *et al.*, 2002; 2003; Oh *et al.*, 1998; Chase and Oh, 2000; Dunah *et al.*, 2000; Hurley *et al.*, 2005; Nutt *et al.*, 2008; Quintana *et al.*, 2010) but not in the other basal ganglia structures. We found that L-DOPA induced NR2B hyperphosphorylation in the striatum ipsilateral to the 6-OHDA lesion, whereas STN-HFS did not. Both dyskinesiogenic treatments induced NR2B hyperphosphorylation in the ipsilateral STN and, bilaterally, in the entopeduncular nucleus (EP). Finally, the combination of CP-101,606 with L-DOPA caused an exacerbation of L-DOPA-induced motor behavior associated with higher levels of NR2B hyperphosphorylation in the ipsilateral striatum, STN and EP.

Materials and Methods

Animals

Experiments were performed on 34 male Sprague Dawley rats (Janvier, Le Genest-Saint-Isle, France) weighing 180 g (for lesion surgery) to 300 g (for electrode implantation, STN-HFS and pharmacological treatment), housed under standard laboratory conditions (12 h light/12 h dark cycle, 24°C) with food and tap water provided *ad libitum*. Protocols were carried out in accordance with the European Community Council Directive of 24 November 1986 (86/609/EEC) for the care of laboratory animals and with French Ministry of Agriculture regulations (*authorization N°38-09-17*). Animals were grouped by protocol, naive (control group, $n = 7$), rats with unilateral depletion of the dopaminergic system (6-OHDA group, $n = 6$), 6-OHDA subjected to HFS of the STN (HFS group, $n = 9$), 6-OHDA rats treated by chronic L-DOPA administration (L-DOPA group, $n = 6$) and 6-OHDA rats treated by chronic L-DOPA and CP-101,606 administration (CP group, $n = 6$).

Lesion surgery

All animals ($n = 27$) were first treated with desipramine-HCl (25 mg/kg, s.c., Sigma-Aldrich, Saint Quentin-Fallavier, France) to protect noradrenergic neurons and anesthetized with a mixture of ketamine (100 mg/kg) and xylazine (10 mg/kg). They were then being secured in a Kopf stereotaxic apparatus (Phymep, Paris, France). We injected 9 μ g of 6-OHDA-HCl (6-hydroxydopamine hydrochloride, Sigma-Aldrich, Saint Quentin-Fallavier, France) dissolved in 3 μ l of sterile 0.9% NaCl and 0.1% ascorbic acid, at a flow rate of 0.5 μ l/min into the left substantia nigra pars compacta (SNc). The stereotaxic coordinates of the injection site relative to the bregma were as follows: anteroposterior (AP), -5.3 mm; lateral (L), +2.2 mm and dorsoventral (DV), -7.5 mm, with the incisor bar at 3.3 mm below the interaural plane. All stereotaxic coordinates are cited according to the stereotaxic atlas of Paxinos and Watson (1982). Animals were kept warm after the injection and allowed to recover from anesthesia.

Pharmacological treatment and assessment of L-DOPA-induced motor behavior

All drugs were purchased from Sigma, with the exception of CP-101,606, which was generously provided by Pfizer. Three weeks after lesioning, 6-OHDA-lesioned rats were treated with L-DOPA (L-3,4-dihydroxyphenylalanine methyl ester hydrochloride at 50 mg/kg plus 10 mg/kg benserazide subcutaneously, $n = 6$, L-DOPA group) twice daily for 10 days as previously described (Sgambato-Faure *et al.*, 2005; El Atifi-Borel *et al.*, 2009, Quintana *et al.*, 2010). As we were interested in only rats that developed significant AIM scores, the dose of 50 mg/kg for L-DOPA was chosen as it allows in our model the induction of dyskinesia in all 6-OHDA-lesioned rats, while a lower dose (10 mg/kg) does not (see in Sgambato-Faure *et al.*, 2005). Of note, it has been shown that the dose of L-Dopa is a significant risk factor for the development of LIDs (Putterman *et al.*, 2007). Rats from the CP group ($n = 6$) were also treated with L-DOPA twice daily for 10 days, but they also received 3 mg/kg CP-101,606 15 minutes before each L-DOPA injection. Behavioral analyses were conducted during six observation sessions, at two-day intervals. Each session was 100 minutes long, started 20 minutes after the L-DOPA administration and consisted of five two-minute observation periods. The severity of dyskinesia (forelimb, orolingual or axial, also referred to as abnormal involuntary movements (AIMs)) was evaluated on a scale from 0 to 4 defined by the group of Cenci (Cenci *et al.*, 1998; Andersson *et al.*, 1999). The total AIMs score per session was obtained by summing the scores of each AIM during all the observation periods. Contralateral rotations were evaluated separately.

Electrode implantation and STN-HFS

6-OHDA-lesioned rats ($n = 9$) were anesthetized by isoflurane inhalation (Aerane, Laboratoires Belamont, Neuilly sur Seine, France) and mounted in a stereotaxic frame (David Kopf Instruments, Phymep, Paris, France). The dorsal skull was exposed and holes were drilled to facilitate the unilateral implantation of the monopolar electrode into the left STN at the following coordinates, according to the atlas of Paxinos (relative to bregma): AP =

-3.7 mm, L = 2.4 mm, DV = - 7.8 mm, as previously described (Quintana *et al.*, 2010). The electrode consisted of a platinum-iridium wire insulated with Teflon (wire diameter, 110 μ m insulated, 76 μ m bare) but with an exposed end (length 400 μ m) (Phymep, Paris, France). The inserted electrode served as the negative stimulation pole and a screw fixed on the skull behind the electrode was used as the positive pole. The stimulation electrode was fixed to the skull with dental cement (Dentalon Plus; Phymep, Paris, France). Two to three days after surgery, STN-HFS was carried out to determine the intensity threshold for the induction of forelimb dyskinesia in each animal, in conditions of free movement (Boulet *et al.*, 2006, Quintana *et al.*, 2010). The frequency and pulse width were set at 130 Hz and 60 ms, respectively, and intensity was gradually increased from 0 to 250 mA. Once this threshold had been determined, the animals were allowed to rest for one day. The next day, they were subjected to stimulation for one hour at an intensity fixed at the threshold for forelimb dyskinesia, as previously reported (Boulet *et al.*, 2006, Quintana *et al.*, 2010). Stimuli were delivered with an A310 acupulser and an A360 stimulus isolator (both purchased from WPI, Stevenage, UK). At the end of the stimulation, a small electrical lesion was generated, for visualization of the position of the tip of the electrode in the STN. All rats were killed immediately after the end of stimulation.

Morphological studies

Tissue preparation

One hour after the last L-DOPA injection or the start of stimulation, the animals were given a lethal dose of chloral hydrate and killed by intracardiac perfusion of Ringer solution, followed by 4 % paraformaldehyde in 0.1 M Na₂HPO₄/NaH₂PO₄ buffer, pH 7.5 (phosphate buffer). Brains were removed and post-fixed by incubation in the same fixative solution for 2 h, washed overnight in 15 % sucrose in 0.1 M phosphate buffer and frozen in cooled isopentane (-20°C). Coronal sections (30 μ m) were cut on a cryostat and stored at -20°C in a solution containing 30 % ethylene glycol, 30 % glycerol, 0.1 M phosphate buffer, and 0.1 % diethyl pyrocarbonate (DEPC) until processing.

Histological control of electrode placement and 6-OHDA lesion

The location of the stimulating electrode in the STN of HFS group was examined on cresyl-violet-stained sections. Briefly, free-floating sections (30 μ m) were rinsed in Tris buffer (TB; 0.25 M, pH 7.5) and mounted on gelatin-coated slides. Slides were briefly washed in distilled water and immersed in a 0.3 % cresyl violet solution for 3 minutes. They were then dehydrated through a series of alcohol solutions and cleared by incubation in histolemon for light microscopy. The loss of DA cells and terminals was assessed by immunohistochemistry for TH (tyrosine hydroxylase) as previously described (Sgambato-Faure *et al.*, 2005). Animals showing a misplaced electrode or a reduction of TH staining inferior of 80 % were not included in the experimental groups presented above.

Immunohistochemistry

Free-floating sections were rinsed in Tris-buffered saline (TBS; 0.25 M Tris and 0.25 M NaCl, pH 7.5), incubated for 5 minutes in 3 % H₂O₂ and 10 % methanol in TBS and rinsed three times, for 10 minutes each, in TBS. They were then incubated for 15 minutes in 0.2 % Triton X-100 in TBS and rinsed three times in TBS. They were incubated with the primary antibody (anti-TH, anti-NR2B or anti-phospho-NR2B) for 72 hours at 4°C. The primary antibodies used in this study were: a rabbit polyclonal antibody against phospho-Tyr¹⁴⁷²-NR2B (catalog # ABC72-00135; AbCys, Paris, France) used at a dilution of 1/300 (Quintana *et al.*, 2010); a NR2B antibody used at 1/700 (generously provided by Drs J.A. Girault and Denis Hervé; Institut du Fer à Moulin, Inserm & UPMC, Paris) (Menegoz *et al.*, 1995; Quintana *et al.*, 2010), and an anti-TH (catalog # VMA5280; AbCys, Paris, France) antibody used at 1/12500. Sections were rinsed three times in TBS, and incubated for 2 hours at 4°C with the corresponding secondary antibody in TBS (biotinylated anti-Rabbit IgG (for both P-NR2B and NR2B) or biotinylated anti-mouse (for TH) used at a dilution 1/500 (Catalog # BA- 1000 or BA-2000, respectively from Vector Laboratories, Abcys, Paris, France). Sections were

washed and incubated for 90 minutes at room temperature in avidin-biotin-peroxidase complex (ABC) solution (final dilution 1/50) (catalog # PK 4000; Vector Laboratories, Abcys, Paris, France). The sections were then rinsed twice in TBS and twice in TB (0.25 M Tris, pH 7.5), for 10 minutes each, placed in 0.1 % 3,3'-diaminobenzidine (DAB; 50 mg/100 ml) in TB and developed by incubation with H₂O₂ (0.02 %).

Data analysis

Immunostained sections were examined under a light microscope and digitized at various magnifications, with a computerized image analyzer (Analysis, Soft Imaging System, Munster, Germany). Exposure, luminosity and contrast parameters were kept constant within each individual immunohistochemistry experiment. The optical density of immunoreactive signals for P-NR2B, NR2B and TH was determined, as previously described (Peretti-Renucci *et al.*, 1991; Sgambato *et al.*, 1998). Measurements were made with Autoradio V4.03 software (SAMBA Technologies, Meylan, France) for the striatum, the STN, the EP and the SNr. A background value was determined for each section by measuring optical density in the corpus callosum (which was not immunolabeled). This value was systematically subtracted from the optical density obtained for the structures of interest. Histograms show mean $\pm$ standard error of the mean. One-way ANOVA (with [side] as a factor) was used for the analysis of differences in the expression of NR2B and P-NR2B between the two hemispheres, in animals from a given group. Two-way ANOVA (with [lesion] and [striatal region]; [treatment] and [striatal region]; [treatment] and [side] as factors) was used for the analysis of differences in NR2B and P-NR2B expression between treatments (respectively 6-OHDA vs. control; L-DOPA vs. control and 6-OHDA; HFS vs. control and 6-OHDA). Statistical significance was assessed in a *post-hoc* Newman-Keuls multiple comparison test.

Results

Control of the extent of 6-OHDA-induced denervation and electrode location

We tested our hypothesis that NR2B is critically associated with forelimb dyskinesia (Quintana *et al.*, 2010) by carrying out this study in a rodent model of Parkinson's disease, - 6-OHDA lesioned rats - and analyzing potential changes in NR2B levels (total or phosphorylated on the tyrosine 1472 residue) in the network of basal ganglia in response to two dyskinesiaogenic treatments: acute STN-HFS and chronic L-DOPA. All 6-OHDA-lesioned animals presented a substantial loss of TH immunostaining in the ipsilateral SNc and the striatum, as shown by comparisons with the contralateral side or to control animals (Figure 1A, B, C; $p < 0.001$). An analysis of densitometric measurements of TH immunostaining showed an absence of statistical difference between the 6-OHDA, HFS or L-DOPA groups. As expected, all displayed strong DA denervation. Panels D and E on Figure 1 illustrate the correct location of the stimulation electrode within the STN ipsilateral to the 6-OHDA lesion. No major tissue damage was observed in the structure after stimulation for one hour.

Analysis of the motor behavior induced by STN-HFS or pharmacological treatment

Consistent with our previous findings (Boulet *et al.*, 2006; Quintana *et al.*, 2010), acute STN-HFS triggered forelimb dyskinesia with an intensity threshold between 100 and 200 μ A (data not shown). The induction of such dyskinetic movement is transient and cannot be maintained by increasing stimulation intensity because this led to the induction of contralateral rotations (data not shown). Thus, all the animals of the HFS group ($n = 9$) underwent stimulation for one hour at 100 μ A to 200 μ A.

The severity of AIMs in L-DOPA-treated animals gradually increased during the treatment (Figure 2A; ($p < 0.05$)). Figure 2B shows the distribution of the various subtypes of dyskinesia during treatment. Orolingual and forelimb dyskinesia first occurred on day 2 and were followed by axial dyskinesia on day 8. Forelimb dyskinesia accounted for more than 60 % of the AIMs on the last day of treatment. Interestingly, no contralateral rotations were induced in this group, a result consistent with our previous findings (Sgambato-Faure *et al.*, 2005).

Effect of the 6-OHDA lesion on levels of NR2B and P-NR2B expression in the striatum, STN, EP and SNr

We analyzed the impact of the 6-OHDA lesion on the amounts of the total and phosphorylated forms of NR2B in the striatum, subdivided into medial and lateral parts, the STN, the EP and the SNr (Table 1). In the striatum, the expression of NR2B levels were similar in the two hemispheres regardless of the striatal region considered and no significant difference was observed between the 6-OHDA and control groups. NR2B levels were also similar in the ipsilateral and contralateral STN (Table 1 and Figure 4E and H), in the EP (Figure 5, panels D, D' and H) and in the SNr (Table 1 and Figure 6, panel E). Moreover, two-way ANOVA confirmed that these levels were closed to those obtained in the control group, either in the STN EP or in the SNr (Table 1).

The 6-OHDA lesion also had no effect on the levels of P-NR2B in the various parts of the striatum (Table 1 and Figure 3, panels A and D), STN (Table 1 and Figure 4A, D) and EP (Table 1 and Figure 5, panels A, A' and G). Two-way ANOVA showed that P-NR2B levels were similar in the 6-OHDA and control groups (Table 1), either in the striatum, the STN or the EP (Table 1). The only difference between these two experimental groups was found in the SNr ipsilateral to the lesion, where P-NR2B levels were much higher after 6-OHDA (+ 150 %, $p < 0.01$, Table 1 and Figure 6, panels A, A' and D).

Effect of dyskinesiaogenic treatment on levels of NR2B and P-NR2B expression in the striatum

We then investigated the impact of STN-HFS and L-DOPA on NR2B and P-NR2B levels in the striatum (Figure 3). No difference in NR2B immunolabeling was observed

between the lateral and the medial parts of the striatum after STN-HFS or L-DOPA treatment ipsilaterally or contralaterally (data not shown).

Similar results were obtained for P-NR2B levels after STN-HFS (Figure 3B and D). P-NR2B levels were similar in the lateral and medial parts of the striatum of animals from the HFS group.

Unlike HFS, chronic L-DOPA treatment strongly increased P-NR2B levels in the lateral part of the ipsilateral striatum ($p < 0.001$; Figure 3C and D), consistent with our previous findings (Quintana *et al.*, 2010). This increase was specific to the lateral region compared to the medial one, and was detected only in the ipsilateral side compared to the contralateral one. *Post hoc* analysis demonstrated that P-NR2B levels in the striatum were significantly higher in the L-DOPA group than in the control and 6-OHDA groups (both $p < 0.001$; *L-DOPA vs. control*: + 215 %, Figure 3D).

Effect of dyskinesiogenic treatment on levels of P-NR2B and NR2B in the STN

STN-HFS evoked a strong increase in P-NR2B levels in the ipsilateral STN, as shown by comparison with the contralateral side ($p < 0.01$ Figure 4B and D). *Post-hoc* analysis revealed that P-NR2B levels in the ipsilateral STN in the HFS group were significantly higher than those in the control and 6-OHDA groups (*HFS vs. control*: + 190 %; $p = 0.001$; Figure 4A, B and D). HFS had no effect on P-NR2B levels in the contralateral STN.

Interestingly, L-DOPA treatment also led to an increase in P-NR2B levels on the ipsilateral side (+ 160 % $p < 0.05$; Figure 4C, D).

STN-HFS did not alter NR2B levels (Figure 4, panels F and H). Ipsilateral and contralateral NR2B levels remained the same and two-way ANOVA identified no significant differences between the control, 6-OHDA and HFS groups.

L-DOPA treatment resulted in no significant difference in NR2B levels between the ipsilateral and contralateral STN. *Post-hoc* analysis showed that NR2B levels in the ipsilateral STN were significantly higher in the L-DOPA group than in the control group (+ 240 %; $p = 0.013$, Figure 4H). No difference in NR2B levels on the contralateral side was found between groups.

Effect of dyskinesiogenic treatment on P-NR2B and NR2B levels in the EP

After STN-HFS, P-NR2B levels were similar in the ipsilateral and contralateral EP ($p = 0.577$; Figure 5, panels B, B' and G)., *Post-hoc* analysis revealed that P-NR2B levels in the ipsilateral EP were significantly higher in the HFS group than in the control and 6-OHDA groups ($p = 0.023$ and $p = 0.05$, respectively, *SHF vs. control*: + 190 %) whereas a significant increase on the contralateral side was observed only in comparison with the control group ($p = 0.05$; *SHF vs. control*: + 180 %, but not for comparisons with the 6-OHDA group ($p = 0.066$).

Similarly chronic L-DOPA also induced a strong and bilateral increase of P-NR2B levels (Figure 5, panels C, C' and G). Two-way ANOVA revealed an effect treatment but no interaction between treatment and side. Entopeduncular levels of P-NR2B were significantly higher in the L-DOPA group than in the control and the 6-OHDA groups for both the ipsilateral ($p = 0.001$ and $p = 0.003$, respectively *L-DOPA vs. control*: + 230 %) and contralateral ($p = 0.009$ and $p = 0.03$, respectively, *L-DOPA vs. control*: + 210 %; Figure 5G) sides. No significant difference was detected between the two hemispheres in the L-DOPA group (Figure 5C-C').

STN-HFS and L-DOPA had no effect on NR2B levels in the EP (Figure 5E, F and H). NR2B levels remained similar on the ipsilateral and contralateral sides and in the various experimental groups, as confirmed by two-way ANOVA analyses (Figure 5H).

Effect of dyskinesiogenic treatment on levels of NR2B and P-NR2B expression in the SNr

We have shown that the 6-OHDA lesion is associated with the induction of P-NR2B in the ipsilateral SNr (Table 1 and Figure 6). No further increase was observed following STN-

HFS or L-DOPA and no difference of NR2B levels in the contralateral SNr was observed between groups.

Neither STN-HFS nor L-DOPA affected the amount of NR2B (Figure 6E). NR2B levels remained similar on the ipsilateral and contralateral sides after HFS. They did not differ from those in the control and 6-OHDA groups, as confirmed by two-way analyses.

Effect of a combination of CP-101,606 and L-DOPA on motor behavior and NR2B activation in the basal ganglia

We have previously shown that a selective NR2B antagonist, CP-101,606, could decrease the forelimb dyskinesia evoked by STN-HFS (Quintana *et al.*, 2010). We investigated here the impact of CP-101,606 administration on the motor behavior driven by L-DOPA (Figure 7) and on total and phosphorylated NR2B levels in the basal ganglia (Figure 8). Total AIMs score increased gradually with treatment duration (Figure 7). *Post-hoc* analysis showed a significantly earlier and larger increase in total AIMs in the CP group ($p < 0.01$) than in the L-DOPA group (Figure 7A). Animals from the CP group developed severe axial and forelimb dyskinesia after as little as two days of treatment (Figure 7B). On day 8, we evaluated the temporal decay of AIMs during an observation period. *Post-hoc* comparison showed that the AIMs were significantly more severe in the CP group than in the L-DOPA group ($p < 0.01$) (Figure 7C). Finally, animals from the L-DOPA group displayed no rotational behavior, whereas animals from the CP group displayed severe contralateral rotations (Figure 7D). Along these behavioral changes driven by the combined administration of CP-101,606 and L-DOPA, we have observed significant changes regarding the expression of total and phosphorylated NR2B in the basal ganglia (Figure 8). P-NR2B was increased not only in the lateral part of the ipsilateral striatum, but also in its medial part (Figure 8A). P-NR2B was also enhanced in the ipsilateral STN and EP (Figure 8C, D).

Discussion

Three key findings emerged from this *in vivo* study on parkinsonian rats. First, DA neuron lesions affected NR2B regulation in the SNr, but not in the other structures of the basal ganglia. Second, only the L-DOPA dyskinesigenic treatment induced hyperphosphorylation of the Tyr¹⁴⁷² residue of NR2B, in the striatum ipsilateral to the 6-OHDA lesion, whereas both L-DOPA and STN-HFS dyskinesigenic treatments induced NR2B hyperphosphorylation in the ipsilateral STN and bilaterally in the EP, but not in the SNr. Third, CP-101,606 exacerbated L-DOPA-induced motor behavior and increased NR2B hyperphosphorylation in the ipsilateral striatum, STN and EP.

DA neuron lesions affect NR2B regulation only in the SNr

Dopamine loss increases the spontaneous release of glutamate in the ipsilateral striatum (Lindfors and Ungerstedt, 1990; Jonkers *et al.*, 2002; Bruet *et al.*, 2003), reflecting enhanced activity of the glutamatergic corticostriatal neurons (Calabresi *et al.*, 1993; Gubellini *et al.*, 2002). Studies of the expression of NMDA subunits in the striatum have given conflicting results, some reporting an increase (Wüllner *et al.*, 1994), others a decrease (Porter *et al.*, 1994; O'Dell and Marshall, 1996) and still others no change (Calon *et al.*, 2002) in levels of the NMDA receptor, suggesting that mechanisms other than the simple regulation of subunit expression may be involved in the adaptation of the glutamatergic system to the dopaminergic denervation state. Indeed, changes to the trafficking of the NR2B subunit between subcellular compartments have been found (Dunah *et al.*, 2000; Hallet *et al.*, 2005) and are associated with an increase in the phosphorylation of tyrosine residues (Menegoz *et al.*, 1995; Oh *et al.*, 1998). However, few studies have focused on these molecular changes in other structures of the basal ganglia, even though dopaminergic depletion induces an increase in the activity of the projecting glutamatergic neurons from the STN (Hirsch *et al.*, 2000). In the SNr and the EP, Porter and coworkers (1994) described a decrease in the number of AMPA receptors, with no change in the expression of NMDA receptors. No changes were found in the STN (Blandini *et al.*, 2001). Our results confirm these observations, as we observed no modification of NR2B expression in these structures. However, we did detect NR2B hyperphosphorylation in the SNr, but not in the striatum. This finding may appear to conflict with the findings of Dunah's group, but we were unable to determine the proportion of receptors in individual subcellular compartments with our experimental protocol, and our findings may therefore be seen as consistent with the lack of change in the unfractionated homogenates in these previous studies.

Patterns of NR2B activation in response to the L-DOPA and STN-HFS dyskinesigenic treatments

Both L-DOPA and STN-HFS induced NR2B hyperphosphorylation in the STN and EP, but not in the SNr, whereas L-DOPA induced hyperphosphorylation of this subunit only in the striatum. The dyskinesigenic effect of STN-HFS on NR2B regulation is therefore similar in hemiparkinsonian and naive rats (Quintana *et al.*, 2010). The finding that both LID and STN-HFS-induced dyskinesia are linked to an increase in the tyrosine phosphorylation of NR2B in the STN-EP network (Quintana *et al.*, 2010 and data presented here) highlights the pathophysiological importance of this pathway in the genesis of dyskinesia. The close association of the EP (GPi in primates) with LID (Aubert *et al.*, 2007; Lacombe *et al.*, 2009) is consistent with the potent antidyskinetic effects of GPi-HFS in parkinsonian patients. An involvement of the STN efferent pathways is also consistent with previous data for a rat model of LID, showing that dyskinesia severity is positively correlated with the levels of *c-fos* (Soghomonian, 2006) and preproenkephalin-B (encoding dynorphin) mRNA (Aubert *et al.*, 2007) in the STN. This work also sheds light on the different roles of the two output structures of the basal ganglia in akinesia and dyskinesia. Abnormal involuntary movements are similar in both cases and seem to be linked to the indirect pathways between the striatum and the EP after a relay in the STN, whereas the 6-OHDA lesion (which is known to be responsible for akinesia) is associated with changes in the SNr. Recent studies have

confirmed this hypothesis, by showing that the lesioning or HFS of the GPi improves dyskinesia in parkinsonian patients (Bejjani *et al.*, 1997; Yelnik *et al.*, 2000). The chronic administration of L-DOPA at doses inducing dyskinesia reduces Col expression in the EP (Lacombe *et al.*, 2009) and the firing frequency of GPi neurons (Boraud *et al.*, 1998; 2001). By contrast, therapeutic STN-HFS reverses the 6-OHDA-induced changes in Col expression in the SNr, but not in the EP (Lacombe *et al.*, 2009). Lesioning of the STN induces a larger decrease in GAD67 expression and carbohydrate metabolism in the SNr than in the GPi (Guridi *et al.*, 1996; Su *et al.*, 2001). Lacombe and coworkers (2009) demonstrated an inverse correlation between Col expression levels in the EP and the striatal expression of dynorphin, a molecular marker of dyskinesia in animals and parkinsonian patients (Cenci *et al.*, 1998, 2007; Aubert *et al.*, 2007). No such correlation was observed in the SNr. Finally, akinesia severity is correlated with Col and GAD67 levels in the SNr (Bacci *et al.*, 2004; Salin *et al.*, 2002).

CP-101,606 exacerbates L-DOPA-induced motor behavior and NR2B hyperphosphorylation

L-DOPA induced an increase in P-NR2B levels in the lateral striatum, as expected (Quintana *et al.*, 2010; Oh *et al.*, 1998; Dunah *et al.*, 2000), whereas STN-HFS did not. This striatal increase was greater and extended to the medial part of the striatum when CP-101,606 was administered with L-DOPA. We expected dyskinesia to be inhibited in these conditions, but it was instead increased, with the triggering of additional rotational behavior. In animal models of LID and motor fluctuations, the pharmacological blockade of NR2B has produced variable results. The NR2B-selective antagonists Co 101244 and CI-1041 have been shown to decrease LID in macaques (Blanchet *et al.*, 2004; Hadj Tahar *et al.*, 2004; Morissette *et al.*, 2006), whereas CP-101,606 exacerbated LID in the rat (data presented here) and marmoset (Nash *et al.*, 2004). CP-101,606 prevented L-DOPA-induced wearing-off fluctuations in 6-OHDA-lesioned rats (Wessell *et al.*, 2004). However, in the same animal model, the NR2B antagonists Ro256981 and Ro631908 failed to improve L-DOPA-induced AIMs (Rylander *et al.*, 2009). Information about the specific site of action of these antagonists is required, because NR2B subunits are widely distributed throughout the brain (Loftis and Janowsky, 2003) and both the striatum and the STN are known to be involved in the induction of dyskinesia (Papa *et al.*, 1995; Benabid *et al.*, 2000; Greenamyre *et al.*, 2001). These pharmacological data suggest that the basal ganglia subcircuits underlying dyskinesia driven by acute STN-HFS and chronic L-DOPA treatments might be different (STN-EP for the former, striatum-EP for the later), although we cannot exclude the possibility that these results simply reflect large differences in the antidyskinetic dose of CP-101,606 between these models (3 and 10 mg/kg in Quintana *et al.*, 2010 compared to 3 mg/kg in the present study). Finally, it would be interesting to determine whether the transient nature of forelimb dyskinesia under STN-HFS is linked to the absence of striatal reactive NR2B under dyskinesiogenic stimulation, given that the striatum plays a key role in L-DOPA priming mechanisms. However, other groups found spontaneous LID that may not require priming i.e 6-OHDA-lesioned rats treated by intrastriatal graft of embryonic mesencephalic neurons (Soderstrom *et al.*, 2008; Steece-Collier *et al.*, 2009). These graft-induced dyskinesias are also influenced by glutamate (Soderstrom *et al.*, 2008, 2010) and we cannot excluded that 5HT1A and D2 receptors found on cortico-striatal neurons also modify LID, suggesting that probably other subtle mechanisms should be considered for interpretation of these findings.

STN-HFS-induced dyskinesia sheds light on the mechanisms of action of HFS

Our results demonstrate that the inappropriate use of STN-HFS may result in the induction of dyskinesia. Our behavioral observations raise questions about the mechanism of action of HFS. Similar abnormal movements have been observed after spontaneous or experimental STN lesioning (Carpenter *et al.*, 1950; Hammond *et al.*, 1979; Hamada and DeLong, 1992; Guridi and Obeso, 2001). As the induction of dyskinesia and clinical improvements in Parkinson's disease symptoms observed after HFS (Benazzouz *et al.*, 1993; Pollak *et al.*, 1993; Limousin *et al.*, 1995; Benabid *et al.*, 2000) are similar to the results

of subthalamotomy (Bergman *et al.*, 1990; Aziz *et al.*, 1991), it has been suggested that HFS is inhibitory. However, electrophysiological, neurochemical and gene expression studies have indicated that HFS induces complex events in the basal ganglia network, not all of which are similar to the effects of subthalamotomy. For example, a decrease in the firing state of the neurons of the stimulated site has been observed after HFS (Benazzouz *et al.*, 1995, 2000; Tai *et al.*, 2003), whereas activation has been reported for the axon of the projection site of the stimulated nucleus (Anderson *et al.*, 2003; Hashimoto *et al.*, 2003; Gradinaru *et al.*, 2009). Decreases in Col and GAD67 levels or their normalization in the STN have also been reported, suggesting an inhibitory action of STN-HFS applied at therapeutic intensity (Benazzouz *et al.*, 2004; Salin *et al.*, 2002; Bacci *et al.*, 2004). However, STN-HFS increases extracellular glutamate levels in the SNr and GP of naive rats, suggesting that it may play have an activating role (Windels *et al.*, 2000, 2003, 2005). In addition, STN-HFS at an intensity inducing dyskinesia, increased basal extracellular levels of glutamate in the SNr of both naive and 6-OHDA rats, reflecting STN hyperactivity. In contrast, STN-HFS at half this intensity did not affect glutamate levels in the SNr in intact or 6-OHDA rats, but increased GABA levels in 6-OHDA rats only. (Boulet *et al.*, 2006). Dual effects of HFS, depending on the intensity of stimulation, have also been observed in electrophysiological studies (Maurice *et al.*, 2003). Our results, obtained at high intensity (resulting in the induction of dyskinesia), shown an increase in NR2B phosphorylation in the STN and EP, reflecting an increase in glutamatergic activity in these structures. Thus, the mechanisms of action of the HFS seem to differ between anti-akinetic and prodyskinetic intensities.

In conclusion, although very little is known about changes in glutamate receptors associated with STN-HFS, a vast literature has addressed the expression, phosphorylation state, and subcellular distribution of specific subtypes of glutamate receptors in animal models of LID. Rat models of STN-HFS-induced dyskinesia have been introduced only recently, therefore much remains to be done to unravel their underlying pathophysiology. A comparison between LID and STN-HFS-induced dyskinesias is likely to improve our understanding of the mechanisms triggering the expression of abnormal involuntary movements and the associated plastic responses. By comparing here the two models of dyskinesias we revealed common pathophysiological features that may guide future therapeutic strategies. One prominent feature is a dysregulation of extracellular glutamate homeostasis in the STN- GPi/SNr network, which has both glial and neuronal components. In the present work, we show that DA neuron lesions affected NR2B regulation in the SNr, but not in the other nuclei of the basal ganglia network. Only the L-DOPA dyskinesiogenic treatment induced hyperphosphorylation of the Tyr¹⁴⁷² residue of NR2B, in the striatum ipsilateral to the 6-OHDA lesion, whereas both L-DOPA and STN-HFS dyskinesiogenic treatments induced NR2B hyperphosphorylation in the ipsilateral STN and bilaterally in the EP, but not in the SNr. Determining the role of this ectopic source of glutamate in animal models of PD and LID will be an exciting task for future studies.

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Table and Figure legends

Table 1: Effect of 6-OHDA lesions on optical density measurements of immunoreactive signals for P-NR2B, NR2B in the striatum, the STN, the EP and the SNr. $**p < 0.01$ vs. control group and $^{ss}p < 0.01$ vs. the contralateral side. A two-way ANOVA analysis was performed and indicated that the [lesion] x [side] interaction played a significant role in determining P-NR2B levels in the SNr ($F_{[side](1,19)} = 14.819$, $p_{[side]} = 0.001$; $F_{[lesion](1,11)} = 6.658$, $p_{[lesion]} = 0.02$; $F_{[lesion \times side](1,19)} = 4.506$, $p_{[lesion \times side]} = 0.05$).

Figure 1: Extent of the 6-OHDA lesion and control of electrode location. (A and B) Representative photomicrographs of frontal sections stained for TH in the striatal and nigral regions. # Lesioned side. (C) Quantification of the optical density of TH immunostaining in the striatum and substantia nigra pars compacta in the various groups of animals. $***p < 0.001$ versus controls, i.e. unlesioned rats. (D) Schematic diagrams of electrode location in the STN for the one hour stimulated rats, according to the stereotaxic atlas of Paxinos and Watson (anteriority -3.6 to -4.16 mm from bregma). (E) Representative photomicrograph of frontal section stained with cresyl violet illustrating the location of the electrode within the STN. Scale bars, 1mm in panel A,B and 200 μ m in panel E. Abbreviations: cp, cerebral peduncle; HFS, high-frequency stimulation; Hi, hippocampus; LH, lateral hypothalamic nucleus, SNc, substantia nigra pars compacta; ST, striatum; STN, subthalamic nucleus; Thal, thalamus.

Figure 2: Assessment of the dyskinetic behavior of 6-OHDA-lesioned rats treated by chronic L-DOPA administration. (A) Changes in total AIM score during the treatment. *Post hoc* analysis showed that AIMs severity was higher after the fourth session ($p < 0.05$). (B) Proportion of each subtype of AIMs during treatment. Abbreviations: AIMs, abnormal involuntary movements.

Figure 3: Effect of dyskinesigenic L-DOPA and STN-HFS treatments on P-NR2B levels in the striatum. (A-C) Representative photomicrographs of P-NR2B staining on a striatal section from each experimental group. Note that HFS was applied acutely (for one hour), while L-DOPA treatment was chronic (10 days). (D) Quantification of P-NR2B staining in the lesioned striatum after 6-OHDA lesioning, STN-HFS and L-DOPA. $***p < 0.001$ vs. controls, $^{###}p < 0.001$ vs. 6-OHDA, $^{\text{£}}p < 0.05$ vs. medial striatum. Scale bar, 1mm. Abbreviations: cc, corpus callosum; HFS, high-frequency stimulation; Lat, lateral; Med, medial; Str, striatum; LV, lateral ventricle.

Two-way ANOVA analyses confirmed that neither STN-HFS nor L-DOPA affected NR2B levels in the striatum, which remained similar to those in the control and 6-OHDA groups (respectively $F_{[region](3,59)} = 0.446$, $p_{[region]} = 0.721$; $F_{[treatment](2,59)} = 0.444$, $p_{[treatment]} = 0.644$; $F_{[treatment \times region](6,59)} = 0.110$, $p_{[treatment \times region]} = 0.995$ for HFS and $F_{[region](3,55)} = 1.044$, $p_{[region]} = 0.383$; $F_{[treatment](2,55)} = 0.533$, $p_{[treatment]} = 0.591$; $F_{[treatment \times region](6,55)} = 0.501$, $p_{[treatment \times region]} = 0.804$ for L-DOPA; $n = 4$ for 6-OHDA, $n = 7$ for control and L-DOPA and $n = 6$ for HFS) (data not shown). Two-way ANOVA confirmed that HFS had no effect on P-NR2B expression ($F_{[region](3,63)} = 0.274$, $p_{[region]} = 0.844$; $F_{[treatment](2,63)} = 0.381$, $p_{[treatment]} = 0.685$; $F_{[treatment \times region](6,63)} = 0.164$, $p_{[treatment \times region]} = 0.985$; $n = 6$ for control and $n = 5$ for 6-OHDA and HFS). Moreover, an interaction between the treatment and the striatal region considered was detected ($F_{[region](3,63)} = 2.671$, $p_{[region]} = 0.057$; $F_{[treatment](2,63)} = 1.035$, $p_{[treatment]} = 0.362$; $F_{[treatment \times region](6,63)} = 3.039$, $p_{[treatment \times region]} = 0.013$; $n = 7$ for control and $n = 6$ for 6-OHDA and L-DOPA).

Figure 4: Effect of dyskinesigenic L-DOPA and STN-HFS treatments on P-NR2B and NR2B levels in the STN. Representative photomicrographs of P-NR2B (A-C) and NR2B (E-G) immunolabeling on subthalamic sections from each experimental group. Quantification of P-NR2B (D) and NR2B (H) immunolabeling after 6-OHDA lesioning, STN-HFS and L-DOPA.

* $p < 0.05$, *** $p < 0.001$ vs. control; # $p < 0.05$, ### $p < 0.01$ vs. 6-OHDA and §§ $p < 0.01$ vs. contralateral side. Scale bar, 200 μm . Abbreviations: cp, cerebral peduncle; HFS, high-frequency stimulation; STN, subthalamic nucleus; contra, contralateral; ipsi, ipsilateral.

Moreover, two-way ANOVA revealed the existence of an interaction between the treatment and the side ($F_{[side](1,35)} = 5.455$, $p_{[side]} = 0.026$; $F_{[treatment](2,35)} = 3.930$, $p_{[treatment]} = 0.030$; $F_{[treatment \times side](2,35)} = 4.976$, $p_{[treatment \times side]} = 0.014$; $n = 7$ for control, $n = 6$ for 6-OHDA and $n = 9$ for HFS). One-way ANOVA analysis showed that the ipsilateral P-NR2B levels to be significantly increased by L-DOPA (L-DOPA vs. control: + 160 %; $F_{(1,15)} = 5.909$; $p = 0.015$). Two-way ANOVA revealed a significant effect of the treatment ($F_{[side](1,29)} = 0.524$, $p_{[side]} = 0.476$; $F_{[treatment](2,29)} = 6.864$, $p_{[treatment]} = 0.004$; $F_{[treatment \times side](2,29)} = 0.593$, $p_{[treatment \times side]} = 0.561$).

Figure 5: Effect of dyskinesiogenic L-DOPA and STN-HFS treatments on P-NR2B and NR2B levels in the EP. Representative photomicrographs of P-NR2B (A-C) and NR2B (D-F) immunolabeling on entopeduncular sections from each experimental group. Quantification of P-NR2B (G) and NR2B (H) immunolabeling after 6-OHDA lesioning, STN-HFS and L-DOPA. Scale bar, 100 μm . * $p < 0.05$, ** $p < 0.01$ and *** $p < 0.001$ vs. control; # $p < 0.05$ and ### $p < 0.01$ vs. 6-OHDA. Abbreviations: EP, entopeduncular nucleus; HFS, high-frequency stimulation; contra, contralateral; ipsi, ipsilateral.

Two-way ANOVA analysis revealed an effect treatment characterized by a bilateral activation of P-NR2B ($p < 0.05$; Figure 5G) in the HFS group ($F_{[side](1,43)} = 0.188$, $p_{[side]} = 0.667$; $F_{[treatment](2,43)} = 6.723$, $p_{[treatment]} = 0.003$; $F_{[treatment \times side](2,43)} = 0.0769$, $p_{[treatment \times side]} = 0.926$; $n = 7$ for control group, $n = 6$ for 6-OHDA group and $n = 9$ for HFS). Two-way ANOVA analyses ($F_{[side](1,21)} = 0.345$, $p_{[side]} = 0.565$; $F_{[treatment](2,21)} = 0.996$, $p_{[treatment]} = 0.391$; $F_{[treatment \times side](2,21)} = 0.263$, $p_{[treatment \times side]} = 0.772$ for HFS and $F_{[side](1,27)} = 0.939$, $p_{[side]} = 0.345$; $F_{[treatment](2,27)} = 0.481$, $p_{[treatment]} = 0.626$; $F_{[treatment \times side](2,27)} = 0.0377$, $p_{[treatment \times side]} = 0.963$ for L-DOPA; Figure 5H).

Figure 6: Effect of dyskinesiogenic L-DOPA and STN-HFS treatments on P-NR2B and NR2B levels in the SNr. (A-C) Representative photomicrographs of P-NR2B immunolabeling on nigral section from each experimental group. Quantification of P-NR2B (D) and NR2B (E) immunolabeling after 6-OHDA lesioning, STN-HFS and L-DOPA. Scale bar, 100 μm . * $p < 0.05$ and ** $p < 0.01$ vs. control; §§ $p < 0.05$ and §§ $p < 0.01$ vs. contralateral side. Abbreviations: HFS, high-frequency stimulation; contra, contralateral; ipsi, ipsilateral.

Figure 7: Effect of CP-101,606 on L-DOPA-induced motor behavior. (A) Total AIM score over the 10 days of treatment. (B) Proportion of the different AIM subtypes. (C) Total AIM score during an observation session on day 8 of treatment. (D) Number of contralateral rotations during the 10-day treatment period.

Two-way repeated measures ANOVA ([group] and [session]) revealed a significant difference between groups and observation sessions, and an interaction between these two factors ($F_{[group](2,34)} = 8.222$, $p_{[group]} = 0.015$; $F_{[session](5,34)} = 11.224$, $p_{[session]} < 0.001$; $F_{[group \times session](10,34)} = 5.573$, $p_{[group \times session]} < 0.001$).

Two-way repeated measures ANOVA ([group] and [post-injection time]) detected significant differences between groups and observation time and an interaction between these factors ($F_{[group](2,59)} = 9.418$, $p_{[group]} = 0.010$; $F_{[time](5,59)} = 12.958$, $p_{[time]} < 0.001$; $F_{[group \times time](10,59)} = 4.896$, $p_{[group \times time]} < 0.001$).

Figure 8: Effect of CP-101,606 on total and phosphorylated NR2B levels in the basal ganglia. (A-B) Quantification of P-NR2B in the ipsilateral (A) and contralateral (B) striata after 6-OHDA lesioning, L-DOPA, and CP-101,606 plus L-DOPA. (C-D) Quantification of P-NR2B ipsilaterally (C) and contralaterally (D) in the STN, EP and

SNr. * vs. controls; # vs. 6-OHDA; \$ vs. L-DOPA and £ vs. medial striatum. * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$. Abbreviations: CP, CP-101,606; EP, entopeduncular nucleus; SNr, substantia nigra pars reticulata; STN, subthalamic nucleus.

A **B** **C** **D**