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5 **A look behind closed doors:**

6 **Interaction of persistent viruses with dendritic cells**

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1 **Abstract**

2 Persistent infections with human immunodeficiency viruses and hepatitis B and C viruses are a
3 major cause of morbidity and mortality world-wide. Dendritic cells (DCs), as sentinels of the
4 immune system are crucial in the generation of protective antiviral immunity. Recent advances
5 in the study of the role of DCs during infection with these viruses provide insights into the
6 mechanisms used by these viruses to exploit DC function to evade innate and adaptive
7 immunity. In this review we highlight current knowledge on the interaction between DCs and
8 these viruses and the underlying mechanisms that might influence the outcome of viral
9 infections.

10

1 Introduction

2 The immune response to viral infections is a complex interplay between the virus and the
3 innate and adaptive immune response and is aimed at eradicating the pathogen with minimal
4 damage to the host. Dendritic cells (DCs) are a specialized family of antigen-presenting cells
5 (APCs) that effectively link innate recognition of viruses to the generation of the appropriate
6 type of adaptive immune response ¹. DCs are continuously produced from hematopoietic stem
7 cells within the bone marrow and positioned at the different portals of the human body, such as
8 the skin, mucosal surfaces and the blood, giving them the opportunity to instantaneously
9 encounter invading pathogens early in the course of an infection ².

10

11 DCs comprise a heterogeneous family. This heterogeneity arises at several levels,
12 including their anatomical location, phenotype, and function (Table 1) ². Langerhans cell (LCs)
13 form a long-lived population of stellate DCs in the epidermis. Interstitial DCs comprise the DCs
14 found in all peripheral tissues, excluding the LCs of the epidermis. The hematopoietic stem cell
15 also gives rise to two other main DC subsets in the blood: myeloid (mDCs) and plasmacytoid
16 DCs (pDCs). DCs are equipped with a set of varied pattern recognition receptors (PRRs), such
17 as Toll-like receptors (TLRs), through which they sense and process viral information and
18 become activated (Table 1). Following activation, DCs migrate to the regional lymph nodes
19 where they appear as mature interdigitating DCs within the T cell-dependent areas. As a result
20 of viral antigen uptake and presentation on the surface in complex with major histocompatibility
21 complex (MHC) class I and II molecules, DCs trigger an immune response in any T cell that
22 possesses a cognate receptor specific for the viral-peptide-MHC complexes being presented
23 on the DC surface ¹.

24

25 The different DC subsets appear to have evolved over time to acquire both distinct
26 and overlapping functions in order to better defend the host. Myeloid DCs and pDCs function in
27 both innate and adaptive immunity and provide a critical link between the two arms of immunity
28 upon viral infection ³. Following activation, mDCs produce IL-12 and IL-15 that in turn stimulate

1 IFN- γ secretion by natural killer (NK) cells, promote the differentiation of CD4+ T helper (Th)
2 cells into Th type 1 cells and CD8+ T cells into cytotoxic T cells that contribute to viral
3 clearance either by killing infected cells directly through the release of cytolytic mediators, e.g.
4 granzyme, or indirectly by secreting Th1-type cytokines that inhibit viral replication (Figure 1).
5 In contrast to mDCs, which may have mainly evolved to prime and activate anti-viral T-cells,
6 pDCs represent the key effector cells in the early anti-viral innate immune responses by
7 producing large amounts of type I interferon upon viral infection. Type I interferons (IFN- α/β)
8 released by pDCs have not only potent antiviral activity but also support subsequent steps of
9 antiviral immunity including activation of natural killer (NK) cell-mediated cytotoxicity and CD4+
10 and CD8+ T cell differentiation and survival ⁴. In addition, pDCs also have an overlapping role
11 as antigen-presenting cells ⁵.

12

13 The importance of DCs in the clearance of viral infection has been shown in several
14 viral infections, such as the common respiratory viral pathogens respiratory syncytial virus
15 (RSV) and influenza virus ^{6, 7}. DCs also play an important part in the control of blood-borne
16 viruses, of which the most common and deadly are the hepatitis B virus (HBV), hepatitis C
17 virus (HCV), and human immunodeficiency viruses (HIV-1,-2). Patients who spontaneously
18 clear HBV and HCV infection exhibit a strong multi-epitope specific CD4+ and CD8+ T cell
19 response that probably reflects efficient priming and activation of anti-viral T cells by DCs ⁸⁻¹¹.
20 However, viral clearance after HBV, HCV or HIV infection is not always possible and together
21 these viruses have created a global health problem of substantial proportions. Not only do they
22 establish asymptomatic persistent infections with potential oncogenic sequelae, but they also
23 cause significant morbidity and mortality (Table 2). HIV infection causes AIDS (acquired
24 immune deficiency syndrome) that is characterized by profound immunosuppression and a
25 diverse variety of associated opportunistic infections ¹². Worldwide, HBV and HCV have
26 infected more than 370 and 130 million people respectively ¹³ and are the two major causes of
27 chronic liver disease with its associated complications including liver cirrhosis, liver failure and

1 hepatocellular carcinoma ¹⁴. A common denominator in all these persistent infections is the
2 weak and narrowly focused anti-viral T-cell response ⁸⁻¹¹.

3

4 Due to their central role in the initiation of the anti-viral immune response, DCs are
5 ideal targets for viruses to exercise their immune evasion strategies and in fact viruses that
6 cause persistent infection appear to have perfected the art of evading the pathogen recognition
7 and elimination properties of the DC (Box 1). Gaining a better understanding of these
8 mechanisms in virus-infected DCs may enable us to better understand virus-host interaction
9 and in turn provide newer perspectives for the therapy of persistent infections as well as the
10 design of vaccines.

11

12 This review highlights the latest advances in our understanding of the interplay
13 between DCs and viruses that cause persistent viral infections. We will focus on the interaction
14 of HBV, HCV and HIV with different subtypes of DCs, outlining diverse outcomes of the virus-
15 DC interaction and its relevance to viral pathogenesis and the mechanisms that the viruses
16 have developed to interfere with the normal response of the host.

17

1 **Do persistent viruses infect DCs?**

2 The presence of DCs within the skin, the blood and particularly within the mucosal surfaces
3 and their ability to take up antigen at these sites predisposes DCs to function as primary target
4 cells for viruses. It is therefore possible that viruses establish persistence by directly infecting
5 DCs. It is not unreasonable to assume that replication of the viral genome along with the
6 expression of viral antigens would interfere with signaling pathways in DC or directly impair DC
7 function, rendering infected DCs less able to stimulate T cell responses. For example, herpes
8 simplex virus 1 ICP47 protein and human cytomegalovirus US6 protein are known to inhibit
9 loading of antigenic peptides onto MHC class I molecules, thereby interfering with the ability of
10 infected DCs to prime naive T cells efficiently ¹⁵.

11

12 *HIV*. Langerhans cells, the professional APCs of the epidermis, were the first DCs reported to
13 be susceptible to HIV-1 infection. Since then mDCs and pDCs isolated from the blood of HIV-
14 infected patients have been shown to be infected by HIV-1 (reviewed in ¹⁶). However, HIV
15 replication in DCs is generally less productive, and the frequency of HIV-infected DCs *in vivo* is
16 often 10-100 times lower ¹⁷ when compared to HIV infection rates observed in CD4+ T cells. *In*
17 *vitro* studies indicated that on average only 1-3% of mDCs and pDCs from healthy blood
18 donors can be productively infected by both primary and laboratory-adapted HIV, as detected
19 by intracellular staining of HIV p24 protein ¹⁸. Immature DCs have been reported to be more
20 susceptible to productive infection than mature DCs ¹⁹ which can be partly explained by the
21 enhanced capacity of immature DCs to acquire viral antigen. During maturation of DCs the
22 ability to capture antigens through macropinocytosis and receptor-mediated endocytosis,
23 rapidly declines and the DCs instead assemble complexes of antigen with either MHC class I
24 and MHC II¹. Furthermore, HIV replication in pDCs was observed to increase substantially
25 following CD40 ligation ²⁰, a signal physiologically delivered by CD4+ T cells. Thus, HIV
26 replication in pDCs may be triggered through the interaction with activated CD4+ T cells within
27 the extrafollicular T-cell zones of the lymphoid tissue, suggesting that pDCs serve as viral
28 reservoirs for CD4+ T cells.

1 *HCV*. HCV genomic RNA has been detected in pDCs and mDCs directly isolated from the
2 blood of HCV-infected patients ^{21, 22} and it was initially believed that DCs were susceptible to
3 HCV infection. However, using a strand-specific semi-quantitative reverse transcriptase-
4 polymerase chain reaction (RT-PCR), Goutagny and colleagues observed the replicative
5 intermediate in only a small percentage of DCs isolated from HCV-infected patients (3 of 24
6 HCV-infected patients) indicating that HCV replication in DCs occurs at a lower frequency
7 when compared to hepatocytes, the main reservoir of HCV ²¹. Studying HCV *in vitro* is difficult
8 due to the lack of a robust *in vitro* propagation system (Box 2). To study HCV infection of DCs
9 *in vitro*, monocyte-derived DCs (MoDCs) of healthy individuals were incubated with HCV RNA
10 positive serum. The replicative intermediate was subsequently detected in MoDCs, indicating
11 that DCs may support at least the first steps of the viral life-cycle ²³. However, following
12 incubation of MoDCs and subsets of blood DC with infectious recombinant HCV neither viral
13 replication nor HCV protein synthesis could be detected ²⁴⁻²⁸ suggesting that HCV may infect
14 DCs but does not result in a productive infection.

15

16 *HBV*. Although detection of HBV-DNA in subsets of isolated blood DCs from HBV-infected
17 patients has been proposed to indicate HBV infection ²⁹, additional studies have not revealed
18 the presence of the HBV RNA replicative intermediates in either the blood DC subsets of HBV-
19 infected patients or from DCs infected *in vitro* with wildtype or recombinant HBV ^{30, 31}. Thus, it
20 is likely that DCs do not support replication and production of HBV viral particles and that the
21 detection of HBV-DNA merely reflects the attachment of the virus to the cell surface or the
22 natural antigen-uptake function of DCs.

23

24 In summary, DCs can support the production of HIV particles, although at much lower
25 levels than the CD4+ T cells (the primary targets for HIV), but not HCV and HBV particles,
26 even though HCV may be able to initiate replication. There are three possible explanations for
27 this. First, viral receptors or co-receptors may be absent or present only at a low frequency on
28 DCs. DCs express relatively low levels of the HIV receptor CD4 and the co-receptors CCR5

1 and CXCR4³² and very low levels of the HCV co-entry factor claudin-1²⁸. Unlike HIV and HCV,
2 functional receptors mediating entry of HBV have not yet been identified.

3 Second, the virus may be degraded in intracellular compartments in DCs before it
4 completes its replicative cycle. Antigens can be targeted to different processing pathways
5 after internalization through receptor-mediated endocytosis where the endocytosed antigen
6 undergoes extensive degradation prior to its presentation on the cell surface in association
7 with MHC class I and II molecules³³. DC-SIGN (dendritic cell-specific intercellular adhesion
8 molecule-3-grabbing non-integrin), a C-type lectin receptor³⁴, has been shown to promote
9 HIV antigen presentation by MHC class I and II molecules^{35,36}. Scavenger receptor class B
10 type I (SR-BI) is known to mediate uptake and presentation of HCV particles by DCs³⁷.

11 Third, host factors may block viral replication, or host factors required for replication
12 may be missing in DCs. A family of cellular restriction factors, the APOBEC cytidine
13 deaminase family, functions as a restriction factor that blocks replication of HIV after viral
14 entry³⁸. Expression levels of APOBEC3G in myeloid DCs correlate with HIV resistance³⁹,
15 suggesting that cytidine deaminases represent a potent innate barrier to HIV infection. Tang
16 and MacLachlan demonstrated the dependency of HBV replication on the presence of liver
17 specific transcription factors belonging to a family of nuclear hormone receptors⁴⁰. The
18 presence of host restrictions factors may be a crucial factor in determining the susceptibility
19 of DC populations to productive infection with persistent viruses.

20

21 **The role of DCs in viral dissemination**

22 After uptake of viral antigen, activated DCs can traffic extensively from peripheral tissues to
23 secondary lymphoid organs in an effort to present viral antigens to naïve T cells. It is therefore
24 not surprising that persistent viruses exploit this migratory property of DCs to disseminate to
25 more favorable sites of replication.

26 *HIV*. It has been known for more than a decade that DCs efficiently transmit HIV to CD4+ T
27 cells. One potential mechanism of HIV transfer from DCs to T cells involves DC-SIGN
28 (reviewed in¹⁶). Binding of HIV by DC-SIGN requires the interaction of the HIV-1 envelope

1 glycoprotein gp120 with the carbohydrate-recognition domain of DC-SIGN. HIV is
2 subsequently internalized into non-lysosomal compartments and transported within DCs
3 before it is transferred to CD4+ T cells in a process termed *trans*-infection. The sequential
4 endocytosis and exocytosis of intact HIV virions, without viral replication, is called the “trojan
5 horse” model. In this model, virion transmission is thought to occur via the infectious synapse
6 ⁴¹, a structure that is formed between the DC and T cells, along with viral receptors, co-
7 receptors and DC-SIGN or other C-type lectins. Because DCs can sequester infectious virus
8 for several days in their endosomal compartments, DCs can carry HIV to interacting T cells in
9 the lymph node, which is the most important site for viral replication and spread ⁴². Though
10 direct HIV infection of DCs is less efficient than infection of CD4+ T cells, several reports
11 indicate that HIV dissemination may be aided by the transfer of progeny virus from infected
12 DCs to T cells ^{43, 44}, a process known as *cis*-infection. It is possible that DCs form a long-lived,
13 motile HIV reservoir that helps to disseminate infectious virus through peripheral blood and in
14 lymphoid and non-lymphoid tissues.

15

16 The differences between the DC subsets (Table 1) raise the possibility that they have
17 distinct roles in HIV transmission. pDCs have been found to be less efficient in HIV
18 transmission when compared to mDCs ⁴⁵. In addition, although myeloid DC subsets are
19 known to transfer HIV to activated CD4+ T cells efficiently ¹⁶, Langerhans cells appear to
20 prevent HIV transmission by degrading captured HIV particles ⁴⁶, suggesting that distinct DC
21 subsets can either mediate or prevent HIV-1 transmission.

22

23 *HCV*. Compared to HIV research, studies analyzing the *in vivo* dissemination of
24 hepatotropic viruses by DCs are in their infancy. The HCV envelope glycoprotein E2, HCV
25 virions derived from HCV infected patient serum samples and retroviruses pseudotyped with
26 HCV envelope glycoproteins (HCV pseudovirus) have been shown to bind specifically to DC-
27 SIGN ⁴⁷⁻⁴⁹. Thus, it may be possible that *in vivo* blood DCs or hepatic DCs within the liver
28 sinusoids bind circulating HCV particles through a DC-SIGN mediated mechanism. Of note,

1 HCV pseudovirus, bound to DC-SIGN expressed on MoDCs, was transmitted efficiently when
2 cocultured with the human hepatocellular carcinoma cell line Huh7, a cell line that supports
3 HCV pseudovirus entry and productive viral replication of recombinant infectious HCV ^{49, 50}.
4 Furthermore, Ludwig and colleagues observed that virus-like particles bound by DC-SIGN,
5 representative of HCV envelope glycoproteins, are targeted to early endosomal vesicles or
6 non-lysosomal compartments in MoDCs. The HCV particles resided in these compartments for
7 over 24h ⁵¹, suggesting that HCV can bypass viral antigen processing and presentation
8 pathways in DCs, thereby escaping degradation. Possibly, HCV retained in the non-lysosomal
9 compartments of DCs plays a role in HCV transmission from DCs to hepatocytes. HCV
10 captured by blood DCs or hepatic DCs within the liver sinusoids may allow transfer of the virus
11 to the underlying hepatocytes when DCs traverse the sinusoidal lumen to the hepatic lymph.
12 Besides DCs, liver sinusoidal endothelial cells (LSECs), which form the lining endothelium of
13 the hepatic sinusoid (Fig. 2), have been shown to bind recombinant HCV E2 protein through
14 the interaction of DC-SIGN and DC-SIGNR that are expressed on the cell surface of LSECs ⁵².
15 However, LSECs have been shown to be unable to support HCV pseudovirus entry and
16 infection with cell-culture derived HCV, suggesting that LSECs are not permissive for HCV
17 infection ⁵². However, binding of HCV to LSECs may support a model where DC-SIGN
18 mediated binding of HCV on LSECs provides a mechanisms for high affinity binding of
19 circulating HCV within the liver sinusoid that may allow subsequent transfer to the underlying
20 hepatocyte and thereby may increase the rate and efficiency of virus infection of the underlying
21 hepatocytes.

22

23 **HBV.** Although DC-SIGN recognizes a broad range of pathogens ranging from bacteria to
24 viruses, binding of recombinant hepatitis B surface antigen or cell-culture derived HBV
25 particles to DC-SIGN has not been observed so far ⁵³. Interestingly, studies have shown that
26 the enzymatic modification of the N-linked oligosaccharide structures of the HBV antigen
27 appears to prevent recognition by the carbohydrate-recognition domain of DC-SIGN ⁵³,
28 although other mechanisms may also play a role.

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Impact of persistent viruses on DC function

Effects of persistent viruses on DCs in vivo

Virus-mediated impairment of DC function is a strategy to attenuate the multiple downstream immune effector mechanisms that depend on optimal DC function and may facilitate establishing viral persistence.

At the primary level, viruses can modulate the frequency of DC subsets by interfering with DC development, causing aberrant trafficking or inducing apoptosis. Significantly lower numbers of blood mDCs and pDCs have been observed in patients infected with HIV⁵⁴⁻⁵⁹, HCV⁶⁰⁻⁶⁵, and HBV⁶⁶ when compared to healthy individuals. In HIV infection, DC depletion appears to be due to migration of pDCs to the inflamed lymph nodes, where pDCs have been found to be activated and apoptotic, and frequently infected with virus^{67, 68}, suggesting that HIV-mediated cell death may account for the decreased number of circulating pDCs. In patients infected with HCV or HBV, blood DC subsets have been found to be enriched in the liver^{64, 69-71}, suggesting that DC migration to the liver results in the observed paucity of circulating DCs. However, lower numbers of circulating DCs have also been observed in patients with non-viral related liver diseases, e.g. granulomatous hepatitis or primary biliary cirrhosis^{27, 61, 72} suggesting that low DC counts in virus related liver diseases is a common non-specific feature of inflammatory hepatitis. Interestingly, *in vitro* studies revealed that sera from HCV-infected patients and HCV envelope glycoprotein E2 itself inhibited *in vitro* migration of DCs towards CCL21, a CCR7-binding chemokine important for homing to lymph nodes⁶⁴. This leads to the hypothesis that after HCV uptake DCs may experience impairment in their ability to migrate to the draining lymph node, causing them to be trapped in the liver and thereby become less able to prime T cell responses. However, the *in vivo* relevance of this hypothesis remains to be investigated.

1 The differentiation and activation of virus-specific Th1 type CD4+ T cells and cytotoxic
2 CD8+ T cells are regulated by DC-mediated production of IL-12. DC-mediated production of IL-
3 10, on the other hand, is capable of inhibiting these responses ³. Upregulation of IL-10
4 production and suppression of Th1-response-promoting IL-12 as well as IFN- α have been
5 documented in MoDCs, mDCs and pDCs isolated from HIV-⁷³⁻⁷⁵, HCV-^{63, 65, 76, 77} and HBV-
6 infected patients ²⁹ in response to various maturation stimuli. Dysregulated cytokine production
7 by DCs may furthermore affect the early anti-viral host defense mediated by NK cells (Fig. 1).
8 Several lines of evidence indicate that NK cell activity is impaired during HIV infection, in part
9 due to a defective pDC function ^{78, 79}. In particular, defective IFN- γ production by NK cell was
10 attributable to impaired pDC function ⁷⁹. Whether the impaired IFN- γ producing activity by NK
11 cells derived from chronically infected HCV-patients ⁸⁰ relies also on impaired cell cross talk
12 between DCs and NK remains to be investigated .

13

14 DCs isolated from patients infected with HIV ⁷³⁻⁷⁵, HCV ^{63, 81-83} and HBV ²⁹ were less
15 able to stimulate T cell activation and proliferation as seen in a mixed lymphocyte reaction.
16 Less efficient allogeneic T cell stimulation by DCs from HIV- ⁸⁴ or HCV-infected patients ⁸²
17 could be reversed by the neutralization of IL-10, suggesting that virus-induced production of IL-
18 10 by DCs may limit T cell proliferation and activation, skewing the immune response towards
19 tolerance. However, several investigators failed to detect an impaired capacity to stimulate
20 allogeneic T cells by DCs *ex vivo* isolated from HCV-,^{60-62, 72, 85} and HBV- ⁸⁶ infected patients.
21 Possible reasons for these contradictory results could be the different experimental and
22 technical settings used (e.g. different isolation protocols, maturation cocktails), differences in
23 response by the DCs depending on their maturation status and uptake of viral antigen and the
24 viral load in the infected patients. In addition, DC dysfunction in hepatotropic viruses may be
25 restricted to the virus-specific response since the strong global immune dysfunction seen in
26 HIV/AIDS is not observed with HBV and HCV infection.

27

1 To gain a different perspective on the impact of persistent viruses on DCs, DC
2 numbers and function have been studied before and during antiviral therapy. Highly Active
3 Anti-Retroviral Therapy (HAART) for HIV infection resulted in an increase in pDC number and
4 restoration of IFN- α production to normal levels^{78, 87} indicating that anti-retroviral therapy that
5 reduces viral load has the capacity to reconstitute the function of DCs. Likewise, following
6 pegylated-interferon- α and ribavirin therapy for HCV infection, the frequency of pDCs in
7 individuals with viral clearance increased significantly, and reached levels observed in healthy
8 controls⁸⁸. Therapy for HBV infection with the nucleotide analogue adefovir dipivoxil increased
9 the frequency of mDCs, the T-cell stimulatory capacity and the capacity to produce IL-12 by
10 mDC, whereas the production of IL-10 decreased³⁰. This functional recovery of mDCs
11 coincided with a significant reduction in viral load underscoring the importance of viral load
12 reduction in antiviral regimens which serves as the first step in a multistep process that
13 culminates in the restoration of impaired immune responses during recovery from persistent
14 viral infections.

15

16 *In vitro studies to investigate molecular mechanisms of virus-DC interaction*

17 To clarify the impact of persistent viruses on DC function and to identify the molecular
18 mechanisms involved in this interaction, DC subsets isolated from healthy individuals have
19 been exposed directly to recombinant infectious virus or viral proteins. In contrast to HIV⁸⁹ and
20 other viruses, such as influenza and human herpesvirus type 1^{25, 90-92}, recombinant and
21 serum-derived HCV and HBV have been shown to be poor inducers of IFN- α production in
22 pDCs^{24, 25, 65, 90} suggesting that these viruses may use this mechanism to downregulate
23 downstream effector functions dependent on pDC-mediated IFN- α production. In pDCs, TLR7
24 and TLR9 detect viral RNA and DNA, respectively, in endosomal compartments, leading to the
25 activation of nuclear factor kappaB (NF-kappaB) and IFN regulatory factors (IRFs)⁴. IFN- α
26 production induced by the TLR9 agonist CpG, but not by the TLR7 agonist resiquimod, was
27 inhibited by HCV^{24, 25, 90} and HBV⁹³. Similarly, HIV inhibited TLR9-mediated IFN- α production

1 ^{59, 94} indicating that impairment of IFN- α production in pDCs is a universally used strategy by
2 persistent viruses. What are the underlying mechanisms responsible for the virus-mediated
3 interference of the IFN- α pathway in pDCs? A possible mechanism could be related to virus
4 cross-linkage of cell surface receptors that down-regulate IFN- α production, such as the C-type
5 lectins BDCA2 (blood dendritic cell antigen 2) and DCIR (dendritic cell immunoreceptor). It has
6 been shown that BDCA-2 and DCIR ligation and cross-linking results in the inhibition of CpG-
7 mediated induction of IFN- α by pDCs ^{95, 96}. The viral envelope proteins HBsAg and HIV gp120
8 can directly impair TLR9-mediated IFN- α production by pDCs through binding of BDCA2 ^{93, 94}.
9 Although HCV core ²⁴ and recombinant non-infectious HCV particles ^{24, 25, 90}, composed of HCV
10 core and the envelope glycoproteins E1 and E2, also blocked TLR9-mediated IFN- α production
11 it is not known whether the interaction occurs also via BDCA2.

12

13 Recent studies indicate that persistent viruses may target immunosuppressive enzymes in
14 DCs to actively suppress anti-viral T cell immune responses. The tryptophan catabolizing
15 enzyme indoleamine 2,3-dioxygenase (IDO), seems to be a central feature of the suppressive
16 function of DCs. DC-mediated IDO activity has been associated with inhibition of T cell
17 proliferation and function ⁹⁷. *In vitro* activated human T cells underwent cell-cycle arrest when
18 deprived of tryptophan ⁹⁸ and T cells became susceptible to apoptosis *in vitro* and *in vivo* in
19 response to the toxic metabolites generated during tryptophan degradation ⁹⁹. Direct exposure
20 of DCs to HIV induces IDO leading to the inhibition of CD4⁺ T cell proliferation *in vitro* ¹⁰⁰.
21 Moreover, HIV-stimulated IDO activity in pDCs induced the differentiation of naïve T cells into
22 Tregs with suppressive function ¹⁰¹, suggesting that HIV induced IDO activity may contribute to
23 viral persistence by suppressing virus-specific T cell responses. In SIV-infected macaques
24 peak IDO activity coincided with an increase in plasma viremia and the transient expansion of
25 the regulatory FoxP3⁺CD25⁺CD8⁺ T-cell subset that may participate in dampening the CD4⁺ T-
26 cell SIV-specific response ¹⁰². Since enhanced IDO activity has been observed in patients
27 infected with HIV, HCV and HBV ¹⁰³⁻¹⁰⁵, the role of DC-mediated IDO production in viral
28 persistence merits further investigation.

1

2 In summary, several lines of evidence indicate that viruses efficiently target DC
3 function to attenuate the anti-viral host immune response and establish persistence. However,
4 is there also a role for DCs in disease progression? Chronic HBV and HCV infections are
5 major risk factors for hepatocellular carcinoma (HCC) development ¹⁴. There is increasing
6 evidence that a long-standing, inflammatory injury is an important procarcinogenic factor in
7 many different cancer types, including HCC ¹⁰⁶. The host immune responses to hepatitis
8 viruses by DCs are fairly weak and often fail to control or completely clear infection, resulting in
9 chronic stimulation of the antigen-specific immune response in persistently infected patients.
10 Chronic antigen-stimulation at the infection sites and continuous infiltration of DCs into liver
11 tissue may perpetuate a long-lasting chronic inflammatory process by the continued
12 expression of pro-inflammatory cytokines, the attendant activation of liver NK cells and
13 recruitment of T cells. These events may affect many cellular pathways that ultimately result in
14 fibrosis, cirrhosis, and/or HCC. In HIV infection, it is widely accepted that chronic immune
15 activation has a central role in driving progression to AIDS ¹⁰⁷. Recent reports indicate that
16 chronic pDC stimulation and IFN- α production are associated with a higher risk for HIV disease
17 progression ^{108, 109}, underscoring the role of pDCs in disease progression. A detailed
18 comparison of the complex processes that govern homeostasis and immune activation
19 mechanisms in health and persistent viral infection may help define the contribution of DCs to
20 disease pathogenesis.

21

22 **The role of hepatic APCs in HBV and HCV infection**

23 The liver has several cell populations that can act as APCs. Besides liver-resident DCs, liver
24 sinusoidal endothelial cells (LSECs), stellate cells and Kupffer cells (KCs) ¹¹⁰ (Figure 2), can
25 also present antigens and influence the generation and maintenance of the anti-viral immune
26 responses. However, the liver –specific immune system is maintained at a baseline state of
27 tolerance as evidenced by the spontaneous acceptance of liver allografts ¹¹¹. Several liver
28 APCs exist in a state of active tolerance and contribute to the tolerogenic liver environment by

1 the continuous secretion of immunosuppressive cytokines, e.g. IL-10 and TGF- β ¹¹². This
2 raises the question of whether the tolerogenic properties of the liver APCs contribute to the
3 persistence of hepatotropic viruses and whether the liver presents unique environments for
4 immune evasion.

5

6 Due to the difficulty in gaining access to liver biopsies and the challenge of isolating
7 adequate numbers of APCs from tissue with high purity, limited information is available
8 regarding the role of the hepatic APCs in viral infection. Royer and colleagues incubated
9 isolated KCs with sera from patients containing HCV RNA ¹¹³. Genomic HCV RNA disappeared
10 within a few days of infection and the replicative intermediate could not be detected,
11 suggesting that KCs do not support HCV replication. Similarly, isolated LSECs were unable to
12 support infection by cell-culture derived HCV and HBV, suggesting that LSEC are not
13 permissive for hepatotropic viruses ^{52,114}.

14

15 Analysis of liver biopsy samples obtained from patients with chronic HCV infection
16 demonstrated that most KCs express high levels of co-stimulatory molecules and MHC class I
17 and II molecules and formed clusters with CD4+ T cells, thereby acquiring the phenotype of an
18 effective APC ¹¹⁵. Since KCs are able to move across the sinusoidal wall into the liver
19 parenchyma, activation of KCs might likely reflect phagocytosis of HCV-infected apoptotic
20 hepatocytes. Even though there is little doubt that liver resident DCs can take up viral particles,
21 available evidence indicates that this may not necessarily translate to efficient T cell priming
22 and activation. *In vivo* studies have revealed that while hepatic DCs and LSECs present
23 exogenous antigen to naive T cells, the resulting activated T cells either fail to differentiate into
24 effector T cells or acquire an immunosuppressive phenotype (For reviews, see ref ^{112, 116}). It is
25 possible that uptake of viral particles by liver APCs primes regulatory CD4+ T cells and impairs
26 CD8+ T cells that finally fails to eradicate the virus from the liver. Since antigen-specific CD8+
27 T cells in the liver of patients with chronic HCV infection frequently become dysfunctional and

1 unable to secrete IFN- γ or IL-2¹¹⁷, the role of hepatic DCs in HCV-specific T cell priming merits
2 further investigations.

3

4 Current research has not focused on the ability of the hepatocyte to act as an antigen
5 presenting cell in HCV infection. In general, hepatocytes are not easily accessible to naïve T
6 cells because LSECs may form an effective barrier between hepatocytes and the sinusoidal
7 lumen¹¹⁸. However, electron microscopy analysis has shown that hepatocytes have microvilli
8 that project into the sinusoidal lumen through the fenestrations in the endothelium, allowing
9 contact between hepatocytes and circulating T cells in the lumen¹¹⁹. Hepatocytes normally do
10 not express MHC class II molecules; however in clinical hepatitis, aberrant expression of MHC
11 class II molecules has been demonstrated^{120, 121}. It is therefore possible that MHC class II-
12 expressing hepatocytes may stimulate CD4+ T cells and/or shape the antiviral immune
13 response of pre-activated CD4+ T cells. Additional studies in transgenic mice demonstrated
14 that CD8+T cells might be directly activated by hepatocytes. However, activation of CD8+ T
15 cells by hepatocytes appeared to favor an impaired cytotoxic CD8+ T-cell response and
16 reduced survival, possibly caused by a lack of co-stimulatory molecules^{122, 123}. Thus,
17 presentation of viral antigens by hepatocytes may influence the anti-viral immune response
18 and appears to promote CD8+ T-cell helplessness.

19

20 **Conclusions and Perspectives**

21 Accumulating evidence indicates that HIV, HCV and HBV target DC function to disturb the
22 generation of a strong anti-viral innate and adaptive immune response, facilitating viral
23 persistence. All three viruses appear to employ similar strategies to attenuate the potent,
24 antiviral IFN- α response in pDCs. In addition, these viruses appear to affect the ability of the
25 mDCs to produce key cytokines, which are essential for the development and activation of an
26 effective T cell response. Not only do these viruses override the natural anti-viral activity of the
27 DCs but they also use the DCs as vehicles for widespread dissemination within the host.






28

1 In the future, key issues in improving our understanding of the interplay between
2 persistent viruses and DCs are the characterization of the intracellular compartments and
3 molecular mechanisms required for virus acquisition, processing and presentation by DCs, the
4 identification of mechanisms regulating the balance between intrahepatic tolerance and
5 immunity and the role of DCs in aiding viral transmission during infection with HBV and HCV.
6 Knowledge of these mechanisms will not only help in understanding viral pathogenesis but can
7 also be used to design strategies that manipulate the immune system towards generating a
8 protective immune response that controls viral replication without the attendant
9 immunopathology.

10

1 **Table 1. Subsets of human DCs**

2

	Plasmacytoid DCs	Myeloid subtypes (Stellate DCs)			
		Myeloid DCs	Langerhans	Interstitial DCs	Monocyte-derived DCs
Morphology					
Localisation	Blood	Blood	Epidermal	Dermis and other tissues	<i>in vitro</i>
Phenotype	CD1a+ CD1c- CD11c- CD123 high CD304 +	CD1a+ CD1c+ CD11c + CD123 low CD304 -	CD1a + CD11c + Langerin +	CD1a - CD11c + CD68+ Factor XIIIa	CD1a + CD1c+ CD11c + CD123 low
TLR expression	1, 6, 7, 9, 10	1,2, 3, 4, 5, 6, 8, 10	1, 2, 3, 6, 7, 8	1,2, 3, 4, 5, 6, 7, 8,	1,2, 3, 4, 5, 6, 8, 10
C-type lectin expression	DCIR BDCA-2	DCIR (MR) (DC-SIGN)	Langerin	MR DC-SIGN	MR DC-SIGN DCIR
Immunological function					
CD4+ T cell priming	+	+	+	+	+
CD8+ T cell priming	+	+	+	+	+
B cell activation	+	+	+/-	+	+
IFN- α production	+++	+	+	+	+

3

4 Abbreviations: BDCA, blood dendritic cell antigen; DCs, dendritic cells; DC-SIGN, dendritic-cell specific
5 ICAM3 grabbing non-integrin; IFN, interferon; DCIR, dendritic cell immunoreceptor; MR, mannose
6 receptor; TLR, Toll-like receptor

7

1 **Table 2: Clinical and virological features of HCV, HBV, and HIV**

	HCV	HBV	HIV
Structure	50 nm; enveloped nucleocapsid; positive single-stranded RNA genome	42 nm; enveloped nucleocapsid; partially double-stranded DNA genome	120 nm, enveloped nucleocapsid; positive single-strand RNA virus
Family	<i>Flaviviridae</i>	<i>Hepadnaviridae</i>	<i>Retroviridae</i>
Entry factors	Glycosaminoglycans, CD81, SR-BI, claudin-1, occludin-1	unknown	CD4, CCR4, CXCR5, DC-SIGN
Replication strategy	Synthesis of a complementary negative-strand RNA, using the viral genome as a template, from which genomic positive-strand RNA is produced	Reverse transcription of HBV RNA into a covalently closed circular DNA which serves as a template for HBV transcripts	conversion of the single-stranded HIV RNA to double-stranded HIV DNA by viral reverse transcriptase, followed by integration of HIV DNA within the host genome
Mutation rate	High (1 in 1 000 bases per year)	Low (1 in 100 000 bases per year)	High (1 in 10 000 bases per replication cycle)
Genotypes	6 main genotypes (1 to 6), with several subtypes within each genotype (more than 50 in total)	8 genotypes (A to H), with 22 subtypes	HIV-1 which include one major group (M) divided into nine subtypes (A to D, F to H, J, K) and two minor groups (O, N) HIV-2 which include 2 groups (A and B)
Transmission	Intravenous drug use, blood transfusions, perinatal	intravenous drug use, blood transfusions, perinatal, sexual contact	intravenous drug use, blood transfusions, perinatal, sexual contact
Infection site	Liver	Liver	CD4+ T cells
Public health impact			
Chronically infected individuals	130 million	350 million	35 million
New infections/year	3 to 4 million	4 million	3 million
Related deaths/year.	350 000	500 000 to 1.2 million	2 million
Rate of co-infection with HCV		10 to 30%	10%
Infection outcome			
Spontaneous recovery	20%	90% of adults, 10% of children	0%
Disease outcome	liver cirrhosis (20-30% of chronically infected patients) hepatocellular carcinoma (5% of patients with liver cirrhosis)	liver cirrhosis (2-5% of chronically infected patients) hepatocellular carcinoma (5% of patients with liver cirrhosis)	acquired immunodeficiency syndrome (AIDS); susceptibility to life-threatening opportunistic infections
Available Therapy & recovery rates with therapy	pegylated interferon alpha i combination with ribavirin / HCV clearance in 50%-80% of individuals, depending on HCV genotype liver transplantation / systematic reinfection of the graft	interferon alpha, nucleoside and nucleotide analogues resulting in efficient control of viral infection liver transplantation/ prevention of graft reinfection using antiviral treatment and anti-HBs antibodies	highly active antiretroviral therapy (HAART: nucleoside analogue reverse transcriptase inhibitors, protease inhibitor and/or non-nucleoside reverse transcriptase inhibitor) No HIV clearance
Vaccine	Absent	Present Based on recombinant HBsAg which induce neutralizing HBsAg-specific antibodies and CD4+ and CD8+ T cell responses	Absent

2
3

1 **Table 3: Effects of persistent viruses on DC number and function**

	HCV	HBV	HIV	
DC number:				
In blood	Decreased	Decreased	Decreased	
At infection site	Enriched (in liver)	Enriched (in liver)	Enriched (lymphoid tissue)	
Affected DC function:			Effect on downstream immune function/disease activity:	
IFN- α production	Reduced	Reduced	Reduced	(1) suboptimal T and NK cell activation (2) reduced pDC and T cell survival
IL-12 production	Reduced	Reduced	Reduced	(1) suboptimal differentiation of T helper (Th) type 1 cells (2) decreased IFN- γ production by CD8+T cell and NK cells
IL-10 production	Increased	Increased	Increased	Inhibition of (1) DC activation (2) Th type 1 cytokine production (3) CD8+ T cell function
IDO induction	not known	not known	Yes	(1) suppression of T cell proliferation and function (2) T cell apoptosis
T cell stimulation	Reduced/normal	Reduced/normal	Reduced	(1) decreased control of viral replication

2

1 **Box 1: Viral immune evasion strategies**

2

3 As a consequence of co-evolution with their hosts, viruses have developed various immune
4 evasion strategies to ensure their own replication and survival (reviewed in ¹²⁴).

5

6 **Antigenic variation**

7 This is an important strategy evolved in particular by RNA viruses to remain evade immune
8 response of the host. Because of the infidelity of their polymerases, many mutations are
9 introduced in the viral genome during the course of replication, resulting in the existence of
10 many different genetic quasispecies in a single host. This makes it difficult for the host to
11 generate the staggeringly complex immune response that is required for virus elimination

12

13 **Sequestration**

14 Viruses infect non-permissive or semi-permissive host cells to store their genetic information
15 and, thereby, become invisible to the immune system of the host. These viruses stay “latent”
16 with absent or decreased transcription until the virus or cell becomes activated.

17

18 **Blockade of antigen-presentation by APC**

19 Collectively, viruses encode proteins have the capacity to interfere with almost any step in
20 antigen processing and presentation by APCs, such as prevention of proteasomal antigen
21 fragmentation and transport to the endoplasmic reticulum, interference with MHC I and II
22 molecules expression and localization, downregulation of the expression of co-stimulatory
23 molecules.

24

25 **Cytokine evasion**

26 Cytokines released by the host in response to viral infections coordinate and control the
27 processes of immune activation and proliferation. It is therefore not surprising that viruses
28 counteract these responses through encoding mimics or homologs of normal cytokines and
29 their receptors, which bind to or replace the normal cellular counterparts as well as interfere
30 with cytokine signaling within the host cell.

31

32 **Inhibition of apoptosis**

33 Apoptosis, or programmed cell death, is a relatively silent and non-inflammatory process to
34 eliminate virus-infected cells. Viruses encode a variety of proteins to block apoptosis at
35 essentially every step to delay cell death until the viral progeny have been formed and are
36 infectious.

37

1 **BOX 2: The challenge to develop *in vitro* models for the study of HCV-DC interaction**

2 In contrast to HIV and HBV, studies addressing the interaction of HCV with DC have been
3 hampered for a long time because of the lack of a robust cell-culture system that allows the
4 production of recombinant infectious HCV. Various surrogate models have been used to study
5 the virus-host interaction, such as recombinant viral proteins, virus-like particles (VLPs) that
6 are generated by self-assembly of HCV structural proteins and closely mimic the properties of
7 native virions. Furthermore, infectious pseudoviruses consist of unmodified HCV envelope
8 proteins assembled onto retroviral or lentiviral core particles and are replication incompetent ¹²⁵.
9 They have been used to study HCV entry into target cells. Finally, in 2005 a cell-culture system
10 based on the transfection of JFH1 mRNA (HCV genotype 2a strain) into a highly permissive
11 human hepatoma cell line became available ¹²⁶⁻¹²⁸. Until now, studies addressing the
12 interaction of HCV with DCs are limited to the use of recombinant HCV derived from an HCV
13 genotype 2a strain that is highly adapted to a hepatic cell line. Of note, HCV strains of
14 genotype 1 are more prevalent and are associated with liver disease in most countries of the
15 world ¹²⁹. Although low levels of infectious cell-culture derived HCV have been obtained from a
16 genotype 1a isolate with five adaptive mutations ¹³⁰, and progress has been made in the
17 construction of chimeric HCV genomes comprising JFH-1 derived replicase proteins and
18 structural proteins from heterologous HCV strains ^{131, 132}, the challenge to develop an *in vitro*
19 system for higher virus production of other HCV genotypes and novel cell-culture systems
20 allowing the selection of HCV variants particularly adapted to DCs remains.

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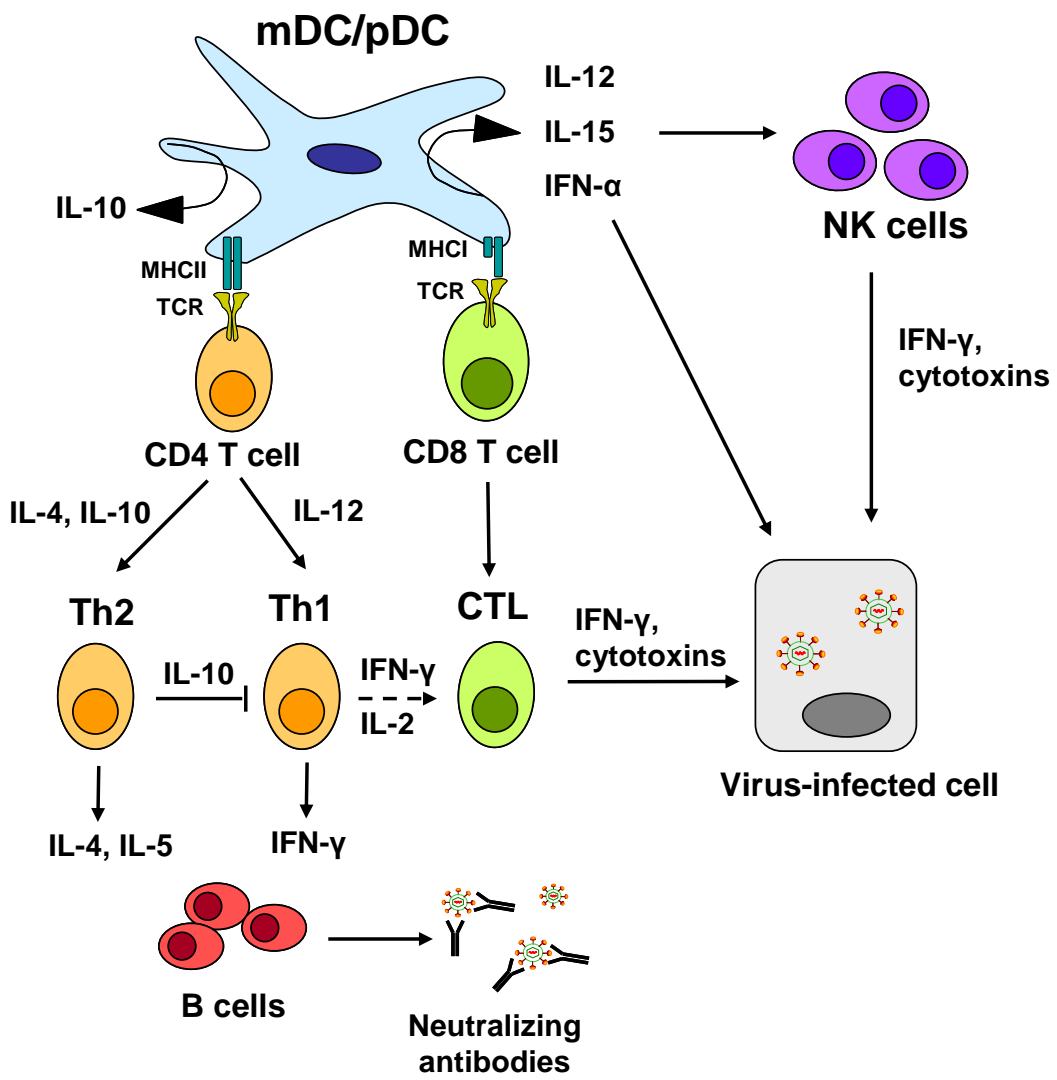


Figure 1: Function of dendritic cells (DCs) in viral immune response. Following uptake of viral antigen, myeloid and plasmacytoid DC subsets (mDC, pDC) migrate to lymphoid tissue to prime naïve CD4+ and CD8+ T cells. In addition, activated DCs produce a range of cytokines, such as IFN- α , IL-12 and IL-15 that in turn activate natural killer (NK) cells and influence T-cell survival and differentiation. Depending on the cytokine signal, CD4+ T cells differentiate into Th1 or Th2 type CD4+ T cells. Th1 mediated IFN- γ secretion stimulates the activation of cytotoxic CD8+ T cells (CTLs) and IgG production in B cells. Th2 mediated cytokine production acts on B cells to simulate IgG production but also has the capacity to inhibit activation of Th1 type T cells. Virus-specific antibodies can be neutralizing, preventing viral re-infection. NK cells and CTLs inhibit viral replication through secretion of IFN- γ or lysis of viral infected cells through the release of cytotoxins (perforin, granzymes). In addition, pDCs are characterized by their ability to produce large amounts of type I IFNs in response to many viruses and, thereby, produces a first strong wave of IFN- α , which not only inhibits viral replication but also is a potent enhancer for NK cell-mediated cytotoxicity.

Hepatic Sinusoid

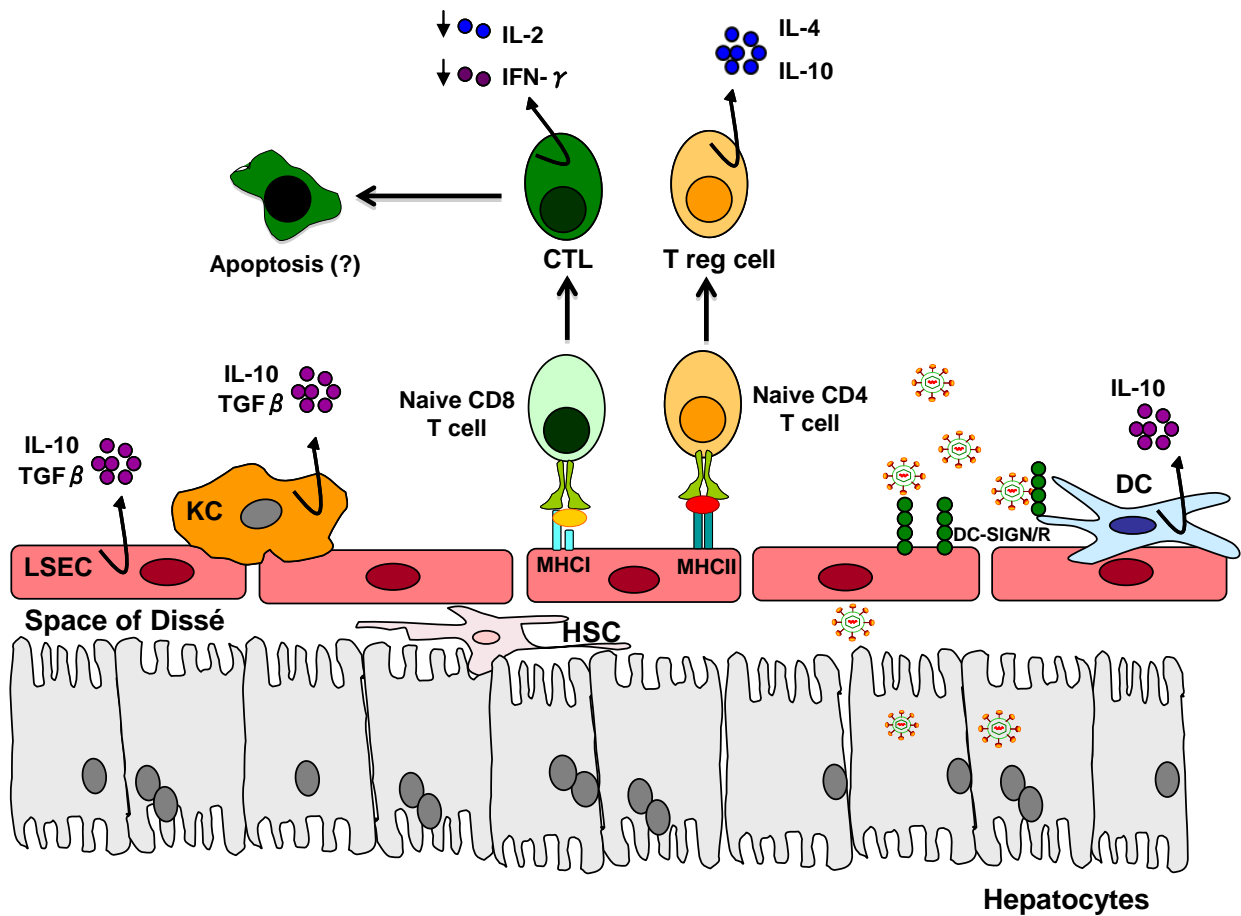


Figure 2: Antigen presentation in the liver results in T cell tolerance. The liver sinusoid is lined by a fenestrated endothelium (liver sinusoidal endothelial cells, LSEC). Kupffer cells (KCs) and immature dendritic cells (DCs) are found in the sinusoids. Hepatic stellate cells (HSC) are located in the sub-endothelial space, known as the Space of Dissé. T cells that recognize antigen in the liver are exposed to immunosuppressive cytokines (IL-10 and TGF- β) that are synthesized by KCs, LSECs and DCs. Interaction of naïve T cells with LSEC results in differentiation of T cells into CD4+ regulatory T cells and impaired cytotoxic CD8+ T cells, followed by cell death. Hepatotropic viruses appear to be captured by DCs and/or LSECs in process that probably involves DC-SIGN or DC-SIGNR (for HCV) or other not yet defined cell-surface molecules (for HBV) for subsequent transfer to the underlying hepatocytes or viral particles may be internalized by hepatic DCs and LSECs for processing and presentation to naïve T cells (Adapted from ¹¹⁶ and ¹³³).

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1 **References 126, 127 and 128 describe for the first time a cell culture system**
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22 **ToC**

23 Viruses have developed multiple ways of avoiding the host immune system.