

1 **Gene expression profile of the human endometrial receptivity: comparison**
2 **between natural and stimulated cycles for the same patients**

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25

26 **Abstract**

27

28 **Background:** The adjunction of exogenous hormones for controlled ovarian stimulation
29 (COS) may alter endometrial receptiveness. In order to identify the genes mis-regulated under
30 COS, we compared the endometrium gene expression profiles, from the same patients, in a
31 natural cycle and in a subsequent COS cycle. **Methods:** For the same normal-responder
32 patients (n=21), endometrial biopsies (n=84) were collected during the pre-receptive (LH+2)
33 and receptive stages (LH+7) of a natural cycle and, subsequently, on oocyte retrieval day
34 (hCG+2) and on transfer day (hCG+5) of a stimulated cycle. Samples were analyzed using
35 DNA microarrays. Gene expression profiles and biological pathways involved in the
36 endometrial receptivity were analyzed. **Results:** Although endometrium transition profiles
37 from pre-receptive to receptive phases are similar between patients, COS regimens alter
38 endometrial receptivity in comparison with natural cycle. Under COS conditions, two
39 endometrial profiles were identified and were associated either with a moderately altered
40 receptivity profile for the majority of the patients or a strongly altered profile for a sub-
41 category of patients. The receptive endometrium transcription profile under COS was
42 defective for biological functions such as TGF β signalling, leukocyte transendothelial
43 migration and the cell cycle. In addition, several biological functions specifically activated
44 under COS regimens were identified. **Conclusion:** Gonadotropin treatments in COS cycles
45 led to disruptions of the transcriptional activation of genes involved in normal endometrial
46 receptivity. We propose that when the receptiveness of the endometrium is seriously
47 compromised by the COS protocol, fresh embryo replacement should be cancelled, the
48 embryo frozen, and thawed embryo replacement should be performed under natural cycles.

49

50 **Key Words:** human endometrium, microarray, endometrial receptivity, natural cycle, COS.

51

52 **Introduction**

53 In assisted reproductive technology (ART), pregnancy and birth rates following IVF
54 attempts remain low. Indeed, 2 out of 3 IVF cycles fail to result in pregnancy and more than 8
55 out of 10 transferred embryos fail to implant (Kovalevsky and Patrizio, 2005). Indeed,
56 successful implantation requires a competent embryo, a receptive endometrium and a
57 synchronized dialogue between maternal and embryonic tissues. Although the selection of
58 competent oocyte, embryo and endometrial status assessment has experienced important
59 technological progress, implantation rates are still low under COS for IVF (Donaghy and
60 Lessey, 2007). It is assumed that two-thirds of implantation failures are associated with
61 inadequate endometrial receptivity or with defects in the embryo-endometrium dialogue
62 (Paulson *et al.*, 1990; Simon *et al.*, 1998).

63 Several parameters have been suggested for assessing endometrial receptivity,
64 including endometrial thickness which is a traditional criterion, endometrial morphological
65 aspect, and endometrial and sub-endometrial blood flow. However, their positive predictive
66 value is still limited (Friedler *et al.*, 1996; Pierson, 2003; Alcázar, 2006). More recently,
67 transcriptomic approaches have been driven to identify bio-markers of the endometrial
68 receptivity. Using microarray technology in human endometrial biopsy samples, several
69 studies have reported modifications related to the gene expression profile associated with the
70 transition of the human endometrium from a pre-receptive (early-secretory phase) to a
71 receptive (mid-secretory phase) state during a natural cycle (Carson *et al.*, 2002; Riesewijk *et*
72 *al.*, 2003; Mirkin *et al.*, 2005; Talbi *et al.*, 2006; Haouzi *et al.*, 2009). However, recent data
73 suggested that COS regimens may have a negative impact on endometrial receptivity
74 compared with natural cycles (Paulson *et al.*, 1990; Horcajadas *et al.*, 2005; Simon *et al.*,
75 2005; Horcajadas *et al.*, 2008; Liu *et al.*, 2008). It has been suggested that the impairment of

76 endometrial receptivity is due to high concentration of steroids resulting from COS (Krikun *et*
77 *al.*, 2005; Liu *et al.*, 2008).

78 The divergence between the reports analyzing the effects of stimulation protocols on
79 endometrial receptivity may have several explanations: differences in the day of the
80 endometrial biopsies, different patient profiles, different COS protocols, and inadequate
81 numbers of endometrial samples studied. In addition, no study has compared the early and the
82 mid-secretory phases during a natural and stimulated cycle for the same patients, which seems
83 an essential condition to minimize the impact of inter-patient variability.

84

85 The aim of this study was to evaluate the global gene expression profile of human
86 endometrial biopsies of normal responder patients during the early-secretory phase and the
87 mid-secretory phase of natural and stimulated cycles in the same patients.

88

89 **Materials and methods**

90 *Patient characteristics and endometrial biopsies*

91 The study population included 21 normal responders patients (age 30.9 years \pm 3.3) referred
92 for ICSI for male infertility factor recruited after written informed consent. All patients had
93 normal serum FSH, LH and estradiol on day 3 and were normal-responders during a previous
94 first ICSI attempt. This project has received institutional review board (IRB) approval. During
95 the same natural cycle that preceded a second ICSI attempt, two endometrial biopsies were
96 obtained in all women at day 2 (LH+2) and day 7 (LH+7) after the LH peak and two others
97 were obtained at day 2 (hCG+2) and day 5 (hCG+5) after hCG administration during COS
98 cycles. The LH surge was estimated by the patient herself according to the first day of her
99 menstruation. Histologic analysis was not performed to verify that the LH timing was
100 accurate. Therefore, the possibility for a delay of one day from the first day of the

101 menstruation cannot be excluded. Each biopsy sample was frozen at -80°C in RLT RNA
102 extraction buffer (RNeasy kit, Qiagen, Valencia, CA, USA).

103

104 ***Complementary RNA (cRNA) preparation and microarray hybridization***

105 Endometrial samples RNA were extracted using the micro RNeasy Kit (Qiagen). The total
106 RNA quantity was measured with a Nanodrop ND-1000 spectrophotometer (Nanodrop
107 Technologies Inc., DE, USA) and RNA integrity was assessed with an Agilent 2100
108 Bioanalyzer (Agilent, Palo Alto, CA, USA). cRNA was prepared with two rounds of
109 amplification according to the manufacturer's protocol "double amplification" (Two-Cycle
110 cDNA Synthesis Kit, Invitrogen) starting from total RNA (100 ng). Labeled fragmented
111 cRNA (12 µg) was hybridized to oligonucleotide probes on Affymetrix HG-U133 Plus 2.0
112 arrays containing 54 675 sets of oligonucleotide probes ("probe set") which correspond to ≈
113 30 000 unique human genes or predicted genes. Each endometrial sample was put
114 individually on a microarray chip.

115

116 ***Data processing***

117 Scanned GeneChip images were processed using Affymetrix GCOS 1.4 software to obtain an
118 intensity value signal and a detection call (present, marginal or absent) for each probe set,
119 using the default analysis settings and global scaling as the first normalization method, with a
120 trimmed mean target intensity value (TGT) of each array arbitrarily set to 100. Probe
121 intensities were derived using the MAS5.0 algorithm. This algorithm also determines whether
122 a gene is expressed with a defined confidence level or not ("detection call"). This "call" can
123 either be "present" (when the perfect match probes are significantly more hybridized than the
124 mismatch probes, $FDR < 0.04$), "marginal" (for $FDR > 0.04$ and < 0.06) or "absent" ($FDR >$

125 0.06). The microarray data were obtained in our laboratory in agreement with the Minimal
126 Information about Microarray Experiment MIAME recommendations.

127

128 ***Microarray data analysis***

129 The Significant Analysis of microarrays (SAM, Stanford University, USA, Tusher *et al.*
130 2001) was used to identify genes whose expression varied significantly between the LH+2
131 (n=21) and LH+7 (n=21) samples and between the hCG+2 (n=21) and hCG+5 (n=21)
132 samples. SAM provides mean or median fold change values (FC) and a false discovery rate
133 (FDR) confidence percentage based on data permutation. To perform the comparison of gene
134 expression profiles between endometrial sample groups, a probe set selection using the
135 Absent/Present “detection call” and a coefficient of variation (CV) ($\geq 40\%$) between samples
136 was first performed before the SAM.

137 To compare profile expressions of endometrial samples (n=84) from the LH+2, LH+7,
138 hCG+2 and hCG+5 sample groups, we performed an unsupervised classification with both
139 principal component analysis (PCA) and hierarchical clustering (Eisen *et al.*, 1998; de Hoon
140 *et al.*, 2004). The PCA involved original scripts based on the R statistics software through the
141 RAGE web interface (<http://rage.montp.inserm.fr>) (Rème *et al.*, 2008). Hierarchical clustering
142 analysis based on the expression levels of varying probes were performed with the CLUSTER
143 and TREEVIEW software packages. Genetic expression profiles were analyzed with the
144 RAGE supervised analysis module, using a non parametric Mann Whitney U test with
145 multiple testing corrections and were confirmed with the SAM software. Selected gene lists
146 (mean fold change > 2 and FDR $< 5\%$) were submitted to Ingenuity
147 (<http://www.ingenuity.com>) and FatiGO+ (<http://babelomics2.bioinfo.cipf.es>) software to
148 identify the biological mechanisms altered by these gene expression variations. FatiGO+ is a
149 part of the Babelomics suite and allows any differential distribution of biological terms (Gene

150 Ontology, KEGG pathways) to be found between two groups of genes (Al-Shahrour *et al.*,
151 2006).

152

153 ***Ingenuity Pathway Analysis***

154 Selected gene lists were analyzed using Ingenuity Pathway Analysis (IPA) software
155 (Ingenuity Systems, Redwood City, CA). Those genes with known gene symbols and their
156 corresponding expression values were uploaded into the software. Each gene symbol was
157 mapped to its corresponding gene object in the Ingenuity Pathways Knowledge Base.
158 Networks of these genes were algorithmically generated based on their connectivity and
159 assigned a score. The score is a numerical value used to rank networks according to how
160 relevant they are to the genes in the input dataset but may not be an indication of the quality
161 or significance of the network. The score takes into account the number of focus genes in the
162 network and the size of the network to approximate how relevant this network is to the
163 original list of focus genes. The network identified is then presented as a graph indicating the
164 molecular relationships between genes/gene products. Genes are represented as nodes, and the
165 biological relationship between two nodes is represented as an edge (line).

166

167 **Results**

168 ***Gene expression profile of endometrial receptivity during natural and stimulated cycles for*** 169 ***the same patients***

170 We selected 18,389 probe sets based on a CV $\geq 40\%$ and a “detection call” present in at least
171 10 samples per group, and then subjected the 84 samples to an unsupervised clustering
172 (Figure 1A). A first branch allowed the separation of the pre-receptive (LH+2 and hCG+2)
173 from the receptive sample groups (LH+7 and hCG+5), corresponding to 62, 57, 81 and 100%
174 of the samples for LH+2, hCG+2, LH+7 and hCG+5 respectively. A sub-branch allowed to

175 partition the receptive samples between the natural (LH+7) and stimulated (hCG+5) cycles. A
176 three dimensional PCA was performed (4,237 probe sets), representing 43% of the data
177 information, and confirmed the unsupervised cluster analysis (Figure 1B).

178 A SAM analysis between the LH+2 and the LH+7 and between the hCG+2 and the hCG+5
179 sample groups (LH+2 *versus* LH+7; hCG+2 *versus* hCG+5; paired sample analysis) were
180 performed. Among 1071 genes significantly modulated between the pre-receptive and
181 receptive samples during natural cycle, 93 % and 7 % were respectively up- ($2 \leq$ fold change
182 ≤ 465) and down-regulated ($-3.8 \leq$ fold change ≤ -2) in the LH+7 sample group (fold change
183 ≥ 2 and a *FDR* < 5%) as previously described (Haouzi *et al.*, 2009) (Supplementary Table I
184 and Figure 4). Analysis of samples during stimulated cycle revealed that 998 genes were
185 significantly modulated between the pre-receptive and receptive stages, including 78 % up-
186 regulated ($2 \leq$ fold change ≤ 59) and 22 % down-regulated ($-5 \leq$ fold change ≤ -2) genes in
187 the hCG+5 sample group (Figure 2A, Figure 4 and Supplementary Table I). A hierarchical
188 clustering with this significant list of genes allowed the separation of the two endometrial
189 sample groups of the stimulated cycle (Figure 2B). Interestingly, more down-regulated genes
190 were observed during endometrial receptivity of the stimulated cycle in comparison with the
191 natural cycle.

192 A SAM analysis between the LH+2 and hCG+2 and between the LH+7 and hCG+5
193 sample groups (LH+2 *versus* hCG+2, LH+7 *versus* hCG+5, paired sample analysis) was
194 performed. The number of significantly modulated genes is reported in Table I. In contrast
195 with other studies, the comparison of gene expression profile between natural and stimulated
196 cycles was performed by paired samples (hCG+2 versus their corresponding LH+2), which is
197 an important point to minimize inter-patient variability. For example, the number of genes
198 significantly modulated between LH+2 and hCG+2 samples analysed by paired or unpaired

199 was dramatically different, highlighting the need to perform paired analyses and explaining
200 differences with other studies.

201

202 *Atypical gene expression profile in endometrium under stimulated cycles*

203 The hierarchical clustering based on the stimulated cycle endometrium signature (Figure 2B)
204 revealed that 14 % (3/21 patients) of the hCG+5 samples were misclassified. Interestingly, the
205 same samples also displayed an altered expression profile at day hCG+2 (Figure 3 and 2B).
206 Hence, gene expression analysis of the endometrium transcriptome after COS can distinguish
207 a “standard” profile shared by most patients, and an “atypical” profile found in 14% of
208 patients. A SAM analysis performed between the hCG+2 and hCG+5 samples reveals that
209 only one gene, TSPAN3 (x39.3, FDR<0.0001) was significantly modulated between these
210 two sample groups in these three “atypical” patients compared to 1296 genes which were
211 modulated in the 18 “standard” patients. This gene was not modulated during the transition
212 from the pre-receptive to the receptive stages in natural nor in the 18 “standard” patients of
213 the stimulated cycles. We also performed a SAM analysis between the hCG+2 of the 18
214 “standard” and the 3 “atypical” samples. 197 genes were significantly modulated, including
215 188 up- and 9 down-regulated genes, suggesting a strong difference in gene expression at
216 hCG+2 between “standard” and “atypical” samples. Among these genes, 24 up- and 2 down-
217 regulated genes were specifically restricted to the hCG+2 “atypical” profile. In addition, the
218 SAM analysis between the “standard” hCG+5 sample group and the “atypical” hCG+5
219 sample group revealed that 14 genes were significantly modulated, among which, 4 up- and 1
220 down-regulated genes were restricted to the “atypical” samples.

221

222

223 ***Differential expression of biological functions between natural and stimulated cycles***

224 The two gene lists identified with SAM analyses between the pre-receptive and receptive
225 samples from the natural and stimulated cycles were then intersected to determine their
226 overlap. While 361 up- and 32 down-regulated genes were in common to the natural and
227 stimulated cycles, each category displayed a specific gene expression profile (Figure 4).
228 These specific profiles included 632 up- and 46 down-regulated genes during the natural
229 cycle, 416 up- and 189 down-regulated genes during the stimulated cycle. Interestingly,
230 during the endometrial receptivity of the stimulated cycles, numerous genes which were
231 down-regulated compared to natural cycles, were articulated around the cell cycle function
232 (Figure 5). Among this function, the most representative genes were those coding for Cyclins,
233 Cell Division Cycle (CDC) members, Cyclin Dependent Kinases (CDK), and members of the
234 E2F family of transcription factors. They included: CCNA2 (x-2.7, FDR<0.0001), CCNB1
235 (x-2.3, FDR<0.0001), and CCNE2 (x-2.1, FDR<0.0001), CDCA8 (x-2.8, FDR<0.0001),
236 CDC25C (x-3.3, FDR<0.0001), CDCA5 (x-2.7, FDR<0.0001), CDC20 (x-2.8, FDR<0.0001),
237 CDCA7 (x-2, FDR<0.0001), CDKN3 (x-2.9, FDR<0.0001), E2F1 (x-2.1, FDR<0.0001),
238 E2F7 (x-2.9, FDR<0.0001), E2F8 (x-3.2, FDR<0.0001), as well as FOXM1 (x-2.1,
239 FDR<0.0001), MYBL2 (x-2.8, FDR<0.0001), TK1 (x-2.2, FDR<0.0001), PBK (x-3.2,
240 FDR<0.0001), CHAF1B (x-2.2, FDR<0.0001), BUB1 (x-2.7, FDR<0.0001), AURKA (x-2.2,
241 FDR<0.0001), CHEK1 (x-2, FDR<0.0001), HDAC (x-3.5, FDR<0.0001), DHFR (x-2,
242 FDR<0.0001) and CDT1 (x-2.5, FDR<0.0001) (Figure 5).

243

244

245 ***KEGG pathways between natural and stimulated cycles***

246 We performed a FatiGO+ analysis with the two lists of up-regulated genes during
247 endometrial receptivity of the natural and stimulated cycles. There were numerous
248 differences, between the natural and stimulated cycles, in main systems involved in the
249 implantation process, such as the TGF β signaling pathway, the complement and coagulation
250 cascades, and leukocyte transendothelial migration (Figure 6). While CHRD (x2.4,
251 FDR<0.0001), BMP6 (x3.5, FDR<0.0001), DCN (x2, FDR=0.02), FST (x8.7, FDR<0.0001),
252 ACVR1B (x2.9, FDR=0.02) and SMAD3 (x2.4, FDR=0.003) were the most representative
253 up-regulated genes around the TGF β signaling pathway in the natural cycle from the pre-
254 receptive and receptive stages, there were no changes in these genes the stimulated cycle
255 (Figure 6A). Also, MASP1 (x2.2, FDR=0.004), C2 (x3.6, FDR<0.0001), C3 (x4.7,
256 FDR<0.0001), SERPINF2 (x2.2, FDR=0.0006), FGB (x46.4, FDR=0.005), PROS1 (x2.9,
257 FDR=0.0006), and BDKRB2 (x2.1, FDR=0.006) were the major up-regulated genes of the
258 complement and coagulation cascades in the natural cycle, while BDKRB1 (x2.6,
259 FDR=0.008) was the only gene associated with this pathway to be up-regulated during
260 receptivity in the stimulated cycles (Figure 6B). Numerous genes involved in leukocyte
261 transendothelial migration were up-regulated during endometrial receptivity of the natural
262 cycle, such as ITGB2 (x3.1, FDR<0.0001), ITGB3 (x7, FDR=0.002), ITGB4 (x2.6,
263 FDR<0.0001), ITGA3 (x2.2, FDR<0.0001), ITGAL (x2.6, FDR<0.0001), ITGAM (x2,
264 FDR=0.005), CD99 (x3.3, FDR=0.001), VCAM1 (x4.2, FDR=0.003), VIL2 (x2.5,
265 FDR<0.0001) and RAC2 (x2.6, FDR=0.0007). Whereas, only ITGA4 gene (x2.6,
266 FDR=0.003) was up-regulated during endometrial receptivity of the stimulated cycle (Figure
267 6C).

268

269

270 ***Biomarkers of the endometrial receptivity during stimulated cycles***

271 We also performed a PCA analysis of the hCG+2 and hCG+5 samples with the predictor list
272 of endometrial receptivity as previously described (Haouzi *et al.*, 2009). The majority of the
273 hCG+2 samples were separated from the hCG+5 samples, except for the three “atypical”
274 samples, in this PCA using the first two dimensions representing 39% of the data information
275 (Figure 7). During the pre-receptive to the receptive transition in stimulated cycles, four of
276 our biomarkers of endometrial receptivity were significantly up-regulated. For natural cycles
277 compared to stimulated cycles, NLF2 was increased by a factor 12.6 compared to 2 , PROK1
278 was increased by a factor of 10.2 compared to 7.8, MFAP5 was increased by a factor of 37
279 compared to 11.5, and LAMB3 was increased by a factor of 20.4 compared to 11.1. The
280 upregulation observed in the microarray analyses was validated by QRT-PCR (Haouzi *et al.*,
281 2009; Supplementary Figure 1).

282

283 ***ICSI outcome and biomarker genes***

284 Seven ongoing pregnancies were obtained in the patients participating in this study. There
285 were no significant differences in the modulated genes between the pregnant and non
286 pregnant patients either in the hCG+2 or hCG+5 subgroups.

287

288 **Discussion**

289 This is the first study analyzing by paired samples the endometrial gene expression
290 profiles from the same patients during the pre-receptive to the receptive transition both in a
291 natural and in a subsequent stimulated cycle. Although endometrium transition profiles are
292 similar between patients, COS regimens affect endometrial receptivity in comparison with
293 natural cycles. Two endometrium genes profiles were observed and associated either with a

294 moderately altered receptivity for the majority of the patients or a strongly altered receptivity
295 under COS conditions.

296

297 ***Differential gene expression profiles of endometrial receptivity between natural and***
298 ***stimulated cycles***

299 In natural as well as stimulated cycles, the majority of the genes modulated during
300 endometrial receptivity were up-regulated (93% in the natural cycle compared to 78 % in the
301 stimulated cycle), suggesting that the receptive endometrium requires transcriptional
302 activation as suggested by Horcajadas *et al.* (2008). Among the up-regulated genes of
303 endometrial receptivity in the stimulated cycles, only 46 % were in common with those of the
304 natural cycles. These data suggest that either the duration or FSH dose in gonadotropins
305 treatment under COS cycles leads to the transcriptional activation of other genes which are
306 not involved in physiological endometrium receptivity. Major differences in biological
307 functions known to be involved in the implantation process, such as the TGF β signaling
308 pathway (Jones *et al.*, 2006), the complement and coagulation cascades and the leukocyte
309 transendothelial migration (Berlin *et al.*, 1995; Ivetic *et al.*, 2004; Dominguez *et al.*, 2005; da
310 Costa Martins *et al.*, 2006), were observed between the natural and stimulated cycle. The up-
311 regulation of genes involved in these signaling pathways was found in natural cycles, but was
312 lacking in stimulated cycles. On the other hand, the expression profile of the receptive
313 endometrium in stimulated cycles during COS showed more down-regulated genes than in the
314 natural cycle (7% in natural compared to 22 % in stimulated cycles respectively), suggesting
315 that COS treatment, partly, induced gene repression. The majority of these down-regulated
316 genes was not observed in the natural cycle (2% in common) and were related to the “cell
317 cycle” function, suggesting a negative impact of gonadotropins treatment during COS on this
318 function. Several cyclins (cyclin A2, B1 and E2), cell-division cycles (CDCA5, A8, A7, 20

319 and 25C), and members of E2F family (E2F1, 7 and 8), which are key regulators of cell cycle,
320 as well as numerous genes articulated around them, were not upregulated in stimulated cycles.
321 Moreover, genes involved in checkpoint regulation, which are molecular circuits monitoring
322 DNA integrity and cell growth prior to replication and division at the G1/S and G2/M
323 transitions respectively, were also not upregulated in stimulated cycles (Branzei and Foiani,
324 2008). These data can be considered in conjunction with reports which show that both GnRH
325 antagonist and agonist exert an inhibitory effect on the cell cycle of human endometrial
326 cancer cells in vitro, probably by decreasing the synthesis of growth factors (Emons *et al.*,
327 1993; Hershkovitz *et al.*, 1993; Kleinman *et al.*, 1993).

328
329 In contrast to Horcajadas *et al.* (2005) who showed that genes up-regulated during the
330 formation of the receptive endometrium in the natural cycle tended to be down-regulated
331 during stimulated cycles, we report similar variations but with a lesser amplitude during COS
332 in comparison with natural cycles (Supplementary Tables I and II). One possible explanation
333 is that, this amplitude difference reflects the sampling time of the receptive samples between
334 natural (LH+7) and stimulated cycles (hCG+5). However, as embryos transfer was generally
335 performed on day five after hCG administration (hCG+5) of a stimulated cycle, endometrial
336 biopsies just before embryo transfer fitted with clinical practice. Recently, it has been reported
337 that there is a two-day delay at hCG+7, with hCG+7 samples having a gene expression profile
338 close to day 5 of stimulated cycle samples (Horcajadas *et al.*, 2008). However, in the current
339 study, the unsupervised cluster and PCA analyses showed that a proportion of LH+7 (67%)
340 and hCG+5 (30%) samples tend to cluster close together. In addition, the study design
341 (LH+7/9 compared to hCG+7/9 in the Horcajadas's study, LH+2 and LH+7 compared to
342 hCG+2 and hCG+5 in the present study), the size of patient samples (n=5 per group in the
343 Horcajadas's study, n=21 per group in the present study) as well as the samples, which were

344 obtained from different fertile patients candidates for oocytes donation in the Horcajadas's
345 study, could contribute to these diverging results (Horcajadas *et al.*, 2008). By contrast, in the
346 present study the comparison of gene expression profiles between natural and stimulated
347 cycles was performed in the same normal-responder patients, which is an important point to
348 minimize inter-patient variability.

349

350 We can wonder about the impact of multiple biopsies performed on the same patient. Kalma
351 *et al.* (2008) reported that the first endometrial biopsy modulated a wide variety of genes in
352 the same cycle as well as in the following cycle, which can consequently mask true gene
353 expression profile differences. However, all patients were subjected similarly to four biopsies.
354 If biopsies affect all samples equally, so this should not prevent the identification of different
355 gene expression profiles. Therefore, gene expression profile differences observed during the
356 pre-receptive to the receptive endometrial transition under COS regimens (hCG+2 compared
357 to hCG+5) between the two described endometrial profiles (endometrial receptivity
358 moderately and strongly altered) suggested, contrariwise, that true differences are not masked.
359 In addition, although Barash *et al.* (2003) showed that multiple endometrium biopsies during
360 the spontaneous menstrual cycle increased implantation and pregnancy rates at the following
361 cycle of treatment, and that Zhou *et al.* (2008) demonstrated that local injury of the
362 endometrium executed in IVF patients during their cycle of treatment, before ovum retrieval,
363 gains the same effect of increasing implantation and clinical pregnancy rates, we don't know
364 the consequences to perform a biopsy on the embryo transfer day. However, in this cohort,
365 pregnancy rate (7 / 21 patients) was similar to those habitually acquired, suggesting a no
366 detrimental effect on implantation process.

367

368

369 *Atypical gene expression profile of endometrial cells during COS*

370 The comparisons of gene expression from the same patients between natural and
371 stimulated cycles revealed endometrial profiles associated either with a moderately altered
372 receptivity in most cases (86%) or a strongly altered receptivity during the COS protocol in a
373 few cases (14%). In these few cases, the transition from the oocyte collection day (hCG+2) to
374 the embryo transfer day (hCG+5) phase introduced only minor differences in gene expression
375 profiles. Only one gene, TSPAN3 was significantly modulated between their pre-receptive to
376 receptive samples. The protein encoded by this gene is a member of the tetraspanin family
377 and plays a role in the regulation of the cell development, activation, growth and motility
378 (Hemler, 2005). Impairment of gene expression of endometrial cells was already apparent at
379 hCG+2 in these samples as judged by the gene number significantly modulated (197 genes)
380 between these samples and those associated with a moderately altered receptivity. However,
381 on hCG+5, there were fewer differences between the two endometrial profiles.

382 We previously described a predictor list (comprising 60 probe sets) of endometrial receptivity
383 during natural cycle (Haouzi *et al.*, 2009). These predictors confirmed the presence of the two
384 endometrial profiles: either receptive or not receptive. However, among these predictors, only
385 four were significantly up-regulated during the pre-receptive to the receptive transition in the
386 stimulated cycle. In addition, the fold changes between the pre-receptive to the receptive
387 phases in endometrial profiles associated with a positive receptive status were less
388 pronounced in stimulated than in natural cycles, suggesting incomplete receptivity during
389 COS. Therefore, our predictors further suggest the moderately altered receptivity status for
390 the majority of the samples, as well as the strongly altered receptivity status for a few patients.
391 When the receptiveness of the endometrium is seriously compromised during COS, fresh
392 embryo replacement could be reconsidered. In these cases, embryos freezing enable the IVF
393 attempt to be saved and the embryo transfer can be done later during a natural cycle. For these

394 patients, the endometrial receptivity gene expression signature is of high value (Haouzi *et al.*,
395 2009).

396

397 In conclusion, the transcriptomic pattern of endometrial cells in natural and stimulated
398 cycles in the same patients reveals either moderate or strong alterations of endometrial
399 receptivity under COS protocols. This information could open new perspectives, particularly
400 in patients with multiple implantation failures. In this case, analysis of the endometrial profile
401 could reveal a strongly altered profile during COS protocols, prompting the clinician to either
402 adapt the IVF stimulation protocol or to perform embryo transfer later during a natural cycle.

403

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408

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520

521

522 **Figures legends**

523

524 Table I: Number of genes significantly modulated in 6 microarray analyses comparing the
525 pre-receptive or receptive stages between natural and stimulated cycles.

526 This table compares our gene lists significantly modulated between LH+2 and hCG+2, and
527 between LH+7 and hCG+5 with five other studies using the same approach. Atg, antagonist;
528 Ag, agonist.

529

530 Figure 1: Unsupervised classification with both principal component analysis (PCA) and
531 hierarchical clustering of 84 endometrium samples during the pre-receptive and receptive
532 stages from natural and stimulated cycles.

533 (A) Unsupervised hierarchical clustering was performed, allowing a separation between the
534 pre-receptive (LH+2 and hCG+2) and receptive samples (LH+7 and hCG+5). (B) PCA using
535 the three dimensions was performed representing 43% of the data information, and confirmed
536 the unsupervised cluster analysis. Pink circle, LH+2 and hCG+2 samples; grey circle, LH+7
537 samples; green circle, hCG+5 samples.

538

539 Figure 2: Supervised hierarchical clustering of hCG+2 and hCG+5 samples from stimulated
540 endometrium cycles.

541 (A) The majority of the genes specifically modulated during endometrial receptivity in
542 stimulated cycles (998 genes) were up-regulated (777 up-regulated genes, 221 down-regulated
543 genes). Red, up-regulated genes; green, down-regulated genes. (B) A hierarchical clustering
544 was performed with this gene list, allowing the separation of the two sample groups. Rhomb
545 black outline: "Atypical" samples.

546

547 Figure 3: The two endometrium profiles during the pre-receptive and the receptive stages in
548 stimulated cycles.

549 The majority of patients (18/21 patients) were characterized by a common profile, called
550 “standard profile”. Other patients (3/21) presented an “atypical profile”. PR, pre-receptive; R,
551 receptive; red, up-regulated genes; green, down-regulated genes.

552

553 Figure 4: Venn diagram of transcripts up-regulated and down regulated during endometrial
554 receptivity in the natural cycle compared to the stimulated cycle.

555 Each list of genes was determined with the SAM software with a FDR < 5% and a fold
556 change > 2.

557

558

559 Figure 5: Down-regulated genes related to the cell cycle function in the receptive
560 endometrium of stimulated cycles.

561 Genes involved in (A) the cell cycle, (B) G1/S checkpoint regulation, and (C) the G2/M DNA
562 damage checkpoint. All of these genes were down-regulated in stimulated cycles in
563 comparison with natural cycles. Green: down-regulated genes.

564

565 Figure 6: Major differences in main systems involved in implantation between natural and
566 stimulated cycles.

567 To identify differential distributions of KEGG pathways, we performed a FatiGO+ analysis
568 with the two lists of genes up-regulated gene during endometrial receptivity of the natural and
569 stimulated cycles. There were numerous differences, between the natural and stimulated
570 cycles, in main systems involved in the implantation process, such as the TGF β signaling

571 pathway, the complement and coagulation cascades, and leukocyte transendothelial migration.

572 Red, genes up-regulated in natural cycles; pink, genes up-regulated in stimulated cycles.

573

574 Figure 7: Unsupervised classification with principal component analysis (PCA) of the hCG+2
575 and hCG+5 samples with the predictor list.

576 Our predictor list of the endometrial receptivity allows the separation of 90% of hCG+5
577 samples from the hCG+2 samples in this PCA using the first two dimensions, representing
578 39% of the data information.

579

580 Supplementary Table I: The top-twenty up-regulated genes during endometrial receptivity in
581 natural cycles and their fold change in stimulated cycles.

582 This table shows the top-twenty up-regulated genes during endometrial receptivity in natural
583 cycles ($43 < \text{Fold change} < 465$) and their profile in stimulated cycles ($4 < \text{Fold change} < 59$).

584 Some of them are not significantly modulated in stimulated cycles (-).

585

586 Supplementary Table II: Examples of genes with expression varying significantly in four
587 microarray comparison analysis between the pre-receptive and the receptive stages from
588 natural and stimulated endometrium cycles.

589 This table was adapted from Horcajadas's review (2007); it represented a list of genes specific
590 for the endometrial receptivity obtained from three studies (Riesewijk *et al.*, 2003; Horcajadas
591 *et al.*, 2005, 2006). Our data are added in this table, allowing a comparison. The fold changes
592 for each gene were reported in natural and stimulated cycles.

593

594 Supplementary Figure 1: Validation using quantitative PCR of selected genes identified by
595 the microarray data from stimulated cycles in the pre-receptive (hCG+2) and receptive
596 (hCG+5) endometrium samples.

597 mRNA expression level of LAMB3, MFAP5, PROK1 and NLF2 in the hCG+2 (n=1) and
598 hCG+5 (n=1) samples were examined by quantitative PCR. The results were normalized
599 using PGK1 expression. Gene expression levels were increased in the hCG+5 sample as
600 compared with the hCG+2 sample. LAMB3, laminin β 3; MFAP5, microfibril-associated
601 protein 5; PROK1, prokineticin 1; NLF2, nuclear localized factor 2.

602

Table I

Study	Number of samples	Natural cycle (number of samples)	Stimulated cycle (number of samples)	Paired analysis	Fold change	Number of genes	
						Up	Down
Mirkin <i>et al.</i> (2004)	13	LH+8 (n=5)	hCG+9 Atg (n=5)	Partially	≥ 1.19	6	6
		LH +8 (n=5)	hCG+9 Ag (n=3)		≥ 1.2	5	1
Horcajadas <i>et al.</i> (2005)	19	LH +7 (n=14)	hCG +7 (n=5)	No	≥ 3	281	277
Simon <i>et al.</i> (2005)	28	LH +7 (n=14)	hCG +7 Atg standard dose (n=4)	No	≥ 2	22	69
		LH +7 (n=14)	hCG +7 Atg high dose (n=5)		88	24	
		LH +7 (n=14)	hCG +7 Ag (n=5)		22	100	
Horcajadas <i>et al.</i> (2008)	49	LH+1 (n=5)	hCG+1 (n=5)	No	-	0	0
		LH+2 (n=5)	hCG+2 (n=5)			0	0
		LH+3 (n=5)	hCG+3 (n=5)			0	0
		LH+5 (n=5)	hCG+5 (n=4)			0	0
		LH+7 (n=5)	hCG+7 (n=5)			69	73
		LH+9 (n=5)	hCG+9 (n=5)			0	0
Liu <i>et al.</i> (2008)	13	LH+7 (n=5)	hCG+7 high serum E ₂ levels (n=4)	No	≥ 2	244	159
		LH+7 (n=5)	hCG+7 low serum E ₂ levels (n=4)		5	2	
Current study	84	LH+2 (n=21)	hCG+2 (n=21)	Yes	≥ 2	321	4
		LH+7 (n=21)	hCG+5 (n=21)		657	0	

Figure 1

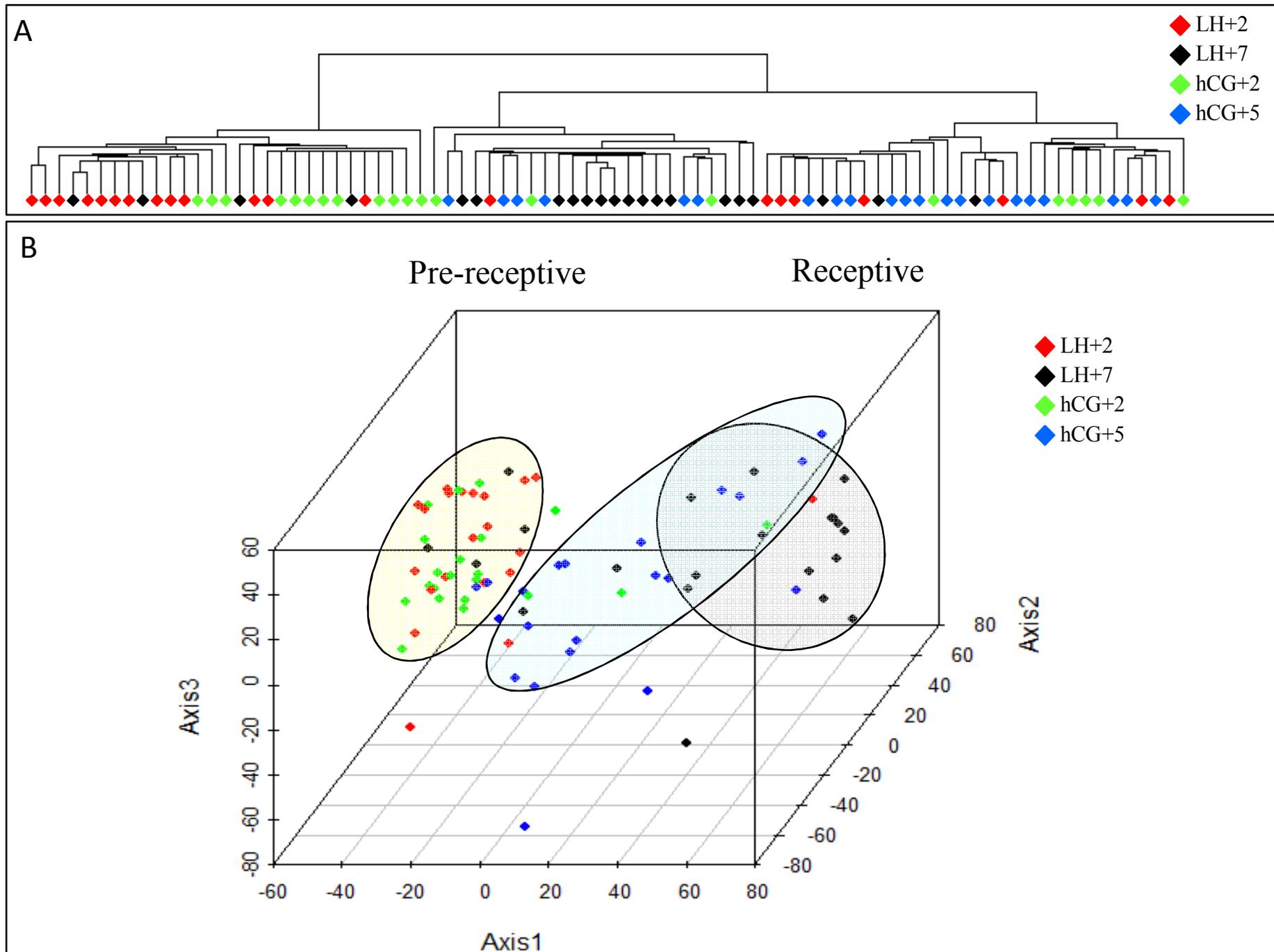


Figure 2

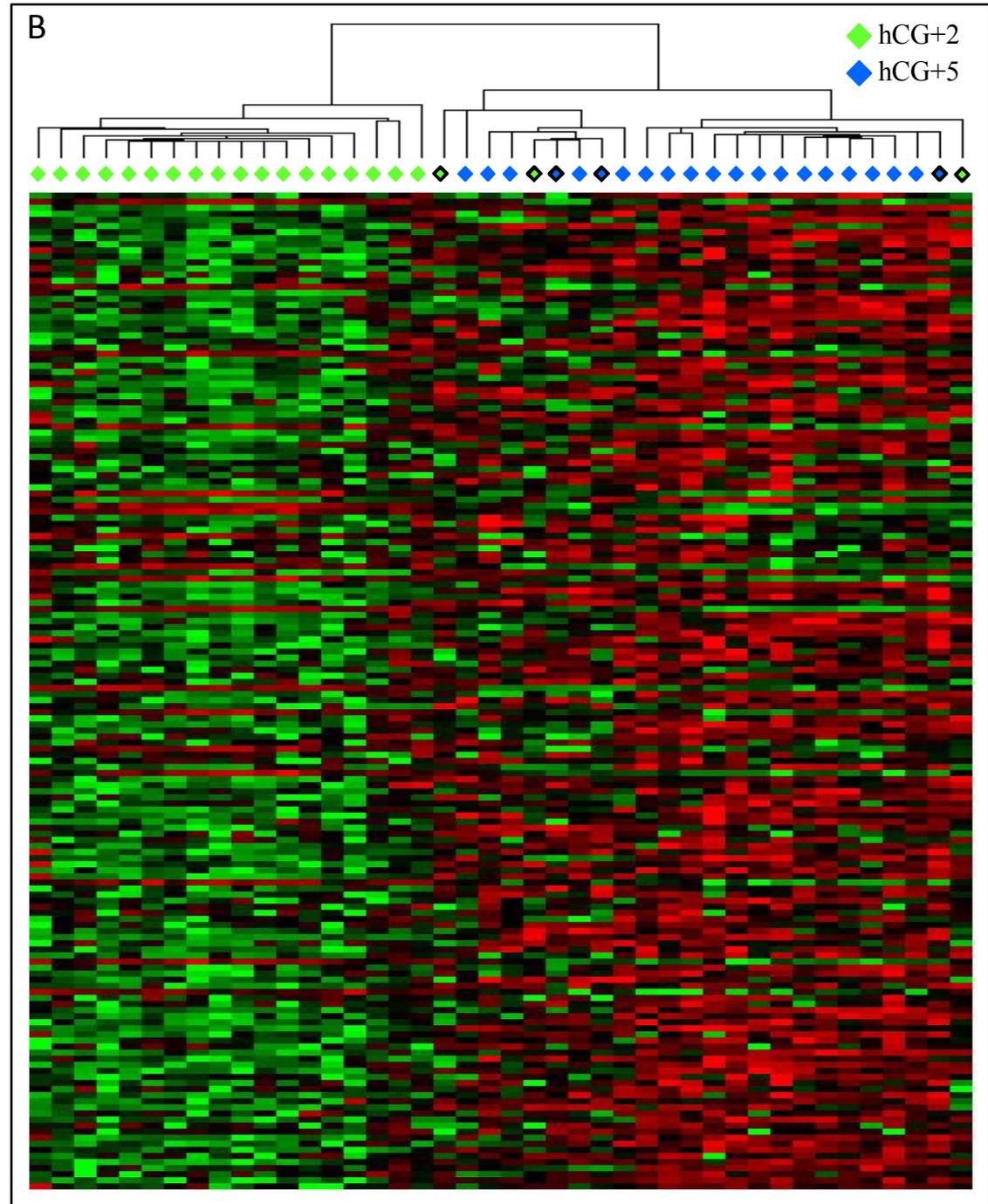
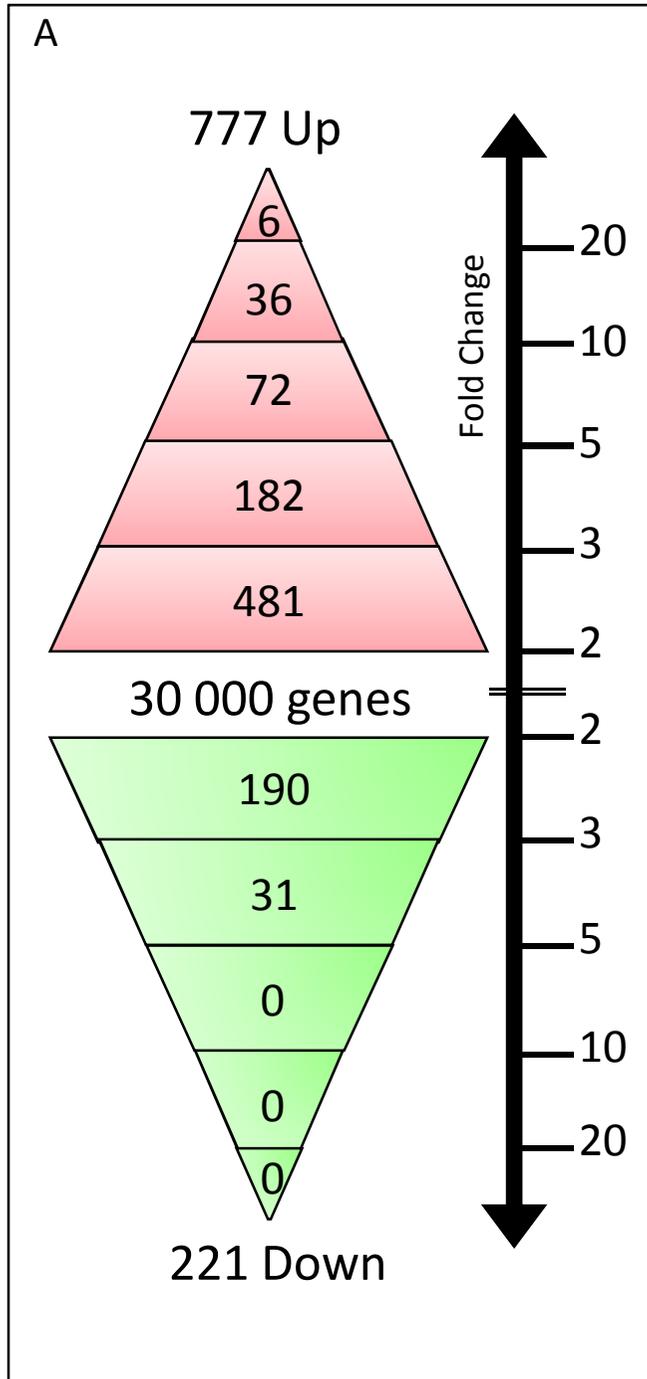


Figure 3

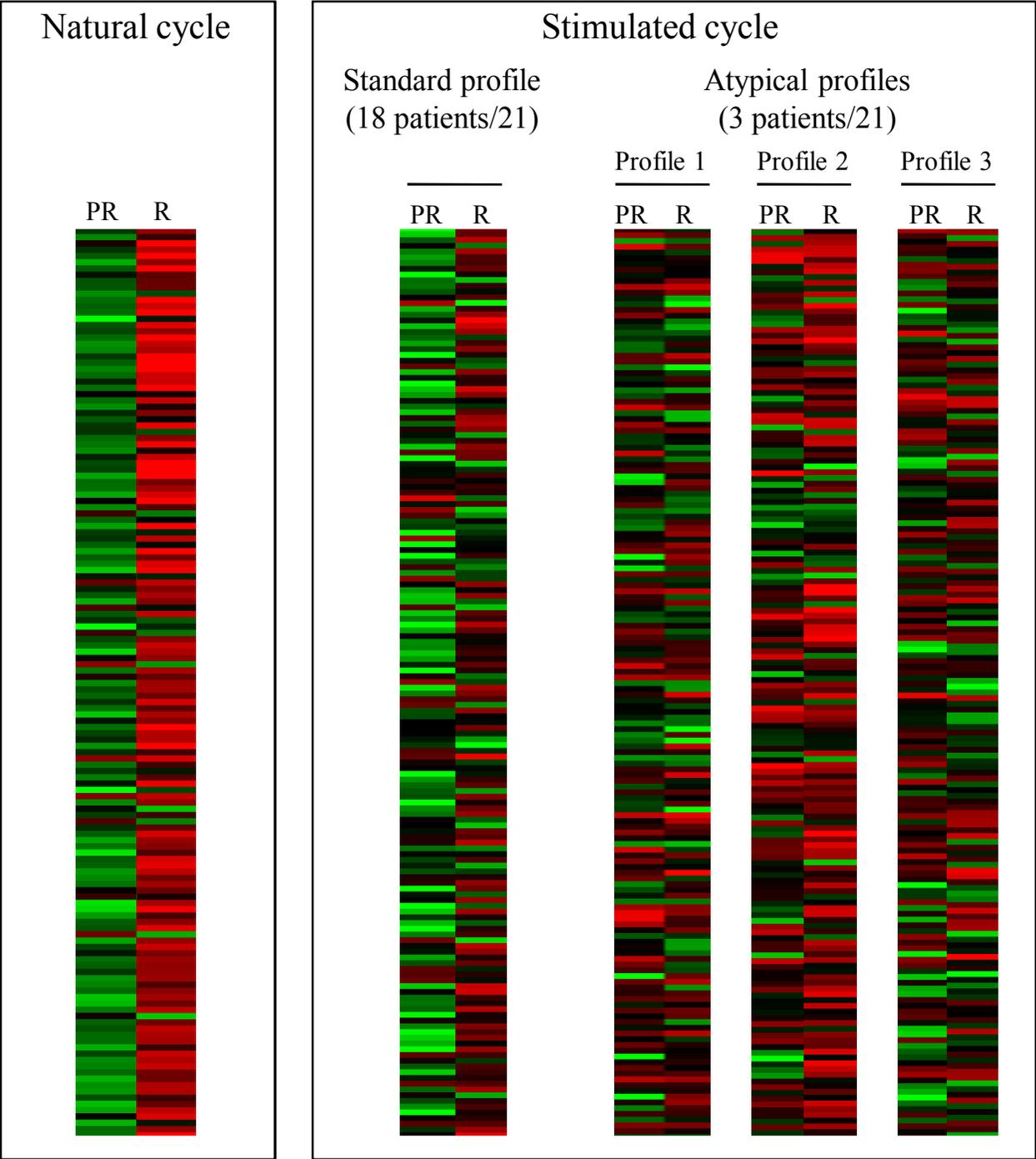


Figure 4

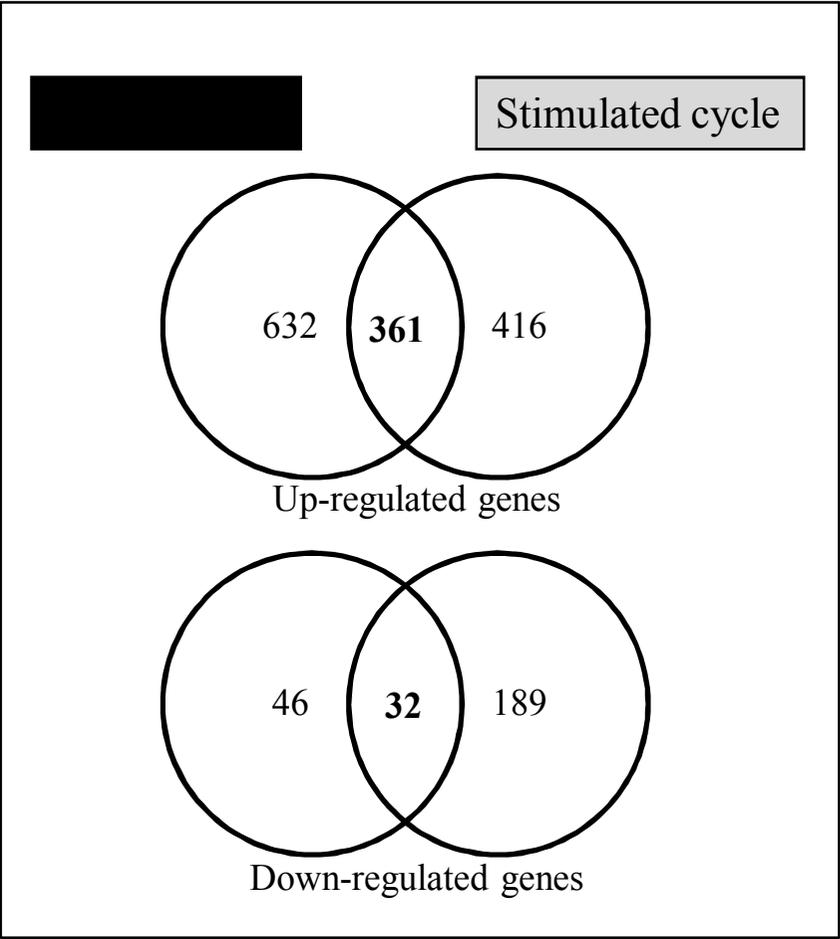


Figure 6

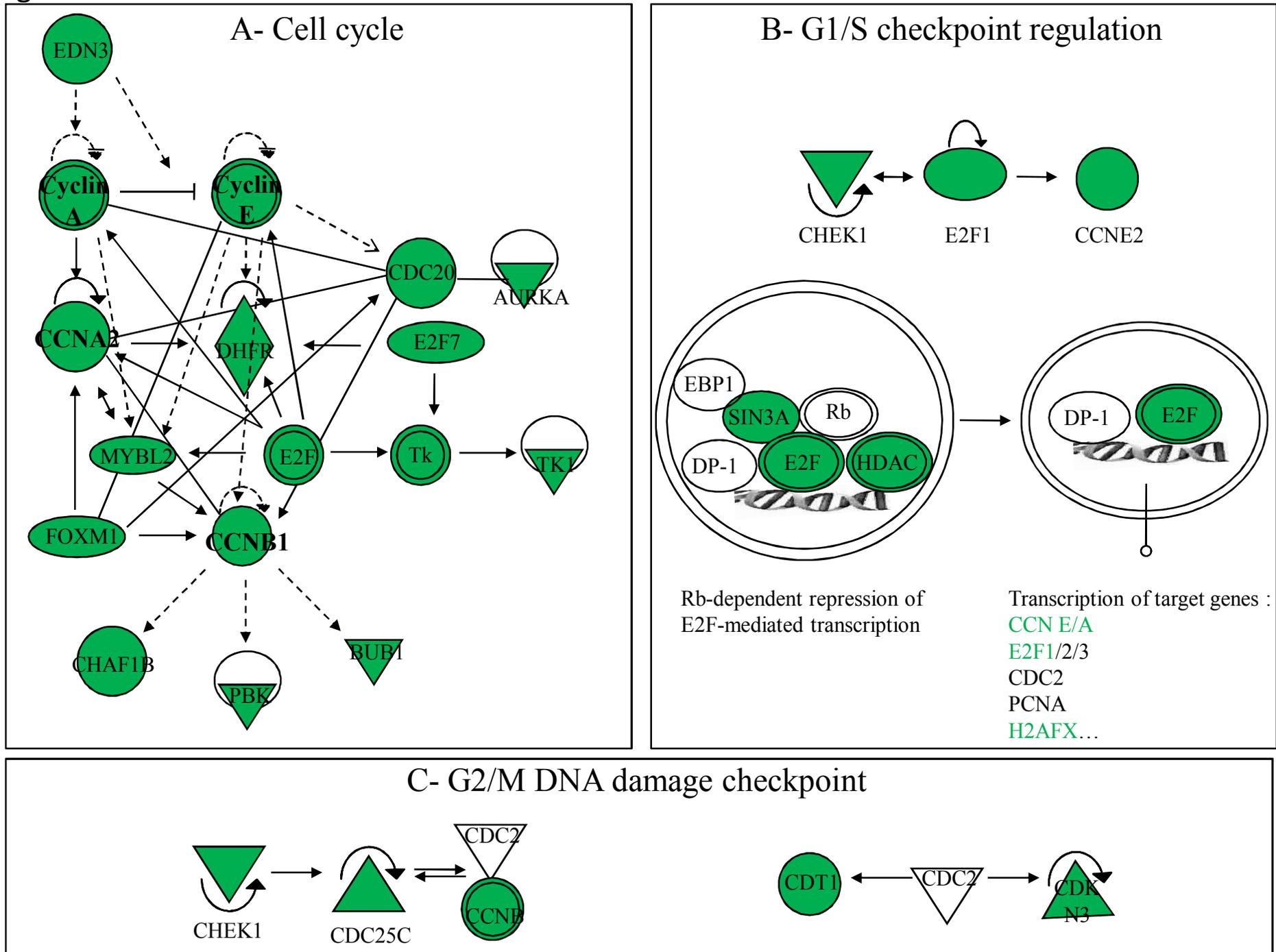


Figure 7

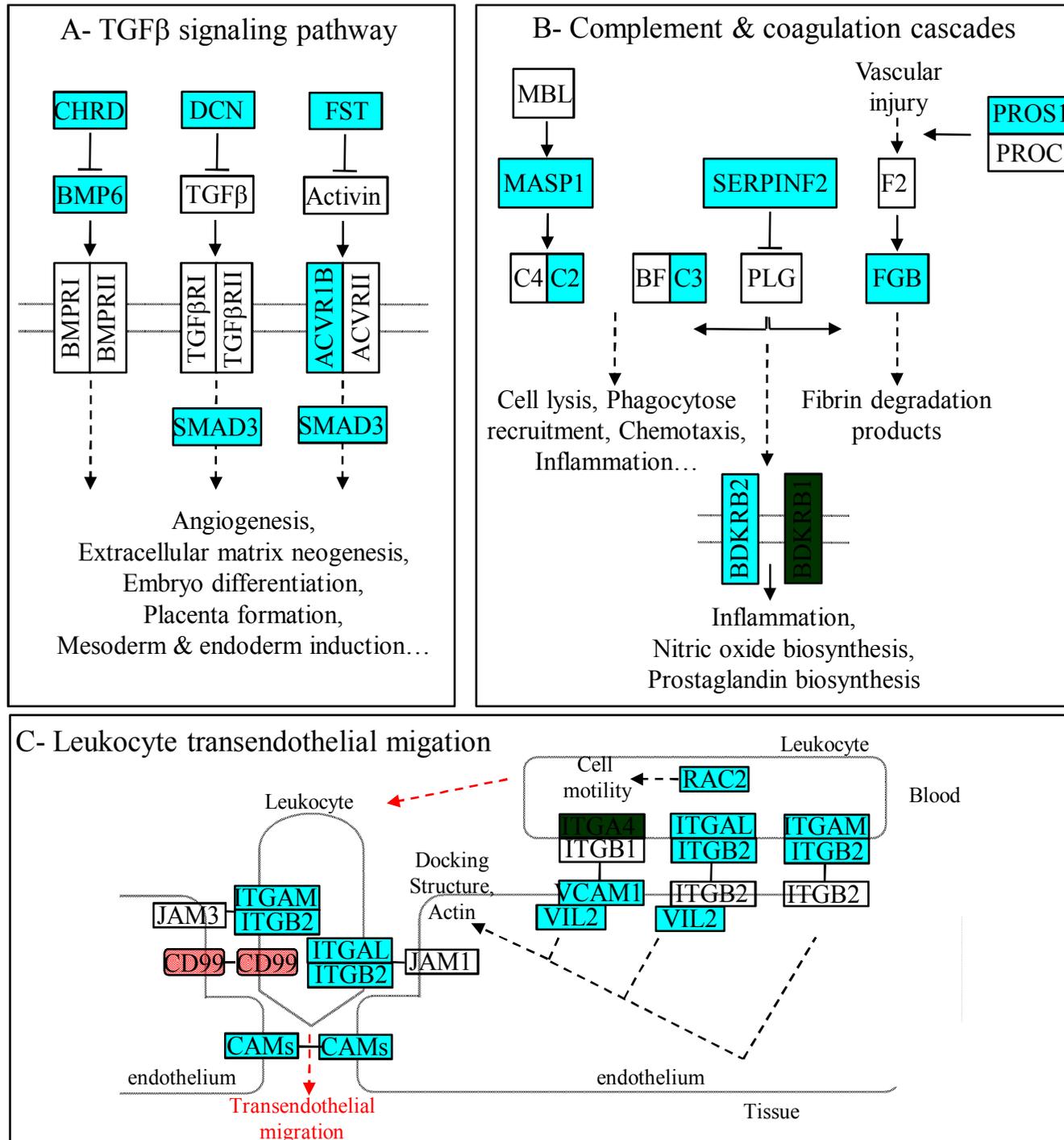
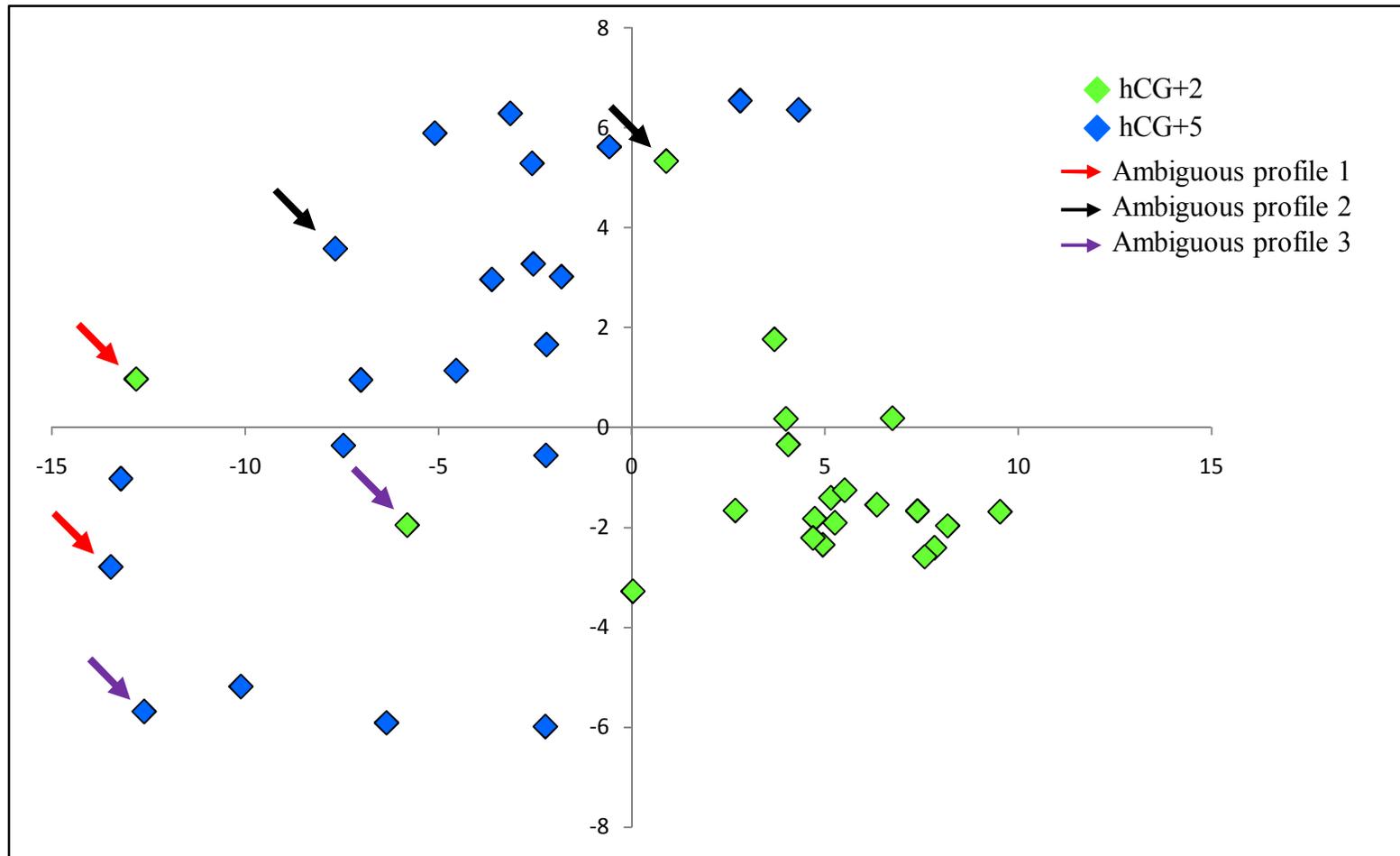


Figure 8



Supplementary table I

Natural cycle				Stimulated cycle			
Gene ID	Gene Name	Fold Change	FRD (%)	Gene ID	Gene Name	Fold Change	FRD (%)
204351 at	S100P	465	0	204351 at	S100P	17	0
206859 s at	PAEP	457	0	206859 s at	PAEP	59	0
205713 s at	COMP	403	0	-	-	-	-
203716 s at	DPP4	165	0	203717 at	DPP4	3	1
208161 s at	ABCC3	140	0	208161 s at	ABCC3	18	0
205302 at	IGFBP1	139	0,5	-	-	-	-
218002 s at	CXCL14	132	0	218002 s at	CXCL14	15	0
241031 at	NLF1	128	0	241031 at	NLF1	15	0
219140 s at	RBP4	95	0	-	-	-	-
203824 at	TSPAN8	93	0	203824 at	TSPAN8	7	0,7
1553809 a at	C9orf71	85	0	231426 at	C9orf71	22	0
243483 at	TRPM8	66	0	243483 at	TRPM8	33	0
208335 s at	DARC	58	0	208335 s at	DARC	4	1
201348 at	GPX3	57	0	201348 at	GPX3	9	0
206378 at	SCGB2A2	50	0	206378 at	SCGB2A2	20	0
204988 at	FGB	46	0,5	-	-	-	-
203256 at	CDH3	45	0	-	-	-	-
235988 at	GPR110	45	0	-	-	-	-
205654 at	C4BPA	43	0	205654 at	C4BPA	17	0
236489 at	Hs.72307	43	0	236489 at	Hs.72307	12	0

Supplementary table II

Gene symbol	other studies		current study	
	Natural cycle (LH+2 vs LH+7)	COS (LH+7 vs hCG+7)	Natural cycle (LH+2 vs LH+7)	COS (hCG+2 vs hCG+5)
IL15	3	-	2.6	-
SPP1	11	-	4.4	3.5
GPX3	25.9	-11.9	57	9
PAEP	81.6	-9.8	457	59
FXYD2	4.1	4.5	7.3	2.3
DPP4	31.4	-37.1	165.1	2.5
LIF	36.6	-23	19.8	-
IGFBP3	4	-4.3	3.4	-
GADD45A	8	-3	6.8	2.2
HABD2	5.9	-6.4	8.6	3.5
EDNRB	8.2	-3.2	3.6	2.3
LMOD1	29.7	-4.1	10	2.3
CNN1	10.3	-9.3	-	-
CLU	28.8	-7.1	7.9	2.1
TAGLN	8.4	-3.7	5.2	-
CYP2C9	2.5	-4.7	8.3	4.9
CAPN6	-4.6	10.3	-	-
TFPI2	-3.5	5.4	-	-
MAP2K6	-4.9	8.6	-	2.6
CTNNA2	-8.5	7.3	-	4
SORD	-2.4	11.6	-	4.1
BCKDHB	-3.3	10.3	-	-
ALDH3B2	-3.1	4.3	-	3.8
NDRG2	-6	5.9	-	-
FOLR1	-10.5	9.3	-	3.7

Supplementary figure1

