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Image description with generalized pseudo-Zernike moments

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Abstract

A new set of orthogonal moment functions for describing images is proposed. It is based on the generalized pseudo-Zernike polynomials that are orthogonal on the unit circle. The generalized pseudo-Zernike polynomials are scaled to ensure the numerical stability, and some properties are discussed. The performance of the proposed moments is analyzed in terms of image reconstruction capability and invariant character recognition accuracy. Experimental results demonstrate the superiority of generalized pseudo-Zernike moments compared with pseudo-Zernike and Chebyshev-Fourier moments in both noise-free and noisy conditions.

OCIS codes: 100.5010, 100.2960, 100.5760.

1. Introduction

In the past decades, various moment functions due to their abilities to represent the image features have been proposed for describing images.\textsuperscript{1-10} In 1962, Hu\textsuperscript{2} first derived a set of moment invariants, which are position, size and orientation independent. These moment invariants have been successfully used in the field of pattern recognition.\textsuperscript{3-5} However, geometric moments are not orthogonal and as a consequence, reconstructing the image from the moments is deemed to be a difficult task. Based on the theory of orthogonal polynomials, Teague\textsuperscript{6} has shown that the image can be easily reconstructed from a set of orthogonal moments, such as
Legendre moments and Zernike moments. Teh and Chin\textsuperscript{7} evaluated various types of image moments in terms of noise sensitivity, information redundancy and image description capability, they found that pseudo-Zernike moments (PZMs) have the best overall performance.

Recently, Ping \textit{et al.}\textsuperscript{8} introduced Chebyshev-Fourier moments (CHFMs) for describing image. By analyzing the image-reconstruction error and image distortion invariance of the CHFMs, they concluded that CHFMs perform better than the orthogonal Fourier-Mellin moments (OFMMs), which was proposed by Sheng and Shen\textsuperscript{9} in 1994. Both CHFMs and OFMMs are orthogonal and invariant under image rotation.

In this paper, we propose a new kind of orthogonal moments, known as generalized pseudo-Zernike moments (GPZMs), for image description. The GPZMs are defined in terms of the generalized pseudo-Zernike polynomials (GPZPs) that are an expansion of the classical pseudo-Zernike polynomials. The two-dimensional (2D) GPZPs, $V_{pq}^{\alpha}(z, z')$, are orthogonal on the unit circle with weights $(1 - (zz')^{1/2})^\alpha$ where $\alpha > -1$ is a free parameter. The location of the zero points of real-valued radial GPZPs depends on the parameter $\alpha$, so it is possible to choose appropriate values of $\alpha$ for different kinds of images. Experimental results demonstrate that the proposed moments perform better than the conventional PZMs and CHFMs in terms of image reconstruction capability and invariant pattern recognition accuracy in both noise-free and noisy conditions.

The paper is organized as follows. In Section 2, we first give a brief outline of
PZMs. The definition of GPZPs, the corresponding weighted polynomials and the GPZMs is also presented in this section. Experimental results are provided to validate the proposed moments and the comparison analysis with previous works is given in Section 3. Section 4 concludes the paper.

2. Generalized pseudo-Zernike moments

In this section, we first give a brief outline of PZMs, they will also serve as a reference to compare the performance of GPZMs. We then present the GPZPs and establish some useful properties of them in the second subsection. The definition of GPZMs is given in the last subsection.

A. Pseudo-Zernike moments

The 2D pseudo-Zernike moment (PZMs), \(Z_{pq}\), of order \(p\) with repetition \(q\) is defined using polar coordinates \((r, \theta)\) inside the unit circle as

\[
Z_{pq} = \frac{p+1}{\pi} \int_0^{2\pi} \int_0^1 V_{pq}^*(r, \theta) f(r, \theta) r dr d\theta, \quad p = 0, 1, 2, \ldots, \infty; 0 \leq |q| \leq p. \tag{1}
\]

where * denotes the complex conjugate, and \(V_{pq}(r, \theta)\) is the pseudo-Zernike polynomial given by

\[
V_{pq}(r, \theta) = R_{pq}(r) \exp(jq\theta) \tag{2}
\]

where \(R_{pq}(r)\) is the real-valued radial polynomial defined as

\[
R_{pq}(r) = \sum_{s=0}^{\min(|p|, |q|)} \frac{(-1)^s (2p+1-s)!}{s! (p-|q| -s)!(p+|q| +1-s)!} r^{p-s} \tag{3}
\]

The pseudo-Zernike polynomials satisfy the following orthogonality property

\[
\int_0^{2\pi} \int_0^1 V_{pq}(r, \theta) \cdot V_{lk}^*(r, \theta) r dr d\theta = \frac{\pi}{(p+1)} \delta_{pl} \delta_{qk} \tag{4}
\]
where \( \delta_{nm} \) denotes the Kronecker symbol.

B. Generalized pseudo-Zernike polynomials

Wünsche\(^{11} \) recently presented the notion of generalized Zernike polynomials in the mathematical domain. Enlightened by the research work of Wünsche, we introduce generalized pseudo-Zernike polynomials with the notation \( V_{pq}^{\alpha}(z,z^*) \) in representation by a pair of complex conjugate variables \( (z = x + jy = r \exp(j\theta)) \) and \( z^* = x - jy = r \exp(-j\theta) \) and with real parameter \( \alpha > -1 \) by the following definition

\[
V_{pq}^{\alpha}(z,z^*) \equiv \omega^{(|q|+|p|)/2}(z^*)^{(|q|-|p|)/2} P_{-|q|}^{(\alpha,2|q|+1)}(2|zz^*|^{1/2} - 1)
\]

\[= z^{(p+q)/2}(z^*)^{(-p-q)/2} \frac{(\alpha+1)_{p+q}}{(p+q)!} \sum_{s=0}^{\infty} \frac{(-1)^s(\alpha+1)_{2p+1-s}}{s!(p+|q|+s)!} \frac{1}{(zz^*)^{(p+q-s)/2}} (z^*)^{(p-q-s)/2}
\]

(5)

where \( P_n^{(\alpha,\beta)}(u) \) denotes the Jacobi polynomials and \( _2F_1(a, b; c; x) \) is the hypergeometric function given by\(^{12} \)

\[
_2F_1(a, b; c; x) = \sum_{k=0}^{\infty} \frac{(a)_k(b)_k}{(c)_k} \frac{x^k}{k!}
\]

(6)

Here \( (a)_k \) is the Pochhammer symbol defined as

\[
(a)_k = a(a+1)(a+2)\ldots(a+k-1) \quad \text{with} \quad (a)_0 = 1
\]

(7)

Using Eqs. (6) and (7), we obtain the following basic representation of GPZPs

\[
V_{pq}^{\alpha}(z,z^*) = \sum_{s=0}^{\infty} \frac{(-1)^s(\alpha+1)_{2p+1-s}}{s!(p+|q|+s)!} \frac{1}{(zz^*)^{(p+q-s)/2}} (z^*)^{(p-q-s)/2}
\]

(8)

In polar coordinate system \((r, \theta)\), Eq. (8) can be expressed as

\[
V_{pq}^{\alpha}(r, \theta) \equiv V_{pq}^{\alpha}(r \exp(j\theta), r \exp(-j\theta)) = R_{pq}^{\alpha}(r) \exp(jq\theta)
\]

(9)

where the real-valued radial polynomials \( R_{pq}^{\alpha}(r) \) are given by
Comparing Eq. (3) with Eq. (10), it is obvious that

$$R_{pq}^\alpha (r) = R_{pq}^0 (r) = r^{|p|} P_{p-|q|}^{(0, 2|q|+1)} (2r - 1)$$

Eq. (11) shows that the conventional pseudo-Zernike polynomials are a particular case of GPZPs with $\alpha = 0$.

We now give some useful properties of radial polynomials $R_{pq}^\alpha (r)$.

a) Recurrence relations

The recurrence relations can be effectively used to compute the polynomial values. For radial polynomials given by Eq. (10), we derive the following three-term recurrence relations

$$R_{pq}^\alpha (r) = (M_1 r + M_2) R_{p-1, q}^\alpha (r) + M_3 R_{p-2, q}^\alpha (r), \quad \text{for } p - q \geq 2$$

where

$$M_1 = \frac{(2p + 1 + \alpha)(2p + \alpha)}{(p + q + 1 + \alpha)(p - q)}$$

$$M_2 = -\frac{(p + q + 1)(\alpha + 2p)}{p + q + \alpha + 1} + M_1 \frac{(p + q)(p - q - 1)}{2p - 1 + \alpha}$$

$$M_3 = \frac{(p + q)(p + q + 1)(2p - 2 + \alpha)(2p - 1 + \alpha)}{2(p + q + \alpha + 1)(p + q + \alpha)} + M_2 \frac{(p + q)(2p - 2 + \alpha)}{p + q + \alpha}$$

$$- M_1 \frac{(p + q)(p + q - 1)(p - q - 2)}{2(p + q + \alpha)}$$

For the cases where $p = q$ or $p = q + 1$, we have

$$R_{qq}^\alpha (r) = r^q$$

$$R_{q+1,q}^\alpha (r) = (\alpha + 3 + 2q)r^{q+1} - 2(q + 1)r^q$$

Note that the real-valued radial polynomials $R_{pq}^\alpha (r)$ satisfy the symmetry
property about the index \( q \), i.e., \( R_{pq}^\alpha \) = \( R_{p,-q}^\alpha \), so that only the case where \( q \geq 0 \) needs to be considered.

The use of recurrence relations does not need to compute the factorial function involved in the definition of radial polynomials given by Eq. (10), thus decreasing the computational complexity and avoiding large variation in the dynamic range of polynomial values for higher order of \( p \).

b) Orthogonality

The radial polynomials \( R_{pq}^\alpha \) satisfy the following orthogonality over the unit circle

\[
\int_0^1 R_{pq}^\alpha (r) R_{ql}^\alpha (r) (1-r)^\alpha r dr = \frac{(p-|q|+1)_{2q+1}}{(2p+\alpha+2)(\alpha+1+p-|q|)_{2q+1}} \delta_{pq} \tag{18}
\]

Eq. (18) leads to the following orthogonality of the GPZPs

\[
\int_0^{2\pi} \int_0^1 V_{pq}^\alpha (r, \theta) V_{mn}^\alpha (r, \theta) (1-r)^\alpha r dr d\theta = \frac{2\pi(p-|q|+1)_{2q+1}}{(2p+\alpha+2)(\alpha+1+p-|q|)_{2q+1}} \delta_{pm} \delta_{qn} \tag{19}
\]

The above equation shows that \((1-r)^\alpha\) is the weight function of the orthogonal relation on the unit circle, the integrals with such weight functions over polynomials within the unit circle converge in usual sense only for \( \alpha > -1 \).

A usual way to avoid the numerical fluctuation in moment computation is by means of normalization by the norm. According to Eq. (18), we define the normalized radial polynomials as follows

\[
\tilde{R}_{pq}^\alpha (r) = R_{pq}^\alpha (r) \sqrt{\frac{(2p+\alpha+2)(\alpha+1+p-|q|)_{2q+1}}{2\pi(p-|q|+1)_{2q+1}}} \tag{20}
\]

Fig. 1 shows the plots of \( \tilde{R}_{pq}^\alpha (r) \) with \( q = 10 \) and \( p \) varying from 10 to 14 for \( \alpha \) being
0, 1 and 2, respectively. It can be observed that the set of radial polynomials \( \tilde{R}_{\alpha}^{pq}(r) \) is not suitable for defining moments because the range of values of the polynomials expands rapidly with a slight increase of the order. This may cause some numerical problems in the computation of moments, and therefore affects the extracted features from moments. To remedy this problem, we define the weighted generalized pseudo-Zernike radial polynomials by further introducing the square root of the weight as a scaling factor as

\[
\bar{R}_{\alpha}^{pq}(r) = R_{\alpha}^{pq}(r) \sqrt{\frac{(2p + \alpha + 2)(\alpha + 1 + p - |q|)}{2\pi(p - |q| + 1)^{2\alpha + 1}}(1 - r)^{\alpha/2}}
\] (21)

Fig. 2 shows the plots of weighted radial polynomials \( \bar{R}_{\alpha}^{pq}(r) \) for some given orders with different values of \( \alpha \). It can be seen that the values of the functions for various orders are nearly the same. This property is good for describing an image because there are no dominant orders in the set of functions \( \tilde{V}_{\alpha}^{pq}(r, \theta) \) that will be defined below, therefore, each order of the proposed moments makes an independent contribution to the reconstruction of the image. Table 1 shows the zero point values of some weighted polynomials. It can be seen that the first zero point is shifted to small value of \( r \) as \( \alpha \) increases. Moreover, the distribution of zero points for \( \alpha \) between 10 and 30 is more uniform than \( \alpha = 0 \). These properties could be useful for image description and pattern recognition tasks.

Let

\[
\bar{V}_{\alpha}^{pq}(r, \theta) = \bar{R}_{\alpha}^{pq}(r) \exp(jq\theta)
\] (22)

we have
\[
\int_{0}^{2\pi} \int_{0}^{1} [\bar{V}_{pq}^\alpha(r,\theta)][\bar{V}_{nm}^\alpha(r,\theta)]^* r\,dr\,d\theta = \delta_{pn}\delta_{qm}
\] (23)

C. Generalized pseudo-Zernike moments

The 2D GPZMs \(\overline{Z}_{pq}^\alpha\) of order \(p\) with repetition \(q\) are defined as

\[
\overline{Z}_{pq}^\alpha = \int_{0}^{2\pi} \int_{0}^{1} [V_{pq}^\alpha(r,\theta)]^* f(r,\theta) r\,dr\,d\theta
\] (24)

The corresponding inverse transform is

\[
f(r,\theta) = \sum_{p=0}^{\infty} \sum_{q=0}^{\infty} \overline{Z}_{pq}^\alpha V_{pq}^\alpha(r,\theta)
\] (25)

If only the moments of order up to \(M\) are available, Eq. (25) is usually approximated by

\[
\tilde{f}(r,\theta) = \sum_{p=0}^{M} \sum_{q=0}^{\infty} \overline{Z}_{pq}^\alpha V_{pq}^\alpha(r,\theta)
\] (26)

where

\[
\overline{Z}_{pq}^{(c)} = \int_{0}^{2\pi} \int_{0}^{1} \overline{R}_{pq}^\alpha(r) f(r,\theta) \cos(q\theta) \cdot r\,dr\,d\theta,
\]
\[
\overline{Z}_{pq}^{(s)} = -\int_{0}^{2\pi} \int_{0}^{1} \overline{R}_{pq}^\alpha(r) f(r,\theta) \sin(q\theta) \cdot r\,dr\,d\theta
\] (27)

For a digital image of size \(N \times N\), Eq. (24) is approximated by

\[
\overline{Z}_{pq}^\alpha = \frac{2}{(N-1)^2} \sum_{s=0}^{N-1} \sum_{t=0}^{N-1} \overline{R}_{pq}^\alpha(r_{st}) \exp(-jq\theta_{st}) f(s,t)
\] (28)

where the image coordinate transformation to the interior of the unit circle is given by

\[
r_{st} = \sqrt{(c_1 s + c_2 t)^2 + (c_1 t + c_2 s)^2}, \quad \theta_{st} = \tan^{-1} \left( \frac{c_1 t + c_2 s}{c_1 s + c_2 t} \right), \quad c_1 = \frac{\sqrt{2}}{N-1}, \quad c_2 = -\frac{1}{\sqrt{2}}
\] (29)

3. Experimental results

In this section, we evaluate the performance of the proposed moments. Firstly, we
address the problem of reconstruction capability of the proposed method, and compare it with that of CHFM. The recognition accuracy of GPZMs is then tested and compared with CHFM.

A. Image reconstruction

In this subsection, the image representation capability of GPZMs is first tested using a set of binary images. The GPZMs are computed with Eq. (28) and the image representation power is verified by reconstructing the image using the inverse transform (26). An objective measure is used to quantify the error between the original image $f(x, y)$ and the reconstructed image $\hat{f}(x, y)$, and it is defined as

$$
\varepsilon = \sum_{x=0}^{N-1} \sum_{y=0}^{N-1} | f(x, y) - T(\hat{f}(x, y)) |
$$

where $T(\cdot)$ is the threshold operator

$$
T(u) = \begin{cases} 
1 & u \geq 0.5 \\
0 & u < 0.5 
\end{cases}
$$

The uppercase English letter “E” of size $31 \times 31$ and a Chinese character of size $63 \times 63$ are first used as test images. Tables 2 and 3 show the reconstructed images as well as the relative errors for GPZMs with $\alpha = 0, 4, 8, 12$, and CHFMs respectively. Other values of $\alpha$ have also been tested in this experiment, the detail reconstruction errors for GPZMs with $\alpha = 0, 10, 20$, and CHFMs are shown in Figs. 3 and 4, respectively. As can be seen from the figures, the reconstruction error decreases for the same order of moment when the value of $\alpha$ increases. It can also be observed that the GPZMs (except for $\alpha = 0$) perform better than the CHFMs, and the difference becomes more important when higher order of moments is used.
We then test the robustness of GPZMs in the presence of noise. To do this, we add respectively 5% and 10% of salt-and-pepper noise to the original image “E”, as shown in Figs. 5 and 6. The reconstruction errors for these two cases are shown in Figs. 7 and 8, respectively. The results show that the GPZMs with larger value of $\alpha$ produce less error when the maximum order of moments $M$ is relative lower. Conversely, when the maximum order of moments used in the reconstruction is higher, the reconstruction error re-increases for larger value of $\alpha$. This may be because the term $(1 - r)^{\alpha/2}$ appeared in the weighted radial polynomials is more sensitive to noise for large value of $\alpha$. Another phenomenon that can be observed from these figures is that for a fixed value of $\alpha$, the reconstruction error increases when the maximum order of moments $M$ is higher. This is consistent with the conclusion made in the papers by Pawlak et al.\textsuperscript{15, 16} The reason is that higher order moments contribute to noise reconstruction rather than to the image.

B. Invariant pattern recognition

This subsection provides the experimental study on the recognition accuracy of GPZMs in both noise-free and noisy conditions. From the definition of the GPZMs, it is obvious that the magnitude of GPZMs remains invariant under image rotation, thus they are useful features for rotation-invariant pattern recognition. Since the scale and translation invariance of image can be achieved by normalization method, we do not consider them in this paper. Note that it is also possible to construct the rotation moment invariants that are derived from a product of appropriate powers of GPZMs\textsuperscript{17}. However, the moment invariants constructed in such a way will have a large dynamic
range, this may cause problem in pattern classification. In our recognition task, we have decided to use the following feature vector taken into account the symmetry property of radial polynomials \( \bar{R}_{pq}^\alpha (r) \)

\[
V = [ | \bar{Z}_{20}^\alpha |, | \bar{Z}_{21}^\alpha |, | \bar{Z}_{22}^\alpha |, | \bar{Z}_{30}^\alpha |, | \bar{Z}_{31}^\alpha |, | \bar{Z}_{32}^\alpha |, | \bar{Z}_{33}^\alpha | ]
\]

(32)

where \( \bar{Z}_{pq}^\alpha \) are the weighted GPZMs defined by Eq. (24). The Euclidean distance is utilized as the classification measure

\[
d (V_s, V_t^{(k)}) = \sum_{j=1}^{T} (v_{sj} - v_{tj}^{(k)})^2
\]

(33)

where \( V_s \) is the T-dimensional feature vector of unknown sample, and \( V_t^{(k)} \) is the training vector of class \( k \). The minimum distance classifier is used to classify the images. We define the recognition accuracy \( \eta \) as

\[
\eta = \frac{\text{Number of correctly classified images}}{\text{The total number of images used in the test}} \times 100\%
\]

(34)

Two experiments are carried out. In the first experiment, a set of similar binary Chinese characters shown in Fig. 9 is used as the training set. Six testing sets are used, each with different densities of salt-and-pepper noises added to the rotational version of each character. Each testing set consists of 120 images, which are generated by rotating the training images every 15 degrees in the range \([0, 360)\) and then by adding different densities of noises. Fig. 10 shows some of the testing images. The feature vector based on the weighted GPZMs with different values of parameter \( \alpha \) is used to classify these images and the corresponding recognition accuracy is compared. The results of the classification are depicted in Table 4. One can see from this table that 100% recognition results are obtained, with \( \alpha \) being 18 or 20, for noise-free images.
Note that the recognition accuracy decreases when the noise is high. Table 4 shows that the better recognition accuracy can be achieved for $\alpha$ between 20 to 30, and the corresponding results are much better than those with CHFMs.

In the second experiment, we use a set of grayscale images composed of some Arab numbers and uppercase English characters {0, 1, 2, 5, I, O, Q, U, V} as training set (see Fig. 11). The reason for choosing such a character set is that the elements in subset {0, O, Q}, {2, 5}, {1, I} and {U, V} can be easily misclassified due to the similarity. Five testing sets are used, which are generated by adding different densities of Gaussian white noises to the rotational version of images in the training set. Each testing set is composed of 216 images. Fig. 12 shows some of the testing images, and the classification results are depicted in Table 5. Table 5 shows that the better results are obtained with $\alpha$ varying from 24 to 30.

4. Conclusion

We have presented a new type of orthogonal moments based on the generalized pseudo-Zernike polynomials for image description. We showed that the proposed moments are an extension of the conventional pseudo-Zernike moments, and are more suitable for image analysis. Experimental results demonstrated that the generalized Pseudo-Zernike moments perform better than the traditional pseudo-Zernike moments and Chebyshev-Fourier moments in terms of rotation invariant pattern recognition accuracy and image reconstruction error in both noise-free and noisy conditions. Therefore, GPZMs could be useful as new image descriptors.
Acknowledgements

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References


Table 1. Comparison of positions of the radial real-valued GPZP zeros with different $\alpha$

The value of $p$ ($q=10$)

<table>
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<th>$\alpha = 0$</th>
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<th>$\alpha = 20$</th>
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<td>-</td>
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Table 2. Image Reconstruction of the letter “E” of size 31×31 without noises

<table>
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<th>Original Image</th>
<th>Reconstructed Images</th>
<th>Error ε</th>
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<td>α=0</td>
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<td><img src="image.png" alt="Image" /></td>
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<tr>
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<td>29 13 4 4 2 0 0 0 0</td>
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<td>Max Order</td>
<td>4 6 8 10 12 14 16 18 20</td>
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Table 3. Image Reconstruction of a Chinese character of size 63×63 without noise

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<td><img src="image" alt="Chinese character" /></td>
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<table>
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<th>Reconstructed Images</th>
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### Table 4. Classification results of the first experiment

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<tr>
<th>Parameter</th>
<th>Recognition accuracy (%) under different salt and pepper noises</th>
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Table 5. Classification results of the second experiment

<table>
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<th>Parameter $\alpha$ for GPZMs noise free</th>
<th>Recognition accuracy ( %) under different $\sigma^2$ Gaussian white noises</th>
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Figure lists

1. Fig.1. The plots of normalized radial polynomials $\widetilde{R}_{pq}^{\alpha}(r)$.
   
   Fig.1. a) $\alpha = 0$;
   
   Fig.1. b) $\alpha = 1$;
   
   Fig.1. c) $\alpha = 2$.

2. Fig.2. The plots of weighted radial polynomials $\overline{R}_{pq}^{\alpha}(r)$ and their zero distributions with different values of $\alpha$
   
   Fig.2. a) $\alpha = 0$;
   
   Fig.2. b) $\alpha = 10$;
   
   Fig.2. c) $\alpha = 20$;
   
   Fig.2. d) $\alpha = 30$;
   
   Fig.2. e) $\alpha = 40$.

3. Fig.3. Plot of reconstruction error for “E” without noise

4. Fig.4. Plot of reconstruction error for the Chinese character without noise

5. Fig.5. “E” added with 5% salt and pepper noises

6. Fig.6. “E” added with 10% salt and pepper noises

7. Fig.7. Reconstruction error for “E” with 5% salt and pepper noises

8. Fig.8. Reconstruction error for “E” with 10% salt and pepper noises

9. Fig.9. Binary images as training set for rotation invariant character recognition in the first experiment

10. Fig.10. Part of the images of the testing set with 15% salt and pepper noises in the first experiment
11. Fig.11. Grayscale Images of the training set used in the second experiment

12. Fig.12. Part of the images of the testing set with $\sigma^2=0.10$ Gaussian white noises in the second experiment
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a) $\alpha = 0$

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Fig. 7. Reconstruction error for “E” with 5% salt and pepper noises

Reconstruction error with 5% salt & pepper noises

Fig. 7. Reconstruction error for “E” with 5% salt and pepper noises
Fig. 8. Reconstruction error for “E” with 10% salt and pepper noises

Fig. 9. Binary images as training set for rotation invariant character recognition in the first experiment
Fig. 10. Part of the images of the testing set with 15% salt and pepper noises in the first experiment

Fig. 11. Grayscale Images of the training set used in the second experiment

Fig. 12. Part of the images of the testing set with $\sigma^2=0.10$ Gaussian white noises in the second experiment