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Manuscript Title: Cured meat intake is associated with worsening asthma symptoms

Corresponding author:

Name: Zhen Li

Address: Inserm U1168, Hopital Paul Brousse, Batiment Leriche, 16, avenue Paul Vaillant
Couturier, 94807 Villejuif, France

Tel: +33 1 45 59 53 58

Fax: +33 1 45 59 51 69

E-mail: zhen.li@inserm.fr

Authors' full names and affiliations:

Zhen Li,^{1,2,3} Marta Rava,^{1,4} Annabelle Bédard,^{1,2} Oriane Dumas,^{1,2} Judith Garcia-
Aymerich,^{5,6,7} Bénédicte Leynaert,⁸ Christophe Pison,⁹ Nicole Le Moual,^{1,2} Isabelle
Romieu,¹⁰ Valérie Siroux,^{11,12,13} Carlos A. Camargo Jr,¹⁴ Rachel Nadif,^{1,2*} Raphaëlle
Varraso^{1,2*}

*These authors contributed equally to this work

¹Inserm, U1168, VIMA: Aging and chronic diseases. Epidemiological and public health
approaches, F-94807, Villejuif, France

²Univ. Versailles St-Quentin-en-Yvelines, UMR-S 1168, F-78180, Montigny le Bretonneux,
France

³Univ. Paris-Sud, France

⁴Spanish National Cancer Research Centre (CNIO), Genetic & Molecular Epidemiology
Group, Human Cancer Genetics Program, Madrid, Spain

⁵Centre for Research in Environmental Epidemiology (CREAL), Barcelona, Spain

⁶Universitat Pompeu Fabra (UPF), Barcelona, Spain

⁷CIBER Epidemiología y Salud Pública (CIBERESP), Barcelona, Spain

⁸Inserm UMR1152, Physiopathologie et épidémiologie des maladies respiratoires, équipe

Epidémiologie, Paris, France

⁹Clinique Universitaire de Pneumologie, Pôle Thorax et Vaisseaux, CHU Grenoble, Grenoble, France; Inserm1055, Grenoble, France; Université Alpes Grenoble, France

¹⁰Instituto Nacional De Salud Publica, Mexico; currently at the International Agency for Research on Cancer, Lyon, France.

¹¹Inserm, IAB, Team of Environmental Epidemiology applied to Reproduction and Respiratory Health, Grenoble, France

¹²Univ. Grenoble Alpes, IAB, Team of Environmental Epidemiology applied to Reproduction and Respiratory Health, F-38000 Grenoble, France

¹³CHU de Grenoble, IAB, Team of Environmental Epidemiology applied to Reproduction and Respiratory Health, F-38000 Grenoble, France

¹⁴Department of Emergency Medicine, Massachusetts General Hospital, Harvard Medical School, Boston, USA

Key words: asthma, asthma symptom score, cured meat, body mass index, mediation analysis

Key messages:

What is the key question?

Is high cured meat intake, a risk factor for several diseases, associated with worsening asthma symptoms in adults? Does body mass index (BMI) play as a mediator in this association?

What is the bottom line?

Correctly accounting for the BMI as a mediator, this paper shows that high cured meat intake was associated with worsening asthma symptoms over time, through a direct effect and to a lesser extent an effect mediated by BMI.

Why read on?

This paper is not only the first prospective study on the association between cured meat intake and asthma symptoms, it is also the first application of newly developed methods to estimate the role of BMI as a mediator in the diet-asthma association.

Conclusion for Twitter feed: Higher cured meat intake was associated with worsening asthma symptoms over time, directly and indirectly through BMI.

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Number of figures: 3

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Number of references: 43

Number of online supplementary files: 1

1 **ABSTRACT (244 words, 250 max)**

2 **Background** Cured meat intake – a recent carcinogenic factor – may increase the risk of
3 chronic obstructive pulmonary disease, but its association with asthma remains unknown.
4 Though body mass index (BMI) is a likely risk factor for asthma, its role in the diet-asthma
5 association as a mediator has never been studied. We investigated the association between
6 cured meat intake and worsening asthma symptoms in adults, and the role of BMI as a
7 potential mediator.

8 **Methods** Using data from the French prospective EGEA study (baseline: 2003-2007; follow-
9 up: 2011-2013), we applied a mediation analysis in the counterfactual framework, a marginal
10 structural model (MSM), to estimate the direct effect of baseline cured meat intake (<1, 1-
11 3.9, ≥ 4 servings/week) on change in asthma symptom score (worsening or not), and the
12 indirect effect mediated by BMI.

13 **Results** Among the 971 participants (mean age 43 years; 49% men; 42% with asthma), 20%
14 reported worsening asthma symptoms during the mean follow-up time of seven years. Using
15 the MSM, we reported a positive direct effect of cured meat intake on worsening asthma
16 symptoms (multivariable OR=1.76, 95% CI 1.01 to 3.06 for ≥ 4 vs. <1 serving/week). We
17 also reported an indirect effect mediated by BMI (OR=1.07; 95%CI 1.01 to 1.14), accounting
18 for 14% of the total effect.

19 **Conclusions** Higher cured meat intake was associated with worsening asthma symptoms
20 over time, through a direct effect and to a lesser extent an effect mediated by BMI. This
21 research extends the effect of diet on asthma in adults.

22

1 **Main Text (3579 words, 3500 max)**

2 **INTRODUCTION**

3 Cured meat, an important component of the Western diet, has recently been classified as
4 carcinogenic by the World Health Organization.[1] High cured meat intake is a risk factor not
5 only for cancer,[2] but also for several chronic diseases [3,4] and all-cause mortality.[3] The
6 deleterious health effects of high cured meat intake have been increasingly observed.
7 Regarding lung health, frequent cured meat intake is associated with lung cancer,[2,5,6]
8 decreased lung function [7,8] and chronic obstructive pulmonary disease (COPD) symptoms,
9 [6,7] exacerbations [9] or incidence.[10,11] As cured meat is known for its high-nitrite
10 content [12] which may lead to nitrosative stress related airway inflammation – one of the
11 several physiological processes involved in asthma,[13] it is reasonable to posit that cured
12 meat intake is an independent risk factor for asthma. To our knowledge, only two studies –
13 both performed in the United States – have investigated the association between cured meat
14 intake and the risk of adult-onset asthma, and they reported no significant association after
15 adjustment for body mass index (BMI) and other confounders.[10,11]

16 Since obesity is a likely risk factor for both incidence and exacerbations [14–16] of
17 asthma, one challenge when investigating the association between diet and asthma is to
18 properly account for BMI. As diet affects BMI, it is plausible that BMI lies in the causal
19 pathway between diet and asthma.[17] Thus the classical way, in which we consider BMI as
20 a confounder in the analysis and adjust for BMI, may over adjust the diet-asthma association
21 due to the likely mediating role of BMI.[17,18] Recently, mediation analyses in the
22 counterfactual framework have been proposed to disentangle direct and indirect effects.[19–
23 21]

24 Asthma is a complex disease, with strong clinical phenotypic heterogeneity and
25 temporal phenotypic variability. Whereas the doctor-diagnosed incidence of asthma is widely

1 used, there have been increasing concerns about its limitations, particularly due to the
2 dichotomous assumption of asthma.[22] Recently, an asthma symptom score has been
3 proposed to study risk factors for asthma in longitudinal studies.[23,24] Being a multi-
4 categorical measure, the score provides more power to detect risk factors for asthma
5 incidence and asthma control.[23,24] Moreover, the asthma symptom score detects the
6 change in asthma symptoms over time, reflecting either asthma incidence, or captures the
7 temporal variability of the disease among participants with asthma - remission, relapse or
8 persistence.[23] Given that previous studies showed weak association between dietary factors
9 and adult-onset asthma incidence,[25] the asthma symptom score might be particularly
10 relevant to studying the role of dietary factors as an etiological risk factor and as a disease
11 modifier.[26]

12

13 In the current study, we aimed to investigate the association between cured meat
14 intake and the change in asthma symptom score in a French prospective study of adults, and
15 to assess the role of BMI as a mediator using counterfactual approach to mediation analysis.

1 **METHODS**

2 **Study sample**

3 The EGEA study (Epidemiological study on the Genetics and Environment of Asthma,
4 bronchial hyperresponsiveness and atopy) is a longitudinal study with an initial group of
5 asthma cases, their first-degree relatives, and a group of controls, followed-up over 20 years
6 (<https://egeanet.vjf.inserm.fr/index.php/fr/>). Protocol and descriptive characteristics of the
7 EGEA study have been previously published [27,28]. Briefly, 2047 participants from 5 cities
8 in France were enrolled between 1991 and 1995. Between 2003 and 2007, this population
9 was contacted for the second survey (EGEA2). Out of 2002 participants alive, 1845
10 completed a short self-administered questionnaire and 1601 had a complete medical
11 examination (among whom 715 (42%) ever had asthma). As a follow-up study of EGEA2,
12 the third survey (EGEA3) was performed between 2011 and 2013 using a self-completed
13 questionnaire, and 1558 questionnaires were returned.

14 Since diet was measured at EGEA2 only, we used data collected at EGEA2 as baseline
15 and EGEA3 as the follow-up. Out of the 1601 participants from EGEA2, 35 adolescents (less
16 than 16 years-old) were firstly excluded. Among adults (n=1566), we excluded 330
17 participants who did not fill the food frequency questionnaire, had an inadequate assessment
18 of diet, or had an implausibly high or low total energy intake.[29] Ten participants with
19 missing data for the asthma symptom score were further excluded. Among the 1226
20 remaining, 185 (15%) were lost to follow-up; compared with participants lost to follow-up
21 (n=185), participants followed at EGEA3 (n=1041) were less likely to be men or smokers,
22 and were similar regarding age, BMI, educational level, physical activity, and asthma status
23 (online supplemental table 1). After excluding 70 participants with missing data for the
24 asthma symptom score assessed at EGEA3 out of the 1041, the final study sample included
25 971 participants (figure 1).

1 Among adults, excluded participants (n=595) were similar compared with participants
2 included in analysis (n=971) regarding age, BMI, physical activity, sex, smoking and asthma
3 status, but they were more likely to have a lower educational level (online supplemental table
4 2).

5 Ethical approval was obtained from the relevant institutional review board committees
6 (Cochin Port-Royal Hospital and Necker-Enfants Malades Hospital, Paris). All participants
7 signed written informed consent.

8

9 **Dietary intakes**

10 At EGEA2, we used a 118-item food frequency questionnaire based on a French validated
11 dietary questionnaire [30] to assess average dietary intakes during the previous 12 months.
12 These 118 items were grouped into 46 food groups and average intake was calculated for
13 each group.[29] The French food composition table from the SU.VI.MAX survey was used to
14 calculate the nutrients intakes and total energy intake (kcal/day).[31] The cured meat group
15 included 3 items: ham (2 slices/portion), sausage (1/portion) and dried sausage (2
16 slices/portion). According to the distribution of cured meat intake, exposure was categorized
17 in 3 classes: <1 serving/week (lowest; 19%), 1-3.9 servings/week (medium; 48%) and ≥ 4
18 servings/week (highest; 33%). The group with the lowest intake was considered as “non-
19 exposed” and was taken as the reference group.

20 To account for the overall remaining effect of diet in the cured meat-asthma association,
21 analyses were adjusted for dietary patterns derived from all food groups except cured meat.

22 In the EGEA study, two dietary patterns were previously identified using confirmatory factor
23 analysis (CFA) on the 46 food groups: 1) a Prudent pattern characterized by a high intake of
24 vegetables, fruits, oils, whole grains cereals and fish, and 2) a Western pattern loaded by a
25 high consumption of prepared meal, French fries, cured meat, condiments, alcohol, beer/cider,

1 sandwiches and potatoes.[29] In the current analysis, we derived a “modified Western pattern”
2 and a Prudent pattern using CFA on 45 food groups (all food groups except cured meat,
3 online supplemental table 3). The individual scores obtained from CFA for the two dietary
4 patterns were used as potential confounders in analysis.

5

6 **Asthma symptom score**

7 The asthma symptom score has been proposed as a continuous measure to study risk factors
8 for asthma in longitudinal studies. Compared with dichotomous definition of asthma,
9 increased power in analysis identifying risk factors was suggested when using the asthma
10 symptoms score. [23,24] In the EGEA study, the asthma symptom score was calculated both
11 at EGEA2 and EGEA3. Ranging from 0 to 5, the score is based on the number of respiratory
12 symptoms during the past 12 months reported in the questionnaire: 1) breathless while
13 wheezing, 2) woken up with chest tightness, 3) attack of shortness of breath at rest, 4) attack
14 of shortness of breath after exercise, and 5) woken by attack of shortness of breath. Change in
15 asthma symptom score was categorized as “stable or improved” if EGEA3 score - EGEA2
16 score ≤ 0 , and “worsening” if EGEA3 score - EGEA2 score > 0 .

17

18 **Body mass index**

19 Weight and height of participants were measured at EGEA2. Body mass index (kg/m^2) at
20 EGEA2 was calculated as $\text{weight}/\text{height}^2$ and was categorized into 5 classes: <22.5 , 22.5 - 24.9 ,
21 25 - 27.4 , 27.5 - 29.9 , ≥ 30 .

22

23 **Other variables**

24 Age, sex, smoking status (never and ever smoking), educational level, and physical activity
25 were assessed at baseline (EGEA2). Educational level was categorized into 2 classes

1 depending on whether the participants had higher education (university or equivalent).
2 Leisure-time physical activity was assessed in metabolic equivalents per week (METs/w)
3 taking into account a variety of intensive activities (such as jogging, aerobic, swimming,
4 cycling, tennis, soccer, digging in the garden), moderate activities (such as cleaning, walking,
5 golf, slight gardening), and light activities (such as reading, watching TV, office work,
6 driving, eating). Average physical activity was estimated by summing the product of average
7 active time per week and the metabolic equivalent value of each activity.

8 Due to the case-control design of the EGEA study, the study population was enriched
9 with participants with asthma. To take into account this study design, ever asthma was
10 considered as a covariate in analysis. Ever asthma was defined by being recruited as an
11 asthma case in chest clinics at EGEA1 or responding positively to at least one of the two
12 questions at EGEA1 or EGEA2: 1)“Have you ever had attacks of breathlessness at rest with
13 wheezing?” and 2)“Have you ever had asthma attacks?” Never asthma was defined by
14 absence of asthma at EGEA1 and EGEA2.

15

16 **Statistical analysis**

17 We used a direct acyclic graph (DAG) to represent our mediation model (figure 2). Age
18 (continuous), sex, smoking status (never/ever), educational level (low/high (i.e., university or
19 equivalent)), leisure-time physical activity (expressed in metabolic equivalents/week,
20 continuous), dietary patterns, total daily energy intake, and asthma status at EGEA2 were
21 considered as potential confounders.

22 Several methods with practical implementation have recently been proposed to
23 disentangle the natural direct and indirect effects in the counterfactual framework.[19–21] In
24 the current analysis, we applied the marginal structural model proposed by Lange and
25 colleagues, [20] which has been described as a simple approach and can be implemented in

1 standard software for almost any variable type. Detailed implementation of this method was
2 described in the online supplementary methods. As previously described,[19,20] several
3 conditions are required to apply such methods, and we assumed that they were satisfied
4 (online supplementary methods).

5 To evaluate how much of the total effect was due to the effect of BMI, we calculated
6 the “proportion explained” by BMI as $(OR_{TE}-OR_{NDE}) / (OR_{TE}-1)$, where OR_{TE} and OR_{NDE}
7 were respectively the odds ratio of total effect and natural direct effect.[32] The total effect
8 was calculated as $OR_{NDE} \times OR_{NIE}$, where OR_{NIE} denoted the natural indirect odds ratio.

9 We tested potential interactions between cured meat intake and BMI in the association
10 with asthma.[20] In addition, since cured meat intake is usually higher in men than in
11 women,[33,34] and often associated with smoking habit,[35] we conducted sensitivity
12 analyses stratified on sex and smoking status, and formally tested interactions of cured meat
13 intake with sex and smoking. Furthermore, considering the case-control design of EGEA
14 study, we also performed a stratified analysis on asthma status at EGEA2.

15 As a sensibility analysis, we replicated our results using another method of mediation
16 analysis in the counterfactual framework, a 2-stage regression model, which provides
17 estimation of total effect and standard errors using bootstrapped samples [19] (see online
18 supplementary methods for details).

19 All analyses were performed using SAS version 9.3 (SAS Institute Inc., Cary, North
20 Carolina).

1 RESULTS

2 Description of population

3 The study sample was comprised of 971 participants. The mean age was 43 years old and
4 49% were men. At EGEA2, 42% participants had ever asthma, 51% were never smokers,
5 35% were overweight and 9% were obese. The median cured meat intake was 2.5 (Q1-Q3:
6 1.5 - 4.5) servings/week.

7 Baseline characteristics of the participants according to cured meat intake are presented
8 in table 1. Compared with participants with the lowest intake (<1 serving/week) of cured
9 meat, participants with the highest intake (≥ 4 serving/week) were younger ($p < 0.001$), more
10 likely to be men ($p < 0.001$) and smokers ($p = 0.04$), to report a higher total energy intake
11 ($p < 0.001$), and to have ever asthma ($p = 0.03$); as expected, they also consumed more sodium
12 ($p < 0.001$) and saturated fat ($p < 0.001$). Besides, participants who had a higher intake of cured
13 meat were more likely to be in a higher BMI category ($p = 0.01$).

14

15

Table 1 Characteristics for participants at baseline (EGEA2) according to cured meat intake (n=971)

| | Cured meat intake | | | p Value |
|--|---------------------|-----------------------|-------------------|---------|
| | <1 s/w (n = 181) | 1-3.9 s/w (n =462) | ≥4 s/w (n=328) | |
| Age, y | 45 ± 16 | 44 ± 16 | 40 ± 16 | <0.001 |
| Men, n (%) | 60 (33) | 221 (48) | 195 (59) | <0.001 |
| BMI, kg/m ² | 23.7 ± 3.8 | 24.5 ± 4.1 | 24.6 ± 4.4 | 0.07 |
| BMI, kg/m ² , n (%) | | | | 0.01 |
| <22.5 | 85 (47) | 172 (37) | 131 (40) | |
| 22.5-24.9 | 40 (22) | 128 (28) | 71 (22) | |
| 25-27.4 | 34 (19) | 77 (17) | 54 (16) | |
| 27.5-29.9 | 13 (7) | 44 (9) | 32 (10) | |
| ≥30 | 9 (5) | 41 (9) | 40 (12) | |
| Smoking (ever), n (%) | 82 (45) | 209 (45) | 179 (55) | 0.03 |
| Higher education, n (%) | 87 (48) | 254 (55) | 153 (47) | 0.06 |
| Total energy intake, kcal/day | 2187 ± 593 | 2357 ± 606 | 2657 ± 650 | <0.001 |
| Leisure-time physical activity, METs/w | 44 ± 16 | 45 ± 17 | 45 ± 17 | 0.72 |
| Ever asthma at EGEA2, n (%) | 68 (38) | 184 (40) | 156 (48) | 0.04 |
| Asthma symptom score at EGEA2, n (%) | | | | 0.11 |
| 0 | 86 (47) | 231 (50) | 142 (43) | |
| 1 | 39 (22) | 116 (25) | 70 (21) | |
| 2 - 5 | 56 (31) | 115 (25) | 116 (36) | |
| Modified Western pattern* | -1.5 ± 3.3 | -0.5 ± 3.3 | 1.6 ± 4.4 | <0.001 |
| Prudent pattern* | 0.5 ± 2.4 | 0 ± 1.9 | -0.2 ± 2.4 | 0.002 |
| Foods/nutrients intake | | | | |
| Cured meat, serving/day | 0.7 ± 0.4 | 2.3 ± 0.7 | 6.2 ± 3.5 | <0.001 |
| Fruits, serving/day | 3.9 ± 2.8 | 3.7 ± 2.8 | 3.6 ± 3.0 | 0.47 |
| Vegetables, serving/day | 3.9 ± 2.1 | 3.7 ± 2.0 | 3.8 ± 2.2 | 0.83 |
| Whole grain cereals, serving/day | 0.6 ± 1.0 | 0.5 ± 0.8 | 0.4 ± 0.8 | 0.07 |
| Fish, serving/day | 0.4 ± 0.3 | 0.4 ± 0.3 | 0.5 ± 0.4 | 0.18 |
| Vitamin C, mg/day | 252 ± 136 | 240 ± 124 | 248 ± 133 | 0.50 |
| Sodium, g/day | 3.2 ± 1.1 | 3.5 ± 1.1 | 4.3 ± 1.2 | <0.001 |
| Total fat, g/day | 88 ± 27 | 100 ± 29 | 115 ± 33 | <0.001 |
| Saturated fat, g/day | 33 ± 10 | 38 ± 12 | 45 ± 13 | <0.001 |

Data are expressed as mean ± SDs, or number of subjects (%). Overall p values were calculated from ANOVA for continuous variables, from Mantel-Haenszel chi-square test for ordinal categorized variables (BMI classes and asthma symptom score), and from Pearson chi-square test for other categorized variables.

*Modified Western pattern, Prudent pattern: identified using confirmatory factor analysis (CFA). Mean individual scores obtained from CFA were shown.

s/w, serving/week; METs/w, metabolic equivalents per week.

1

1 **Cured meat intake and change in asthma symptom score**

2
3 At EGEA3, after a mean follow-up time of seven years (ranging from five to nine years), 513
4 participants (53%) reported the same asthma symptom score as EGEA2 (stable), 191 (20%)
5 reported more symptoms (worsening), and 267 (27%) had less symptoms (improved). The
6 proportion of participants with worsening asthma symptoms was 14%, 20% and 22%
7 respectively among those who ate <1, 1-3.9 and ≥ 4 servings/week of cured meat (p for
8 trend=0.04).

9 Results of mediation analyses using the marginal structural model are presented in table
10 2. Among all the participants, after adjustments for age, sex, smoking, educational level,
11 physical activity, dietary patterns, total energy intake, and asthma status, we observed a
12 positive direct effect of cured meat intake on worsening asthma symptoms: the odds ratio
13 (OR) was 1.76 (95% CI: 1.01, 3.06) when comparing the highest to the lowest intake. We
14 also reported a positive indirect effect mediated by BMI: the adjusted OR was 1.07 (95% CI:
15 1.01, 1.14) when comparing the highest to the lowest intake (figure 3). The percentage
16 mediated by BMI of the association was 14%. No interaction was observed between cured
17 meat intake and BMI (p for interaction=0.90) in the associations with asthma. We formally
18 tested interactions for total effect between cured meat intake with sex (p for
19 interaction=0.50), smoking status (p for interaction=0.60), and asthma status (p for
20 interaction=0.50). When we performed stratified analyses according to sex, smoking, and
21 asthma status, results were similar in all subgroups (OR>1), although the power was limited
22 (table 2).

Table 2 Direct and indirect effects of cured meat intake on worsening asthma symptoms between EGEA 2 and 3, using the marginal structural model (n=971)

| | No. stable or improved/ worsening | | | Direct effect* | | | Indirect effect* | | |
|------------------|--------------------------------------|-----------|--------|----------------|-------------------|-------------------|------------------|-------------------|-------------------|
| | <1 s/w | 1-3.9 s/w | ≥4 s/w | <1 s/w | 1-3.9 s/w | ≥4 s/w | <1 s/w | 1-3.9 s/w | ≥4 s/w |
| All participants | 155/26 | 370/92 | 255/73 | 1.00 | 1.56 (0.93, 2.61) | 1.76 (1.01, 3.06) | 1.00 | 1.04 (1.00, 1.09) | 1.07 (1.01, 1.14) |
| Subgroups | | | | | | | | | |
| Sex | | | | | | | | | |
| Men | 51/9 | 184/37 | 153/42 | 1.00 | 1.04 (0.43, 2.50) | 1.31 (0.54, 3.20) | 1.00 | 1.04 (0.96, 1.12) | 1.06 (0.96, 1.17) |
| Women | 104/17 | 186/55 | 102/31 | 1.00 | 1.97 (1.03, 3.77) | 2.00 (0.96, 4.16) | 1.00 | 1.05 (1.00, 1.11) | 1.09 (1.00, 1.18) |
| Smoking | | | | | | | | | |
| Never | 87/12 | 206/45 | 113/36 | 1.00 | 1.61 (0.73, 3.54) | 2.47 (1.03, 5.92) | 1.00 | 1.08 (0.98, 1.19) | 1.11 (0.99, 1.24) |
| Ever | 68/14 | 162/47 | 142/37 | 1.00 | 1.52 (0.76, 3.06) | 1.25 (0.60, 2.61) | 1.00 | 1.02 (0.99, 1.04) | 1.05 (0.98, 1.11) |
| Asthma | | | | | | | | | |
| Ever | 57/11 | 135/49 | 118/38 | 1.00 | 2.21 (1.00, 4.88) | 2.16 (0.93, 5.04) | 1.00 | 1.08 (1.00, 1.16) | 1.13 (1.01, 1.27) |
| No | 98/15 | 235/43 | 137/35 | 1.00 | 1.27 (0.64, 2.49) | 1.63 (0.80, 3.33) | 1.00 | 1.02 (0.97, 1.08) | 1.04 (0.97, 1.11) |

*For all participants, we adjusted for age, sex, smoking status, educational level, leisure-time physical activity, modified Western and Prudent patterns, total energy intake, and asthma status at EGEA2; for subgroup analyses, we adjusted for all potential confounders except the stratifying variable.

s/w, serving/week.

1 Analyses using another method of mediation analysis in the counterfactual framework
2 yielded similar results to those obtained through the marginal structural model (online
3 supplemental table 5 and 6).
4
5

1 DISCUSSION

2 In this French prospective study of adults, using mediation analysis in the counterfactual
3 framework, we reported a positive association between high cured meat intake (≥ 4
4 serving/week) and worsening asthma symptoms over time. While the indirect effect mediated
5 through BMI accounted for only 14% of this association, the direct effect explained a greater
6 proportion, suggesting a deleterious role of cured meat independent of BMI.

7 Diet is highly influenced by culture as well as geographical, environmental, and
8 socioeconomic factors. In our study, cured meat food group included three typical French
9 cured meats - ham, sausages, and dried sausage. The mean intakes of cured meats in the
10 EGEA study were similar to those reported by the French National Individual Survey on
11 Food Consumption 2006-2007.[34] The EGEA results are in agreement with previous
12 researches indicating a harmful association between cured meat intake and different measures
13 of lung health in several countries, where the types and preparation of cured meats may be
14 different.[5–9] However, two large prospective American studies,[10,11] with different types
15 of cured meat (mainly bacon, hot dogs and sausages), reported that cured meat intake may
16 increase the risk of newly diagnosed COPD, but not the risk of incident asthma, after
17 adjustment for BMI and other potential confounders. This different finding may result from
18 the role of BMI in the association (confounder vs. mediator) or the assessment of respiratory
19 phenotypes (incidence vs. change in asthma symptoms).

20 In the present study, BMI was taken into account as a mediator rather than a
21 confounder. The modification of dietary habits, the obesity epidemic, and the decrease in
22 physical activity, have been suggested to play a role in the increase of asthma worldwide
23 during the last decades.[36] Overweight and obesity reflect an imbalance between energy
24 provision (i.e. intake of calories) and expenditure (i.e. physical activity), so the interrelations
25 between these factors make it difficult to disentangle their separate roles on asthma, and to

1 identify confounders and mediators in the diet-asthma association.[17] To date, the
2 adjustment for BMI has been widely used when investigating the role of nutritional factors in
3 obesity-related diseases. Previous studies have consistently suggested a dose-dependent
4 association between BMI and the risk of asthma incidence and control,[14–16] indicating that
5 obesity is a likely mediator in the diet-asthma association. The traditional BMI-adjusted
6 method could over adjust the association and lead to biased results. Mediation analysis in the
7 counterfactual framework allows taking into account BMI correctly in such association.
8 Moreover, it provides a quantitative measure of the proportion mediated through a given
9 mediator, which could help to better understand the observed association. To the best of our
10 knowledge, this is the first application of newly developed methods for mediation analysis to
11 estimate the association between cured meat intake and asthma.

12 Accounting for BMI as a mediator, we reported an overall positive association between
13 high cured meat intake and worsening asthma symptoms. The indirect effect mediated
14 through BMI accounted for only 14% of the total effect, supporting the hypothesis that the
15 cured meat-asthma association is related to other mechanisms. Several potential mechanisms
16 were proposed by previous studies involving biological markers. First, cured meats are rich in
17 nitrite, which may lead to nitrosative stress [13] and oxidative stress [37] related lung damage
18 and asthma. Second, the positive relation between cured meat intake and C-reactive protein
19 [38] indicated that cured meat might increase the systemic inflammation, which may have an
20 influence on asthma. In addition, the high content of salt and saturated fat in cured meat
21 might also contribute in part to the association with asthma, though existing evidence has
22 been mainly for childhood-onset asthma.[39] Further studies need to be carried out to
23 improve our understanding regarding potential mechanistic processes.

24 Besides the BMI issue (mediator vs. confounder), we have used, unlike the previous
25 studies,[10,11] an asthma symptom score instead of incident doctor-diagnosed adult-onset

1 asthma. Studies on incidence assume that the disease is a true dichotomous entity, which
2 might be inappropriate in asthma for several reasons: 1) asthma is a complex disease, with
3 strong clinical phenotypic heterogeneity and temporal phenotypic variability in both adults
4 and children; [40, 41] 2) asthma develop over a long period of time with preclinical status
5 difficult to be defined; and 3) asthma has low incidence in adults and different
6 epidemiological definitions of asthma exist. These characteristics of asthma might lead to
7 misclassification and biased association estimates.[22] Without making the dichotomous
8 assumption of the asthma status, the asthma symptom score captures temporal variability of
9 asthma and allows assessment of the disease progression in longitudinal studies,[23] and it is
10 a more powerful tool to investigate risk factors for asthma. Furthermore, the asthma symptom
11 score was shown to have increased specificity and positive predictive value when compared
12 to self-report ever asthma or bronchial hyperresponsiveness;[23] and the asthma symptom
13 score at baseline has been associated with markers of asthma but not those of COPD at
14 follow-up.[24] In participants with asthma, worsening symptoms capture relapse or
15 exacerbation of the disease, and in participants without asthma, worsening symptoms
16 coincide with the asthma incidence and activity. While the asthma symptom score was
17 developed and validated in a general population,[23, 24] our results were obtained in a
18 population among which 42% had ever asthma. Although previous research has suggested
19 that diet could be a modifier on asthma control rather than an etiological risk factor for
20 incidence of asthma,[26] we did not observe interaction between cured meat intake and
21 asthma status at EGEA2 on the association with worsening symptoms. The stratified analysis
22 according to asthma status at EGEA2 showed similar effects in participants with ever asthma.
23 Further studies are needed to clarify potential difference related to asthma status in the effect
24 of cured meat intake on worsening asthma symptoms.

1 Because people consume complex meals rather than isolated food/nutrients that might
2 interact with each other, the association between cured meat intake itself and the asthma
3 symptom score is difficult to assess and may be partly due to the confounding by other food
4 groups.[25] Therefore we adjusted the associations for scores of dietary patterns. The total,
5 direct and indirect effects remained after adjustment for these dietary scores. We
6 acknowledge that findings might not be generalizable to populations with completely
7 different diet habits, such as infrequent cured meat intake and very different food
8 consumptions. The association between cured meat intake and the asthma symptom score
9 may also be due, in part, to a residual confounding by cigarette smoking.[42] To minimize
10 this possibility, we performed a stratified analysis according to smoking status and yielded
11 comparable results in never smokers, although we faced a statistical power issue.
12 Additionally, since cured meat intake has been suggested as a risk factor for COPD,[10,11]
13 the potential overlap between COPD and asthma might contribute to the association between
14 high cured meat intake and asthma. Nevertheless, the overlap between COPD and asthma is
15 less likely in the current study because: 1) comparable results were obtained among never
16 smokers, 2) the EGEA study was initiated as a case-control study on asthma with cases
17 recruited in hospital, with both childhood asthma and adult-onset asthma,[27,28] and 3) the
18 use of the asthma symptom score reduces the possibility of this overlap.[24] We
19 acknowledge that our definition of “non-exposed” group (<1 serving/week) was not ideal.
20 Due to the limited number of non-eaters of cured meat in the EGEA study, we faced a
21 statistical power issue in subgroup analyses. We acknowledge that 15% of the participants
22 were lost to follow-up. However, most of the characteristics were similar among included and
23 lost to follow-up participants, excepted for sex and smoking (as expected, more men and
24 more smokers were lost to follow-up). We also acknowledge that deterministic approach was
25 used to deal with missing data for asthma symptom score (7% participants). Similar to any

1 other observational study, though we were able to adjust for many potential confounders due
2 to the extended information available in our study, the validity of the results relies on the
3 assumption of no unmeasured confounding.[43] Besides, we acknowledge that the recall bias
4 could exist as data from questionnaires were used.

5 In summary, correctly accounting for BMI as a potential mediator in the diet-asthma
6 association, higher cured meat intake was associated with worsening asthma symptoms over
7 time, through not only an indirect effect mediated by BMI but also a greater direct effect.
8 This research extends the deleterious effect of cured meat in health, as well as the effect of
9 diet on asthma in adults, and provides a novel analysing approach regarding the role of BMI
10 in the diet-asthma association.

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Competing interests None declared.

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Figures:

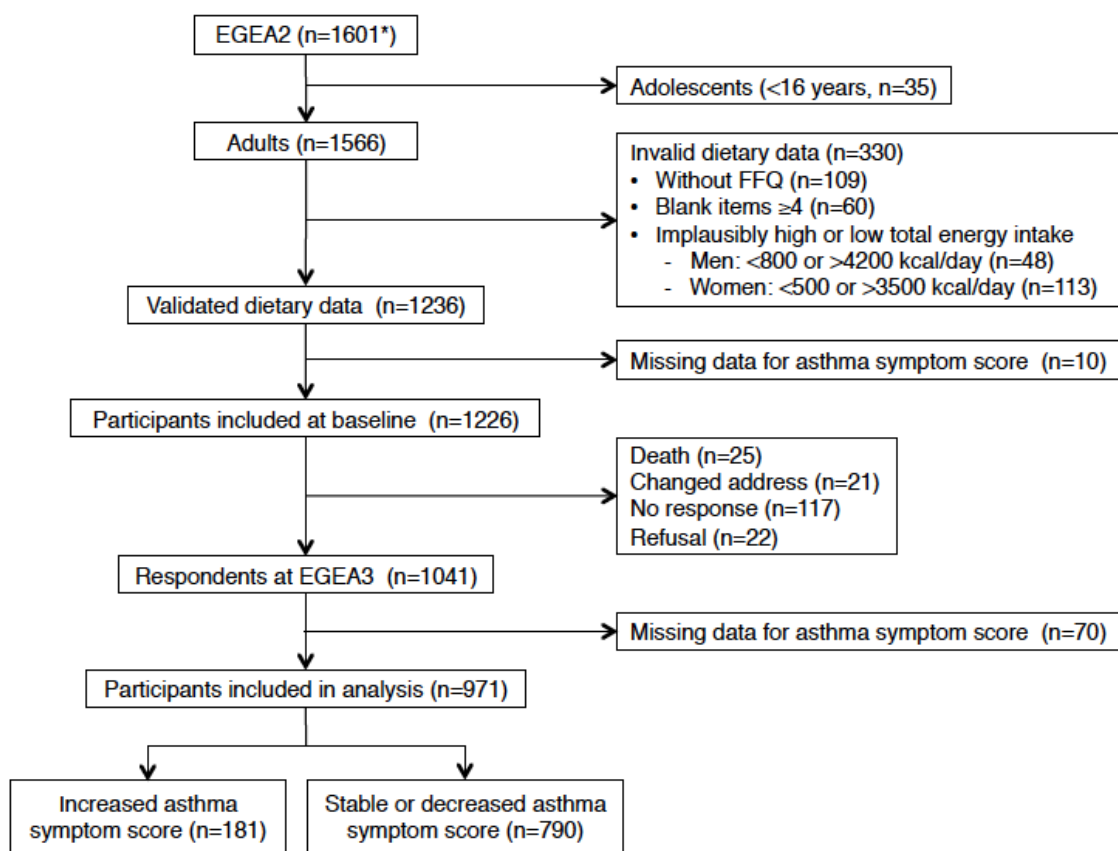


Figure 1

Figure 1 Flowchart for inclusion of participants. *Among the 1601 participants, 715 (45%) had ever asthma. FFQ, food frequency questionnaire.

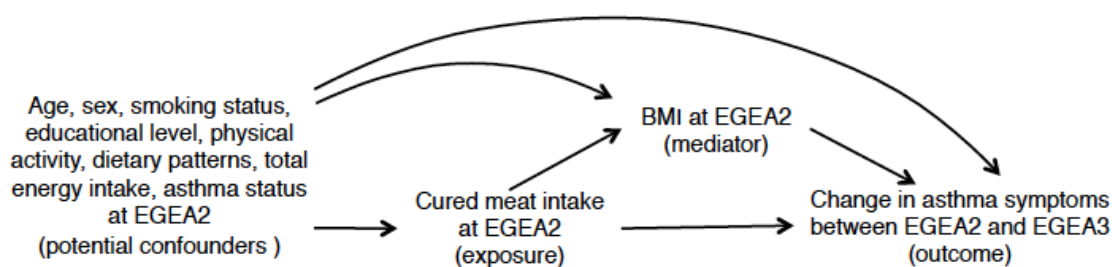


Figure 2 Direct acyclic graph of the proposed mediation model.

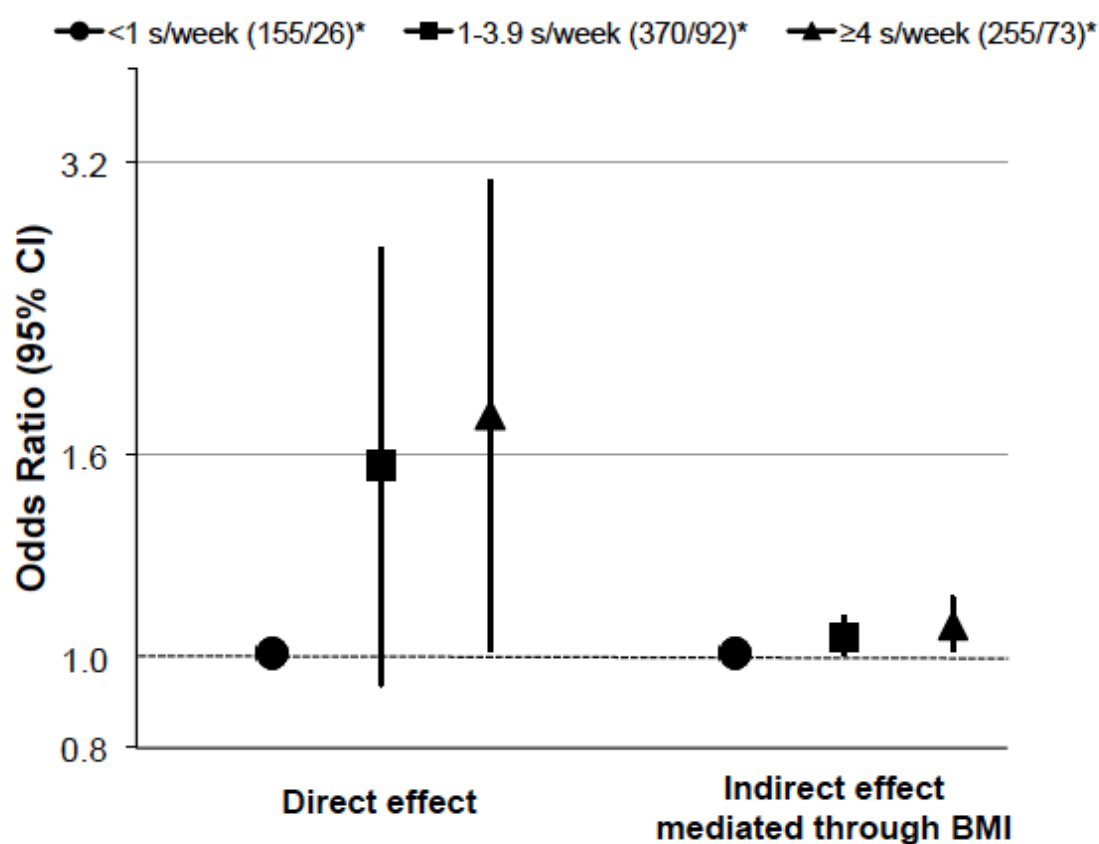


Figure 3 Direct and indirect effects of cured meat intake on worsening asthma symptoms between EGEA2 and 3, using the marginal structural model (n=971). We adjusted for age, sex, smoking status, educational level, leisure-time physical activity, modified Western and Prudent patterns, total energy intake, and asthma status at EGEA2. *Numbers of participants (stable or improved/worsening). s/week, serving/week.

Online Supplementary Material

Supplementary Methods

Statistical analysis-mediation analyses

Several methods with practical implementation have recently been proposed to disentangle the natural direct and indirect effects in the counterfactual framework.[1–3]. Using such analyses, we assumed the following conditions were satisfied for the application of counterfactual approach to mediation analysis: no unmeasured confounders for the associations between: 1) cured meat and asthma score, 2) BMI and asthma score, 3) cured meat and BMI, as well as 4) no BMI-asthma score confounders affected by cured meat.[1,2]

The marginal structural model is implemented in several steps as previously described in detail.[1] Briefly, we first created a new data set by repeating each observation three times including a new variable A^* , which was each time set to one of the three possible categories of the cured meat intake (exposure, denoted by A). Next, a generalized linear regression model was applied to the new dataset to estimate the association between BMI (mediator, denoted by M) and cured meat intake, first using the original variable A , and then the new variable A^* . Using predicted probabilities from the models with A and A^* , the individual stabilized weights were calculated as $W_i^c = P(M = M_i | A = A_i^*, C = C_i) / P(M = M_i | A = A_i, C = C_i)$, where C denoted all the confounders. Finally, the following weighted logistic regression model was applied to estimate the association between the change in asthma symptom score and cured meat intake: $\text{logit} [E[Y_{a,M_{a^*}}]] = c_0 + c_1 a + c_2 a^* + c_3 C$. The odds ratio of the natural direct effect was estimated by $\exp[c_1(a - a^*)]$, and the natural indirect effect by $\exp[c_2(a - a^*)]$.

As a sensibility analysis, in addition to the marginal structural model, [1] we applied a 2-stage regression model proposed by Valeri and VanderWeele, which provides estimation of total effect and standard errors using bootstrapped samples. [2] For the 2-stage regression model, a macro statement [2] was applied. Cured meat intake was regrouped into two classes: <1 serving/week and ≥ 1 serving/week, because a three-class exposure was not supported in the SAS macro. The following linear regression model was implemented in the first stage to assess the association between BMI and cured meat intake: $E(M|A = a, M = m, C = c) = \beta_0 + \beta_1 a + \beta_2' c$; and in the second stage, the following logistic regression model was implemented to assess the association between the change in asthma symptom score and cured meat intake: $\text{logit}[P(Y = 1|A = a, M = m, C = c)] = \theta_0 + \theta_1 a + \theta_2 m + \theta_3' c$. Confidence intervals (95%) were estimated from 1000 bootstrapped samples. As the 2-stage regression approach was performed using the exposure as a two-classes variable, we also applied the marginal structural model using cured meat intake as a dichotomous variable.

All analyses were performed using SAS version 9.3 (SAS Institute Inc., Cary, North Carolina).

Supplementary Results

In addition to baseline characteristics of the participants according to cured meat intake (table 1, main text), baseline characteristics according to change in asthma symptoms are presented in the Supplemental Table 4.

As a sensibility analysis, we replicated our results using another method of mediation analysis in the counterfactual framework. Analyses using the 2-stage

regression model yielded similar results to those obtained through the marginal structural model (Supplemental Table 5 and 6).

Supplemental Table 1 Comparison of characteristics between participants followed at EGEA3 (n=1041) and participants lost to follow-up (n=185)

| | Participants | | <i>P</i> |
|---|---|--|----------|
| | Followed at EGEA3 (<i>n</i> = 1041) | Lost to follow-up (<i>n</i> = 185) | |
| Age, y | 43 ± 16 | 41 ± 17 | 0.07 |
| Men, n (%) | 508 (49) | 117 (63) | <0.001 |
| BMI, kg/m ² | 24.4 ± 4.2 | 24.8 ± 4.5 | 0.38 |
| Smoking (ever), n (%) | 514 (49) | 107 (58) | 0.04 |
| Higher education*, n (%) | 519 (50) | 83 (45) | 0.20 |
| Leisure time physical activity, METs/week | 45 ± 17 | 47 ± 18 | 0.11 |
| Ever asthmatic at EGEA2, n (%) | 439 (42) | 90 (49) | 0.10 |
| Cured meat intake | 3.2 ± 2.9 | 3.2 ± 2.1 | 0.83 |

Data are presented as mean ± SDs, or number of subjects (%). BMI: body mass index; METs/w: metabolic equivalents per week. *Higher education: college education or equivalent.

Supplemental Table 2 Comparison of characteristics between included (n=971) and excluded (n=595) adult participants

| | Participants | | <i>P</i> |
|---|-------------------------------|-------------------------------|----------|
| | Included (<i>n</i> = 971) | Excluded (<i>n</i> = 595) | |
| Age, y | 43 ± 16 | 43 ± 17 | 0.47 |
| Men, n (%) | 476 (49) | 297 (50) | 0.73 |
| BMI, kg/m ² | 24.4 ± 4.2 | 24.7 ± 4.5 | 0.27 |
| Smoking (ever), n (%) | 470 (49) | 313 (53) | 0.08 |
| Higher education*, n (%) | 494 (51) | 220 (43) | 0.002 |
| Leisure time physical activity, METs/week | 45 ± 17 | 45 ± 18 | 0.87 |
| Ever asthmatic at EGEA2, n (%) | 408 (42) | 272 (46) | 0.15 |

Data are presented as mean ± SDs, or number of subjects (%). BMI: body mass index; s/w: serving/week; METs/w: metabolic equivalents per week. *Higher education: college education or equivalent.

Supplemental Table 3 Factor-loading matrix for the major factors (dietary patterns) using confirmatory factor analysis in EGEA2 study (n=1236)

| | Factor 1 | Factor 2 |
|-------------------------------|-------------------|---------------------------|
| | (Prudent pattern) | Modified Western pattern) |
| Fruity vegetables | <u>0.62</u> | 0.20 |
| Leafy vegetables | <u>0.59</u> | |
| Other vegetables | <u>0.58</u> | |
| Fruits with flavonoids | <u>0.55</u> | |
| Root vegetables | <u>0.54</u> | <u>0.32</u> |
| Fruits with β -carotene | <u>0.48</u> | |
| Citrus fruits | <u>0.42</u> | |
| Cruciferous vegetables | <u>0.40</u> | |
| Olive oil | <u>0.35</u> | |
| Other oil | <u>0.32</u> | |
| White fish | 0.26 | |
| Dried Legumes | 0.27 | 0.25 |
| Whole grains products | 0.23 | |
| Blue fish | 0.23 | 0.23 |
| Tea | 0.20 | |
| Other fruits | 0.20 | |
| Prepared meal | | <u>0.54</u> |
| Condiments | | <u>0.45</u> |
| French fries | -0.28 | <u>0.45</u> |
| Pods and peas | | <u>0.33</u> |
| Alcohol | | <u>0.31</u> |
| Potatoes | | <u>0.30</u> |
| Beer / cider | | <u>0.30</u> |

| | | |
|----------------|-------|------|
| Sandwiches | -0.28 | 0.28 |
| Snack | -0.23 | 0.27 |
| Cakes | | 0.26 |
| Soda | -0.28 | 0.27 |
| Shellfish | | 0.21 |
| Nuts and seeds | | 0.21 |

45 food groups (all food groups except cured meat) were included in analysis. Factor loadings represent the correlation between factor scores and intakes of food groups. The food groups with factor loadings < 0.20 for both factors were excluded. Absolute values < 0.20 were not listed in the table for simplicity; those with loadings of 0.30 or greater are underlined.

Supplemental Table 4 Characteristics for participants at baseline (EGEA2) according to change in asthma symptoms

| | Change in asthma symptoms | | p Value |
|--|---|--------------------------------|---------|
| | Stable or improved (<i>n</i> = 780) | Worsening (<i>n</i> = 191) | |
| Age, y | 42 ± 16 | 45 ± 16 | 0.04 |
| Men, n (%) | 388 (50) | 88 (46) | 0.36 |
| BMI, kg/m ² | 24.2 ± 3.9 | 25.0 ± 5.0 | 0.001 |
| BMI, kg/m ² , n (%) | | | 0.001 |
| <22.5 | 323 (41) | 65 (34) | |
| 22.5-24.9 | 191 (25) | 48 (25) | |
| 25-27.4 | 141 (18) | 24 (13) | |
| 27.5-29.9 | 66 (8) | 23 (12) | |
| ≥30 | 59 (8) | 31 (16) | |
| Smoking (ever), n (%) | 406 (52) | 93 (51) | 0.39 |
| Higher education, n (%) | 410 (53) | 84 (44) | 0.03 |
| Total energy intake, kcal/day | 2418 ± 638 | 2464 ± 662 | 0.38 |
| Leisure-time physical activity, METs/w | 45 ± 17 | 45 ± 17 | 0.79 |
| Ever asthma at EGEA2, n (%) | 310 (40) | 98 (51) | 0.004 |
| Asthma symptom score at EGEA2, n (%) | | | 0.02 |
| 0 | 364 (47) | 95 (50) | |
| 1 | 180 (23) | 45 (24) | |
| 2 - 5 | 236 (30) | 51 (26) | |
| Modified Western pattern* | 0.0 ± 3.8 | 0.2 ± 4.0 | 0.46 |
| Prudent pattern* | 0.0 ± 2.2 | 0.2 ± 2.3 | 0.47 |
| Foods/nutrients intake | | | |
| Fruits, serving/day | 3.7 ± 2.9 | 3.8 ± 2.8 | 0.46 |
| Vegetables, serving/day | 3.7 ± 2.0 | 3.9 ± 2.2 | 0.37 |
| Whole grain cereals, serving/day | 0.5 ± 0.9 | 0.4 ± 0.8 | 0.12 |
| Fish, serving/day | 0.4 ± 0.3 | 0.4 ± 0.3 | 0.28 |
| Vitamin C, mg/day | 242 ± 127 | 259 ± 138 | 0.11 |
| Sodium, g/day | 3.7 ± 1.2 | 3.8 ± 1.3 | 0.26 |

| | | | |
|----------------------|----------|----------|------|
| Total fat, g/day | 102 ± 32 | 105 ± 32 | 0.24 |
| Saturated fat, g/day | 39 ± 13 | 41 ± 13 | 0.23 |

Data are expressed as mean ± SDs, or number of subjects (%). Overall P values were calculated from ANOVA for continuous variables, from Mantel-Haenszel chi-square test for ordinal categorized variables (BMI classes and asthma symptom score), and from Pearson chi-square test for other categorized variables.

Supplemental Table 5 Total, direct and indirect effects of cured meat intake on worsening asthma symptoms between EGEA 2 and 3, using the 2-stage regression model (n=971)

| | No. stable or improved/ worse | | Total effect* | Direct Effect* | Indirect effect* |
|------------------|----------------------------------|---------|-------------------|-------------------|-------------------|
| | <1 s/w | ≥1 s/w | OR (95% CI) | OR (95% CI) | OR (95% CI) |
| All participants | 155/26 | 625/165 | 1.80 (1.08, 2.95) | 1.70 (1.02, 2.76) | 1.06 (1.01, 1.14) |
| Subgroups | | | | | |
| Sex | | | | | |
| Men | 51/9 | 337/79 | 1.70 (0.67, 4.36) | 1.59 (0.62, 4.23) | 1.07 (0.97, 1.22) |
| Women | 104/17 | 288/86 | 2.06 (1.08, 3.95) | 1.94 (1.01, 3.68) | 1.06 (1.00, 1.16) |
| Smoking | | | | | |
| Never | 87/12 | 319/81 | 2.24 (1.04, 4.77) | 1.97 (0.92, 4.17) | 1.14 (1.02, 1.32) |
| Ever | 68/14 | 304/84 | 1.76 (0.80, 3.85) | 1.73 (0.80, 3.70) | 1.02 (0.97, 1.09) |
| Asthma | | | | | |
| Ever | 57/11 | 253/87 | 2.50 (1.09, 5.63) | 2.22 (0.96, 5.06) | 1.13 (1.01, 1.32) |
| No | 98/15 | 372/78 | 1.61 (0.80, 3.07) | 1.57 (0.80, 3.04) | 1.03 (0.96, 1.11) |

*For all participants, we adjusted for age, sex, smoking status, educational level, leisure-time physical activity, modified western and prudent patterns, total energy intake, and asthma status at EGEA2; for subgroup analyses, we adjusted for all potential confounders except the stratifying variable. Reference: <1 serving/week. Estimates and confidence intervals (CIs) obtained using 1000 bootstrapped samples.

s/w, serving/week.

Supplemental Table 6 Direct and indirect effects of dichotomous cured meat intake on worsening asthma symptoms between EGEA 2 and 3, using the marginal structural model (n=971)

| | No. | | Direct effect* | Indirect effect* |
|------------------|--------------------------|---------|-------------------|-------------------|
| | Stable or improved/worse | | | |
| | <1 s/w | ≥1 s/w | OR (95% CI) | OR (95% CI) |
| All participants | 155/26 | 625/165 | 1.63 (1.00, 2.67) | 1.05 (1.01, 1.11) |
| Subgroups | | | | |
| Sex | | | | |
| Men | 51/9 | 337/79 | 1.15 (0.50, 2.62) | 1.05 (0.96, 1.14) |
| Women | 104/17 | 288/86 | 1.99 (1.07, 3.70) | 1.06 (1.00, 1.13) |
| Smoking | | | | |
| Never | 87/12 | 319/81 | 1.87 (0.88, 3.97) | 1.09 (0.99, 1.21) |
| Ever | 68/14 | 304/84 | 1.43 (0.74, 2.79) | 1.03 (0.99, 1.07) |
| Asthma | | | | |
| Ever | 57/11 | 253/87 | 2.09 (0.97, 4.47) | 1.10 (1.01, 1.20) |
| No | 98/15 | 372/78 | 1.41 (0.71, 2.66) | 1.03 (0.97, 1.09) |

s/w, serving/week.

*For all participants, we adjusted for age, sex, smoking status, educational level, leisure-time physical activity, modified western and prudent patterns, total energy intake, and asthma status at EGEA2; for subgroup analyses, we adjusted for all potential confounders except the stratifying variable. Reference: <1 serving/week

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