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**Ethanol-Mediated Facilitation of AMPA Receptor Function in the Dorsomedial Striatum:  
Implications for Alcohol Drinking Behavior**

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**Running Title: Ethanol and AMPA receptors in the dorsomedial striatum**

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## Abstract

We previously found that acute *ex vivo* as well as repeated cycles of *in vivo* ethanol exposure and withdrawal, including excessive voluntary consumption of ethanol, produces a long-lasting increase in the activity of NR2B-containing NMDA receptors (NMDARs) in the dorsomedial striatum (DMS) of rats (Wang et al., 2010a). Activation of NMDARs is required for the induction of long-term potentiation (LTP) of AMPA receptor (AMPA)-mediated synaptic response. We therefore examined whether the ethanol-mediated upregulation of NMDAR activity alters the induction of LTP in the DMS. We found that *ex vivo* acute exposure of striatal slices to, and withdrawal from, ethanol facilitates the induction of LTP in DMS neurons, which is abolished by the inhibition of NR2B-containing NMDARs. We also report that repeated systemic administration of ethanol causes an NR2B-NMDAR-dependent facilitation of LTP in the DMS. LTP is mediated by the insertion of AMPAR subunits into the synaptic membrane, and we found that repeated systemic administration of ethanol, as well as cycles of excessive ethanol consumption and withdrawal, produced a long-lasting increase in synaptic localization of the GluR1 and GluR2 subunits of AMPARs in the DMS. Importantly, we report that inhibition of AMPARs in the DMS attenuates operant self-administration of ethanol, but not of sucrose. Together, our data suggest that aberrant synaptic plasticity in the DMS induced by repeated cycles of ethanol exposure and withdrawal contributes to the molecular mechanisms underlying the development and/or maintenance of excessive ethanol consumption.

## Introduction

Drug and alcohol (ethanol) addiction is a pathological form of learning and memory (Hyman et al., 2006; Kalivas and O'Brien, 2008). Long-term potentiation (LTP) of AMPA receptor (AMPA)-mediated synaptic response, a cellular substrate of learning and memory (Bliss and Collingridge, 1993; Malenka and Nicoll, 1999), is modulated or triggered by exposure to drugs of abuse (Kauer and Malenka, 2007; Russo et al., 2010; Luscher and Malenka, 2011; McCool, 2011). For examples, *in vivo* cocaine exposure elicits NMDA receptor (NMDAR)-dependent LTP in the ventral tegmental area (VTA) (Ungless et al., 2001), and aberrant synaptic plasticity has been shown to contribute to the development of compulsive drug-seeking and -taking (Kauer and Malenka, 2007; Russo et al., 2010; Luscher and Malenka, 2011; McCool, 2011).

The dorsal striatum, a subcortical brain region important for proper motor function (Graybiel et al., 1994), also plays an important role in behaviors that are associated with drug addiction (Yin and Knowlton, 2006). **The principal cells of the dorsal striatum are medium spiny neurons (MSNs). Based on their projection targets, MSNs are divided into two groups: striatonigral and striatopallidal MSNs. The striatonigral MSNs project to the substantia nigra pars reticulata (SNr) forming the direct pathway; whereas the striatopallidal MSNs project to the lateral globus pallidus giving rise to the indirect pathway. Activity of striatonigral MSNs stimulates whereas activity of striatopallidal MSNs inhibits rewarding behaviors (Durieux et al., 2009; Bateup et al., 2010; Hikida et al., 2010; Lobo et al., 2010; Beutler et al., 2011; Ferguson et al., 2011).** The dorsal striatum can be also divided into two subregions: the dorsolateral striatum (DLS, **equivalent of the putamen in human**) and the dorsomedial striatum (DMS, **equivalent of the caudate in human**), which differ in connectivity, synaptic plasticity, and behavioral functions (Gerdeman et al., 2003; Voorn

et al., 2004; Yin and Knowlton, 2006; Belin et al., 2009). The DMS is strongly implicated in the acquisition and expression of goal-directed behaviors (Yin and Knowlton, 2006; Corbit and Janak, 2010). We previously found that withdrawal from acute *ex vivo* ethanol exposure leads to long-term enhancement of NR2B-containing NMDAR (NR2B-NMDAR) activity in the dorsal striatum (Wang et al., 2007), and more recently we observed that this enhancement occurs preferentially in the DMS but not the DLS (Wang et al., 2010a). We also showed that repeated systemic administration of ethanol, as well as cycles of voluntary excessive ethanol intake and withdrawal, results in a long-lasting increase in NMDAR activity in the DMS (Wang et al., 2010a). Activation of NMDARs is required for the induction of LTP in various brain regions such as the hippocampus and cortex (Bliss and Collingridge, 1993; Malenka and Nicoll, 1999) as well as in the dorsal striatum (Calabresi et al., 1992; Partridge et al., 2000; Shen et al., 2008). Therefore, we tested the hypothesis that repeated cycles of ethanol exposure and withdrawal induce long-lasting NMDAR-dependent neuroadaptations in AMPAR activity that may contribute to mechanisms underlying the expression and/or maintenance of excessive ethanol intake.

## Materials and Methods

### *Reagents*

Anti-GluR1 (04-855) and anti-GluR2 (MAB397) antibodies were obtained from Millipore. Anti-glyceraldehyde-3-phosphate dehydrogenase (GAPDH) (sc25778) antibody and all of the horseradish peroxidase (HRP)-conjugated secondary antibodies were purchased from Santa Cruz Biotechnology. Enhanced chemiluminescence (ECL) reagents were from GE Healthcare. NuPAGE™ Bis-Tris precast gels were from Invitrogen. Bicinchoninic acid (BCA)™ protein assay kit was obtained from Pierce. Complete™ mini, EDTA-free protease inhibitor cocktail was purchased from Roche. NMDA, AMPA, picrotoxin, sulpiride, and phosphatase inhibitor cocktails 1 and 2 were obtained from Sigma. (2R)-amino-5-phosphonopentanoate (APV), 2,3-Dioxo-6-nitro-1,2,3,4-tetrahydrobenzo [f]quinoxaline-7-sulfonamide disodium salt (NBQX), ( $\alpha$ R, $\beta$ S)- $\alpha$ -(4-hydroxyphenyl)- $\beta$ -methyl-4-(phenylmethyl)-1-piperidinepropanol hydrochloride (Ro 25-6981), cyclothiazide and tetrodotoxin (TTX) were purchased from Tocris.

### *Animals*

Male Sprague Dawley rats (14-28 days old) and male Long-Evans rats (2.5 months old) were purchased from Harlan Laboratories. Rats were housed under a light:dark cycle of 12 hrs, with lights on at 7:00 a.m. and food and water available *ad libitum*. All animal procedures were approved by the Gallo Center Institutional Animal Care and Use Committee and were conducted in agreement with the Guide for the Care and Use of Laboratory Animals, National Research Council, 1996.

## *Electrophysiology*

*Slice preparation* has been described previously (Wang et al., 2010a). Briefly, coronal sections of the striatum (300  $\mu\text{m}$ ) were cut in an ice-cold solution containing the following (in mM): 40 NaCl, 143.5 sucrose, 4 KCl, 1.25  $\text{NaH}_2\text{PO}_4$ , 26  $\text{NaHCO}_3$ , 0.5  $\text{CaCl}_2$ , 7  $\text{MgCl}_2$ , 10 glucose, 1 sodium ascorbate, and 3 sodium pyruvate, saturated with 95%  $\text{O}_2$  and 5%  $\text{CO}_2$ . Slices were then incubated in the same solution at 32°C for 45 min before being transferred to a chamber that contained an external solution composed of (in mM): 125 NaCl, 2.5 KCl, 2.5  $\text{CaCl}_2$ , 1.3  $\text{MgCl}_2$ , 1.25  $\text{NaH}_2\text{PO}_4$ , 25  $\text{NaHCO}_3$ , and 10 glucose, saturated with 95%  $\text{O}_2$  and 5%  $\text{CO}_2$ . Slices were stored in the external solution at room temperature until use.

*Whole-cell recording.* NMDA-induced currents and AMPAR-mediated miniature excitatory postsynaptic currents (mEPSCs) (Wang et al., 2010a) were measured as described previously (Wang et al., 2010b). AMPA-induced currents were measured as described in (Borgland et al., 2006). Specifically, AMPA (0.2  $\mu\text{M}$ ) was bath applied for 30 sec in the presence of the AMPAR desensitization blocker, cyclothiazide (100  $\mu\text{M}$ ). NMDA/AMPA ratios were measured as described in (Ungless et al., 2001). Briefly, evoked excitatory postsynaptic currents (EPSCs) that were mediated by both NMDARs and AMPARs ( $\text{EPSC}_{\text{NMDA+AMPA}}$ ) were first measured in neurons clamped at +40 mV and in the presence of 1.3 mM external  $\text{Mg}^{2+}$ . Then, AMPAR-mediated EPSCs ( $\text{EPSC}_{\text{AMPA}}$ ) were measured following a 5-min application of APV (50  $\mu\text{M}$ ) that blocked NMDAR-mediated EPSCs ( $\text{EPSC}_{\text{NMDA}}$ ).  $\text{EPSC}_{\text{NMDA}}$  was obtained by digital subtraction of  $\text{EPSC}_{\text{AMPA}}$  from  $\text{EPSC}_{\text{NMDA+AMPA}}$ . The peak of  $\text{EPSC}_{\text{NMDA}}$  was divided by the peak of  $\text{EPSC}_{\text{AMPA}}$  to yield an NMDA/AMPA ratio. Electrodes (4–6  $\text{M}\Omega$ ) contained the following (in mM): 115 cesium methanesulfonate, 15 HEPES, 0.6 EGTA, 8 TEA-Cl, 4 MgATP, 0.3 NaGTP, and 7  $\text{Na}_2\text{CrPO}_4$  (pH 7.2–7.3) with an osmolarity of 270–280 mOsm.

*Field potential recording.* Extracellular field recordings were conducted as described in (Yin et al., 2007; Schotanus and Chergui, 2008). Field excitatory postsynaptic potential/population spikes (fEPSP/PS) were measured in the presence of 100  $\mu$ M picrotoxin to block GABA<sub>A</sub> receptors and a dopamine D2 receptor inhibitor, sulpiride (20  $\mu$ M) that suppresses the induction of long-term depression (LTD) (Shen et al., 2008). fEPSP/PS were blocked by the AMPAR antagonist NBQX (data not shown). LTP was induced by 2 trains of high frequency stimulation (HFS) at an interval of 10 sec. Each train contains 100 pulses at 100 Hz.

*DMS tissue collection and processing, synaptosomal membrane preparation, and western blot analysis.* The methods used herein have been described previously (Wang et al., 2010a).

*DMS tissue collection and processing.* DMS were dissected and homogenized in ice-cold radio immunoprecipitation assay (RIPA) buffer (in mM: 50 Tris-Cl, 5 EDTA, 120 NaCl, and 1% NP-40, 0.1% deoxycholate, 0.5% SDS, protease and phosphatase inhibitor cocktail inhibitors).

*Synaptosomal membrane preparation.* DMS tissue was homogenized in a glass homogenizer containing 500  $\mu$ l of ice-cold Krebs-sucrose buffer (in mM: 125 NaCl, 1.2 KCl, 1.2 MgSO<sub>4</sub>, 1.2 CaCl<sub>2</sub>, 22 Na<sub>2</sub>CO<sub>3</sub>, 1.2 NaH<sub>2</sub>PO<sub>4</sub>, 10 glucose, and 320 sucrose, pH 7.4) in the presence of protease and phosphatase inhibitors. The homogenate was centrifuged at 1,000 g for 10 min at 4°C to pellet heavy membranes and debris (P1). The supernatant (S1) was collected and centrifuged at 16,000 g at 4°C for 20 min to pellet the synaptosomal membrane fraction (P2). P2 was re-suspended in 100  $\mu$ l RIPA buffer. Protein concentration was determined using the BCA<sup>TM</sup> protein assay kit.

*Western blot analysis.* Samples were separated on NuPAGE 4-12% Bis-Tris gradient gels. After an overnight transfer at 4°C onto nitrocellulose membranes, blots were blocked in 5% milk/TBST

for 1 hr before probing with anti-GluR1 (1:1000) or anti-GluR2 (1:1000) antibodies followed by HRP-conjugated secondary antibodies. GAPDH was used as a loading control. Immunoreactivity was detected using ECL plus. The optical density of the relevant immunoreactive band was quantified using the NIH Image 1.63 program.

#### *In vivo systemic administration of ethanol*

The procedure is similar to the one described previously (Wang et al., 2010a). Briefly, Sprague Dawley rats were administered intraperitoneally (i.p.) with ethanol (20%, 2 g/kg) or saline once a day for 7 consecutive days. Sixteen hrs after the 7<sup>th</sup> treatment, striatal slices containing the DMS were prepared for electrophysiological recordings or DMS tissues were dissected out for biochemical measurements.

#### *Behavioral procedures*

##### *Intermittent access two-bottle choice procedure*

The procedure is similar to the one described previously (Wang et al., 2010a). Briefly, Long-Evans rats were given 24-hr concurrent access to 1 bottle of 20% ethanol (v/v) in water and 1 bottle of water starting at 11:00 a.m. on Monday, Wednesday, and Friday, with 24- or 48-hr ethanol-deprivation periods between the ethanol-drinking sessions. The water and ethanol bottles were weighed after 24 hrs of access. Experiments started after a stable baseline of consumption was achieved (average of 6 g/kg/24 hrs). The control groups had access to 2 bottles of water throughout the duration of the experiment.

##### *Operant ethanol self-administration*

Long-Evans rats were first trained to consume high levels of ethanol using the intermittent-access

20% ethanol 2-bottle choice drinking procedure as described previously (Wang et al., 2010a). After achieving a stable baseline of intake, rats were trained to self-administer a 20% ethanol solution in an operant self-administration chamber. After 2-3 nights in the chamber to allow acquisition of lever-press response for ethanol under a Fixed Ratio 1 (FR1), operant sessions were conducted 5 days per week, with the schedule requirement increasing to Fixed Ratio 3 (FR3), and the length of session was shortened from 60 to 30 min over the first 2 weeks. **Animals had *ad libitum* access to food and water in their home cages but not during the 30-min session of operant self-administration.** After 1 month of training, surgery was conducted to implant cannulae.

*Operant sucrose self-administration.* Long–Evans rats were initially trained under FR1 using 8% sucrose (w/v) during 2 overnight sessions. The FR schedule was then progressively increased to FR3, and the sucrose concentration was progressively decreased to 1.5%, while the length of the session was shortened to 30 min. These procedures were chosen to obtain lever-press activity similar to that observed in operant ethanol self-administration. After 1 month of training, surgery was conducted to implant cannulae.

*Cannulae implantation and treatment.* Bilateral guide cannulae (26 ga) were implanted in the DMS (1.2 mm anterior to bregma, 1.5 mm mediolateral, 4.2 mm ventral to bregma, according to (Paxinos and Watson, 2007). After 4 days of recovery, rats were returned to the self-administration training and were habituated to the microinjection procedure with 3 sham injections and one infusion of PBS. Fifteen min prior to the testing session, an AMPAR antagonist, NBQX (0.2 or 2  $\mu\text{g}/1 \mu\text{l}/\text{side}$  in PBS), or vehicle (PBS, 1  $\mu\text{l}/\text{side}$ ) was infused bilaterally into the DMS using a 25- $\mu\text{l}$  Hamilton syringe. The infusion speed was 0.5  $\mu\text{l}/\text{min}$  and

the injectors were kept in position for an additional 2 min. The lever presses for ethanol or sucrose were measured in operant self-administration chambers for 30 min.

*Histology.* Rats implanted with cannulae were anesthetized by i.p. injection of pentobarbital and transcardially perfused with 4% paraformaldehyde. Locations of cannulae were verified in 50- $\mu$ m coronal sections stained with thionin to allow visualization of probe tracks in the DMS. Only subjects with cannulae located within the DMS were included for statistical analysis.

#### *Statistical analysis*

All data are expressed as mean  $\pm$  SEM. The electrophysiological data were analyzed by normalizing the peak amplitudes of fEPSP/PS to those during baseline (0-10 min prior to HFS). Data were analyzed using two-way ANOVA with repeated measures (**RM-ANOVA**), followed by the Student-Newman-Keuls (SNK) *post hoc* test as previously described (Wang et al., 2010a). The biochemical data were analyzed by calculating the ratio of the intensity of the immunoreactive band of interest to GAPDH, and the resultant data were analyzed using an unpaired, two-tailed *t* test. Behavioral data were analyzed using one-way **RM-ANOVA**, followed by the SNK test.

## Results

### **Acute *ex vivo* ethanol exposure and withdrawal upregulates synaptic NMDAR, but not AMPAR, activity in the DMS**

First, we examined whether *ex vivo* acute ethanol exposure and withdrawal alters both NMDAR and AMPAR activity in the DMS. To do so, striatal slices from Sprague Dawley rats were treated with 40 mM ethanol for 1 hr and ethanol was washed out for 30 min before the activity of NMDARs and AMPARs were measured. **Forty mM ethanol is widely used in slice recording experiments (for examples, (Kang-Park et al., 2007; Xiao et al., 2009; Jeanes et al., 2011)), and we previously showed that long-lasting enhancement of NMDAR activity in the DMS can be reliably detected in response to this relatively low concentration of ethanol (Wang et al., 2010a).** We found that NMDA-induced currents are significantly higher in ethanol-treated slices than in controls [**peak currents:  $169.3 \pm 20.5$  pA for ethanol vs.  $86.4 \pm 9.1$  pA for control,  $t_{(14)} = -3.70$ ,  $p < 0.01$ , Fig. 1A**]. However, AMPA-induced currents were identical between ethanol-treated slices and control slices [**peak currents:  $156.3 \pm 16.0$  pA for ethanol vs.  $151.0 \pm 14.1$  pA for control,  $t_{(14)} = -0.25$ ,  $p > 0.05$ , Fig. 1B**]. Since NMDA-induced currents measure the activity of synaptic and extrasynaptic receptors, **the enhanced NMDA current by acute ethanol exposure and withdrawal may result from an upregulation of either synaptic and/or extrasynaptic NMDARs.** To assess whether synaptic NMDAR activity is altered following acute ethanol exposure and withdrawal, we first measured the NMDA/AMPA ratio, which detects the relative activity of synaptic NMDARs vs. AMPARs (Ungless et al., 2001; Kauer and Malenka, 2007). We found that the NMDA/AMPA ratio is greater in DMS neurons from ethanol-treated slices than from control slices [ **$t_{(17)} = -3.45$ ,  $p < 0.01$ , Fig. 1C**], suggesting increased synaptic NMDAR activity and/or decreased synaptic AMPAR function. However, a measurement of AMPAR-mediated mEPSCs revealed identical amplitudes of the mEPSCs in

ethanol-treated slices and controls [ $t_{(18)} = 0.18, p > 0.05$ , Fig. 1D], suggesting that synaptic AMPAR activity is not altered in DMS neurons following acute ethanol treatment. **In addition, the unaltered mEPSC frequency [ $t_{(18)} = 0.64, p > 0.05$ , Fig. 1D] suggests that the probability of glutamate release is not affected by acute ethanol exposure (see also Wang et al., 2007).** Together, these results show that the activity of synaptic NMDARs, but not synaptic AMPARs, increases following acute *ex vivo* ethanol exposure and withdrawal.

### **Acute *ex vivo* ethanol exposure and withdrawal facilitates the induction of LTP in the DMS**

Next, we tested whether the increase in NMDAR activity, induced by acute ethanol exposure and withdrawal, alters the induction of LTP in the DMS. To do so, striatal slices were treated as above with 40 mM ethanol for 1 hr, ethanol was washed out for 30 min, and HFS was delivered to induce LTP of fEPSP/PS (Yin et al., 2007). As shown in Figure 2 [A, top panel (control) vs. middle panel (ethanol), B, white circles (control) vs. black circles (ethanol) and C white bar (control) vs. black bar (ethanol)], we found a significant potentiation of the fEPSP/PS amplitude, measured 20-30 min post-HFS, in slices previously treated with ethanol (**SNK test,  $q = 6.10, p < 0.001$  vs. baseline**), but not in control slices (**SNK test,  $q = 2.04, p = 0.17$  vs. baseline**). The analysis of fEPSP/PS amplitudes by two-way RM-ANOVA further reveals a significant interaction between the HFS and the ethanol treatment [ $F_{(1,19)} = 4.53, p < 0.05$ ]. *Post hoc* comparison by SNK tests shows that fEPSP/PS amplitudes are significantly different between the control and ethanol groups during the LTP phase ( **$q = 4.26, p < 0.01$** , Fig. 2C). These results suggest that acute *ex vivo* ethanol exposure and withdrawal facilitates the induction of LTP in the DMS.

### ***Ex vivo* ethanol-mediated facilitation of LTP induction in the DMS requires activation of NR2B-NMDARs**

We previously found that the ethanol withdrawal-induced increase in NMDAR activity is mediated by the NR2B-NMDARs (Wang et al., 2007; Wang et al., 2010a; Wang et al., 2011). Thus, we examined whether NR2B is required for the ethanol-mediated facilitation of LTP induction. Striatal slices from Sprague Dawley rats were acutely exposed to, and withdrawn from, ethanol and HFS was delivered in the presence of the NR2B-NMDAR antagonist, Ro 25-6981. As shown in Fig. 2 [A, middle panel (ethanol) vs. bottom panel (ethanol/Ro), B, black circles (ethanol) vs. black triangles (ethanol/Ro) and C, black bar (ethanol) vs. grey bar (ethanol/Ro)], HFS fails to induce a potentiation of fEPSP/PS in the presence of Ro 25-6981 (SNK test,  $q = 1.24$ ,  $p = 0.39$  vs. baseline). The two-way RM-ANOVA analysis of fEPSP/PS amplitudes in slices treated with Ro 25-6981 or vehicle reveals a significant interaction between the HFS and the Ro 25-6981 treatment [ $F_{(1,17)} = 9.41$ ,  $p < 0.01$ ]. *Post hoc* comparison by SNK tests reveals that the normalized amplitude of fEPSP/PS 20-30 min post-HFS are significantly less in the Ro 25-6981-treated group than in the controls ( $q = 6.14$ ,  $p < 0.001$ , Fig. 2C). These results suggest that acute *ex vivo* ethanol-mediated facilitation of LTP induction requires activation of NR2B-NMDARs.

### **Repeated *in vivo* administration of ethanol induces an NR2B-NMDAR-dependent facilitation of LTP in the DMS**

Previously, we showed that repeated daily systemic administration of ethanol (2 g/kg, i.p.) produces a long-lasting upregulation of NMDAR function in the DMS, which is observed even 16 hrs after the last ethanol exposure (Wang et al., 2010a). We used the same **procedure** to determine whether *in vivo* ethanol exposure and withdrawal facilitates LTP induction in this brain

region. Sprague Dawley rats were systemically treated with ethanol (2 g/kg, i.p.) or saline for 7 successive days and LTP was measured in DMS slices prepared 16 hrs after the last ethanol administration. As shown in Fig. 3, HFS induced a significant increase in LTP of fEPSP/PS in slices from ethanol-treated rats (**SNK test,  $q = 6.64$ ,  $p < 0.001$**  vs. baseline), but not in slices from saline-treated animals (**SNK test,  $q = 2.04$ ,  $p = 0.17$**  vs. baseline). *Post hoc* SNK tests show that the amplitude of fEPSP/PS during the LTP phase is significantly higher in the ethanol group than in the control ( **$q = 5.28$ ,  $p < 0.001$** , Fig. 3B). These results indicate that repeated cycles of *in vivo* ethanol exposure and withdrawal facilitate the induction of LTP in the DMS.

In order to confirm that the NR2B-NMDAR is also required for the *in vivo* ethanol-mediated facilitation of LTP, rats received systemic administrations of ethanol or saline once a day for 7 consecutive days and striatal slices were prepared 16 hrs after the last treatment. HFS was then delivered in the presence of Ro 25-6981. As shown in Fig. 3, HFS fails to induce LTP in the presence of Ro 25-6981 (**SNK test,  $q = 0.33$ ,  $p = 0.82$**  vs. baseline). *SNK Post hoc* tests show that the normalized amplitude of fEPSP/PS 20-30 min post-HFS are significantly less in the Ro 25-6981 group than in the control ( **$q = 6.50$ ,  $p < 0.001$** , Fig. 3B). Together, these results suggest that activation of NR2B-NMDARs mediates the facilitation of LTP induction in the DMS upon ethanol exposure and withdrawal.

### **Repeated systemic administration of ethanol and excessive ethanol intake produce a long-lasting increase in the protein levels of synaptic AMPAR subunits in the DMS**

Next, we set out to determine the molecular mechanism that may underlie the ethanol-mediated facilitation of LTP. Activation of NMDARs during LTP induction causes the insertion of new AMPARs from intracellular compartments into the synaptic membranes, leading to synaptic strengthening, e.g., expression of LTP (Malinow and Malenka, 2002; Kerchner and

Nicoll, 2008; Ho et al., 2011). We hypothesized that one of the consequences of *in vivo* ethanol-mediated increases in NMDAR activity is the increase of membranal insertion of AMPARs in the DMS. To test this possibility, we first examined whether repeated systemic administration of ethanol leads to increased synaptic localization of AMPARs in the DMS. We used the same **procedure** of repeated daily systemic administration of Sprague Dawley rats with ethanol (2 g/kg, i.p.) or vehicle as described above. The levels of two major striatal AMPAR subunits, GluR1 and GluR2, were measured in total homogenates and in synaptosomal membranes 16 hrs after the last ethanol or vehicle treatment. We found that the immunoreactivities of GluR1 and GluR2 subunits were significantly higher in the synaptosomal fractions, but not in the total homogenates, of the DMS from ethanol-treated rats than those from saline-treated animals [ $t_{(4)} = -2.92, p < 0.05$  for synaptosomal GluR1;  $t_{(4)} = -3.07, p < 0.05$  for synaptosomal GluR2;  $t_{(4)} = 0.70, p > 0.05$  for total GluR1;  $t_{(4)} = 0.55, p > 0.05$  for total GluR2; Fig. 4A]. These results suggest that repeated systemic administration of ethanol leads to a long-lasting increase in the synaptic localization of the GluR1 and GluR2 subunit-containing AMPARs in the DMS.

Next, we examined whether a similar increase in the synaptic levels of GluR1 and/or GluR2 AMPAR subunits can also be the consequence of cycles of excessive ethanol intake and withdrawal. Using the intermittent access 2-bottle choice drinking **procedure**, Long-Evans rats underwent repeated cycles of excessive consumption of 20% ethanol resulting in an average consumption of 6 g/kg/24 hrs (Wang et al., 2010a), the DMS tissues were dissected out 1 day after the completion of the last ethanol-drinking session, and the protein levels of GluR1 and GluR2 were assessed. Similar to the results obtained after repeated systemic administration of ethanol, the synaptosomal but not the total protein levels of GluR1 and GluR2 subunits are increased in rats with a history of repeated cycles of excessive ethanol intake and withdrawal compared to those consuming water only [ $t_{(8)} = -2.60, p < 0.05$  for synaptosomal GluR1;  $t_{(12)} = -$

**2.69,  $p < 0.05$  for synaptosomal GluR2;  $t_{(11)} = 0.76, p > 0.05$  for total GluR1;  $t_{(13)} = 1.36, p > 0.05$  for total GluR2, Fig. 4B].** These results indicate that repeated cycles of excessive ethanol intake and withdrawal cause a long-lasting alteration in the synaptic localization of AMPARs in the DMS.

### **Inhibition of AMPAR activity in the DMS reduces operant self-administration of ethanol but not of sucrose**

Finally, we examined the hypothesis that the increase in the synaptic localization of AMPAR subunits and the facilitation of LTP upon repeated cycles of excessive ethanol intake and withdrawal may drive ethanol-drinking and -seeking behaviors. To do so, Long-Evans rats underwent an intermittent access 2-bottle choice drinking **procedure** for 6 weeks and were trained to self-administer ethanol in an operant self-administration procedure, in which lever presses resulted in presentation of a solution of 20% ethanol (Wang et al., 2010a). After reaching a stable level of ethanol intake (~1 g/kg/30 min), the AMPAR antagonist, NBQX, or vehicle was infused into the DMS 15 min before a 30-min session of operant self-administration and lever responding for ethanol was examined. **One-way RM-ANOVA analysis showed a significant main effect of NBQX on operant responding for ethanol [ $F_{(2,12)} = 7.58, p < 0.01$ ] and on ethanol intake [ $F_{(2,12)} = 9.45, p < 0.01$ ]. *Post hoc* SNK tests further revealed that infusion of NBQX in the DMS significantly reduces the number of lever presses for ethanol ( $q = 4.51, p < 0.01$  for 0.2  $\mu\text{g}/\mu\text{l}$ ;  $q = 4.99, p < 0.01$  for 2  $\mu\text{g}/\mu\text{l}$ , Fig. 5Aa) and the levels of ethanol intake ( $q = 4.96, p < 0.01$  for 0.2  $\mu\text{g}/\mu\text{l}$ ;  $q = 5.62, p < 0.01$  for 2  $\mu\text{g}/\mu\text{l}$ , Fig. 5Ab).**

**We further measured lever-press responding for a non-drug reinforcing substance, sucrose, after an intra-DMS infusion of NBQX. This experiment was conducted to verify that the inhibitory effect of NBQX on ethanol self-administration is not due to locomotor**

deficits, and to determine whether the action of the inhibitor in the DMS is specific for ethanol or due a reduction of general instrumental learning and motivational processes. To do so, rats were trained to self-administer a 1.5% sucrose solution (Wang et al., 2010a), and 15 min before the testing session, NBQX or vehicle was infused into the DMS. As shown in Fig. 5B, intra-DMS infusion of NBQX did not significantly alter lever presses for sucrose: **one-way RM-ANOVA analysis showed no main effect of NBQX on operant responding for sucrose** [ $F_{(2,15)} = 0.11, p = 0.9$ ]. This result suggests that NBQX does not alter locomotor activity or general reward-motivated behaviors. Together, these results indicate that the ethanol-mediated increase in the activity of AMPARs in the DMS is required for self-administration of ethanol.

## Discussion

In the present study we demonstrate that both *ex vivo* and repeated cycles of *in vivo* ethanol exposure and withdrawal facilitate the induction of LTP in the DMS in an NR2B-NMDAR-dependent manner. Consistent with the concept that LTP is mediated by the insertion of AMPARs into the synaptic membranes, we found that both repeated systemic administration of ethanol and excessive ethanol intake cause a long-lasting increase in synaptic AMPAR levels. Importantly, we show that inhibition of AMPARs in the DMS significantly attenuates operant self-administration of ethanol, but not of sucrose. Together, these results indicate that the ethanol-mediated increase in NMDAR activity in the DMS leads to the insertion of AMPARs into the synaptic sites and thus expression of LTP, which in turn contributes to the expression and/or the maintenance of excessive ethanol-drinking behaviors.

### **Ethanol exposure and withdrawal facilitates the induction of LTP in the DMS in an NR2B-NMDAR-dependent manner**

**Here we show that LTP is facilitated in the DMS following ethanol exposure and withdrawal, whereas Yin et al. reported that LTP in the DMS is inhibited in the presence of ethanol (Yin et al., 2007). The difference between these results may stem from the distinct time points at which LTP is induced. When HFS is delivered in the presence of ethanol, LTP is inhibited (Yin et al., 2007) whereas when HFS is delivered after ethanol withdrawal, LTP is facilitated. Thus, the timing of LTP induction is crucial as the NMDAR activity in DMS neurons is suppressed in the presence of ethanol (Yin et al., 2007; Wang et al., 2010a) and is enhanced after ethanol is withdrawn (Wang et al., 2007; Wang et al., 2010a; Wang et al., 2011 and herein). Furthermore, similar enhancement of NMDAR activity in response to ethanol was reported in other brain regions (Yaka et al., 2003; Hendricson et al., 2007;**

**Kash et al., 2009). Since NMDAR activity is required for LTP induction in the DMS (Calabresi et al., 1992; Partridge et al., 2000; Shen et al., 2008), the direct suppression of NMDAR activity by ethanol leads to LTP inhibition, whereas the enhancement of NMDAR activity by ethanol withdrawal causes LTP facilitation.** Thus, it is very plausible that both mechanisms occur at different time points of ethanol exposure and withdrawal, and that our results provide an additional perspective of plasticity mechanisms that occur in the DMS long after ethanol is metabolized.

**It is also plausible that the facilitation of NMDAR activity in response to ethanol exposure and withdrawal is a homeostatic mechanism that counteracts the inhibitory actions of ethanol on the activity of the NMDAR. This conclusion stems from our previous findings suggesting that the molecular changes leading to Fyn activation occur while ethanol is on board. Specifically, we found that Fyn is activated and NR2B is phosphorylated in hippocampal and striatal slices acutely treated with ethanol (Yaka et al., 2003; Wang et al., 2007). We further showed that the activation of Fyn in response to ethanol results from the release of Fyn from the inhibitory scaffolding protein RACK1, which also occur in the presence of ethanol (Yaka et al., 2003; Wang et al., 2007). In the DMS, Fyn activation and NR2B phosphorylation are long-lasting and continue after ethanol is no longer on board (Wang et al., 2010a) resulting in the consequent changes in NMDAR and AMPAR activities.**

In the present study, we found that ethanol exposure and withdrawal induces facilitation of LTP, which is abolished by the NR2B-NMDAR antagonist, Ro 25-6981. These results suggest that ethanol treatments facilitate LTP induction via the upregulation of NR2B-NMDAR activity. This finding is in line with the notion that the NR2B/NR2A ratio controls the threshold for inducing LTP (Yashiro and Philpot, 2008). Compared to NR2A-containing NMDARs (NR2A-

NMDARs), NR2B-NMDARs mediate longer currents (Monyer et al., 1994), carry more  $\text{Ca}^{2+}$  per unit of current (Sobczyk et al., 2005), and bind preferentially with CaMKII (Strack and Colbran, 1998; Leonard et al., 1999), which allows CaMKII to remain active even after dissociation from  $\text{Ca}^{2+}$ /calmodulin (Bayer et al., 2001; Lisman et al., 2012) and is required for LTP induction (Barria and Malinow, 2005; Zhou et al., 2007; Foster et al., 2010). Previously, we found that the activity of NR2B-, but not NR2A-NMDARs increases following ethanol exposure and withdrawal (Wang et al., 2007; Wang et al., 2010a; Wang et al., 2011), which indicates an elevated NR2B/NR2A ratio in response to this ethanol treatment that is likely to underlie the facilitation of LTP. The NR2B-NMDAR-dependent facilitation of LTP in response to ethanol exposure and withdrawal was observed recently in the bed nucleus of the stria terminalis (BNST) (Wills et al., 2012). Interestingly, the LTP facilitation in the BNST was thought to be mediated by the increased lateral movement of NR2B-NMDARs from the synaptic to extrasynaptic sites (Wills et al., 2012), whereas we show that LTP facilitation in the DMS is likely to be mediated by the increased forward trafficking of NR2B-NMDAR from the cytoplasmic into the synaptic membrane. This conclusion stems from the observation that the 7-daily systemic administration of ethanol increases the synaptic, but not total protein levels of NR2B-NMDARs in the DMS (Wang et al., 2010a; Wang et al., 2011). The difference in the mechanisms underlying ethanol-induced LTP may result from the diverse responses of NMDARs to ethanol exposure in these two brain regions. For example, acute ethanol exposure fails to inhibit NMDAR activity in the presence of Ro 25-6981 in the BNST (Wills et al., 2012), whereas we found that such inhibition is preserved in the DMS (Wang et al., 2007). Importantly, in the present study we provide evidence to suggest that the mechanism underlying the LTP facilitation in the DMS is associated with enhancement of GluR1 and GluR2 subunits of AMPARs into the synaptic membrane (see below).

## **Repeated systemic administration of ethanol and excessive ethanol consumption upregulate synaptic AMPAR levels**

We found that repeated systemic ethanol administration, as well as ethanol withdrawal in rats with a history of excessive ethanol intake, leads to an increased trafficking of AMPARs from the cytoplasmic into synaptic sites. Insertion of new AMPARs is thought to underlie LTP (Malinow and Malenka, 2002; Kerchner and Nicoll, 2008). Thus, the increased insertion of AMPARs in the DMS synapses following *in vivo* ethanol exposure and withdrawal is likely a consequence of the facilitation of LTP. Importantly, the ethanol-mediated synaptic AMPAR change is also long-lasting: it is observed 24 hrs after withdrawal from excessive ethanol intake.

Surprisingly, we did not observe occlusion of LTP induction in response to ethanol exposure and withdrawal. However, LTP occlusion normally requires prolonged stimulation of a synapse to induce the saturation of LTP, which prevents further LTP induction by a second stimulation of the same synapse (Lledo et al., 1995). Thus, it is likely that ethanol exposure **procedure** employed in the present study does not induce a maximal NMDAR-dependent LTP.

**We observed that although the synaptic levels of AMPAR GluR1 and GluR2 subunits are increased following repeated *in vivo* ethanol administration, AMPAR function, as measured by AMPA-induced currents and by the amplitude of AMPAR-mEPSCs, remains unchanged after acute *ex vivo* ethanol exposure and withdrawal. The difference between the electrophysiological and biochemical data is likely derived from the degree of synaptic activity in the DMS *ex vivo* vs. *in vivo*. Striatal neurons, including the DMS receive glutamatergic inputs from neurons outside of the striatum (Kreitzer and Malenka, 2008). The neural connections between the somata of these presynaptic neurons, such as cortical pyramidal cells, and DMS neurons are in large part removed in coronal sections used in this**

**study, which leads to dramatically reduced synaptic activity (Smeal et al., 2007). As a result, the activity-dependent plasticity of AMPARs is substantially reduced in DMS slices, leading to little alteration of AMPAR subunit levels in response to ethanol exposure and withdrawal. *In vivo*, however, the intact network allows abundant firing activity of presynaptic neurons to evoke synaptic transmission in the DMS, leading to activity-dependent plasticity of AMPARs. In ethanol-treated rats (repeated systemic administration or consumption), the enhanced NMDAR function results in AMPAR insertion into synaptic sites.**

#### **AMPA activity in the DMS is required for the expression and/or maintenance of excessive ethanol consumption**

As discussed above, *in vivo* ethanol exposure and withdrawal causes an increase in synaptic localization of AMPARs and an increase in **NR2B-NMDAR dependent LTP** in the DMS. **AMPA mediates LTP of fast synaptic transmission, and we provide evidence suggesting that the enhancement of NMDARs and AMPARs leading to synaptic plasticity indeed have functional behavioral consequences. Specifically, we previously showed that intra-DMS infusion of the NR2B-NMDARs inhibitor ifenprotil reduces ethanol self-administration (Wang et al., 2010a), and here we show that the inhibition of AMPARs by NBQX in the DMS of rats attenuates ethanol self-administration.** Given that the DMS is required for the acquisition and expression of goal-directed behaviors (Yin and Knowlton, 2006), the increased synaptic localization of AMPARs and consequent aberrant LTP may strengthen action-outcome association, thereby enhancing the propensity to engage in an ethanol-seeking and -taking response that may underlie the development and maintenance of excessive ethanol consumption. Breaking the action-outcome association by inhibition of AMPAR activity in the DMS may prevent ethanol-seeking and -drinking behaviors. In fact, here we show that inhibition

of AMPARs by NBQX in the DMS of rats with a history of excessive ethanol intake preferentially attenuates operant ethanol self-administration. Collectively, these results imply that ethanol-mediated enhancement of AMPAR activity in the DMS contributes to mechanisms underlying the development and/or maintenance of excessive ethanol intake.

In summary, our results suggest that NMDAR-dependent LTP of AMPAR activity in the DMS is facilitated by cycles of excessive ethanol intake and withdrawal, and this aberrant plasticity may contribute to long-lasting neuroadaptations that are associated with pathological ethanol-related behaviors.

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## Figure Legends

### **Figure 1. *Ex vivo* ethanol exposure and withdrawal upregulates NMDAR but not AMPAR activity**

Striatal slices from Sprague-Dawley rats were treated for 1 hr with 40 mM ethanol, which was then washed out for 30 min before electrophysiological measurements. Control slices (Ctrl) were exposed to the same treatment but without ethanol. **A**, *Ex vivo* ethanol treatment causes an increase in NMDA-induced currents. Changes in holding currents **in DMS neurons** were measured after NMDA (10  $\mu$ M, 30 s) was bath applied to **control and ethanol-treated slices**. n = 8 per group. **B**, *Ex vivo* ethanol treatment does not alter AMPA-induced currents. Changes in holding currents were measured in **DMS neurons** after AMPA (0.2  $\mu$ M, 30 s) was bath applied. n = 8 per group. **C**, *Ex vivo* ethanol treatment causes an increase in NMDA/AMPA ratio. *Left*, Sample traces of NMDAR-mediated and AMPAR-mediated EPSCs in control slices (*top*) and in ethanol-treated slices (*bottom*). Scale bars, 30 ms, 30 pA. *Right*, Bar graph summarizing the mean of NMDA/AMPA ratios in control slices and ethanol-treated slices. **\*\* $p < 0.01$ ,  $t$  test, n = 9 (Ctrl) and n = 10 (EtOH).** **D**, *Ex vivo* ethanol treatment does not alter the amplitude **or frequency** of AMPAR-mediated mEPSCs (AMPA-mEPSCs) in DMS neurons. *Left*, Sample traces of mEPSCs in control slices (*top*) and in ethanol-treated slices (*bottom*). Scale bars, 0.2 sec, 10 pA. *Middle and Right*, Bar graph summarizing the mean amplitudes (*middle*) **and frequencies** (*right*) of AMPAR-mediated EPSCs in control and ethanol-treated slices. n = 10 per group.

**Figure 2. *Ex vivo* ethanol exposure and withdrawal facilitates the induction of LTP in an NR2B-NMDAR-dependent manner.** Striatal slices from Sprague Dawley rats were treated with 40 mM ethanol for 1 hr, ethanol was washed out for 30 min, and HFS was delivered in the absence (EtOH) or presence (EtOH/Ro) of Ro 25-6981 (0.5  $\mu$ M), in which Ro 25-6981 was

present throughout the recording period. Control slices (Ctrl) were exposed to the same treatment but without ethanol or Ro 25-6981. **A**, Sample traces of fEPSP/PS before (panels 1 and 1') and after (panels 2 and 2') HFS in Ctrl (*top*) as well as EtOH (*middle*)- and EtOH/Ro (*bottom*)-treated slices. Note that the peak of the fEPSP/PS (the second downward waveform) increases after HFS in EtOH-treated slices, but not in Ctrl or EtOH/Ro-treated slices. The stimulus artifacts have been omitted for clarity. Scale bars, 2 ms, 0.1 mV. **B**, Time course of fEPSP/PS before and after HFS in Ctrl (white circles) as well as EtOH (black circles)- and EtOH/Ro (triangles)-treated slices. Note that HFS induced greater increases in fEPSP/PS amplitude in EtOH-treated slices than in Ctrl and EtOH/Ro-treated slices. The numbers 1, 1', 2, and 2' indicate timepoints where the sample traces in **A** are selected. **C**, Bar graphs summarizing the mean amplitudes of fEPSP/PS 20-30 min post-HFS in control (white bar), EtOH (black bar) and EtOH/Ro (grey bar)-treated slices. n.s.,  $p > 0.05$  for EPSCs at timepoint 2 vs. 1, SNK test;  $***p < 0.001$  for EPSCs at timepoint 2' vs. 1', SNK test;  $^{##}p < 0.01$ , SNK test.  $^{###}p < 0.001$ , SNK test.  $n = 11, 10, 9$  for Ctrl, EtOH, and EtOH/Ro, respectively.

**Figure 3. Repeated daily *in vivo* administration of ethanol facilitates the induction of LTP in an NR2B-NMDAR-dependent manner in the DMS.** Sprague Dawley rats were systemically administered once daily with saline or ethanol (20%, 2 g/kg) for 7 days and striatal slices were prepared 16 hrs after the 7th administration. HFS was delivered in slices from saline (Sal)- and ethanol-treated animals. In the latter case, Ro 25-6981 was absent (EtOH) or present (EtOH/Ro) throughout the recording period. **A**, Time course of fEPSP/PS before and after HFS in Sal (white circles), EtOH (black circles), and EtOH/Ro (triangles) groups. Note that HFS induced greater increases in fEPSP/PS amplitude in EtOH than Sal and EtOH/Ro conditions. **B**, Bar graphs comparing the mean amplitudes of fEPSP/PS post-HFS in Sal, EtOH, and EtOH/Ro groups.  $***p$

< 0.001 for EPSCs at post-HFS vs. at baseline, SNK test; <sup>###</sup> $p < 0.001$ , SNK test.  $n = 11, 8,$  and 10 for Sal, EtOH, and EtOH/Ro, respectively.

**Figure 4. Repeated systemic ethanol administration and excessive ethanol intake upregulate**

**the protein levels of synaptic AMPAR subunits in the DMS.** **A**, Repeated systemic administration of ethanol upregulates the protein levels of synaptic AMPARs. Sprague Dawley rats were systemically administered with ethanol or saline once a day for 7 successive days, DMS tissue was dissected out 16 hrs after the 7<sup>th</sup> administration, and GluR1 and GluR2 levels in total homogenates (Total) and at synaptosomal membranes (Synaptic) were measured by western blot. *Left*, Sample images of total and synaptosomal protein levels of GluR1 and GluR2. *Right*, Bar graph summarizing mean protein levels of GluR1 and GluR2 in saline- and ethanol-treated animals.  $*p < 0.05$ .  $n = 3$  for each group. **B**, Excessive ethanol intake upregulates synaptic GluR1 and GluR2 subunits of AMPARs. Long-Evans rats underwent an intermittent-access to 20% ethanol in a 2-bottle choice drinking **procedure** for 7-8 weeks, DMS tissues were dissected 1 day after the last ethanol drinking session (ethanol withdrawal, EW), and protein levels of GluR1 and GluR2 in total homogenates and synaptosomal membranes were measured. *Left*, Sample images of total and synaptosomal protein levels of GluR1 and GluR2. *Right*, Average of protein levels of GluR1 and GluR2 subunits in water controls (Water) and ethanol (EW)-treated animals.  $*p < 0.05$ .  $n = 7$  (Water) and 6 (EW) for total GluR1; 7 (Water) and 8 (EW) for total GluR2; 5 (Water) and 5 (EW) for synaptic GluR1, and 7 (Water) and 7 (EW) for synaptic GluR2.

**Figure 5. Inhibition of AMPARs reduces operant self-administration of ethanol, but not of**

**sucrose in the DMS.** **A**, Mean  $\pm$  SEM of the number of lever presses for ethanol after intra-DMS infusion of vehicle or NBQX. (*a*) and Mean  $\pm$  SEM of ethanol intake (*b*) in rats trained on a FR3

schedule to obtain 0.1 ml of a 20% ethanol solution per delivery during a 30 min session. **c**, Schematic representation of cannulae placements (gray circles) in coronal sections from the ethanol self-administration experiments.  $**p < 0.01$ , **SNK tests**.  $n = 7$  for each group. **Ba**, Mean  $\pm$  SEM of the number of lever presses for sucrose after intra-DMS infusion of vehicle or NBQX. **b**, Schematic representation of cannulae placements (gray circles) in coronal sections from the sucrose self-administration experiments.  $n = 9$  for each group.

Figure 1

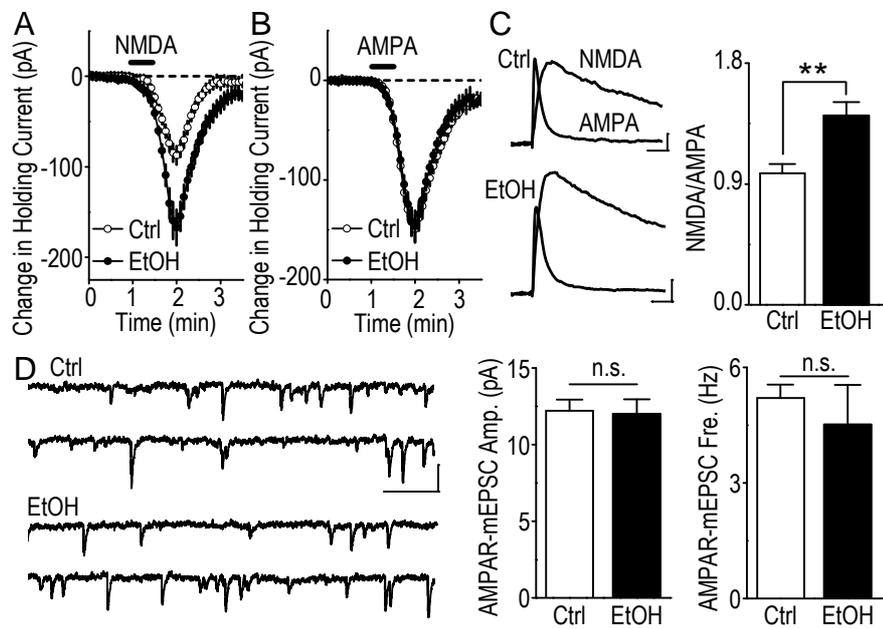


Figure 2

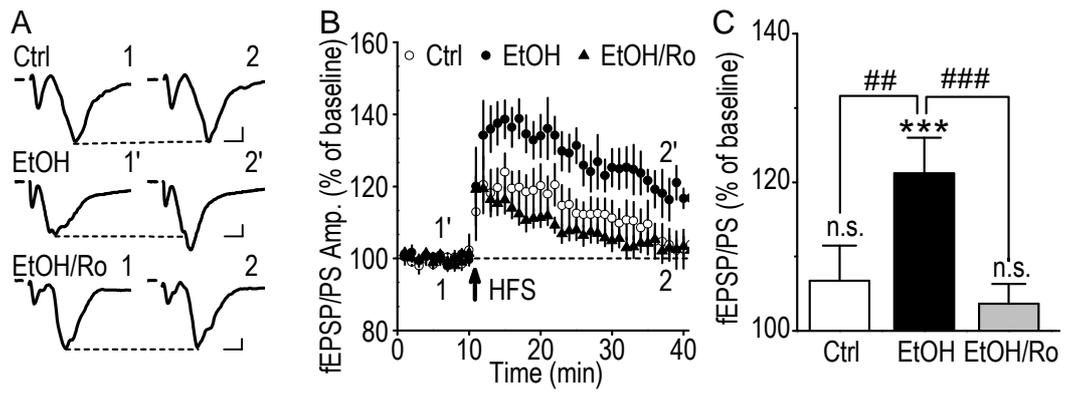


Figure 3

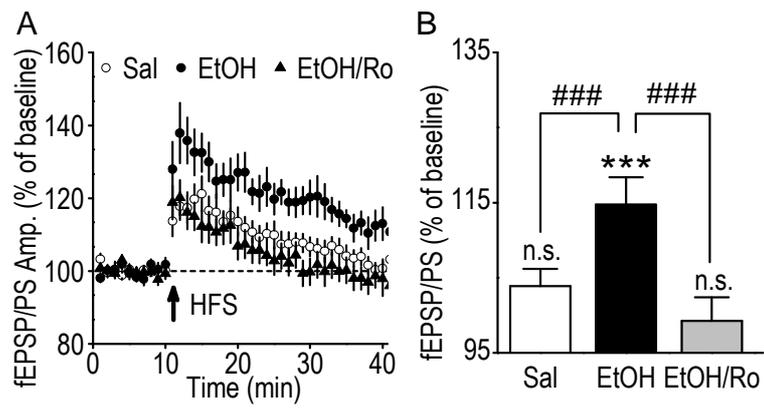


Figure 4

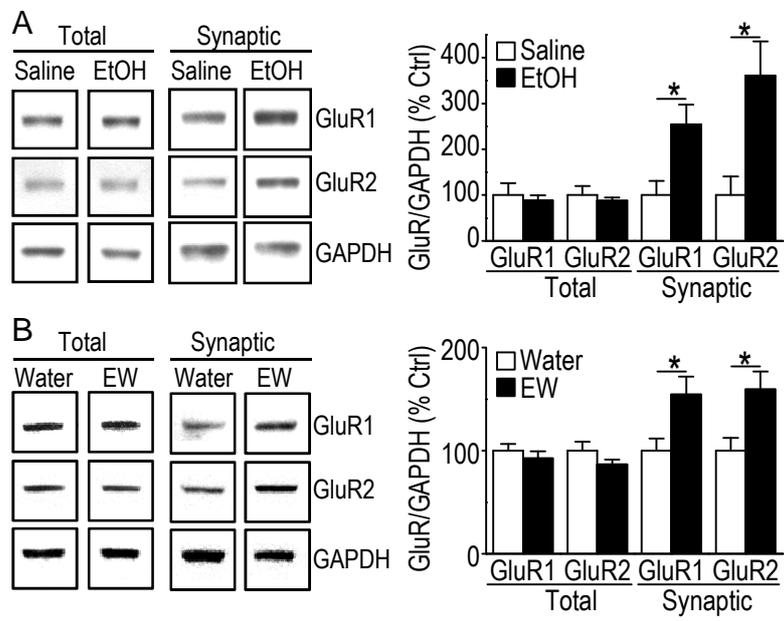


Figure 5

