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Impact of smoking on cognitive decline in early old age: the Whitehall II cohort study.

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## **Abstract**

**Context** Smoking is a possible risk factor for dementia although its impact may have been underestimated in elderly populations due to the shorter lifespan of smokers.

**Objective** To examine the association between smoking history and cognitive decline in the transition from midlife to old age.

**Design, Setting, and Participants** Data are from 5099 men and 2137 women in the Whitehall II study, mean age 56 years (range=44-69 years) at the first cognitive assessment (1997-1999), repeated over 2002-2004 and 2007-2009.

**Main Outcome Measures** The cognitive test battery was composed of tests of memory, vocabulary, executive function (composed of one reasoning and two fluency tests), and a global cognitive score summarising performance across all five tests. Smoking status was assessed over the entire study period. Linear mixed models were used to assess the association between smoking history and 10-year cognitive decline, expressed as z-scores.

**Results** In men, 10-year cognitive decline in all tests except vocabulary among never smokers ranged from a quarter to a third of the baseline standard deviation. Faster cognitive decline was observed among current smokers compared to never smokers in men [mean difference in 10-year decline in global cognition=-0.09 (95%CI:-0.15;-0.03) and executive function=-0.11 (-0.17;-0.05)]. Recent ex-smokers had greater decline in executive function (-0.08 (-0.14;-0.02)) while the decline in long-term ex-smokers was similar to that among never smokers. In analyses that additionally took drop-out and death into account, these differences were 1.2 to 1.5 times larger. In women, cognitive decline did not vary as a function of smoking status.

**Conclusions** Compared to never smokers, middle-aged male smokers experienced faster cognitive decline in global cognition and executive function. In ex-smokers with at least 10-year cessation there were no adverse effects on cognitive decline.

The number of dementia cases worldwide, estimated at 36 million in 2010, is on the rise and projected to double every 20 years.<sup>1</sup> Smoking is increasingly recognised as a risk factor for dementia in the elderly.<sup>2-4</sup> There is also evidence to suggest that its impact on adverse cognitive outcomes, including dementia, may have been underestimated due to selection effects as a result of greater mortality among smokers in midlife.<sup>5, 6</sup> The extent to which smoking increases the risk of cognitive decline remains unclear,<sup>2</sup> as few studies have investigated this association<sup>2, 7-15</sup> particularly in non-elderly populations.<sup>7, 9, 10, 13</sup> The fact that smokers have greater risks of respiratory and cardiovascular diseases,<sup>16</sup> both linked to cognitive impairment<sup>17-19</sup> suggests that they may also experience faster cognitive decline.

Public health messages have led many individuals to give up smoking but the extent to which this change in behaviour influences subsequent cognitive decline remains unclear.<sup>2</sup> We previously reported smokers compared to non-smokers to have poorer memory and greater decline in reasoning over 5 years using two waves of data.<sup>7</sup> The aim of the present paper is to examine the association between smoking history and decline in multiple domains of cognition using three waves of cognitive data, a total follow-up of 10 years. Smoking status was assessed over a 25-year period, starting 10 years prior to the first cognitive assessment, allowing us to investigate the impact on cognitive decline of persistent smoking, intermittent smoking, and smoking cessation. A key objective was to take into account the potential bias in the estimates of cognitive decline due to selection effects, as a result of mortality or drop-out over the follow-up. In order to this we use a method that allows joint modelling of cognitive decline, time to drop-out and time to death.<sup>20-22</sup> A final objective was to examine whether age modifies the association between smoking and cognitive decline.

## **METHODS**

### Study Population

The Whitehall II study is based on employees of the British Civil service.<sup>23</sup> At study inception (Phase 1, 1985-1988), 10,308 participants (67% men) underwent a clinical examination and completed a self-administered questionnaire. Subsequent phases of data collection have alternated between postal questionnaire alone (Phases 2 (1988-1990), 4 (1995-1996), 6 (2001) and 8 (2006)) and postal questionnaire accompanied by a clinical examination (Phases 3 (1991-1994), 5 (1997-1999), 7 (2002-2004), and 9 (2007-2009)). Cognitive testing was introduced to the study at Phase 5 (age range=44-69 years) and repeated at Phases 7 (age range=50-74 years) and 9 (age range=55-80 years). All participants provided written consent and the University College London ethics committee approved this study.

### Smoking

Data on cigarette smoking were collected at Phases 1, 2, 3, 5, 7, and 9 using questions on smoking status (current, past, never), age at which the participant started smoking, average number of cigarettes per day, and ounces of tobacco smoked in hand-rolled cigarettes per week. Ex-smokers reported the age at which they had stopped smoking. The measure of *smoking history at Phase 5* (to coincide with the first measure of cognition) comprised the following categories: “current smoker at Phase 5”, “recent ex-smoker” (stopped smoking between Phases 1 and 5), “long-term ex-smoker” (those who stopped before Phase 1) and “never smoker”. We also used data on the number of cigarettes smoked per day to calculate *pack-years of smoking* (the average number of cigarettes smoked per day/20 \* number of years of smoking).

We defined *smoking status over the follow-up* (Phases 5, 7 and 9) as “persistent smokers” (those smoking at Phases 5 and 9), “intermittent smokers” (quitters who started smoking again), and “quitters” (stopped smoking after Phase 5). Participants corresponding to none of these categories were classified using smoking history defined at Phase 5, as described above.

## Cognition

Cognitive function was assessed using a battery of five tests.

*Short-term verbal memory* was assessed with 20 one or two syllable words, presented at two second intervals that the participants had 2 minutes to recall in writing.

*Vocabulary* was assessed using the *Mill Hill Vocabulary test*,<sup>24</sup> used in its multiple-choice format, consisting of a list of 33 stimulus words ordered by increasing difficulty and six response choices.

*Executive function* was derived from 3 tests. One, the timed (10 minutes) Alice Heim 4-I (AH4-I) test, to assess reasoning. It is composed of a series of 65 verbal and mathematical reasoning items of increasing difficulty.<sup>25</sup> Two measures of verbal fluency: phonemic, assessed via “S” words, and semantic fluency using names of animals.<sup>26</sup> One minute was allowed for each test. The mean of the standardized z-scores of these three tests (mean=0; standard deviation (SD)=1) using the mean and standard deviation from Phase 5 was used as the executive function score.

A *global cognitive score* was created using all five tests described above by first standardizing the raw scores on each test to z-scores (mean=0; SD=1) using the mean and SD at phase 5 in the entire cohort for each test. The z-scores were then averaged to yield the global cognitive score, seen to minimize problems due to measurement error.<sup>27, 28</sup>

## Covariates at Phase 5

*Socio-demographic variables* included were age, sex, marital status (married/cohabiting vs others) and socioeconomic status using two measures: occupational position [high (administrative), intermediate (professional or executive), low (clerical or support)] and education [less than primary school (until age 11), lower secondary school (until age 16), higher secondary school (until age 18), university, and higher university degree].

*Health behaviours:* alcohol consumption, assessed via questions on the number of alcoholic drinks (“measures” of spirits, “glasses” of wine, and “pints” of beer) consumed in the last seven days, and categorized as “none or <1 unit/week” (no alcohol), “moderate drinkers” (1-14 units/week in women and 1-21 units/week in men), and “heavy drinkers” (15+ units in women and 21+ units in men); frequency of fruit and vegetable consumption, assessed using the question “How often do you eat fresh fruit or vegetable?” (responses were on an eight-point scale, ranging from ‘seldom or never’ to ‘two or more times a day’); physical activity, categorised as “active” ( $\geq 2.5$  hours/week of moderate or  $\geq 1$  hour/week of vigorous physical activity), “inactive” (<1 hour/week of moderate and <1 hour/week of vigorous physical activity) or “moderately active” (if not active or inactive).<sup>29</sup>

*Health measures* included resting heart rate, serum cholesterol, systolic and diastolic blood pressure, and prevalence of coronary heart disease (CHD), stroke, and diabetes. Resting heart rate was measured via electrocardiogram with participants in the supine position and categorized as < 60, 60–80, and > 80 beats/minute.<sup>30</sup> Blood pressure was measured twice with the participant sitting after a 5-minute rest using the Hawksley random-zero sphygmomanometer. The average of two readings was taken to be the measured blood pressure. Fasting serum cholesterol was measured within 72 hours in serum stored at 4°C using enzymatic colorimetric methods. CHD prevalence was based on clinically verified events and included myocardial infarction and definite angina.<sup>31</sup> Stroke was assessed using a self-reported measure of physician diagnosis. Diabetes was defined by a fasting glucose  $\geq 7.0$  mmol/L or a 2-hour postload glucose  $\geq 11.1$  mmol/L or reported doctor diagnosed diabetes, or use of diabetes medication.<sup>32</sup>

#### Covariates over the follow-up

These included *coronary heart disease* and *incident self-reported stroke* from Phase 5 to Phase 9 and lung function from Phases 7 and 9<sup>33</sup> measured using a portable flow spirometer (MicroPlus Spirometer; Micro Medical Ltd., Kent, United Kingdom) used here as forced expiratory volume in one second (FEV<sub>1</sub>).<sup>34</sup>



## Statistical analysis

We investigated the association between smoking history and global cognition, memory, vocabulary, and executive function. In order to allow comparability across tests, all scores were converted to z-scores (mean = 0, SD = 1). Linear mixed models<sup>35</sup> were used to estimate the association between the smoking history and 10-year cognitive decline. These models use all available data over the follow-up, handle differences in length of follow-up, and take into account the fact that repeated measures on the same individual are correlated. We fitted both the intercept and slope as random effects, allowing individual differences both in cognitive performance at baseline and rate of cognitive decline. Interaction terms suggested sex differences in the association between smoking history and cognitive decline ( $p=0.03$  for global cognition,  $p=0.54$  for memory,  $p=0.15$  for vocabulary, and  $p=0.02$  for executive function), leading us to stratify the analyses by sex.

The linear mixed model included terms for time (individual follow-up divided by 10 to yield effects of change over 10 years), age at baseline (centered at 55 years), smoking history at baseline, education, occupational position, and marital status, and the interaction of each of the covariates with time (model 1) in order to take into account the fact that all covariates can influence the rate of cognitive decline. The interaction term between smoking history and time provides the mean difference in the 10-year decline among current smokers, long-term ex-smokers, recent ex-smokers compared to the “never smokers”. This model was subsequently expanded to include covariates and their interaction with time: first, other health behaviours and health measures at Phase 5 (model 2), then stroke and CHD as time-dependent variables (model 3) and finally the analyses presented in model 2 was repeated with lung function added as a covariate (model 4).

Using models similar to model 1, we investigated dose-response associations between smoking and cognitive decline using pack-years of smoking (at Phase 5) and the association between smoking status over the follow-up and concurrent cognitive change. A three-way interaction term between age,

smoking history, and time was used to assess whether the effect of smoking on cognitive decline differed as a function of age. The results of this analysis are presented graphically, to make them easily understandable, with estimates of the regression coefficients from model 1 stratified at 55 years, the median age. In the final set of analyses, we examined the impact of missing data (due to death or drop-out) on the estimates of cognitive decline using joint modelling<sup>20, 22</sup> that allow to take into account the correlation between cognitive decline, time to drop-out, and time to death (see eMethods).

Several sensitivity analyses were conducted. First, interactions of smoking with education ( $p > 0.43$ ) and apolipoprotein allele  $\epsilon 4$  (APOE  $\epsilon 4$ ;  $p > 0.24$ ) were examined; although both variables were associated with cognitive scores at baseline, they did not influence the association of smoking history with cognitive decline. Second, we repeated the analysis among participants with a Mini-Mental State Examination (MMSE) score  $\geq 24$  at Phases 7 and 9 to ensure that the results were not being driven by potential cases of dementia.<sup>36</sup> Finally, we restricted the main analysis to individuals with complete data, that is, those who had cognitive data at all three waves. All analyses were performed with SAS, version 9.2 (SAS Institute, Cary, North Carolina).

## **RESULTS**

### Sample description and missing data

Of the 10,308 participants at Phase 1 (1985-1988), 306 had died and 752 had dropped out from the study before the start of the cognitive data collection at Phase 5 (1997-1999). Of the 9250 remaining individuals, 7495 participated in one or more of the three cognitive function assessments over 10 years. All analyses are based on 7236 individuals who had complete data on smoking history and other covariates; this group was similar in age (55.8 vs 56.0 years,  $p=0.09$ ) to those not included in the analysis but composed of more men (70.5% vs 58.7%,  $p<0.001$ ) and persons from the higher occupational group (33.2% vs 20.3%,  $p<0.001$ ). Of those included in the analyses, 973 (13.4%) contributed to one wave of cognitive data, 1603 (22.2%) to two waves and 4660 (64.4%) all three

waves. 11.8% of those included in the analysis had less than primary school education, 35.0% had lower secondary school, 24.8% had finished school, 20.9% had a university degree, and 7.5% a post-graduate degree.

Table 1 shows characteristics of study participants as a function of smoking history. 10-year cognitive decline in men aged 55 (results not shown) was estimated at -0.34 of the baseline standard deviation (95% confidence interval (CI): -0.35, -0.32) for global cognition, -0.28 (-0.31, -0.25) for memory, and -0.39 (-0.41, -0.37) for executive function. There was a small improvement in vocabulary scores (0.02 (0.00, 0.03)). The corresponding figures for women were -0.30 (-0.33, -0.28) for global cognition, -0.25 (-0.30, -0.20) for memory, -0.37 (-0.40, -0.34) for executive function, and 0.05 (0.02, 0.07) for vocabulary. Older individuals experienced faster decline; for example men (women) aged 65 compared to 55 years at baseline declined -0.10 (-0.11) of the baseline standard deviation more in global cognition, -0.06 (-0.10) in memory, -0.10 (-0.10) in executive function, and -0.06 (-0.04) in vocabulary.

#### Cross-sectional and longitudinal association as a function of smoking history

Mean raw baseline cognitive scores and 10-year cognitive change for all 5 cognitive tests are presented in eTable 1. The cross-sectional associations between smoking history and cognitive function at Phase 5, estimated from the mixed models (model 1) suggested that long-term ex-smokers had better cognitive scores than never smokers on all tests except memory, in both men and women (eTable 2). Table 2 shows the estimates of subsequent cognitive change over 10 years derived from the same models. In men, compared to never smokers, current smokers had a greater 10-year decline in global cognition (mean difference in decline (95%CI)=-0.09 (-0.15; -0.03)) and executive function (-0.11 (-0.17; -0.05)). This effect size was similar to the effect of 10 years of age on cognitive decline. Among recent ex-smokers, decline in executive function (-0.08 (-0.14, -0.02)) was faster than among never smokers. Smoking history was not associated with cognitive change in women.

In men, the associations between smoking history and decline in global cognition and executive function were not attenuated after adjustment for other health behaviours and health measures (eTable 3). Entering CHD and stroke events as time-dependent covariates did not change these results (eTable 4). In men with data on lung function (N=4100), adjustment for the mean FEV<sub>1</sub> over the follow-up (Phases 7 and 9) also did not reduce the association (results not shown).

Analysis using pack-years of smoking in men showed that for every ten pack-years there was greater decline in global cognition (mean 10-year cognitive decline (95% CI)=-0.009 (-0.017; -0.001)) and executive function (-0.010 (-0.019; -0.001)). No association with pack-years of smoking was observed in women.

#### Smoking status over the follow-up and concurrent cognitive change

In men, compared to never smokers, persistent smokers over the follow-up were more likely to show faster decline in global cognition (-0.12 (-0.19; -0.04)), memory (-0.15 (-0.29; -0.01)), and executive function (-0.11 (-0.20; -0.03)), Table 3. Intermittent smokers also had greater decline in global cognition (-0.10 (-0.20; 0.00)). The 168 men who stopped smoking after Phase 5 did not show greater cognitive decline than the never smokers but their decline was not statistically different from that in persistent smokers ( $p=0.21$  for global cognition,  $p=0.71$  for memory,  $p=0.43$  for vocabulary, and  $p=0.36$  for executive function). In women there was no evidence of an association.

#### Smoking history at Phase 5 and cognitive decline as a function of age in men

The interaction term between age (continuous variable) at baseline, smoking, and time suggested differences in the effect of smoking for global cognition ( $p=0.08$ ) and executive function ( $p=0.04$ ) as a function of age. These findings are summarized in Figure 1 which shows the analyses reported in Table 2 (differences in cognitive decline between the smoking history categories with the

never smokers as the reference group) but stratified by median age (55 years). There was some evidence that the impact of smoking on cognitive decline was weaker in the older group.

### Joint models

These analyses assessed the effect of drop-out, due to death or non-participation during the follow-up, on the association between smoking history and cognitive decline (see eResults for more details). Joint model estimates of cognitive change were around 10% higher than those using mixed models alone, with larger differences seen in current smokers than in never smokers (Figure 2). The relative differences between the estimates from the mixed model and the joint models were more evident in the oldest group (> 55 years), with estimates being 100% stronger in the joint models in this age group compared to 17% stronger in the youngest group.

### Sensitivity analysis

Analyses restricted to those with a MMSE score  $\geq 24$  (N=7165) or those with complete data at all three waves of cognitive data yielded results similar to that in the main analysis (not shown).

## **COMMENT**

Our analysis of data using six assessments of smoking status over 25 years and three cognitive assessments over ten years presents four key findings. One, in men, smoking was associated with faster cognitive decline; analyses using pack-years of smoking suggested a dose-response relation. Two, men who continued smoking over the follow-up experienced greater decline in all cognitive tests. Three, men who quit smoking in the 10 years preceding the first cognitive measure were still at risk of greater cognitive decline, particularly in executive function. However, long-term ex-smokers did not show faster cognitive decline. Finally, our results show that the association between smoking and cognition, particularly at older ages, is likely to be underestimated due to higher risk of death and dropping-out among smokers.

Our previous paper based on data from the first two waves of cognitive assessment showed smoking in midlife to be associated with poor memory and 5-year decline in reasoning abilities.<sup>7</sup> We also showed long-term ex-smokers to have better memory and verbal fluency scores than never smokers. In the present paper, the third wave of cognitive data allowed us: 1) to estimate the association between smoking history and 10-year cognitive decline; 2) to cover an age window from 45 to 80 years; 3) to use mixed models with multiple repeated measures rather than analysis of change using two waves of data. The third measurement reduces potential biases related to practice effects and regression to the mean, which are particularly encountered in studies with only two measurements.<sup>37-</sup><sup>39</sup> Thus, the present analyses provide more robust estimates of the impact of smoking on cognitive decline.

#### Comparison with other studies

At least four previous studies<sup>7, 9, 10, 13</sup> have examined the association between smoking and cognitive decline with cognition first assessed in midlife. In the 1946 Birth Cohort,<sup>13</sup> smoking was associated with a greater decline in memory but not visual search. In the Doetinchem Cohort study,<sup>10</sup> smokers had faster decline in memory, cognitive flexibility, and global cognition, but not processing speed. Finally, the ARIC-MRI study, the only other study with more than 2 waves of cognitive data in a non-elderly population,<sup>9</sup> did not find smoking to influence cognitive decline. One possible explanation for the lack of association in the ARIC-MRI study is that the study population was composed mainly of women, 62% of total population. Our results show no association between smoking and cognitive decline in women, but the underlying reasons remain unclear. Some studies have reported sex differences in this association,<sup>13, 40</sup> while others report no differences.<sup>10, 15</sup> One explanation for the sex difference we observed might be the greater quantity of tobacco smoked by men.<sup>40</sup> Indeed, the mean pack-years of smoking (36 vs. 31,  $p=0.05$ ) as well as the number of cigarettes smoked (19 vs. 16,  $p=0.007$ ) was higher in men than women. It is also possible that smoking clusters

with other risk factors differently in men and women. Alcohol consumption greater than the recommended quantities were seen in 38.7% of male and 23.3% female smokers; mean alcohol consumption in smokers was considerably higher in men than in women, 23.0 vs 9.6 units/week,  $p < 0.0001$ . Future research needs to explore possible reasons for these differences.

Few observational studies have distinguished long-term ex-smokers from recent ex-smokers. In the 1946 British Birth Cohort study,<sup>13</sup> long-term ex-smokers had better memory and slower decline in memory compared with never smokers but in the Honolulu-Asia Aging study,<sup>41</sup> long-term ex-smokers did not have a lower risk of cognitive impairment than never smokers, and recent ex-smokers had the same increased risk of impairment as current smokers. In the Doetinchem Cohort study,<sup>10</sup> no difference was found between recent ex-smokers, long-term ex-smokers and never smokers, although the point estimates of decline increased steadily from never smokers to long-term ex-smokers, then to recent ex-smokers and to smokers. Our results show that long-term ex-smokers did not have greater cognitive decline than never smokers while male recent ex-smokers had on average greater decline in executive function than never smokers. These results suggest that residual effects of smoking on cognition might wear off approximately a decade after smoking cessation. A recent non-randomized trial<sup>42</sup> of smoking cessation on 95 non-smokers and 228 smokers aged 68 to 88 years found recent quitters (defined as a minimum of 18 smoking free months over the 24-month period of follow-up) not to have greater cognitive decline than never smokers. The discrepancy with our results might be explained by factors such as the older and smaller study population in the trial as well as the use of a cognitive test battery (the Wechsler Logical memory test and Alzheimer's Disease Assessment Scale) designed to assess changes in memory and symptoms of Alzheimer's disease.

### Mechanisms

In the present study the adverse impact of smoking was greater on executive function than memory or vocabulary. Executive function, an umbrella term for various complex cognitive processes

involved in achieving a particular goal,<sup>43</sup> has been shown to be particularly affected in vascular dementia.<sup>44</sup> We assessed executive function using measures of reasoning and verbal fluency, as these tasks require the combination of different cognitive abilities such as memory, attention, and speed of information processing.<sup>25, 26</sup> Smoking is an important risk factor for vascular diseases<sup>45</sup> and could influence executive function via vascular pathways. Nevertheless the inclusion of heart rate (a marker of cardiovascular fitness),<sup>46</sup> cardiovascular diseases and cardiovascular risk factors such as blood pressure and cholesterol in the analysis did not attenuate the association with smoking. Although the mechanisms by which smoking affects cognitive decline remain unclear, it has been shown to be associated with periventricular and subcortical white matter lesion progression, themselves associated with greater cognitive decline,<sup>47</sup> independently of other cardiovascular risk factors.

Another mechanism that could underlie the association between smoking and cognitive decline is lung function.<sup>8</sup> Smoking is a risk factor for lung injuries<sup>16</sup> that can increase risk of cognitive impairment and dementia.<sup>17, 18</sup> However, the association between smoking and cognitive decline in our study was not explained by lung function, measured by FEV<sub>1</sub>. As this measure was introduced only 5 years after the first cognitive assessment, further research is required to examine this potential mechanism in greater detail.

### Influence of drop-out

In longitudinal studies, drop-out is common and death is also a cause of sample attrition, particularly in older populations. Drop-out is a potential source of bias if it is non-random, in that it is associated with either the exposure and/or the outcome under investigation, independently of observed data. Individuals who drop-out are more likely to have health problems and experience greater cognitive decline.<sup>48, 49</sup> Smoking history in our data was associated with both mortality and drop-out during the follow-up, suggesting that cognitive decline may be underestimated among smokers. Our results from the joint models of cognitive decline and drop-out are consistent with this possibility; the



estimated differences in cognitive change between current smokers and never smokers were 1.2 to 1.5 times larger than those from the mixed models. Furthermore, in older men mixed models suggested weaker association between smoking and cognitive decline compared to the younger group. These estimates increased by up to 100% when information on drop-outs was included in the joint models, thus reducing the apparent difference between the younger and older men in the association between smoking and cognitive decline. These results illustrate the selection biases encountered in studies investigating the association between smoking, a strong risk factor for mortality, and cognitive ageing in the elderly.<sup>5, 6</sup> Indeed, such studies have led to speculation as to whether smoking is a risk factor for dementia or whether nicotine has a protective effect on the brain.<sup>5</sup> This confusion stems from the fact that smokers susceptible of dying or developing dementia may already have done so by the age of inclusion in ageing studies, and thus the group of elderly participants free of dementia at baseline in ageing studies are depleted of susceptible smokers.<sup>5</sup> Our results on cognitive decline in a non-elderly population might therefore better capture the potential impact of smoking on cognitive function. Further research on elderly populations, possibly even reanalysis of published data, using joint models is needed to understand the impact of smoking on cognitive decline.

### Limitations

Our study has limitations. First, although the sample covered a wide socioeconomic range, with annual full-time salaries ranging from £4,995 to £150,000, data are from white-collar civil servants and cannot be assumed to be representative of the general population, particularly the unemployed or blue-collar workers. Second, smoking was self-reported and is likely to have been under-reported. Third, we could not ascertain dementia cases and the extent to which this impacts our results is unclear but our findings regarding stronger relations before age 55, when dementia is exceptional, suggest that dementia might not influence the results. The fourth limitation relates to the cognitive tests being dependent on writing speed. Finally, it must be noted that the method we used to model jointly the

longitudinal cognitive change, the time to drop-out, and the time to death<sup>20</sup> is not yet widely used and makes assumptions that cannot be tested using observed data such as the jointly multivariate Gaussian random effects.<sup>50</sup> Other methods to take into account missing data may not produce the same estimate of cognitive decline.<sup>51</sup> The extent to which estimates of cognitive decline vary as a function of the method used to correct for drop-out remains unclear. Nevertheless, the differences seen between the estimates from mixed models and the joint models can be reliably used to conclude that non-response leads to underestimation of the impact of smoking on cognitive decline.

### Implications

Much research on uncovering risk factors for dementia or adverse cognitive ageing profiles has been carried out in elderly populations. It is increasingly recognized that age-related cognitive pathologies such as dementia result from long term processes, perhaps beginning as long as 20 to 30 years before the clinical diagnosis of dementia.<sup>1, 52</sup> Our study illustrates the importance of examining risk factors for cognitive decline much earlier in the lifecourse. However, cognitive tests and age-specific norms for detecting ‘abnormal’ cognitive decline do not yet exist. Thus, it is difficult to quantify the clinical significance of our findings. We observe that the effect size associated with smoking is similar to that associated with 10 years of age. The extent to which the steeper cognitive trajectories observed in smokers will lead to dementia later in life cannot yet be addressed using our data and is an important research question.

### Conclusion

Our results show that, compared to never smokers, middle-aged male smokers are likely to experience faster 10-year cognitive decline in global cognition and executive function. Intermittent smokers and recent ex-smokers also exhibited greater cognitive decline although no residual adverse effect of smoking on cognitive decline was observable in the group of men who stopped smoking 10

years prior to cognitive testing. Public health messages on smoking should continue to target smokers at all ages.

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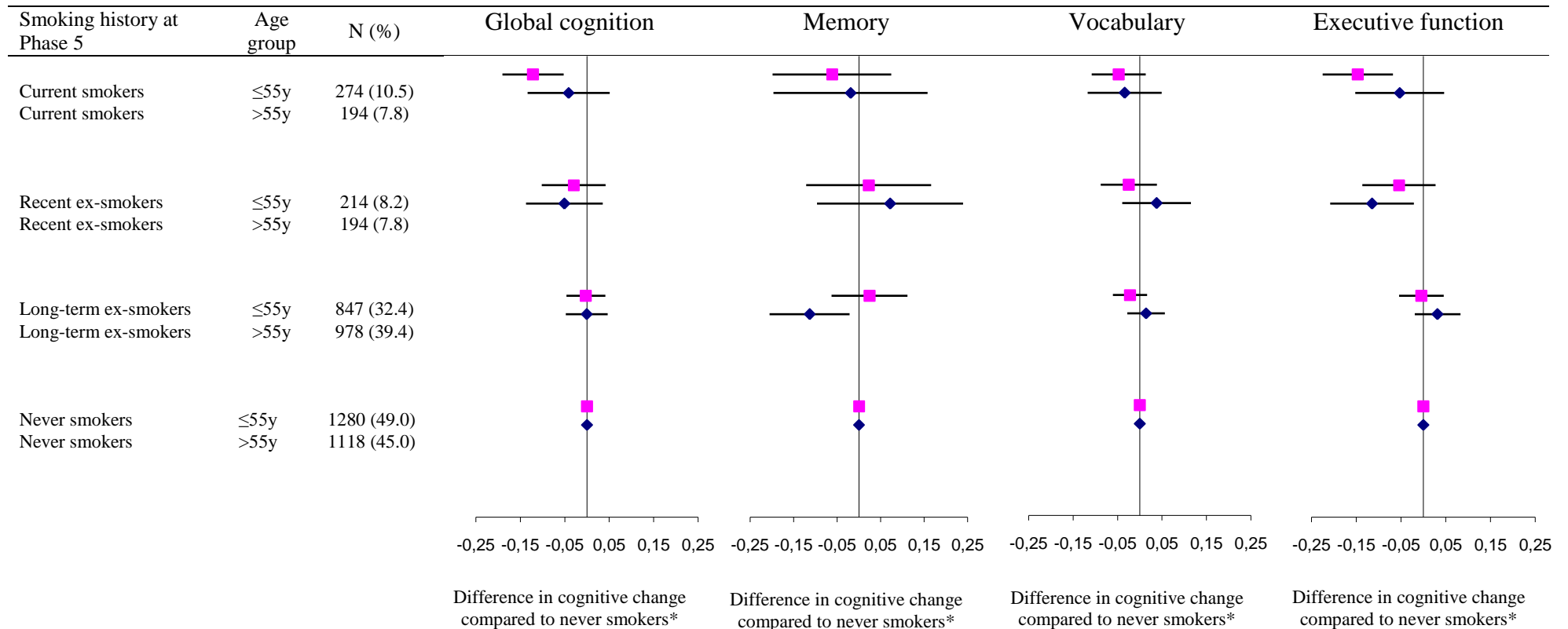
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**Figure 1. Association between smoking history at Phase 5 and cognitive change over the subsequent 10 years in men as a function of age group (reference group: never smokers) \***

Footnotes: \*Estimates were obtained from Model 1 (results in Table 2) but this time separately in men  $\leq 55$  years (pink squares) and  $>55$  years (blue diamonds). For



example, current smokers aged up to 55 years experienced an additional decline in global cognition of -0.12 (-0.19, -0.05) with respect to never smokers in the same age group. The corresponding figure for participants older than 55 years was -0.04 (-0.13, 0.05).



**Figure 2: Mixed and joint models showing standardized cognitive scores at baseline and 10 years cognitive decline in current and never smokers at Phase 5 (1997-99).**

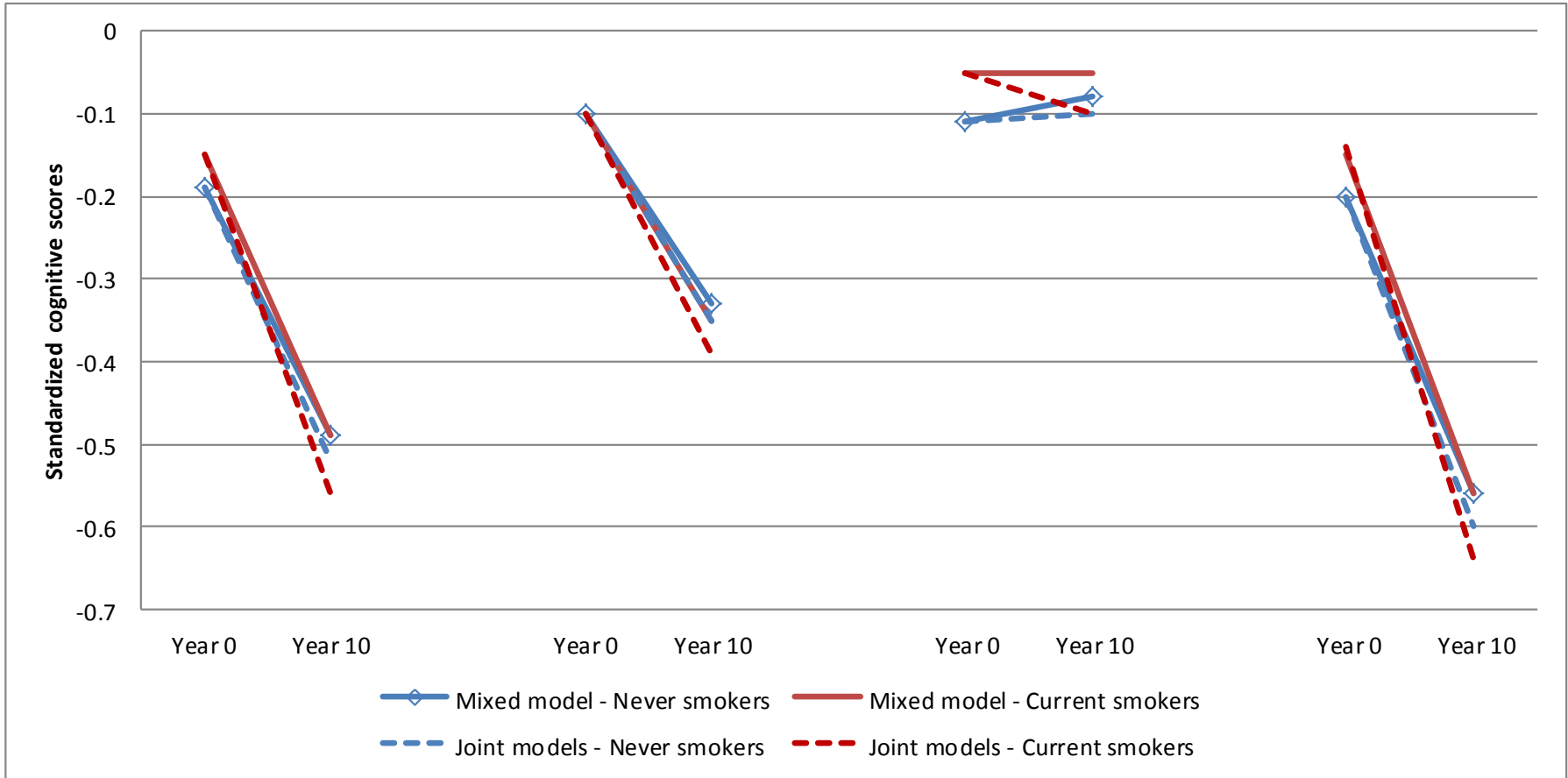


Table 1. Characteristic of the population as a function of smoking history at Phase 5 (1997-1999).

Phase 5 characteristics	Sex	Current smoker	Recent ex-smoker	Long-term ex-smoker	Never smoker	<i>p</i> *
N (N, %)	M	468 (9.2)	408 (8.0)	1825 (35.8)	2398 (47.0)	<0.001
	F	262 (12.3)	191 (8.9)	507 (23.7)	1177 (55.1)	
Age (M, SD)	M	54.5 (5.6)	55.7 (6.1)	56.2 (5.9)	55.3 (6.1)	<0.001
	F	56.5 (5.9)	56.8 (5.9)	56.5 (6.1)	56.0 (6.0)	0.15
Married/cohabiting (N, %)	M	331 (70.7)	327 (80.2)	1579 (86.5)	2008 (83.7)	0.001
	F	145 (55.3)	117 (61.3)	315 (62.1)	704 (59.8)	0.32
High occupational position (N, %)	M	155 (33.1)	182 (44.6)	924 (50.6)	1367 (57.0)	<0.001
	F	39 (14.9)	32 (16.8)	123 (24.3)	230 (19.5)	0.009
University degree or higher (N, %)	M	93 (19.9)	125 (30.6)	494 (27.1)	918 (38.3)	<0.001
	F	22 (8.4)	29 (15.2)	110 (21.7)	263 (22.3)	<0.001
Heavy alcohol consumption <sup>†</sup> (N, %)	M	181 (38.7)	146 (35.8)	608 (33.3)	516 (21.5)	<0.001
	F	61 (23.3)	37 (19.4)	119 (23.5)	147 (12.5)	<0.001
Physically active <sup>‡</sup> (N, %)	M	230 (49.2)	242 (59.3)	1126 (61.7)	1434 (59.8)	<0.001
	F	75 (28.6)	83 (43.5)	219 (43.2)	418 (35.5)	<0.001
Daily consumption of fruits & vegetables (N, %)	M	244 (52.1)	282 (69.1)	1329 (72.8)	1747 (72.9)	<0.001
	F	114 (56.5)	315 (80.6)	398 (78.5)	944 (80.2)	<0.001
Heart rate>80 beats/min (N, %)	M	72 (15.4)	68 (16.7)	238 (13.0)	313 (13.1)	0.05
	F	25 (9.5)	33 (17.3)	85 (16.8)	193 (16.4)	0.03
SBP (mmHg) (M, SD)	M	123.1 (15.0)	125.6 (17.0)	125.0 (15.9)	123.1 (15.9)	<0.001
	F	119.8 (17.2)	121.8 (17.6)	122.6 (17.2)	122.8 (17.5)	0.09
DBP (mmHg) (M, SD)	M	77.4 (9.9)	79.4 (11.4)	79.0 (10.1)	78.5 (10.6)	0.01
	F	73.4 (9.6)	74.5 (10.5)	75.7 (9.9)	75.7 (10.2)	0.005
Cholesterol (mmol/l) (M, SD)	M	6.0 (1.1)	6.1 (1.2)	6.0 (1.0)	5.8 (1.0)	<0.001
	F	6.1 (1.0)	6.0 (1.0)	6.1 (1.1)	6.0 (1.1)	0.40
Prevalence of CHD (N, %)	M	24 (6.4)	101(6.3)	28 (7.8)	24 (6.4)	0.15
	F	12 (4.6)	17 (8.9)	19 (3.8)	70 (6.0)	0.04
Prevalence of Diabetes (N, %)	M	35 (7.5)	37 (9.1)	106 (5.8)	123 (5.1)	0.007
	F	6 (2.3)	16 (8.4)	37 (7.3)	87 (7.4)	0.02
Prevalence of Stroke (N, %)	M	5 (1.1)	7 (1.7)	16 (0.9)	28 (1.2)	0.50
	F	2 (0.8)	2 (1.1)	8 (1.6)	4 (0.3)	0.06

\**p* for heterogeneity <sup>†</sup> Heavy alcohol consumption was defined as 15+ units/week in women and 21+ units/week in men.

<sup>‡</sup>Corresponds to more than 2.5h/week of moderate physical activity or more 1h/week of vigorous physical activity.

Abbreviations: M: Mean, SD: Standard deviation, CHD Coronary heart disease, SBP Systolic Blood Pressure, DBP Diastolic Blood Pressure

Table 2. Association of smoking history at Phase 5 (1997-99) and cognitive change over the subsequent 10 years.<sup>†</sup>

	N	Cognitive change over 10 years			
		Global cognition	Memory	Vocabulary	Executive function
		Coefficient (95% CI)	Coefficient (95% CI)	Coefficient (95% CI)	Coefficient (95% CI)
<b>MEN (N=5099)</b>					
Current smokers	468	<b>-0.09 (-0.15; -0.03)*</b>	-0.05 (-0.16; 0.06)	-0.04 (-0.09; 0.01)	<b>-0.11 (-0.17; -0.05)*</b>
Recent ex-smokers	408	-0.04 (-0.09; 0.02)	0.04 (-0.07; 0.15)	0.00 (-0.05; 0.05)	<b>-0.08 (-0.14; -0.02)*</b>
Long-term ex-smokers	1825	0.00 (-0.03; 0.03)	-0.05 (-0.11; 0.02)	0.00 (-0.03; 0.02)	0.02 (-0.02; 0.05)
Never smokers	2398	Ref	Ref	Ref	Ref
Estimates in never smokers	2398	-0.32 (-0.35; -0.29)	-0.24 (-0.29; -0.18)	0.02 (0.00; 0.05)	-0.37 (-0.41; -0.34)
<b>WOMEN (N=2137)</b>					
Current smokers	262	0.03 (-0.05; 0.12)	0.04 (-0.14; 0.21)	0.02 (-0.06; 0.10)	0.03 (-0.06; 0.12)
Recent ex-smokers	191	0.01 (-0.08; 0.10)	-0.03 (-0.22; 0.16)	0.00 (-0.08; 0.09)	0.04 (-0.07; 0.14)
Long-term ex-smokers	507	-0.01 (-0.07; 0.05)	-0.01 (-0.14; 0.11)	-0.02 (-0.07; 0.04)	0.00 (-0.07; 0.07)
Never smokers	1177	Ref	Ref	Ref	Ref
Estimates in never smokers	1177	-0.28 (-0.33; -0.23)	-0.24 (-0.34; -0.14)	0.04 (0.00; 0.09)	-0.35 (-0.40; -0.30)

\*p<0.05

<sup>†</sup> Estimates from a mixed model adjusted for educational level (ordinal variable, 5 levels), occupational position (categorical variable, 3 levels), marital status, age at baseline. A negative value for cognitive change corresponds to a higher decline compared to that in the never smokers.

Table 3. Association between smoking status over the follow-up<sup>†</sup> and 10-year cognitive change<sup>‡</sup>.

	N	Cognitive change over 10 years			
		Global cognition	Memory	Vocabulary	Executive function
		Coefficient (95% CI)	Coefficient (95% CI)	Coefficient (95% CI)	Coefficient (95% CI)
<b>MEN (N=4800)</b>					
Persistent smokers	240	<b>-0.12 (-0.19; -0.04)*</b>	<b>-0.15 (-0.29; -0.01)*</b>	-0.04 (-0.10; 0.03)	<b>-0.11 (-0.20; -0.03)*</b>
Intermittent smokers	106	<b>-0.10 (-0.20; 0.00)*</b>	-0.16 (-0.36; 0.04)	0.00 (-0.08; 0.09)	-0.10 (-0.21; 0.01)
Quitters after Phase 5	168	-0.04 (-0.13; 0.03)	0.08 (-0.08; 0.24)	0.08 (-0.09; 0.25)	-0.08 (-0.17; 0.01)
Never smokers	2242	Ref	Ref	Ref	Ref
Estimates in never smokers	2242	-0.32 (-0.35; -0.29)	-0.24 (-0.30; -0.18)	0.02 (-0.01; 0.04)	-0.38 (-0.41; -0.35)
<b>WOMEN (N=1993)</b>					
Persistent smokers	128	0.01 (-0.11; 0.12)	0.09 (-0.15; 0.33)	0.03 (-0.08; 0.14)	-0.03 (-0.15; 0.09)
Intermittent smokers	16	<b>-0.36 (-0.69; -0.04)*</b>	-0.53 (-1.21; 0.15)	-0.23 (-0.54; 0.09)	-0.20 (-0.56; 0.17)
Quitters after Phase 5	100	0.05 (-0.07; 0.16)	-0.08 (-0.32; 0.16)	0.00 (-0.11; 0.10)	0.08 (-0.04; 0.21)
Never smokers	1104	Ref	Ref	Ref	Ref
Estimates in never smokers	1104	-0.29 (-0.34; -0.24)	-0.26 (-0.36; -0.15)	0.04 (0.00; 0.09)	-0.36 (-0.41; -0.30)

\*p<0.05

<sup>†</sup> Smoking status at Phase 9 defined as: persistent smokers (smokers at both Phases 5 and 9), intermittent smokers (ex-smokers at Phase 5 and current smokers at Phase 9), quitters (current smokers at Phase 5 and ex-smokers at Phase 9). If participants drop-out at Phase 7, smoking status at Phase 7 was used in the analysis using a similar definition. Participants without information on smoking status at Phases 7 or 9 were excluded from this analysis (N=299 men and N=144 women). Results among ex-smokers at both Phases 5 and 9 are not shown.

<sup>‡</sup> Estimates from a mixed model adjusted for educational level (ordinal variable, 5 levels), occupational position (categorical variable, 3 levels), marital status, age at baseline.